#### ORIGINAL RESEARCH

# Upper Eyelid Meibomian Gland Dysfunction is a Risk Factor for Dry Eye Symptoms Following Cataract Surgery in the Early Phase

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**Purpose:** To evaluate perioperative dry eye (DE) syndrome and meibomian gland (MG) dysfunction parameters associated with cataract surgery-induced DE symptoms.

**Patients and Methods:** This retrospective, single-centered, observational study included 82 eyes of 43 patients without previous subjective DE symptoms, treatment, ocular comorbidities, and previous use of ophthalmic treatment, except for anti-allergic eye drops, who underwent uncomplicated cataract surgery. MG dropout, lid margin abnormality, meibum quality, meibum expressibility, MG orifice obstruction, ocular surface disease index, non-invasive tear break-up time, tear meniscus height, and Schirmer test score were measured at baseline and 1 month postoperatively. Multivariable logistic regression with generalized estimating equation models was used to determine the risk factors for cataract surgery-induced DE symptoms.

**Results:** Twenty-one patients had subjective DE symptoms 1 month following the cataract surgery. Preoperative and postoperative parameters, preoperative upper eyelid MG loss, and female sex (odds ratio [OR] 6.72, P = 0.012; OR 4.20, P = 0.037, respectively) were identified as risk factors for cataract surgery-induced DE symptoms.

**Conclusion:** Ocular parameters, including upper eyelid MG findings at baseline, were considered important in predicting persistent DE symptoms following cataract surgery.

Keywords: meibomian gland dysfunction, dry eye syndrome, retrospective, ocular surface disease index

#### Introduction

Cataract surgery with intraocular lens (IOL) implantation is one of the safest and most successful anterior segment surgeries; improved visual acuity is usually achieved. However, postoperative abnormalities of the tear film have been reported recently, including perioperative dry eye (DE).<sup>1</sup> Some patients experience DE symptoms, including foreign body sensation, pain, and blurred vision even months or years following successful cataract surgery.<sup>2</sup>

DE symptoms related to cataract surgery are thought to be caused by various factors. Surgery can cause reduced tear break-up time (BUT), phototoxic damage during surgery, epithelial damage, decreased corneal sensitivity, and elevated inflammatory cytokines in tears, including matrix metalloproteinase (MMP).<sup>3–5</sup> Moreover, the function of the meibomian gland (MG), the main organ maintaining the lipid layer of tear films,<sup>6</sup> is altered after cataract surgery, resulting in DE symptoms induced by evaporative tear film.<sup>7</sup>

While the majority of the alterations occurring over the ocular surface following cataract surgery are considered to be transient,<sup>8–10</sup> some patients experience cataract surgery-induced DE symptoms for longer periods.<sup>1</sup> It is therefore important to characterize the DE risk factors following cataract surgery to minimize patient discomfort through diagnosis, thereby making intervention possible by the early identification of these risk factors.

According to previous reports, the prevalence of post-cataract surgery DE was 55.7%.<sup>11</sup> The incidence of after surgery DE in previously non-DE patients was 31.3%.<sup>12</sup> Among other monitored parameters, basal ocular surface disease index (OSDI) scores, female sex, and low TMH following surgery were significant risk factors, consistent with previous reports.<sup>13–15</sup>

In this study, in order to identify the ocular risk factors associated with early-onset cataract surgery-induced DE symptoms in patients without subjective DE symptoms and who did not use anti-DE ophthalmic solution (including artificial tears), we investigated the ocular characteristics, including MG, of patients who underwent cataract surgery by examining multiple parameters related to DE syndrome at baseline and 1 month postoperatively using a multivariable logistic regression analysis.

# **Materials and Methods**

## Study Design

This retrospective observational study included 43 patients with cataract. The study was approved by the Institutional Review Board of Kawasaki Medical School Hospital (approval number 5441-00). Informed consent was obtained from all the study participants. The study adhered to the tenets of the Declaration of Helsinki and was performed according to Good Clinical Practice (GCP). The study endpoints were evaluated by the researchers in a masked manner. This study did not involve patients or the public in its design, participant recruitment, or conduct.

# Data Collection

The study observation period was from the date on which the patient started receiving care at the study site to the date on which the last data point was measured, which lasted from November 1, 2020 to August 31, 2021. To ensure uniform data collection, assessment, and compliance with the GCP, on-site training was conducted. Patient data records were selected based on the study inclusion and exclusion criteria. To minimize bias, eligible patients were enrolled consecutively.

The baseline data included age, sex, Schirmer's test (mm), ophthalmic solution use, and the following measures taken before cataract surgery: OSDI score, non-invasive BUT (NIBUT) measured by a video-corneal topographer Keratograph 5M (Oculus, Wetzlar, Germany) for each patient, and tear film instability related to DE measured and scored as DE grade (minimum, 0; maximum, 5) using the tear interferometer DR-1 $\alpha$  (Kowa, Tokyo, Japan). MG dysfunction (MGD) parameters measured by slit lamp examination, including fluorescein stain<sup>16</sup> and infrared meibography on Keratograph 5M (Oculus, Wetzlar, Germany), and tear meniscus height (TMH) (mm) at the postoperative visit (1 month after cataract surgery) were also collected. According to the 2016 Japanese Diagnostic Criteria for Dry Eye According, patients were considered to have DE when they had DE symptoms and decreased BUT ( $\leq$ 5 seconds).<sup>17</sup>

MGD was diagnosed using the diagnostic criteria proposed by the MGD Working Group in Japan.<sup>18</sup> MGD was diagnosed when both lid margin abnormalities and MG occlusion were present.<sup>19</sup> Lid margin abnormalities (total lid margin abnormality) were considered to be present when at least two of the following conditions in at least one eye were satisfied:  $\geq 2$  telangiectasias (vascularity of lid margin), lid margin irregularity (notching; irregular lid margin), and mucocutaneous junction (anteroplacement or retroplacement).<sup>19,20</sup> MG occlusion (total MG obstruction) was considered to be present when at least one eye had meibum secretions that were reduced when moderate pressure was applied with the thumb to the middle-third region of the upper eyelid (decrease in meibum expressibility) and  $\geq 2$  gland orifices appeared to be plugged (MG orifice obstruction).<sup>19,20</sup>

The OSDI questionnaire, developed by the Outcomes Research Group at Allergan (Irvine, CA) was used to assess the DE symptoms.<sup>21</sup> Briefly, the 12 items of the OSDI questionnaire were graded on scale 0 to 4, of which 0 indicated no time; 1, sometimes; 2, half of the time; 3, most of the time; and 4, all of the time. The OSDI score was based on a 0 to 25 scale, and higher scores indicated more severe symptoms or discomfort. The OSDI score is finally multiplied by 4; the minimum and maximum possible scores are 0 and 100, respectively. To evaluate the ocular risk factors for cataract surgery-induced DE symptoms, we defined patients with an OSDI score > 0 (except for blurred vision and poor vision since all cataract patients show visual impairment) at 1 month after cataract surgery as patients with cataract surgery-

induced DE symptoms.<sup>19</sup> The area of the MG structure was extracted by automatic threshold identification using ImageJ software and analyzed as described previously.<sup>22,23</sup> Room temperature and humidity were maintained at 23–25°C and 30–40%, respectively. For tear film lipid layer interferometry, the three videos captured using the tear interferometer DR- $1\alpha$  were analyzed in a masked manner. The classification and grading of tear lipid layer patterns has been described previously.<sup>11</sup> DR-1 images were classified from grade 1 to 5 as follows: grade 1, slight gray color interference fringes and uniform distribution; grade 2, slight gray color interference fringes and non-uniform distribution; grade 3, mild colorful interference fringes and non-uniform distribution; and grade 5, corneal surface partially exposed.<sup>11</sup>

TMH was obtained using anterior segment optical coherence tomography (AS-OCT) CASIA 2 (Tomey Corporation, Nagoya, Japan). Analysis software (Tomey Corporation, Nagoya, Japan) was used to identify and digitize the anterior corneal surfaces and tear meniscus areas. All digitization was confirmed by the researchers in a masked manner. Three measurements of the TMH were averaged.

The conjunctival redness in the nasal and temporal limbs were measured by Keratograph 5M (Oculus, Wetzlar, Germany) and scored between 0 (minimum) to 4 (maximum) based on the analysis software (Oculus, Wetzlar, Germany).<sup>24</sup>

All these ocular data were collected before and 1 month after the cataract surgery.

## Cataract Surgery

All the cataract surgeries were performed by a single surgeon (Y.I.). Phacoemulsification with intraocular lens implantation was performed using the CENTURION<sup>®</sup> System (Alcon Laboratories, Inc., Fort Worth, Texas, USA) after a 2.2-mm clear corneal incision was made under topical anesthesia. An intraocular lens (ZCB00V; AMO Japan, Tokyo, Japan) was implanted in the capsular bag. Three days before surgery, topical 0.3% gatifloxacin was used four times daily. All the patients were prescribed 1.5% levofloxacin, 0.1% betamethasone, and 0.1% bromfenac eye drops four times daily from the day after the surgery until 1 month postoperatively according to the approved dose in Japan.

#### **Participants**

We retrospectively reviewed the clinical records (including video records) of 43 patients with cataract. We excluded patients with subjective DE symptoms (OSDI > 0), using anti-DE ophthalmic solution (including artificial tears), and those with active ocular surface disorders, including epithelial disorders, such as Stevens–Johnson syndrome or ocular pemphigoid, infections, conjunctivitis, ocular inflammatory disease, and nasolacrimal duct obstruction. As an exception, patients with short BUT DE were included in the study, because such symptoms are often evident in patients with cataract, are clinically significant, and can be effectively targeted with DE therapy. Patients who need preoperative marking for toric IOL implantation were excluded because marking could affect the DE-related tear film dynamics. Cases of systemic diseases were also excluded, except for diabetes mellitus and systemic hypertension, which were controlled by the administration of oral medications. Individuals with a history of ocular surface surgery or those who were considered unsuitable by the principal investigator were also excluded from the study.

## Statistical Analysis

The study was designed considering a sample size suitable for examining the risk factors for induction of DE after cataract surgery. Data distributions were assessed for normality using the Shapiro–Wilk test. Following the assumption that all the data on the outcomes of interest followed a parametric distribution, generalized estimating equation models  $(GEE)^{25}$  were used to account for a possible correlation between the fellow eyes of the same patient. Risk factors for cataract surgery-induced DE symptoms were assessed using multivariable logistic regression analysis with GEE to account for a possible correlation between the fellow eyes of the sample size of 82 eyes, the power was greater than 80% and statistical significance was set at P = 0.05. Two-sided *P*-values were determined in all the analyses, and statistical significance was set at P < 0.05. All the statistical analyses were performed using SPSS version 25.0 (IBM Corp. Released 2017. IBM SPSS Statistics for Windows, version 25.0. Armonk, NY: IBM Corp. USA).

# **Results**

#### Enrollment

This study included the data of 82 eyes of 43 patients (22 men and 21 women; mean age,  $75.70 \pm 4.83$  years) who underwent cataract surgery and in whom subjective DE symptoms were absent (OSDI=0). Ophthalmic solutions other than anti-allergic eye drops were not used by these patients. The duration of surgery was  $6.06 \pm 0.89$  min and that of phacoemulsification was  $51.59 \pm 14.04$  s.

After the cataract surgery, NIBUT significantly decreased compared with that before surgery (P < 0.001, GEE; Table 1). Fluorescein staining score, OSDI score, dry eye grade by DR-1 $\alpha$ , vascularity of lid margin (telangiectasia) score, irregular lid margin score, upper eyelid MG loss area (Figure 1), lower eyelid MG loss area (Figure 1), and redness in the temporal limbs significantly increased following the surgery compared with that before the surgery (P < 0.05, GEE; Table 1).

Parameter	Before Surgery		Afte	r Surgery	P-value (Before vs	
	Mean ± SD	95% Confidence Interval	Mean ± SD	95% Confidence Interval	After Surgery)	
NIBUT (s)	9.98 ± 5.53	8.76 to 11.20	7.57 ± 4.69	6.55 to 8.58	0.0010	
Schirmer test (mm)	9.04 ± 4.54	8.03 to 10.01	10.59 ± 7.29	9.01 to 12.16	0.10	
Fluorescein stein score (0–9) <sup>11</sup>	0.28 ± 0.59	0.15 to 0.41	0.64 ± 0.92	0.45 to 0.85	0.022	
OSDI score	0.0 ± 0.0	0.0 to 0.0	1.90 ± 4.01	1.03 to 2.77	< 0.001	
Dry eye grade by DR-1 $\alpha$ (0–5)	2.50 ± 0.53	2.39 to 2.62	2.74 ± 0.48	2.64 to 2.85	0.003	
TMH (mm)	0.27 ± 0.16	0.23 to 0.30	0.27 ± 0.14	0.24 to 0.30	0.91	
Vascularity of lid margin: telangiectasia (0, no; I, yes)	0.21 ± 0.42	0.11 to 0.26	0.38 ± 0.49	0.27 to 0.48	0.036	
Mucocutaneous junction: anteroplacement or retroplacement (0, no; 1, yes)	0.49 ± 0.50	0.38 to 0.60	0.48 ± 0.50	0.37 to 0.58	0.98	
Irregular lid margin (0, no; 1, yes)	0.06 ± 0.24	0.01 to 0.11	0.18 ± 0.39	0.10 to 0.27	0.031	
Total lid margin abnormality (0, no; 1, yes) <sup>12</sup>	0.59 ± 0.50	0.48 to 0.69	0.73± 0.45	0.64 to 0.83	0.051	
MG orifice obstruction (0, no; 1, yes)	0.66 ± 0.47	0.56 to 0.76	0.74 ± 0.46	0.65 to 0.84	0.30	
Meibum expressibility decrease (0, no; 1, yes)	0.80 ± 0.40	0.72 to 0.89	0.73 ± 0.45	0.64 to 0.83	0.33	
Total MG obstruction (0, no; 1, yes) <sup>12</sup>	0.62 ± 0.49	0.52 to 0.73	0.61 ± 0.49	0.50 to 0.72	0.49	
Upper eyelid MG loss area (%)	73.9 ± 4.3	73.0 to 74.9	75.4 ± 3.9	74.6 to 76.3	< 0.001	
Lower eyelid MG loss area (%)	57.7 ± 11.1	55.3 to 60.1	60.6 ± 8.6	58.8 to 62.5	0.002	
Conjunctiva redness in nasal limbs (0–4)	1.20 ± 0.51	1.10 to 1.30	1.21 ± 0.46	1.12 to 1.93	0.38	
Conjunctiva redness in temporal limbs (0–4)	1.25 ± 0.40	1.17 to 1.33	1.36 ± 0.36	1.29 to 1.44	0.021	

Table I Comparison of Parameters in All the Included Eyes Measured Before and I Month After Cataract Surgery

Notes: All P-values were calculated using a generalized estimating equation model.

Abbreviations: MG, meibomian gland; MGD, meibomian gland dysfunction; NIBUT, non-invasive tear break-up time; OSDI, ocular surface disease index; SD, standard deviation; TMH, tear meniscus height.

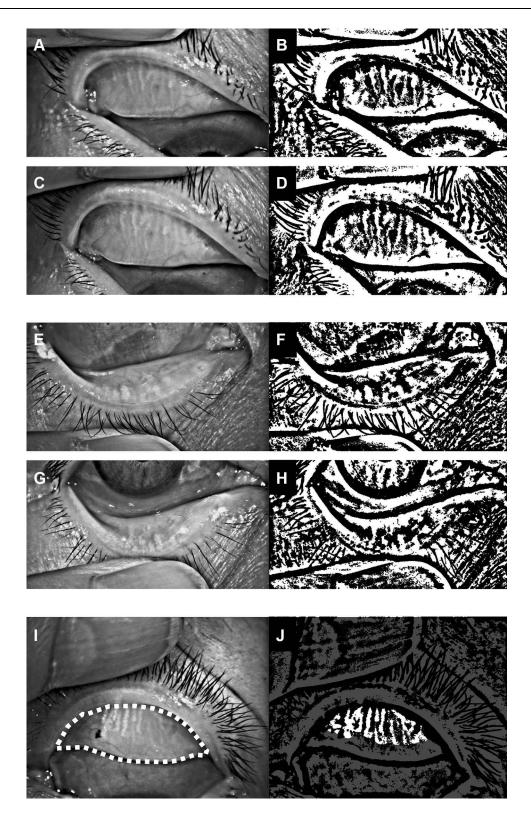


Figure I Representative measurements of upper and lower eyelid MG loss area (%). Representative measurements of upper eyelid MG by meibography on Keratograph 5M before cataract surgery (**A**); digitized image from A (**B**); meibography image after cataract surgery (**C**); digitized image from C (**D**). Representative measurements for lower eyelid MG by meibography on Keratograph 5M before cataract surgery (**E**); digitized images from E (**F**); meibography after cataract surgery (**G**); digitized image from G (**H**). Example of total analysis area (**I**) and detected MG area (**J**) used to compute the loss area (%). Abbreviation: MG, meibomian gland.

Demographics of Patients with and without Cataract Surgery-Induced DE Symptoms

Sixty-one eyes of 35 patients (39 eyes in male patients and 22 in female patients; age,  $75.50 \pm 4.67$  years) did not show any cataract surgery-induced subjective DE symptoms (OSDI = 0), while 21 eyes of 14 patients did (OSDI > 0) (6 eyes in male patients and 15 in female patients; age,  $76.30 \pm 5.36$  years). The incidence of cataract surgery-induced subjective DE symptoms revealed a significant difference between the two sexes (P = 0.002; GEE, Table 2, Figure 2A).

Table 2 Comparison of the Background Parameters	s and the Parameters Before	e and I Month After Catara	ct Surgery in Patients with		
Table 2 Comparison of the Background Parameters and the Parameters Before and 1 Month After Cataract Surgery in Patients with and without Cataract Surgery-Induced Subjective DE Symptom (OSDI > 0)					

Label	With Cataract Surgery- Induced Subjective DE Symptom (21 Eyes) Mean ± SD		Without Cataract Surgery-Induced Subjective DE Symptom (61 Eyes) Mean ± SD		P-value (with vs Without Cataract Surgery-Induced Subjective DE Symptom)	
Age (years)	76.30	± 5.36	75.50 ± 4.67		0.40	
Female (%)/Males	15 (71.4%)/6		22 (36.1%)/39		0.002	
Diabetes mellitus (%)	I (4.8%)		17 (27.9%)		0.06	
Hypertension (%)	7 (33.3%)		31 (50.8%)		0.41	
Duration of surgery (min)	5.90 ± 0.70		6.11 ± 0.95		0.29	
	Before Surgery	After Surgery	Before Surgery	After Surgery	Before Surgery	After Surgery
NIBUT (s)	10.70 ± 5.37	7.11 ± 4.22	9.73 ± 5.61	7.72 ± 4.86	0.46	0.59
Schirmer test (mm)	8.76 ± 4.54	11.67 ± 7.73	9.15 ± 4.58	10.21 ± 7.16	0.91	0.39
Fluorescein stein score (0–9) <sup>11</sup>	0.24 ± 0.62	0.76 ± 0.89	0.30 ± 0.59	0.61 ± 0.94	0.72	0.31
OSDI score	0.0 ± 0.0	7.42 ± 4.71	0.0 ± 0.0	0.0 ± 0.0	I	< 0.001
Dry eye grade by DR-1α (0–5)	2.67 ± 0.64	2.79 ± 0.49	2.44 ± 0.47	2.73 ± 2.53	0.23	0.17
TMH (mm)	0.26 ± 0.14	0.22 ± 0.09	0.27 ± 0.17	0.29 to 0.15	0.98	0.016
Vascularity of lid margin: telangiectasia (0, no; 1, yes)	0.24 ± 0.44	0.43 ± 0.51	0.21 ± 0.41	0.36 ± 0.48	0.75	0.53
Mucocutaneous junction: anteroplacement or retroplacement (0, no; 1, yes)	0.43 ± 0.51	0.48 ± 0.52	0.51 ± 0.50	0.49 ±0.50	0.57	0.88
Irregular lid margin (0, no; 1, yes)	0.10 ± 0.30	0.19 ± 0.40	0.05 ± 0.22	0.18 ±0.39	0.58	0.83
Total lid margin abnormality (0, no; 1, yes) <sup>12</sup>	0.52 ± 0.51	0.76 ± 0.43	0.66 ± 0.48	0.72 ± 0.45	0.59	0.39
MG orifice obstruction (0, no; 1, yes)	0.52 ± 0.51	0.76 ± 0.44	0.70 ± 0.46	0.72 ± 0.45	0.25	0.89
Meibum expressibility decrease (0, no; 1, yes)	0.90 ± 0.30	0.90 ± 0.30	0.77 ± 0.42	0.67 ± 0.47	0.15	0.028
Total MG obstruction (0, no; 1, yes) <sup>12</sup>	0.52 ± 0.51	0.71 ± 0.46	0.66 ± 0.48	0.57 ± 0.50	0.43	0.51
Upper eyelid MG loss area (%)	75.5 ± 4.1	76.8 ± 4.2	72.7 ± 3.6	74.9 ± 3.8	0.006	0.67
Lower eyelid MG loss area (%)	57.1 ± 11.0	61.5 ± 5.4	57.9 ± 11.2	60.4 ± 9.5	0.70	0.45
Conjunctival redness in nasal limbs	1.26 ± 0.39	1.31 ± 0.53	1.18 ± 0.54	1.19 ± 0.45	0.13	0.90
Conjunctival redness in the temporal limbs	1.29 ± 0.35	1.44 ± 0.32	1.25 ± 0.41	1.35 ± 0.37	0.23	0.91

Notes: All P-values were calculated using a generalized estimating equation model.

Abbreviations: MG, meibomian gland; MGD, meibomian gland dysfunction; NIBUT, non-invasive tear break-up time; OSDI, ocular surface disease index; SD, standard deviation; TMH, tear meniscus height.

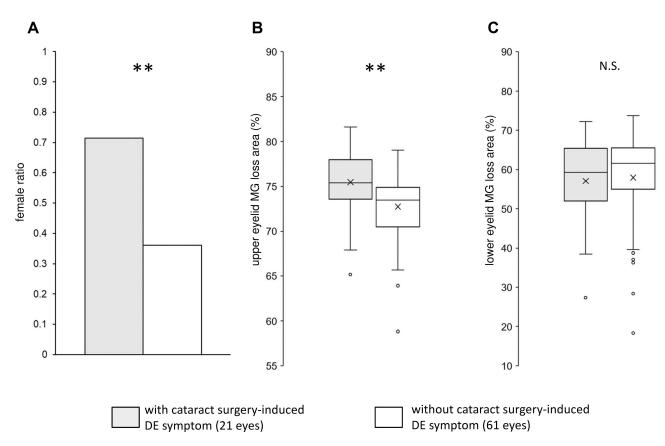


Figure 2 Baseline and preoperative ocular parameters in eyes with or without cataract surgery-induced DE symptoms. The representative baseline and preoperative ocular parameters, female ratio (A), upper eyelid MG loss area (%) (B), lower eyelid MG loss area (%) (C), are presented. The horizontal lines in the box and whisker plots represent the median values, and the bottom and top of the boxes represent the lower and upper quartiles, respectively. The x represents the mean and the bars represent the minimum and maximum values within 1.5 times the lower and upper quartiles. (\*\*P < 0.01, generalized estimating equation models). Abbreviations: MG, meibomian gland; TMH, tear meniscus height; OSDI, ocular surface disease index.

The preoperative and postoperative ocular parameters of the two groups are shown in Table 2. Among the preoperative baseline parameters, upper eyelid MG loss area was significantly greater in the eyes with cataract surgery-induced subjective DE symptoms than in the eyes without cataract surgery-induced subjective DE symptoms (P < 0.05, GEE; Table 2, Figure 2B), but the lower eyelid MG loss area was not significant (Figure 2C). Among the 1-month postoperative parameters, the decreased meibum expressibility score was significantly greater in the eyes with cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in those without cataract surgery-induced subjective DE symptoms than in the symptom symptom symptom symptom symptom symptoms that the symptom s

## Risk Factors for Cataract Surgery-Induced DE Symptoms

We performed multivariable logistic regression analyses with GEE using background parameters including age, sex, and ocular parameters at baseline and 1 month postoperatively to assess the risk factors for cataract surgery-induced subjective DE symptoms (OSDI > 0 at 1 month postoperatively).

Among the baseline preoperative and 1-month postoperative parameters, the baseline upper eyelid MG loss area (%) (odds ratio [OR] 6.72, P = 0.012) and female sex (OR 4.20, P = 0.037) were statistically significant.

# Discussion

This observational study investigated the influence of cataract surgery on the ocular surface in the early postoperative phase, specifically its impact on subjective DE symptoms in completely preoperatively symptom-free patients, by comparing the prevalence of DE before and after surgery. In previous studies, some portion of the eyes with DE before

cataract surgery healed after surgery.<sup>11</sup> Thus we included the patients without any preoperative DE symptoms (OSDI = 0) and DE treatment in order to investigate clearly the risk factors for postoperative DE induced by cataract surgery in subjectively healthy patients. The incidence of after surgery subjective DE symptom (OSDI > 0) in previously non-DE symptom patients was 21 out of 82 eyes (25.6%). It was confirmed that cataract surgery shortened BUT and increased the fluorescein ocular surface staining score. Moreover, the significant and detectable risk factors for subjective DE symptom development following cataract surgery were the preoperative upper eyelid MG loss area (%) and female sex.

Possible mechanisms of DE induction following cataract surgery have been proposed, including incisional corneal nerve damage.<sup>26</sup> The temporal Incision can disrupt the corneal nerve plexus and possibly affect the dryness of the ocular surface.<sup>27</sup> Other proposed factors were ocular surface damage owing to the toxic effects of eye drops (povidone-iodine,<sup>28</sup> topical anesthetic ophthalmic solution,<sup>29</sup> and preservatives<sup>30</sup>), repeated intraoperative ocular surface drying and irrigation,<sup>31</sup> and phototoxicity.<sup>32</sup> However, a multi-center study reported that the incision position and width, disinfection drug, anesthesia, surgery time, and preoperative Schirmer test were not significant risk factors.<sup>12</sup>

A previous study examined the MGD involvement in DE development following cataract surgery and reported lower eyelid MGD to be a prominent risk factor, with an OR of 1.145, but did not examine the upper eyelid.<sup>23</sup> This study demonstrated that the monitoring of upper eyelid meibography before cataract surgery and intervention in addition to lower eyelid meibography, when necessary, could help avoid DE symptoms induced by surgery, and that the involvement of the upper eyelid MG is stronger than the lower eyelid MG. In our study, patients without subjective DE symptoms before surgery were selected; thus, it is suggested that the potential risk for DE symptoms onset following cataract surgery should be considered with care,<sup>33</sup> and meibography preoperative examination in terms of tear film dynamics should be considered.<sup>34,35</sup> Patients with any of these risk factors, in addition to those previously reported in an epidemiological study of DE in the elderly Japanese population,<sup>36</sup> should be expected to encounter postoperative DE, and appropriate countermeasures should be considered.<sup>37</sup>

The persistence of moderate-level inflammation has been reported in MG dysfunction, such as during soft contact lens use; inflammatory cytokines, such as MMP-9, were observed.<sup>38</sup> In cataract surgery, inflammation induction is inevitable; thus, further evaluation of the lacrimal fluid, including cytokines, would be useful.

In addition, ocular rosacea is the underlying cause of some of the items, such as vascularity of the lid margin (telangiectasia),<sup>39</sup> for MG dysfunction diagnosis, defined by the international workshop on MG dysfunction.<sup>37</sup> In our study, ocular rosacea related telangiectasia and conjunctival redness in the temporal limbs increased after surgery; however, they did not serve as risk factors for after surgery subjective DE symptom.

The main limitation of the present study is the retrospective design and the small sample size. Our results need to be verified with a prospective, randomized, masked study in the future. The data here suggest that examining a large cohort of patients, with and without DE, is important to confirm the risk factors of cataract surgery-induced DE symptoms.

## Conclusions

The subjective DE symptom onset following cataract surgery was associated with the baseline upper eyelid MG loss before surgery and female sex. Clinicians should monitor these parameters before and after cataract surgery. Careful and active DE management of patients with high-risk factors following cataract surgery may be needed to prevent DE symptoms and ensure patient satisfaction.

## **Abbreviations**

BUT, break-up time; DE, dry eye; GCP, good clinical practice; MG, meibomian gland; NIBUT, non-invasive tear breakup time; OR, odds ratio; OSDI, ocular surface disease index; TMH, tear meniscus height.

# **Data Sharing Statement**

The data that support the findings of this study are available from the corresponding author H.F. upon reasonable request.

# **Ethics Approval and Informed Consent**

The study was approved by the Institutional Review Board of Kawasaki Medical School Hospital (approval number 5441-00). Informed consent was obtained from all study participants. The study adhered to the tenets of the Declaration of Helsinki and was performed according to Good Clinical Practice (GCP).

# **Consent for Publication**

Written informed consent was obtained from the patient for publication of this report and any accompanying images.

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The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. The authors thank T. Baba, T. Yamashita, and Y. Ohashi for the discussions, advice, and criticism that greatly benefitted this project.

# **Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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# Disclosure

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