ORIGINAL RESEARCH

Cataract formation following vitreoretinal procedures

Hao Feng Ron A Adelman

Department of Ophthalmology and Visual Science, Yale University School of Medicine, New Haven, CT, USA

Purpose: To evaluate the incidence and prevalence of cataract formation, progression, and extraction in patients that underwent vitreoretinal procedures and to evaluate factors that can potentially predispose patients to postoperative cataracts.

Materials and methods: The medical records of consecutive patients who underwent vitreoretinal surgery at the Yale Eye Center with at least 6 months of follow-up and no prior intraocular surgery were obtained. Preoperative, intraoperative, and postoperative data were recorded and analyzed in this retrospective observational study. The main outcome measures were defined as cataract extraction, formation, and progression after vitreoretinal procedures. The lens status of the surgical eye was recorded preoperatively and at 1 month, 3 months, 6 months, 12 months, 24 months, and 36 months postoperatively.

Results: A total of 193 eyes of 180 patients fulfilled the inclusion criteria. The percentages of eyes with mild lens change were 96% after 20-gauge pars plana vitrectomy (PPV), 72% after small gauge (23- and 25-gauge) PPV, 38% after scleral buckle (SB), 38% after pneumatic retinopexy (PR), and 91% after PPV plus SB (PPV+SB). Posterior subcapsular and nuclear sclerotic cataracts were the most common with almost all developing within 24 months. There was no statistically significant difference (P=1.00) between the rate of cataract extraction after 20-gauge (41%) and small gauge PPV (42%), but there was a statistically significant difference between PPV and non-PPV (SB, 6%; PR, 7%; P<0.001) and PPV and PPV+SB groups (69%; P=0.0063).

Conclusion: Cataracts were common following PPV regardless of the gauge. SB and PR led to the lowest while PPV+SB led to the highest risk of postoperative cataracts.

Keywords: cataracts, vitreoretinal surgery, vitrectomy, scleral buckle, pneumatic retinopexy

Introduction

Cataract is commonly reported following 20-gauge pars plana vitrectomy (PPV) with the incidence rate varying from 12.5%–80%. 1-10 While the exact etiology of cataract formation following vitreoretinal procedures is unknown, the high incidence following vitrectomy may relate to increased oxygen tension, altered milieu following vitreous removal, oxidative stress, trauma, and iatrogenic causes. 1,2,6,11,12

Small gauge (23- or 25-gauge) PPV systems¹³⁻¹⁵ are potentially less risky than 20-gauge PPV systems due to reduced balanced salt solution consumption, lower intravitreal fluid flow, decreased surgical time, and ocular manipulation.8 However, the theoretical advantages of small gauge PPV require verification and translation into observable clinical benefit. Other procedures are also effective for the repair of retinal detachment, such as scleral buckle (SB) and pneumatic retinopexy (PR). A comparison of cataract formation following each vitreoretinal procedure would be useful not only to further current knowledge, but also to inform patients in clinical practice.

Correspondence: Ron A Adelman Yale Eye Center, 40 Temple Street, 3rd floor, New Haven, CT 06510, USA Tel +I 203 785 6444 Fax +I 203 785 5909 Email ron.adelman@yale.edu

Most studies have examined, analyzed, and compared one or two types of vitreoretinal procedures for cataract development. Additional comparisons and information are helpful regarding the rate of postoperative cataracts for:

1) PPV, SB, PR, and combined PPV and SB (PPV+SB); and 2) small gauge and 20-gauge PPV. In the following study, we attempt to address these issues by comparing the impact of different surgical strategies on cataract formation, progression, and extraction while evaluating factors that may contribute to cataracts, such as age, comorbidities, and injected intraoperative tamponade medium.

Materials and methods

The medical records of patients who underwent PPV, SB, PR, or a combination of these procedures between January 1998 and May 2009 at the Yale Eye Center were obtained and reviewed. Patients were eligible for inclusion if they had no prior record of intraocular surgery and were followed for at least 6 months after surgery. Exclusion criteria included previous cataract extraction, traumatic cataract, injured lens during surgery, and previous intraocular procedure. The study was performed with adherence to Health Insurance Portability and Accountability Act guidelines. The Yale University Institutional Review Board waived the need for formal approval of this retrospective study. Preoperative, intraoperative, and postoperative data were recorded from a detailed chart review. Preoperative data included age, sex, ethnicity, comorbid medical conditions, affected eye, ophthalmic diagnosis, visual acuity, type and severity of preexisting lens changes, and status of the fellow eye. Intraoperative data included the type of vitreoretinal procedure, intraoperative complications and any use of gas, or silicone oil as a tamponade agent. Postoperative data consisted of intraocular pressure, length of follow-up, visual acuity, type and severity of lens changes, and the need for additional ocular surgery including cataract extraction. For patients who underwent vitreoretinal procedure on both eyes, each eye was analyzed separately.

Cataract was categorized by type and severity. Type included posterior subcapsular (PSC), nuclear sclerotic (NSC), and anterior cortical cataracts (ACC). Cataract severity, based on grading from the vitreoretinal physician at the time of patient examination, was recorded as clear (0), mild (1+), moderate (2+), severe (3+), and very severe (4+). Progression of cataract was recorded by change in severity. For each eye undergoing vitreoretinal surgery, lens clarity was recorded before surgery and at 1 month, 3 months, 6 months, 12 months, 24 months, and 36 months after surgery.

Cataract formation and progression were classified as mild or moderate, with mild defined as a change in lens opacity by a value of 1 and moderate defined as a change in lens opacity by a value of 2 or more. The formation of new cataract, progression of existing cataract, and the need for cataract extraction for each type of vitreoretinal procedure were quantified and analyzed. Cataract surgery was performed to improve the visual function of eyes with clinically significant cataract progression and functional visual impairment.

Appropriate methods were selected for statistical analyses using GraphPad Prism statistical software. Two-tailed Fisher's exact test was used in the contingency table analysis to evaluate the differences in the rate of postoperative cataract extraction among different groups. The Kruskal–Wallis test was used to investigate differences in the means between several groups. In all tests, we considered a significance level of P > 0.05.

Sensitivity analysis was performed to investigate the potential effect of including individuals with both eyes and those <40 years old since the incidence and progression of cataracts increase with age. All analyses were rerun after first randomly eliminating one eye of the 13 patients who contributed two eyes, and then again after eliminating an additional 43 patients who were <40 years old. The age of 40 years was set as the cutoff point due to the relatively low risk of cataracts below this age and the need to maintain a reasonable sample size for analysis.

Results

A comprehensive chart review identified 107 males and 73 females who fulfilled the required eligibility criteria, with a total of 193 eyes (100 left eyes and 93 right eyes). The mean age of the study patients was 41.42 years old with a range of 3–84 years old. The racial distribution of the subjects was 95 (52.8%) Caucasian, 42 (23.3%) African American, 38 (21.1%) Hispanic, and five (2.8%) Asian. Sixty-six (36.7%) patients had diabetes and 83 (46.1%) had hypertension. Fifty-nine eyes of 53 patients underwent 20-gauge PPV, 50 eyes of 47 patients underwent small gauge PPV, 35 eyes of 32 patients underwent SB, 14 eyes of 13 patients underwent PR, and 35 eyes of 35 patients underwent PPV+SB. The length of patient follow-up ranged from 6-78 months with an average of 23.75 months. The average length of follow-up in months was 31.64 for 20-gauge PPV, 16.44 for small gauge PPV, 27.21 for PR, 21.17 for SB, and 22.06 for PPV+SB. The characteristics of the subjects and their eyes are summarized in Table 1. The preoperative lens grading is displayed in Table S1. Of all eyes, only one had a lens grading

Table I Demographic information of patients and ophthalmic data of surgical eyes

	20-gauge PPV	Small gauge PPV	SB	PR	PPV+SB	Total	P-value
Sex, n (%)							
Male	34 (64.2)	26 (55.3)	21 (65.6)	8 (61.5)	18 (51.4)	107 (59.4)	0.684
Female	19 (35.8)	21 (44.7)	11 (34.4)	5 (38.5)	17 (48.6)	73 (40.4)	
Age (years)							
$Mean \pm SD$	50.47±14.13	50.57±15.85	38.44±18.56	56.62±14.03	43.34±17.29	41.42±16.77	0.00167
Eye, n (%)							
Left	30 (50.8)	27 (54.0)	21 (60.0)	7 (50.0)	15 (42.9)	100 (51.8)	0.699
Right	29 (49.2)	23 (46.0)	14 (40.0)	7 (50.0)	20 (57.1)	93 (48.2)	
Ethnicity, n (%)							
White	23 (43.4)	15 (31.9)	22 (68.8)	11 (84.6)	24 (68.6)	95 (52.8)	0.00127
Black	17 (32.1)	13 (27.7)	6 (18.8)	I (7.7)	5 (14.3)	42 (23.3)	
Hispanic	11 (20.7)	19 (40.4)	2 (6.2)	I (7.7)	5 (14.3)	38 (21.1)	
Asian	2 (3.8)	0 (0)	2 (6.2)	0 (0)	I (2.8)	5 (2.8)	
Comorbidity, n (%)							
DM	28 (52.8)	29 (61.7)	5 (15.6)	0 (0)	4 (11.4)	66 (36.7)	< 0.001
HTN	31 (58.5)	33 (70.2)	7 (21.9)	3 (23.1)	9 (25.7)	83 (46.1)	< 0.001

Abbreviations: PPV, pars plana vitrectomy; SB, scleral buckle; PR, pneumatic retinopexy; PPV+SB, combined pars plana vitrectomy plus scleral buckle; n, number; SD, standard deviation; DM, diabetes mellitus; HTN, hypertension.

greater than 2 before vitreoretinal surgery. The preoperative ophthalmic diagnosis is displayed in Table 2.

Eyes were evaluated based on their change in lens status. The cumulative fractions of mild and moderate cataract changes after vitreoretinal surgery are shown in Table 3. The cumulative percentage of eyes with mild or moderate changes at 36 months was 96% for 20-gauge PPV, 72% for small gauge PPV, 38% for SB, 38% for PR, and 91% for PPV+SB. The cumulative percentage of eyes with moderate lens changes at 36 months was 58% of eyes after 20-gauge PPV, 30% after small gauge PPV, 6% after SB, 0% after PR, and 66% after PPV+SB. The majority of eyes with mild and moderate lenticular changes developed opacification within 24 months postoperatively.

On average, eyes from all the vitreoretinal procedure groups demonstrated lens opacification after surgery. The

Table 2 Preoperative diagnoses of surgical eyes

Diagnosis	Eyes number (%)
Rhegmatogenous retinal detachment	83 (43.0)
Diabetic tractional retinal detachment	28 (14.5)
Diabetic vitreous hemorrhage	23 (11.9)
Nondiabetic vitreous hemorrhage	12 (6.2)
Retinitis	11 (5.7)
Macular hole	10 (5.2)
Epiretinal membrane	10 (5.2)
Uveitis	6 (3.1)
Sickle cell retinopathy with vitreous hemorrhage	4 (2.1)
Stickler syndrome with retinal detachment	4 (2.1)
Central retinal vein occlusion	2 (1.0)
Total	193 (100.0)

mean and standard deviation of changes in lens grading from preoperative evaluation to the final examination after the vitreoretinal surgery was 0.51±0.67 for SB, 0.50±0.52 for PR, 1.71±1.01 for 20-gauge PPV, 1.18±1.06 for small gauge PPV, and 2.06±1.12 for PPV+SB. The median changes in lens grading were 0.25 for SB, 0.50 for PR, 2.0 for 20-gauge PPV, 1.0 for small gauge PPV, and 2.0 for PPV+SB.

Overall, NSC and PSC were the most frequent types of primary cataracts developed postoperatively (number [n] =69 each) with ACC being the least common (n=6). There was no change in the lens status of 49 (25.39%) eyes postoperatively. While NSC development and progression were seen after all types of vitreoretinal procedures (32%-57% of eyes), PSC was seen primarily in vitrectomized eyes (36%-54%) but minimally, if at all, in eyes after SB or PR (0%–5.7%). The distribution of cataract types is displayed in Table 4. The types of cataracts developed in patients 50 years old or older were 45.1% PSC, 52.4% NSC, 2.5% ACC as compared to 52.5% PSC, 41.0% NSC, and 6.6% ACC in patients < 50 years old.

The overall rate of cataract extraction after vitreoretinal surgery was 37.3%. Cataract extraction was performed in two (5.71%) out of 35 eyes after SB, one (7.14%) out of 14 eyes after PR, 24 (40.68%) out of 59 eyes after 20-gauge PPV, 21 (42.00%) out of 50 eyes after small gauge PPV, and 24 (68.57%) out of 35 eyes after PPV+SB. The cumulative fraction of cataract extraction as a function of time is displayed in Figure 1. The average length of time between vitreoretinal surgery and cataract removal was 17.90 months for 20-gauge PPV, 8.14 months for small gauge PPV,

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Table 3 Cumulative fraction of mild and moderate lens changes of surgical eyes after vitreoretinal procedures

	I month	3 months	6 months	I2 months	24 months	36 months
Mild change						
20-gauge PPV	0.321	0.604	0.774	0.943	0.962	0.962
Small gauge PPV	0.277	0.617	0.660	0.702	0.723	0.723
SB	0.219	0.250	0.344	0.344	0.375	0.375
PR	0.154	0.154	0.231	0.385	0.385	0.385
PPV+SB	0.429	0.629	0.714	0.857	0.914	0.914
Moderate change						
20-gauge PPV	0.113	0.302	0.396	0.453	0.547	0.585
Small gauge PPV	0.085	0.170	0.298	0.298	0.298	0.298
SB	0.000	0.000	0.000	0.031	0.063	0.063
PR	0.000	0.000	0.000	0.000	0.000	0.000
PPV+SB	0.086	0.314	0.429	0.571	0.657	0.657

Abbreviations: PPV, pars plana vitrectomy; SB, scleral buckle; PR, pneumatic retinopexy; PPV+SB, combined pars plana vitrectomy plus scleral buckle.

11.00 months for SB, 27.50 months for PR, and 9.71 months for PPV+SB. This difference may be partially due to the variable follow-up time between the groups.

There was no statistically significant difference in the rate of cataract extraction between patients who underwent the 20-gauge and the small gauge PPV system (P=1.00). However, the rate of cataract extraction was significantly different between PPV and PPV+SB (P=0.0063), PPV-only and PR (P=0.0165), and PPV-only and SB (P<0.0001).

The rate of cataract extraction was not significantly impacted by sex, or by diagnosis of hypertension and/ or diabetes. Forty-nine (48.04%) of 102 eyes in patients 50 years or older compared to 28 (30.77%) of 91 eyes in patients <50 years old underwent cataract surgery (P=0.0184). Cataract extraction was performed in 21 (44.7%) of 47 eyes with gas tamponade, 14 (58.3%) of 24 eyes with silicone oil tamponade, and 15 (41.7%) of 36 eyes without tamponade. Cataract extraction rates were not significantly different between procedures that utilized silicone oil and gas tamponade (P=0.3222), silicone oil and no tamponade (P=0.2922), or gas and no tamponade (P=0.8260). The mean and standard deviation of the change in lens status for gas, silicone oil, and no tamponade were 1.44±1.10, 1.98±1.01, and 1.17±0.94, respectively. The median values of the lens changes were 1.0 for gas, 2.0 for silicone oil, and 1.0 for no tamponade. There were statistically significant differences in the change in lens status between silicone oil and gas tamponade (P=0.023) and silicone oil and no tamponade (P=0.0024), but not between gas and no tamponade (P=0.261).

The visual acuity of patients before and after both vitreoretinal procedure and cataract surgery was analyzed using the logarithm of the minimum angle of resolution (LogMAR) scale. On average, the visual acuity of patients increased after vitreoretinal surgery, but it subsequently worsened over time. Worsening vision led to the need for cataract surgery, after which vision was again restored (Figure 2), except for patients who received SB only. The mean and standard deviation of postoperative intraocular pressure (mmHg) at first follow-up visit 1 day following surgery was 17.46±6.45 for 20-gauge PPV, 15.68±8.53 for small gauge PPV, 15.36±9.47 for PR, 18.26±6.28 for SB, and 20.81±10.83 for PPV+SB, with significant difference observed between the procedures (P=0.040).

Sensitivity analyses were carried out to investigate how individuals who contributed two eyes and were <40 years old influenced the results. The results from these analyses were essentially the same as the original. The only difference was there was no longer a statistically significant difference in the

Table 4 Types of cataracts developed or progressed in surgical eyes after vitreoretinal surgery

	Nuclear sclerotic	Posterior capsular	Anterior cortical None		Total
20-gauge PPV	20 (33.90)	30 (50.85)	3 (5.08)	6 (10.17)	59
Small gauge PPV	16 (32.00)	18 (36.00)	2 (4.00)	14 (28.00)	50
SB	13 (37.14)	2 (5.71)	0 (0)	20 (57.14)	35
PR	8 (57.14)	0 (0)	0 (0)	6 (42.86)	14
PPV+SB	12 (34.29)	19 (54.29)	I (2.86)	3 (8.57)	35
Total	69	69	6	49	193

Note: Values are presented as n (%).

Abbreviations: PPV, pars plana vitrectomy; SB, scleral buckle; PR, pneumatic retinopexy; PPV+SB, combined pars plana vitrectomy plus scleral buckle; n, number.

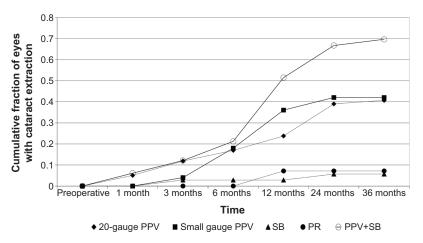


Figure I Cataract extraction after vitreoretinal procedures.

Notes: The cumulative fraction of eyes that underwent cataract surgery at various time points after vitreoretinal procedure showed that cataract surgery was commonly performed within 2 years postoperatively. PPV+SB had the highest rate of cataract extraction at 69%, while SB and PR had the lowest rates at 6% and 7%, respectively. A significant and similar proportion of eyes that underwent 20-gauge (41%) and small gauge (42%) vitrectomy needed cataract surgery postoperatively. **Abbreviations:** PPV, pars plana vitrectomy; SB, scleral buckle; PR, pneumatic retinopexy; PPV+SB, combined pars plana vitrectomy plus scleral buckle.

change in lens status between silicone oil and gas tamponade (P=0.168) after eliminating patients who were <40 years old and who contributed two eyes.

Discussion

In this study, eyes that underwent PPV+SB had the most lenticular changes, followed by 20-gauge PPV and small gauge PPV. In contrast, SB and PR caused very little postoperative

lens opacification. This was reflected in the absolute amount of lens grading change and the fraction of eyes developing mild and moderate lenticular opacification.

Despite theoretical advantages, ^{16,17} small gauge PPV resulted in a high rate of cataract progression and formation postoperatively, which was not statistically different when compared with 20-gauge PPV in our analysis. Supporting evidence for cataract reduction using small gauge PPV is

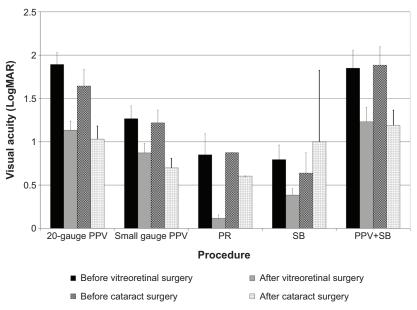


Figure 2 Visual acuity of the surgical eye.

Notes: For the surgical eyes that underwent each vitreoretinal procedure, the average visual acuity improved after vitreoretinal surgery with subsequent deterioration postoperatively due to cataract formation or progression, as evidenced by improvement following cataract surgery. The exception is the SB group, where the mean visual acuity decreased after cataract surgery, which is due to the small sample size (n=2) with one eye showing improvement while the other showed deterioration. Mean and standard error of the visual acuity using the LogMAR scale is shown.

Abbreviations: LogMAR, log of the minimum angle of resolution; PPV, pars plana vitrectomy; PR, pneumatic retinopexy; SB, scleral buckle; PPV+SB, combined pars plana vitrectomy plus scleral buckle; n, number.

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unclear. 8,18–20 In studies that exclusively examined the effects of small gauge PPV, the postoperative cataract rate ranged from 22.7%—79.3%. 21–31 This is a comparable range to that of the 20-gauge PPV of 12.5%—80%, 1–10 indicating that cataract is a significant complication of both small gauge and 20-gauge PPV. Our findings are consistent with those from previous work, which showed that PR 32–40 and SB 41–45 are less risky than PPV in inducing postoperative cataracts, and they could be considered as the surgical modality when appropriate. Because PPV+SB is used in more difficult cases, this may explain the particularly high risk of cataracts after surgery in this study and another study. 46

We found that eyes that underwent PPV had the largest change in lens opacification during the first 6 months after surgery, with most changes occurring by 24 months. This is consistent with other investigators' findings that most patients develop moderate or visually significant lenticular opacification within 2 years after vitreoretinal surgery, including a significant percentage of patients who develop cataracts within the first 6 months. ^{47–49} The data indicate that cataracts develop relatively quickly in the postoperative period.

We found no significant difference in the rate of cataract extraction between 20-gauge and small gauge PPV. According to prior research, the rate of postoperative cataract extraction for 20-gauge PPV varies significantly, ranging from 23%–92%. 10,19,26,47–52 There is also high variation in the rate of cataract extraction for small gauge PPV with reported values of 23%–80%. 19,22,24,26,28,30,31,53,54 These results indicate that visually impairing cataract development after PPV likely depends on other factors such as oxygen level in the vitreous cavity, the amount of vitreous removed, the amount of inflammation due to the ocular disease and operation, and the length and difficulty of surgery, rather than the exact gauge of the PPV. 8,17,53,54

In our study population, the rate of cataract extraction was significantly higher after PPV than after SB and PR. Other comparative studies have found similar results^{43,55} and data in the literature generally point to a small risk of cataracts after SB⁵⁶ and PR.^{35,38,57,58} A statistically significant difference in the rate of cataract extraction was detected between PPV with and without SB in this study and is in agreement with observations by Kinori et al.⁴⁶ Therefore, although SB by itself carries a low risk of cataracts, the risk increases significantly when SB is combined with PPV.

The age of patients influenced the need for postsurgical cataract removal, and this is in agreement with other studies. 10,59 Our inability to detect known factors associated with the rates of cataract surgery, such as diabetes and intraoperative tamponade medium, may be due to the relatively small sample size.

For eyes that developed cataracts, the most common types of cataracts were NSC and PSC, followed by ACC. We observed that NSC and PSC were more common in older and younger patients, respectively. The high frequency of NSC in our study is consistent with the findings of other studies. S.13,14,60–64 The incidence of PSC observed in this study is higher than that of other studies, 47,50 which may be a result of differences in patient characteristics and intraoperative factors. For example, younger patients, diabetic patients, and patients with gas and silicone oil tamponade tend to develop PSC, while older adults tend to develop NSC after PPV 2,11,65–68

There is information that nuclear sclerosis progresses more rapidly with higher levels of inflammation and vitreous hemorrhage.⁵² Minimally invasive PPV may have the theoretical potential to decrease postoperative NSC.^{16,17,69} This theoretical advantage was not observed in our cohort, as there was no significant difference in the rate of NSC developed after 20-gauge and small gauge PPV. These results are consistent with those of other studies.^{8,54}

The results from the sensitivity analyses were overall in accordance with the original analysis, and were not sensitive to the patient's age or to the contribution of both eyes. The inability to detect a statistically significant difference in the change in lens status between silicone oil and gas tamponade may relate to small sample sizes after eliminating 56 eyes.

This study has several limitations. This was a retrospective, uncontrolled, single-center study with potential subjective bias in regards to cataract grading and progression. However, the need for cataract surgery is confirmation of clinically significant cataract progression. It is also difficult to know if patients had cataract surgery elsewhere after being lost to follow-up at the Yale Eye Center. A large, prospective, controlled, multicenter study utilizing objective measures of cataracts such as lens photographs and Lens Opacities Classification System III would be useful to further validate and characterize cataract formation and progression after vitreoretinal procedures.

Conclusion

In conclusion, NSC and PSC, the two most common types of cataract following vitreoretinal procedures, generally developed or progressed within 2 years after PPV regardless of the gauge of the vitrector. Whereas cataracts commonly occurred after PPV+SB, they were rare after SB or PR. Despite having a theoretical advantage, small gauge PPV

did not protect patients from cataract development or progression after surgery, as compared with 20-gauge PPV. For patients who undergo PPV, there is a significant probability that visually impairing lenticular opacification will occur in the postoperative period. As a result, many patients will require subsequent cataract surgery within 2 years. Therefore, surgeons and patients should consider the risk of cataract formation when deciding on a vitreoretinal procedure for phakic patients.

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Disclosure

The authors report no conflicts of interest in this work. This submission has not been published anywhere previously and it is not simultaneously being considered for any other publication.

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Supplementary material

Table SI Distribution of lens grading for surgical eyes before vitreoretinal surgery

Lens grading	20-gauge PPV	Small gauge PPV	PR	SB	PPV+SB	P-value
0.0	31	24	4	24	25	
0.5	0	I	1	0	0	
1.0	17	12	6	7	6	
1.5	2	4	1	2	2	
2.0	9	8	2	2	2	
2.5	0	1	0	0	0	0.035
3.0	0	0	0	0	0	
3.5	0	0	0	0	0	
4.0	0	0	0	0	0	
Mean	0.644	0.740	0.857	0.400	0.371	
Standard deviation	0.755	0.810	0.691	0.639	0.634	

Abbreviations: PPV, pars plana vitrectomy; PR, pneumatic retinopexy; SB, scleral buckle; PPV+SB, combined pars plana vitrectomy plus scleral buckle.

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