

# Pretreatment Circulating Albumin, Platelet, and RDW-SD Associated with Worse Disease-Free Survival in Patients with Breast Cancer

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**Objective:** Breast cancer is the second most common malignancy globally and a leading cause of cancer death in women. Analysis of factors related to disease-free survival (DFS) has improved understanding of the disease and characteristics related to recurrence. The aim of this study was to investigate the predictors of DFS in patients with breast cancer to enable the identification of patients at high risk who may benefit from prevention interventions.

**Methods:** We retrospectively analyzed 559 women with breast cancer who underwent treatment between 2004 and 2022. The study endpoint was DFS. Recurrence was defined as local recurrence, regional recurrence, distant metastases, contralateral breast cancer, other second primary cancer, and death. Baseline tumor-related characteristics, treatment-related characteristics, sociodemographic and biochemical data were analyzed using Cox proportional hazards analysis.

**Results:** The median DFS was 45 months (range, 2 to 225 months). Breast cancer recurred in 86 patients (15.4%), of whom 10 had local recurrence, 10 had regional recurrence, 17 had contralateral breast cancer, 29 had distant metastases, 10 had second primary cancer, and 10 patients died. Multivariate forward stepwise Cox regression analysis showed that AJCC stage III, Ki67  $\geq 14\%$ , albumin, platelet, and red cell distribution width-standard deviation (RDW-SD) were predictors of worse DFS. In addition, the effects of albumin, platelet, and RDW-SD on disease recurrence were confirmed by structural equation model (SEM) analysis.

**Conclusion:** In addition to the traditional predictors of worse DFS such as AJCC stage III and Ki67  $\geq 14\%$ , lower pretreatment circulating albumin, higher pretreatment circulating platelet count and RDW-SD could significantly predict worse DFS in this study, and SEM delineated possible causal pathways and inter-relationships of albumin, platelet, and RDW-SD contributing to the disease recurrence among Chinese women with breast cancer.

**Keywords:** breast cancer, albumin, platelet, RDW-SD, disease-free survival

## Introduction

Breast cancer is a major global health problem including in Taiwan, and the leading cause of cancer-related death in women.<sup>1</sup> In Taiwan, the mean breast cancer detection rates were 4.76 and 4.08 cancers per 1000 screening mammograms in the earlier period (2004–2009) and the latter period (2010–2020), respectively. The 10-year

survival rate increased from 89.68% in the early period to 97.33% in the latter period.<sup>2</sup> In patients with newly diagnosed breast cancer, 61% have localized disease confined to the primary site, 32% have tumor spread to regional lymph nodes, and 5% have metastatic disease.<sup>3</sup> Approximately one third of all breast cancer patients suffer local recurrence within 10 years of diagnosis, and most cases occur within 5 years.<sup>4</sup> Therefore, how to evaluate and determine the prognosis or recurrence rate of breast cancer after the diagnosis and treatment is important as this may influence the treatment plan and the patient's quality of life.<sup>5</sup>

The pathology of breast cancer is heterogeneous, and the disease course is affected by factors related to the patient, socioeconomic status, molecular factors,<sup>6,7</sup> and the tumor. Specifically, the factors associated with disease progression include younger age, obesity, ethnicity, social factors, early diagnosis, histological and biological tumor characteristics, inflammatory breast cancer, and staging.<sup>8–11</sup> Furthermore, previous studies have shown that factors related to a shorter disease-free survival (DFS) include lymph node involvement, tumors larger than 2 cm, and triple negative hormone receptor status.<sup>12–14</sup> Moreover, therapeutic modalities for breast cancer may also significantly affect DFS. Bundred et al found that involved or close pathological margins after breast conserving surgery for early-stage invasive breast cancer were associated with increased distant recurrence and local recurrence.<sup>15</sup> In addition, Ma et al reported that delayed initiation of radiation therapy was associated with inferior outcomes among patients who underwent breast-conserving surgery, especially among patients in the hormone receptor-negative subgroup.<sup>16</sup> Moreover, Collin et al reported that the early discontinuation of adjuvant endocrine therapy affected breast cancer recurrence in a population-based cohort of premenopausal women diagnosed with breast cancer.<sup>17</sup> Therefore, the purpose of this study was to evaluate whether baseline risk factors such as sociodemographic, tumor-related, treatment-related, and biochemical factors were associated with worse DFS in patients with breast cancer receiving surgery. In addition, we further assessed the effects of risk factors on disease recurrence using a structural equation model (SEM) in this study. Such findings could increase the awareness of physicians with regards to the importance of regularly screening for baseline risk factors in women with breast cancer.

## Materials and Methods

### Ethics

This retrospective study was approved by the Human Research Ethics Committee of Kaohsiung E-Da Hospital (KEDH), Taiwan. The ethical approval code is No. EMRP-110-104. The approval date: 08-25-2022. All participants provided written informed consent before their data were collected.

### Study Participants

We retrospectively analyzed the medical records of 559 women with pathologically proven breast cancer who were treated at KEDH between November 2004 and August 2021. All patients underwent breast cancer surgery including breast-conserving surgery, total mastectomy, partial mastectomy, and modified radical mastectomy. If the patient had not visited KEDH in the last 6 months, they were contacted by telephone and their current status was recorded. At the same time, they were invited to return for follow-up. The women were identified in the electronic database of KEDH based on these inclusion criteria: (1) >18 years of age at the diagnosis of breast cancer, (2) breast cancer diagnosed by invasive needle biopsy, (3) complete clinical and follow-up data, and (4) ability to sign an informed consent form. The exclusion criteria were: (1) a diagnosis of metastatic disease, (2) incomplete records, and (3) inability to provide consent to participate in the study.

All of the women were followed up according to the standard protocol of the hospital at 3, 6 and 12 months, during which they received chest X-ray, breast ultrasonography, mammography, abdominal and pelvic ultrasonography (and a full body scan if needed), and physical examinations.

### Data Collection

The diagnoses, sociodemographic factors, tumor-related factors, treatment-related factors (surgery, chemotherapy, hormone therapy, or radiation), responsiveness to treatment (remission, recurrence, or metastasis), outcome (survival or death), and anthropometric-related factors were recorded from the electronic database of KEDH. There categories of

independent variables were recorded: (1) sociodemographic factors: age (<50 years and  $\geq 50$  years), smoking (grouped as non-smokers vs current and former smokers [stopped smoking for  $\geq 1$  year]), and alcohol consumption (grouped as non-drinkers vs current and former drinkers [stopped drinking for  $\geq 1$  year]); (2) tumor-related factors: tumor site (bilateral, right, and left); tumor size (<2 cm, 2–5 cm, and >5 cm), clinical tumor stage (T0-1, T2, and T3-T4), tumor grade (grades 1–3), American Joint Committee on Cancer (AJCC) stage (I–II and III), estrogen receptor (ER) and progesterone receptor (PR) (positive/negative tests were defined as  $\geq 1\%$  and <1% positive staining of tumor cells, respectively), HER2 (0, 1+, 2+ with fluorescence in situ hybridization (FISH) negative was defined as negative for HER2 protein expression; 3+, 2+ with FISH positive was defined as positive for HER2 expression); Ki67 status (<14% and  $\geq 14\%$ ), histopathology (mucinous carcinoma, invasive ductal carcinoma, invasive lobular carcinoma, or others); (3) treatment-related factors: surgery type (breast-conserving surgery, total mastectomy, or others); chemotherapy, radiotherapy, and hormone therapy; and (4) anthropometric-related factors: weight and height (to 0.1 kg and 0.1 cm, respectively); body mass index (BMI,  $\text{kg}/\text{m}^2$ ); and blood pressure (BP, measured after 5 minutes of rest).

## Laboratory Measurements

The patients' baseline biochemical variables at the primary diagnosis were retrieved from computer files. Following overnight fasting, we measured levels of serum carcinoembryonic antigen (CEA), CA153, glucose, total cholesterol, triglycerides, high-density lipoprotein cholesterol (HDL-C), low-density lipoprotein cholesterol (LDL-C), uric acid, alkaline phosphatase, albumin, and complete blood cell count as reported previously.<sup>18,19</sup> Hemoglobin A1c (HbA1c) was measured using a high-performance liquid chromatograph (Tosoh Automated Glycohemoglobin Analyzer, HLC-723G8). Serum levels of aspartate aminotransferase (AST) and alanine aminotransferase were also measured according to the Japan Society of Clinical Chemistry. The Jaffe method was used to measure serum creatinine level, and the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) equation was used to calculate the estimated glomerular filtration rate (eGFR).<sup>20</sup> Concentrations of plasma leptin were determined using a solid-phase enzyme-linked immunosorbent assay (ELISA) (Quantikine Human Leptin Immunoassay; R&D Systems, Minneapolis, MN). The dilution and standard curves were parallel. For values of 15.6–283.3 pg/mL, the intra-assay coefficients of variation ranged from 3.2–6.9%. In addition, plasma cystatin C concentrations were determined using a solid-phase ELISA (Quantikine Human Cystatin C Immunoassay; R&D Systems). The dilution and standard curves were parallel. For values of 16.2–52.6 ng/mL, the intra-assay coefficients of variation ranged from 4.6–6.6%. All measurements were made twice in a single experiment. The sarcopenia ratio = serum creatinine (mg/dl)/serum cystatin C (mg/dl).

## Study Endpoints

DFS was defined as the time from the initial breast cancer diagnosis to recurrence. In this study, recurrence was defined as local recurrence, regional recurrence, distant metastases, contralateral breast cancer, second primary cancer, and death from any cause.<sup>21,22</sup> Patients with no signs of recurrence at the end date of the study period and those who were lost to follow-up were censored.

## Follow-Up

The study endpoints were assessed during follow-up visits at 3, 6 and 12 months after discharge and then every 12 months until August 2022, during which the patients received the examinations detailed in section 2.2. The patients were also contacted by telephone to collect and confirm the sociodemographic characteristics and verify their clinical condition. If the patient could not be contacted, we referred to the medical records and also contacted the patient's mastologist. The regional death registry was also searched to identify any missing cases.

## Definitions

We defined hyperlipidemia as a LDL-cholesterol level  $\geq 130$  mg/dL, and/or a total cholesterol level  $\geq 200$  mg/dL, and/or a triglyceride level  $\geq 150$  mg/dL, and/or a HDL-cholesterol level <35/39 mg/dL in men/women, and/or receiving lipid disorder treatment in accordance with the ATP III criteria.<sup>23</sup> We defined hypertension as the use of anti-hypertensive medications or systolic/diastolic BP  $\geq 140/90$  mmHg, and diabetes mellitus (DM) as a fasting glucose level >126 mg/dL,<sup>24</sup> or the use of anti-diabetic medications.

## Statistical Analysis

All statistical analyses were conducted with JMP version 7.0 for Windows (SAS Institute). Categorical data are presented as number (%), and continuous data are presented as mean ( $\pm$ SD). Cox regression univariate analysis was performed. The outcome was defined as the time from the diagnosis to recurrence. Hazard ratios (HRs) from Cox regression analysis were used to identify predictors of the risk of breast cancer recurrence in all patients. We first analyzed the factors potentially related to breast cancer recurrence in univariate analysis, and then entered these variables into multivariate Cox regression models. The multivariate models were based on multivariate forward stepwise Cox regression analysis and included variables with a p-value  $<0.1$  in univariate analysis. The optimal cutoff point of pretreatment albumin, platelet, and red cell distribution width-standard deviation (RDW-SD) as a predictor for the disease recurrence and overall predictive discrimination of the model was assessed using area under the receiver operating characteristic (ROC) curve (AUC) analysis. The Kaplan-Meier method and Log rank test were used to compare albumin, platelet, and RDW-SD categories stratified by cutoff points. In addition, we utilized IBM SPSS AMOS version 24 (Amos Development Corporation, Meadville, PA, USA) software to construct and analyze both the path model and structural equation model (SEM). We used standard criteria including standardized root mean square residual (SRMSR)  $<0.06$ , root mean square error of approximation (RMSEA)  $<0.08$ , and comparative fit index (CFI)  $>0.90$  as indices of the statistical fit of the models to the data.<sup>25</sup> Furthermore, we used the maximum likelihood method to estimate the fit of a model. The results are presented as standardized path coefficients with their statistical significance.

## Results

From November 2004 to August 2021, 559 women were included in this study. They were followed until August 31, 2022, and the median follow-up period was 45 months (range, 2 to 225 months). The follow-up rate was 96.8% and 18 patients were lost to follow-up due to a lack of contact and outpatient follow-up records, and refusal to participate. At the end of the study, breast cancer recurrence had occurred in 86 patients (15.4%), of whom 10 had local recurrence, 10 had regional recurrence, 17 had contralateral breast cancer, 29 had distant metastases, 10 had second primary cancer, and 10 patients died. Of the 10 deaths, 6 were related to breast cancer and 4 to other reasons.

## Baseline Clinical Characteristics

The baseline clinical characteristics at the primary diagnosis in the enrolled patients by disease recurrence status are shown in Table 1. The median age of the patients was 55 (range, 24–94) years. Seventy-nine (14.1%) of the patients had DM, 30 (5.4%)

**Table 1** Descriptive Baseline Characteristics of the Study Participants by Disease Recurrence Status

Variable	Total	Disease Recurrence		p-value
		Present	Absent	
No	559	86(15.4)	473(84.6)	
Age (year)	55.6 $\pm$ 12.0	54.5 $\pm$ 12.3	55.8 $\pm$ 11.9	0.369
Body mass index (kg/m <sup>2</sup> )	25.2 $\pm$ 4.7	24.2 $\pm$ 4.5	25.3 $\pm$ 4.7	0.036
Systolic BP (mmHg)	130 $\pm$ 20	126 $\pm$ 19	130 $\pm$ 20	0.099
Diastolic BP (mmHg)	77 $\pm$ 12	74 $\pm$ 11	78 $\pm$ 12	0.024
Smoking	14(2.5)	3(3.5)	11(2.3)	0.530
Drinking	12(2.2)	1(1.2)	11(2.3)	0.491
Diabetes mellitus	79(14.1)	11(12.8)	68(14.4)	0.693
Hypertension	158(28.3)	20(23.3)	138(29.2)	0.258
Hyperlipidemia	30(5.4)	4(4.7)	26(5.5)	0.746
Age (year)				
<50	139(24.9)	23(26.7)	116(24.5)	0.661
$\geq$ 50	420(75.1)	63(73.3)	357(75.5)	

(Continued)

Table I (Continued).

Variable	Total	Disease Recurrence		p-value
		Present	Absent	
Surgery type				
Breast-conserving surgery	226(40.4)	22(25.6)	204(43.1)	0.002
Total mastectomy	231(41.3)	38(44.2)	193(40.8)	0.558
Others	102(18.3)	26(30.2)	76(16.1)	0.002
Histopathology				
Invasive ductal carcinoma	331(59.2)	51(59.3)	280(59.2)	0.985
Invasive lobular carcinoma	30(5.4)	6(7.0)	24(5.1)	0.471
Mucinous carcinoma	20(3.6)	0(0.0)	20(4.2)	0.052
Others	178(31.8)	29(33.7)	149(31.5)	0.684
Tumor site				
Bilateral	1(0.2)	0(0.0)	1(0.2)	0.670
Right	270(48.3)	42(48.8)	228(48.2)	0.914
Left	288(51.5)	44(51.2)	244(51.6)	0.943
Tumor size				
<2 cm	379(67.8)	57(66.3)	322(68.1)	0.743
2–5 cm	135(24.1)	23(26.7)	112(23.7)	0.541
>5 cm	45(8.1)	6(7.0)	39(8.3)	0.691
Clinical tumor stage				
T0-I	363(64.9)	51(59.3)	312(66.0)	0.305
T2	162(29.0)	24(27.9)	138(29.1)	0.793
T3-T4	34(6.1)	11(12.8)	23(4.9)	0.010
Tumor grade				
I	100(17.9)	8(9.3)	92(19.4)	0.099
2	304(54.4)	44(51.2)	260(55.0)	0.688
3	155(27.7)	34(39.5)	121(25.6)	0.046
AJCC stage				
I-II	440(78.7)	33(38.4)	407(86.0)	<0.0001
III	119(21.3)	53(61.6)	66(14.0)	
Ki67 status				
<14%	226(40.4)	22(25.6)	204(43.1)	0.009
≥14%	333(59.6)	64(74.4)	269(56.9)	
Estrogen receptor				
Negative	108(19.3)	25(29.1)	83(17.6)	0.023
Positive	451(80.7)	61(70.9)	390(82.4)	
Progesterone receptor				
Negative	188(33.6)	41(47.7)	147(31.1)	0.004
Positive	371(66.4)	45(52.3)	326(68.9)	
HER2				
Negative	297(53.1)	38(44.2)	259(54.8)	0.073
Positive	262(46.9)	48(55.8)	214(45.2)	
Radiotherapy				
Yes	253(45.3)	41(47.7)	212(44.8)	0.625
No	306(54.7)	45(52.3)	261(55.2)	
Chemotherapy				
Yes	271(48.5)	60(69.8)	211(44.6)	<0.0001
No	288(51.5)	26(30.2)	262(55.4)	
Hormone therapy				
Yes	365(65.3)	69(80.2)	296(62.6)	0.002
No	194(34.7)	17(19.8)	177(37.4)	

**Notes:** Data are presented as means ± SD or number (percentage). AJCC, American Joint Committee on Cancer.  
**Abbreviation:** HER2, human epithelial growth factor receptor-2.

had hyperlipidemia, and 158 (28.3%) had hypertension. The patients with disease recurrence had higher rates of other types of surgery, T3-T4 clinical tumor stage, tumor grade 3, AJCC stage III, Ki67  $\geq 14\%$ , ER-negative, PR-negative, chemotherapy, and hormone therapy, and a lower rate of breast-conserving surgery than the patients without disease recurrence. In addition, the patients with disease recurrence had a lower BMI and diastolic BP than the patients without disease recurrence (Table 1).

## Baseline Biochemical Characteristics at the Primary Diagnosis

The baseline biochemical data at the primary diagnosis of the patients are shown in Table 2. The patients with disease recurrence had a higher CEA, CA153, AST, white blood cell, neutrophil, monocyte, basophil, and platelet counts, red cell distribution width (RDW)-standard deviation (SD), and RDW-coefficient of variation (CV), and lower triglycerides, albumin, hemoglobin, and hematocrit than the patients without disease recurrence.

**Table 2** Descriptive Baseline Biochemical Data at Primary Diagnosis of the Study Participants by Disease Recurrence Status

Variable	Total	Disease Recurrence		p-value
		Present	Absent	
No	559	86(15.4)	473(84.6)	
Carcinoembryonic antigen (ng/mL)	1.9(1.3–3.2)	2.3(1.4–5.6)	1.8(1.2–2.8)	0.005
CA153 (U/mL)	9.9(7.1–16.6)	13.8(8.1–36.6)	9.7(6.9–15.0)	<0.0001
HbA1C (%)	6.8 $\pm$ 1.4	6.8 $\pm$ 1.0	6.9 $\pm$ 1.5	0.893
Fasting glucose (mg/dl)	119.8 $\pm$ 41.1	114.8 $\pm$ 23.5	120.5 $\pm$ 43.2	0.516
Total cholesterol (mg/dl)	196.3 $\pm$ 42.1	194.3 $\pm$ 41.6	196.6 $\pm$ 42.3	0.790
Triglycerides (mg/dl)	115.0(75.0–175.3)	106.0(77.0–201.5)	118.0(74.0–175.0)	0.039
HDL-cholesterol (mg/dl)	61.1 $\pm$ 15.7	57.0 $\pm$ 16.4	61.6 $\pm$ 15.5	0.172
LDL-cholesterol (mg/dl)	111.0 $\pm$ 38.7	106.7 $\pm$ 35.8	111.5 $\pm$ 39.2	0.565
Uric acid (mg/dl)	5.5 $\pm$ 1.4	6.0 $\pm$ 1.6	5.4 $\pm$ 1.3	0.170
Aspartate aminotransferase (U/L)	22.0(19.0–29.0)	23.0(18.0–31.5)	22.0(19.0–29.0)	0.003
Alanine aminotransferase (U/L)	19.0(14.0–27.0)	18.0(13.0–32.0)	19.0(14.5–27.0)	0.276
Alkaline phosphatase (U/L)	214.0(171.0–269.5)	217.0(167.0–302.0)	214.0(171.0–267.0)	0.105
Creatinine (mg/dl)	0.9 $\pm$ 0.6	0.9 $\pm$ 0.4	0.9 $\pm$ 0.7	0.627
eGFR (mL/min/1.73m <sup>2</sup> )	114.0 $\pm$ 39.0	110.7 $\pm$ 43.7	114.6 $\pm$ 38.1	0.397
Albumin (g/dl)	4.3 $\pm$ 0.6	3.9 $\pm$ 0.6	4.4 $\pm$ 0.6	0.0001
White blood cell (10 <sup>9</sup> /l)	6.600(5.330–7.970)	6.630(5.330–7.920)	6.510(5.300–8.463)	0.013
Neutrophil count (10 <sup>9</sup> /l)	4090(3189–5367)	4542(3298–6117)	3977(3173–5219)	0.001
Monocyte count (10 <sup>9</sup> /l)	351(289–468)	359(289–508)	349(289–457)	0.017
Lymphocyte count (10 <sup>9</sup> /l)	1771(1352–2185)	1642(1110–2027)	1788(1418–2210)	0.063
Eosinophil count (10 <sup>9</sup> /l)	91.0(48.8–156.4)	63.0(31.7–128.1)	97.8(50.1–161.8)	0.385
Basophil count (10 <sup>9</sup> /l)	32.6(20.5–51.7)	33.1(20.5–51.7)	31.4(19.5–51.9)	0.025
Red blood cell (10 <sup>6</sup> / $\mu$ L)	4.41 $\pm$ 0.53	4.36 $\pm$ 0.63	4.42 $\pm$ 0.51	0.383
Hemoglobin (g/dl)	12.6 $\pm$ 1.6	12.2 $\pm$ 1.7	12.7 $\pm$ 1.6	0.010
Hematocrit (%)	38.3 $\pm$ 4.4	37.4 $\pm$ 4.4	38.5 $\pm$ 4.4	0.030
Mean corpuscular volume (fL)	87.4 $\pm$ 8.2	86.5 $\pm$ 9.3	87.6 $\pm$ 8.0	0.228
MCH (pg/cell)	28.8 $\pm$ 3.4	28.3 $\pm$ 3.9	28.9 $\pm$ 3.3	0.126
MCHC (g/dL)	32.9 $\pm$ 1.4	32.6 $\pm$ 1.6	32.9 $\pm$ 1.4	0.068
Platelet (10 <sup>3</sup> / $\mu$ L)	254.0(211.0–306.5)	275.5(225.0–333.3)	249.0(210.0–299.0)	0.0003
RDW-SD (fL)	42.7 $\pm$ 4.7	44.0 $\pm$ 6.1	42.5 $\pm$ 4.4	0.013
RDW-CV (%)	13.7 $\pm$ 2.3	14.2 $\pm$ 2.4	13.6 $\pm$ 2.3	0.035
Leptin (ng/mL)	11.2(7.9–16.0)	9.9(7.4–13.1)	11.4(7.9–16.1)	0.796
Sarcopenia ratio	8.2 $\pm$ 2.4	8.9 $\pm$ 3.3	8.1 $\pm$ 2.3	0.132

**Note:** Data are means  $\pm$  SD.

**Abbreviations:** HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; eGFR, estimated glomerular filtration rate; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular- hemoglobin concentration; RDW, red cell distribution width; SD, standard deviation; CV, coefficient of variation.

## Associations of the Baseline Clinical and Biochemical Variables at the Primary Diagnosis with the Risk of Disease Recurrence

Univariate Cox regression analysis showed that baseline age, BMI, surgery type, T3-T4 clinical tumor stage, tumor grade 3, AJCC stage III, Ki67  $\geq 14\%$ , ER-negative, PR-negative, chemotherapy, CEA, CA153, AST, eGFR, albumin, white blood cell, neutrophil, monocyte and platelet counts, hemoglobin, RDW-SD, and RDW-CV were associated with disease recurrence (Tables 3). Multivariate Cox regression analysis showed that AJCC stage III [HR 4.84 (2.88–8.22),  $p < 0.0001$ ], Ki67  $\geq 14\%$  [HR 2.23 (1.23–4.33),  $p = 0.007$ ], albumin [HR 0.23 (0.13–0.45),  $p < 0.0001$ ], platelet count [HR 1.00 (1.00–1.01),  $p = 0.037$ ], and RDW-SD [HR 1.10 (1.00–1.18),  $p = 0.041$ ] were independently associated with disease recurrence (Table 4).

**Table 3** Cox Proportional Hazard Model of Baseline Clinical Risk Factors for Breast Cancer Recurrence in the Whole Cohort

Baseline Data	Univariate Analysis HR (95% CI)	p-value	Multivariate Analysis* HR (95% CI)	p-value
Age	0.98 (0.99–1.02)	0.023		
Body mass index	0.95 (0.99–1.05)	0.036		
Smoking	1.58 (0.39–4.23)	0.467		
Drinking	0.51 (0.03–2.29)	0.452		
Diabetes mellitus	0.89 (0.45–1.60)	0.715		
Hypertension	0.74 (0.44–1.20)	0.229		
Hyperlipidemia	0.70 (0.21–1.67)	0.453		
Surgery type				
Total mastectomy	Reference			
Breast-conserving surgery	0.50 (0.30–0.80)	0.003		
Others	2.68 (1.65–4.25)	0.0001		
Histopathology				
Invasive ductal carcinoma	Reference			
Invasive lobular carcinoma	1.20 (0.47–2.53)	0.678		
Others	1.47 (0.93–2.29)	0.099		
Tumor site				
Right	Reference			
Left	0.87 (0.57–1.33)	0.525		
Tumor size				
<2 cm	Reference			
2–5 cm	1.27 (0.77–2.02)	0.333		
>5 cm	1.30 (0.50–2.76)	0.550		
Clinical tumor stage				
T0-I	Reference			
T2	0.99 (0.58–1.64)	0.984		
T3-T4	2.55 (1.17–4.91)	0.021		
Tumor grade				
1	Reference			
2	1.02 (0.64–1.59)	0.937		
3	2.18 (1.30–3.51)	0.004		
AJCC stage				
I-II	Reference			
III	6.31 (3.91–10.34)	<0.0001	4.84 (2.88–8.22)	<0.0001
Ki67 status				
<14%	Reference			
$\geq 14\%$	2.34 (1.36–4.24)	0.002	2.23 (1.23–4.33)	0.007
Estrogen receptor				
Positive	Reference			
Negative	1.76 (1.08–2.79)	0.025		

(Continued)

**Table 3** (Continued).

Baseline Data	Univariate Analysis HR (95% CI)	p-value	Multivariate Analysis* HR (95% CI)	p-value
Progesterone receptor				
Positive	Reference			
Negative	1.91 (1.24–2.93)	0.003		
HER2				
Negative	Reference			
Positive	1.24 (0.80–1.94)	0.329		
Radiotherapy				
No	Reference			
Yes	0.85 (0.55–1.30)	0.451		
Chemotherapy				
No	Reference			
Yes	1.89 (1.20–3.05)	0.005		
Hormone therapy				
No	Reference			
Yes	1.51 (0.91–2.66)	0.117		

**Notes:** \*The model was included variables with a p-value <0.1 in univariate analysis.

**Abbreviation:** HR, Hazard ratio.

**Table 4** Cox Proportional Hazard Model of Baseline Biochemical Risk Factors for Breast Cancer Recurrence in the Whole Cohort

Baseline Data	Univariate Analysis HR (95% CI)	p-value	Multivariate Analysis* HR (95% CI)	p-value
Carcinoembryonic antigen	1.00 (1.00–1.00)	0.016		
CA153	1.00 (1.00–1.00)	0.006		
HbA1C	0.97 (0.50–1.54)	0.922		
Fasting glucose	1.00 (0.98–1.01)	0.470		
Total cholesterol	1.00 (0.99–1.01)	0.638		
Triglycerides	1.00 (0.99–1.00)	0.277		
HDL-cholesterol	0.99 (0.96–1.01)	0.359		
LDL-cholesterol	1.00 (0.99–1.01)	0.506		
Uric acid	1.45 (0.92–2.18)	0.106		
Aspartate aminotransferase	1.01 (1.00–1.02)	0.039		
Alanine aminotransferase	1.00 (0.99–1.01)	0.497		
Alkaline phosphatase	1.00 (0.99–1.00)	0.195		
Creatinine	0.92 (0.46–1.24)	0.698		
eGFR	1.01 (1.00–1.01)	0.047		
Albumin	0.17 (0.10–0.32)	<0.0001	0.23 (0.13–0.45)	<0.0001
White blood cell	1.10 (1.05–1.15)	0.001		
Neutrophil count	1.00 (1.00–1.00)	0.0003		
Monocyte count	1.00 (1.00–1.00)	0.044		
Lymphocyte count	0.99 (0.99–1.00)	0.155		
Eosinophil count	1.00 (0.99–1.00)	0.084		
Basophil count	1.00 (0.99–1.00)	0.093		
Red blood cell	0.88 (0.58–1.33)	0.551		
Hemoglobin	0.87 (0.77–0.99)	0.030		
Hematocrit	0.96 (0.92–1.01)	0.083		
Mean corpuscular volume	0.99 (0.96–1.01)	0.269		

(Continued)

**Table 4** (Continued).

Baseline Data	Univariate Analysis HR (95% CI)	p-value	Multivariate Analysis* HR (95% CI)	p-value
MCH	0.96 (0.91–1.02)	0.159		
MCHC	0.88 (0.77–1.02)	0.083		
Platelet	1.01 (1.00–1.01)	0.0003	1.00 (1.00–1.01)	0.037
RDW-SD	1.06 (1.01–1.09)	0.017	1.10 (1.00–1.18)	0.041
RDW-CV	1.09 (1.01–1.15)	0.030		
Leptin	0.78 (0.39–1.50)	0.462		
Sarcopenia ratio	1.07 (0.91–1.21)	0.394		

**Note:** \*The model was included variables with a p-value <0.1 in univariate analysis.

**Abbreviations:** HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; eGFR, estimated glomerular filtration rate; MCH, mean corpuscular hemoglobin; MCHC, mean corpuscular-hemoglobin concentration; RDW, red cell distribution width; SD, standard deviation; CV, coefficient of variation.

## AUC Analysis

ROC curve analysis to detect the risk of disease recurrence revealed that the area under the curve (AUC) for pretreatment albumin was 0.703 (95% CI: 0.03–0.26,  $p < 0.0001$ ). An albumin cutoff point of 4.1 g/dl was associated with the risk of disease recurrence, with a sensitivity of 55.9% and specificity of 24.5% (Figure 1A). Furthermore, the AUC for pretreatment platelet count was 0.597 (95% CI: 1.00–1.01,  $p = 0.001$ ) to predict the risk of disease recurrence. A platelet cutoff point of  $253 \times 10^3/\mu\text{L}$  was associated with the risk of disease recurrence, with a sensitivity of 65.5% and specificity of 48.1% (Figure 1B). Moreover, the AUC for pretreatment RDW-SD count was 0.583 (95% CI: 1.01–1.12,  $p = 0.019$ ) to predict the risk of disease recurrence. A RDW-SD cutoff point of 44 fL was associated with the risk of disease recurrence, with a sensitivity of 36.6% and specificity of 21.7% (Figure 1C).

## Pretreatment Circulating Albumin, Platelet, and RDW-SD Were Associated with DFS

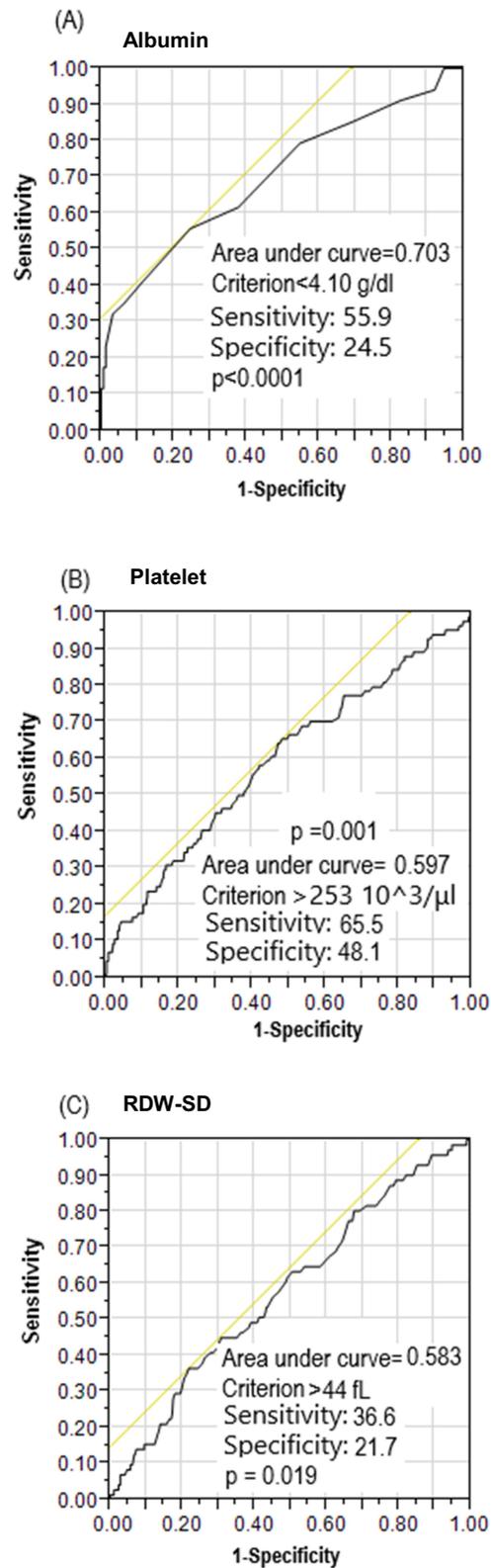
Kaplan-Meier analysis showed that albumin  $< 4.1$  g/dl ( $p = 0.002$ ; Figure 2A), platelet  $> 253 \times 10^3/\mu\text{L}$  ( $p = 0.002$ ; Figure 2B), RDW-SD  $> 44$  fL ( $p = 0.034$ ; Figure 2C) were significantly predict worse DFS in patients with breast cancer.

## Effects of Pretreatment Circulating Albumin, Platelet, and RDW-SD on Disease Recurrence

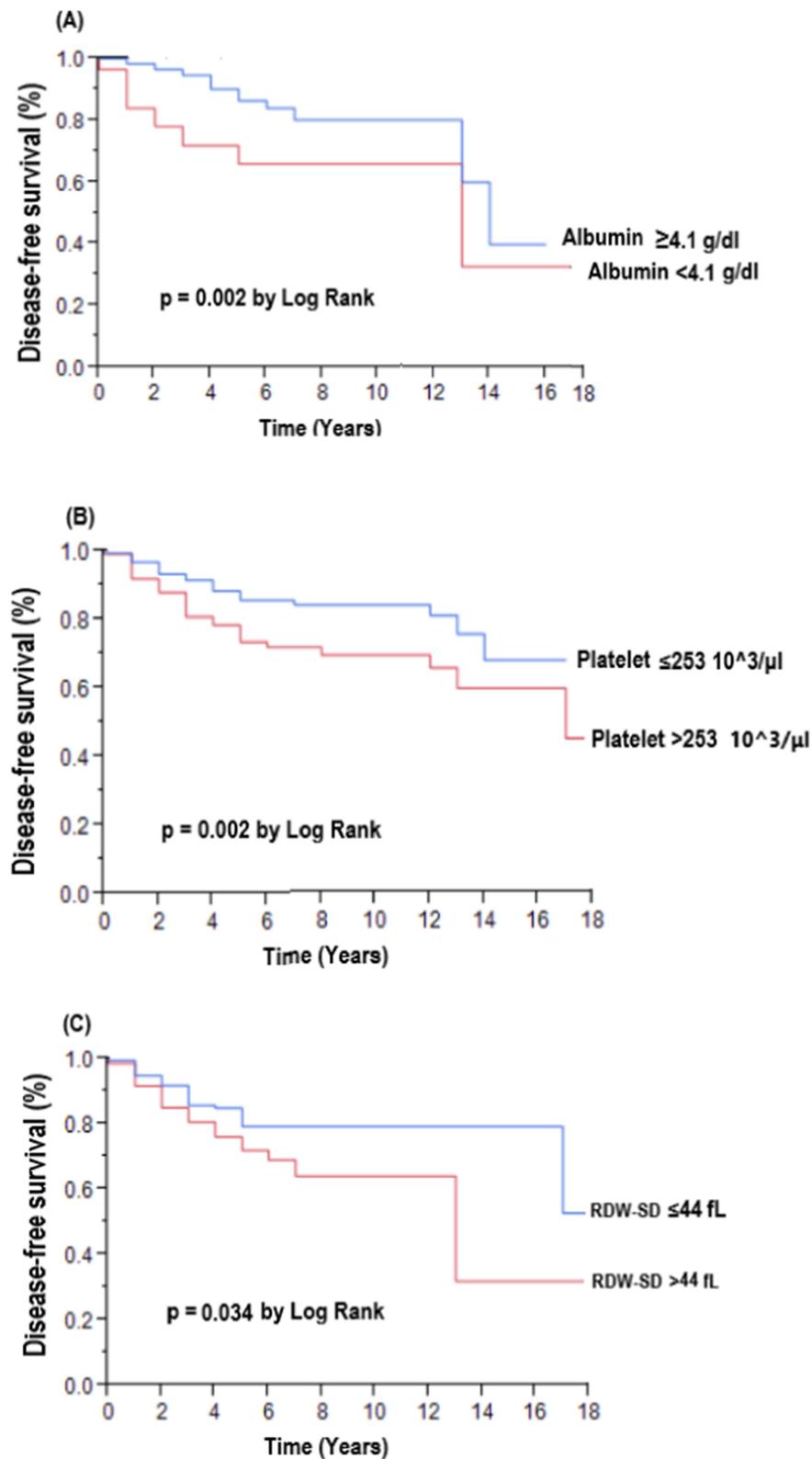
As with the Cox proportional hazard model described above (Table 4), we designed a SEM model to assess the effects of pretreatment circulating albumin, platelet, and RDW-SD on disease recurrence. The estimated model proved that the model fits well with a CFI of 1.000, an RMSEA of 0.000, and an SRMSR of 0.049 (Figure 3). AJCC stage III, platelet, RDW-SD, WBC count, and smoking had statistically significant positive direct effects on disease recurrence. Furthermore, albumin had statistically significant negative direct effect on disease recurrence. Moreover, AST ( $\beta = -0.202$ ) indirectly affected disease recurrence through albumin. Albumin indirectly affected disease recurrence through platelet ( $\beta = -0.184$ ) and WBC count ( $\beta = -0.145$ ). RDW-SD ( $\beta = 0.145$ ) indirectly affected disease recurrence through AJCC stage III. Smoking ( $\beta = 0.198$ ) indirectly affected disease recurrence through RDW-SD. The model explained 23% of the variability in disease recurrence (Figure 3).

## Discussion

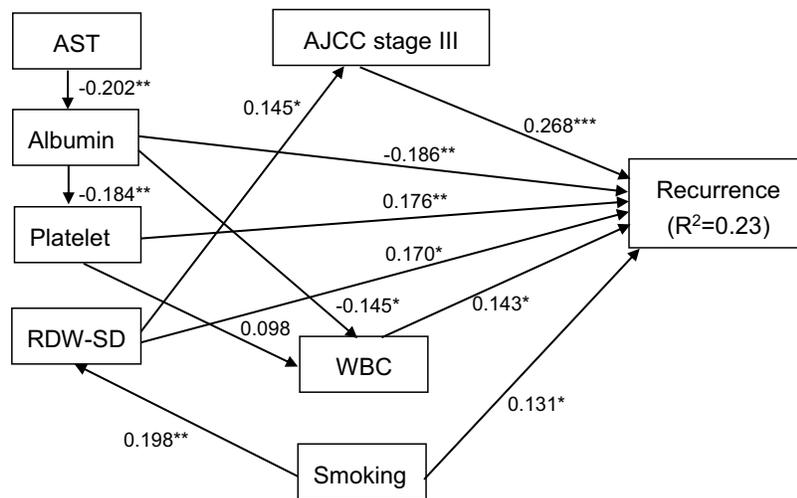
The current study investigated whether baseline risk factors were associated with worse DFS in patients with breast cancer receiving surgery. There are three main findings in this study. First, multivariate forward stepwise Cox regression analysis showed that AJCC stage III, Ki67  $\geq 14\%$ , albumin, platelet, and RDW-SD were predictors of worse DFS. Second, albumin  $< 4.1$  g/dl, platelet count  $> 253 \times 10^3/\mu\text{L}$ , and RDW-SD  $> 44$  fL were independent predictors of DFS. Third, the causal relationship of albumin, platelet, and RDW-SD on disease recurrence was confirmed by SEM analysis.



**Figure 1** Receiver operating characteristic (ROC) curves of albumin, platelet, and RDW-SD to detect the risk of disease recurrence. A threshold value of <4.1 g/dl was associated with the risk of disease recurrence with a sensitivity of 55.9% and specificity of 24.5% for albumin (A). A threshold value of >253 10<sup>3</sup>/μL was associated with the risk of disease recurrence with a sensitivity of 65.5% and specificity of 48.1% for platelet (B). A threshold value of >44 fL was associated with the risk of disease recurrence with a sensitivity of 36.6% and specificity of 21.7% for red cell distribution width-standard deviation (RDW-SD) (C).



**Figure 2** Comparison of disease-free survival between albumin  $< 4.1$  g/dl group and albumin  $\geq 4.1$  g/dl group (A), platelet  $> 253 \times 10^3/\mu\text{L}$  group and platelet  $\leq 253 \times 10^3/\mu\text{L}$  group (B), and red cell distribution width-standard deviation (RDW-SD)  $> 44$  fL group and RDW-SD  $\leq 44$  fL group (C) in patients with breast cancer.



**Figure 3** Structural equation model for disease recurrence in patients with breast cancer. Comparative fit index (CFI), 1.000; goodness of fit index (GFI), 0.980; root mean square error of approximation (RMSEA), 0.000; standardized root mean square residual (SRMR), 0.049. \* $p < 0.05$ , \*\* $p < 0.01$ , and \*\*\* $p < 0.001$ . Path loadings are standardized coefficients. Abbreviations: AST, aspartate aminotransferase; WBC, white blood cell; RDW-SD, red cell distribution width-standard deviation; AJCC, American Joint Committee on Cancer.

First, of the 559 women included in this study, the DFS was 15.4% after a median follow-up period of 45 months (interquartile range: 2–225 months). Similar to a previous study,<sup>26</sup> 166 patients (15.0%) developed breast cancer recurrence during the monitoring period. With regards to the biochemical risk factors after multivariate Cox regression analysis, the level of albumin, platelet count and RDW-SD were associated with breast cancer recurrence (Table 4). Albumin, a crucial biomarker for assessing nutritional status, has been found to be associated with the progression of advanced cancer. Various mechanisms contribute to elucidating the adverse prognostic impact of low albumin levels in patients with advanced cancer. A previous study demonstrated that within the tumor microenvironment, albumin is rapidly absorbed by the tumor to counteract the relative shortage of amino acids. This adaptive process allows the tumor to meet the heightened metabolic demands associated with rapid proliferation, ultimately resulting in a decrease in serum albumin levels.<sup>27</sup> Sarett et al suggested that tissue damage and inflammation expedite the process of catabolism, thereby reducing the levels of albumin in the plasma.<sup>28</sup> Furthermore, in previous studies on breast cancer, it has been demonstrated that albumin modulates the activation of autocrine growth regulatory factors in breast cancer cell lines, influencing cell proliferation.<sup>29</sup> Baseline serum albumin level has also been shown to be a powerful prognostic factor for breast cancer survival.<sup>30</sup> In the present study, we further showed that the pretreatment circulating albumin level in breast cancer patients was associated with disease recurrence.

Platelets, crucial for blood clotting, also play a role in angiogenesis (the formation of new blood vessels) and metastasis. Thrombocytosis and increased variation in red blood cells size are always found in patients with inflammation-related diseases.<sup>31,32</sup> An elevated platelet count may indicate increased angiogenesis and the potential for cancer cells to spread to other parts of the body.<sup>33</sup> Furthermore, platelets can interact with tumor cells, promoting their survival and dissemination, a dynamic that may contribute to the recurrence of cancer.<sup>34</sup> RDW-SD serves as a measure of variability in the size of red blood cells. Elevated RDW may be associated with inflammation,<sup>35</sup> poor nutritional status,<sup>36</sup> and oxidative stress.<sup>37</sup> Chronic inflammation in cancer can contribute to tumor progression and recurrence. Moreover, changes in the distribution of red blood cells may signify alterations in the tumor microenvironment, which plays a crucial role in supporting cancer cell survival and growth.<sup>38</sup> While these are general considerations, the specific biological mechanisms can vary depending on the context of breast cancer recurrence. Further research should be conducted specifically for breast cancer, considering factors such as hormone receptor status, molecular subtypes, and other individual patient characteristics.

Secondly, in our report, it is noteworthy that despite the normal range values of albumin, platelet count, and RDW-SD levels in our patients (Table 2), we observed associations with disease recurrence at cutoff points of 4.1 g/dL for albumin,

253  $10^3/\mu\text{L}$  for platelet count, and 44 fL for RDW-SD, as illustrated in Figures 1 and 2. Taken together, these findings indicate that although the clinical staging and appearance of the patient may be similar, the microenvironment of the body is already different in patients with a higher risk of future disease recurrence.

Third, the present study is the first of its kind to explore the causal relationship of albumin, platelet, and RDW-SD on disease recurrence in patients with breast cancer to date. However, the exact mechanism underlying the association among albumin, platelet, and RDW-SD and disease recurrence was not yet fully clarified. Our SEM analysis revealed that there were significant positive direct effects from AJCC stage III, platelet, RDW-SD, WBC count, and smoking on disease recurrence. Furthermore, albumin had statistically significant negative direct effect on disease recurrence. Besides, AST indirectly affected disease recurrence through albumin. Albumin indirectly affected disease recurrence through platelet and WBC count. RDW-SD indirectly affected disease recurrence through AJCC stage III. Smoking indirectly affected disease recurrence through RDW-SD. Previous studies demonstrated associations between albumin,<sup>29</sup> platelet,<sup>39</sup> RDW-SD,<sup>40</sup> WBC count,<sup>41</sup> and smoking<sup>42</sup> and disease recurrence. Furthermore, Steinberg et al showed that baseline albumin and AST were significant determinants of survival.<sup>43</sup> Similar phenomenon has found in the previous study<sup>44</sup> that the AST levels were inversely to the serum albumin level ( $r = -0.418$ ). AST response was greater in patients with a serum albumin of  $<3.5$  g/dl ( $p < 0.001$ ), and suggested that in patients with hypoalbuminemia of  $<3.5$  g/dl can be considered close monitoring the AST levels.<sup>44</sup> Moreover, in some clinical studies found that higher preoperative platelet count and lower albumin level, and platelet-to-albumin ratio were correlated with cancer progression.<sup>45,46</sup> Notably, serum albumin also is considered an indicator of a patient's nutritional and inflammatory status.<sup>47</sup> In addition, Kurtoğlu et al showed that elevated RDW found in smoker and may be a useful indicator of inflammatory activity in smokers.<sup>48</sup> Hence, it is reasonable to suggest that albumin, platelet, and RDW-SD may be involved in common pathways contributing to disease recurrence in patients with breast cancer.

A higher stage of cancer is associated with a more advanced tumor grade, and unfavorable receptor expression status is associated with a poor prognosis and higher recurrence rate of breast cancer.<sup>49,50</sup> Our results also indicate that a higher clinical stage, higher tumor grade, excess Ki67 expression and ER-negative and PR-negative status were related to higher disease recurrence (Table 1). In addition, with regards to the type of therapy, we found that the patients who received chemotherapy and other types of surgery had a higher disease recurrence rate. This result could not simply be explained by the stage of breast cancer, and it reflects the complexity of planning breast cancer treatment.<sup>49</sup> In the present study, we found patients receiving hormone therapy are shown to have poor DFS (Table 1). Several factors may influence the outcomes of patients undergoing hormone therapy for breast cancer, potentially leading to inferior DFS results. These factors include: (a) resistant tumors;<sup>51</sup> (b) de novo and acquired resistance;<sup>52</sup> (c) genetic factors;<sup>53</sup> (d) incomplete adherence to treatment;<sup>54</sup> (e) tumor heterogeneity;<sup>55</sup> (f) adverse events and side effects;<sup>56</sup> (g) new genetic mutations or pathways.<sup>57</sup> However, the initial observation of poor DFS in patients receiving hormone therapy disappeared in both univariate and multivariate Cox regression analyses (Table 3). Moreover, we also found that the patients who had lower BMI and diastolic BP had higher diseases recurrence. This could be because the patients who had more invasive disease status had a weaker health status.<sup>58</sup> Considering the actual level of BMI and diastolic BP levels in these patients, we found that there was only very small difference between these two groups. This suggests that although there was no significant change in the patients' clinical appearance, underlying progression of the disease may have already occurred and could be detected by careful observation. This phenomenon was further shown when we analyzed the biochemical data of the patients.

Inflammation has been shown to affect the development of cancer and to be involved in all stages of tumorigenesis. Therefore, the white blood cell count and differential white cell count, especially neutrophil, basophil and monocyte counts, are relatively higher in patients with recurrence.<sup>59–62</sup> Similarly, in this study, we also found that hemoglobin level and red blood cell indices were related to disease recurrence. This is consistent with a previous study which showed that the expressions of several anemia-related proteins such ferritin, hepcidin and ferroportin were deregulated in breast cancer cells and that they had a prognostic impact in breast cancer patient; however, further studies are needed to clarify the underlying circumstances.<sup>63</sup> In addition, albumin and triglycerides, which may represent the patient's health status, where are relatively low in the patients with recurrence in the present study. This is also consistent with previous reports; however, the results are unusual and may be due to differences in the study subjects and design.<sup>64–66</sup> A previous study

found that pathologic stage, especially if higher than stage II, was significantly associated with disease recurrence.<sup>67</sup> In addition, Ki67 is a cell-cycle regulated protein in breast cancer cells. A tumor with a high Ki67 expression has larger number of proliferating cells, and the overexpression of immunohistochemical staining for Ki67 in breast cancer cells has been shown to be a predictor of a poor prognosis.<sup>68–70</sup> Therefore, it is not surprising that an advanced stage of disease and the overexpression of Ki67 were associated with disease recurrence in the present study (Table 3).

Finally, in the present study, we found that ER and PR expression were not associated with disease recurrence, even though ER-negative and PR-negative status were found to be associated with disease recurrence before multivariate Cox regression analysis (Table 3). This could be because hormone therapy is not the only treatment for breast cancer, and the latest PI3K inhibitors, Janus Kinase-signal transducer and activator of transcription (JAK-STAT) inhibitors, and HER-2 target therapy also have important roles. PI3K inhibitors, on the other hand, target the PI3K pathway, which plays a crucial role in cell growth, survival, and metabolism. Aberrations in this pathway are common in various cancers, including breast cancer. Clinical trials have been conducted to assess the efficacy of PI3K inhibitors in breast cancer treatment, especially in cases with mutations in the PIK3CA gene.<sup>71</sup> Alpelisib is an example of a PI3K inhibitor that has gained approval for use in combination with fulvestrant for the treatment of HR+, HER2-, PIK3CA-mutant advanced breast cancer after CDK4/6i treatment.<sup>72</sup> The JAK-STAT signaling pathway is involved in the regulation of cell growth, differentiation, and immune responses. Dysregulation of this pathway has been associated with various cancers, including breast cancer. A recent study has shown that JAK-STAT inhibitors are being investigated as potential treatments for breast cancer.<sup>73</sup> Additionally, HER-2 targeted therapy has marked a significant advancement in the treatment of HER-2 positive breast cancer.<sup>74</sup> Drugs such as trastuzumab, pertuzumab, and ado-trastuzumab emtansine have been employed to target and inhibit the HER-2 protein, which is overexpressed in some breast cancers. These drugs have demonstrated efficacy in improving outcomes for patients with HER-2 positive breast cancer.<sup>75</sup> Consequently, the introduction of novel breast cancer treatments may reduce the importance of ER and PR expression in breast cancer recurrence.<sup>76,77</sup>

While our study contributes valuable insights into the disease recurrence among Chinese women with breast cancer, it is imperative to acknowledge certain limitations that may impact the generalizability of our findings. First, the retrospective nature of our study design introduces potential recall bias. Second, the reliance on a single-center approach may limit the broader applicability of our results. Third, the modest sample size warrants caution in extrapolating our findings to the entire population. In light of these limitations, there is a compelling need for broader studies that encompass diverse populations and healthcare settings. Collaborative efforts involving multiple centers and a more extensive participant pool would facilitate a more nuanced understanding of the factors influencing disease recurrence among patients with breast cancer. Such studies are essential for establishing a solid foundation for generalizability and informing clinical practice on a wider scale. In addition, despite our diligent efforts to conduct a thorough study, it is important to acknowledge a potential limitation in the duration of our follow-up, which spanned 45 months. While this timeframe allowed us to observe and analyze a significant portion of the study population, it may not fully capture late recurrence cases. Recognizing the importance of long-term studies in providing a comprehensive understanding of disease trajectories, we acknowledge that our findings may be limited in assessing the complete spectrum of recurrence patterns. Future research with extended follow-up periods is warranted to further elucidate the long-term dynamics of the disease and refine our understanding of its progression over time.

## Conclusions

In addition to the traditional predictors of worse DFS such as AJCC stage III and Ki67  $\geq 14\%$ , lower pretreatment circulating albumin level, higher pretreatment circulating platelet count and RDW-SD level could significantly predict worse DFS in patients with breast cancer in this study. In addition, SEM delineated inter-relationships of albumin, platelet, and RDW-SD and the potential pathways that may contribute to the development of disease recurrence among Chinese women with breast cancer.

## Data Sharing Statement

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

## Ethics Approval and Consent to Participate

This study was approved by the Human Research Ethics Committee of Kaohsiung E-Da Hospital (KEDH), Taiwan and complied with the tenets of the Declaration of Helsinki. The ethical approval code is No. EMRP-110-104. The approval date: 08-25-2022. Each patient provided written informed consent before being enrolled into the study.

## Consent for Publication

All authors approved the version submitted for publication.

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We appreciated for all participants enrolled in the present study.

## Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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## Disclosure

The authors have declared that no competing interest exists.

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