

# Investigation of Monogenic Diabetes Genes in Thai Children with Autoantibody Negative Diabetes Requiring Insulin

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**Purpose:** The objective of this study was to clarify the phenotypic characteristics of monogenic diabetes abnormalities in Thai children with autoantibody-negative insulin.

**Patients and Methods:** Two hundred and thirty-one Thai type 1 diabetes (T1D) patients out of 300 participants with recent-onset diabetes were analyzed for GAD65 and IA2 pancreatic autoantibodies. A total of 30 individuals with T1D patients with negative autoantibody were screened for 32 monogenic diabetes genes by whole-exome sequencing (WES).

**Results:** All participants were ten men and twenty women. The median age to onset of diabetes was 8 years and 3 months. A total of 20 people with monogenic diabetes carried genes related to monogenic diabetes. The *PAX4* (rs2233580) in ten patients with monogenic diabetes was found. Seven variants of *WFS1* (Val412Ala, Glu737Lys, Gly576Ser, Cys673Tyr, Arg456His, Lys424Glu, and Gly736fs) were investigated in patients in this study. Furthermore, the pathogenic variant, rs115099192 (Pro407Gln) in the *GATA4* gene was found. Most patients who carried *PAX4* (c.575G>A, rs2233580) did not have a history of DKA. The pathogenic variant *GATA4* variant (c.1220C>A, rs115099192) was found in a patient with a history of DKA.

**Conclusion:** This study demonstrated significant genetic overlap between autoantibody-negative diabetes and monogenic diabetes using WES. All candidate variants were considered disease risk with clinically significant variants. WES screening was the first implemented to diagnose monogenic diabetes in Thai children, and fourteen novel variants were identified in this study and need to be investigated in the future.

**Keywords:** monogenic diabetes, whole-exome sequencing, autoantibody

## Introduction

Diabetes is a condition in which the body cannot make enough insulin or cannot use insulin normally. Type 1 diabetes (T1D) is subclassified as type 1A associated with autoantibodies to pancreatic beta cells and type 1B which occurs independently.<sup>1</sup> Type 1A diabetes is characterized by chronic immune-mediated destruction of pancreatic beta cells, leading to partial or in most cases absolute insulin deficiency. Currently, the islet autoantibody testing of glutamic acid decarboxylase (GAD), the tyrosine phosphatase-like insulinoma antigen 2 (IA2), insulin autoantibodies (IAA), and/or zinc transporter 8 (ZnT8) are used to diagnose type 1A diabetes. More than 90% of patients with early fasting hyperglycemia can have these autoantibodies detected.<sup>2</sup> The prevalence of T1D in Thai was 62.6% of patients with diabetes onset before 30 years of age.<sup>3</sup> The possibility of other types of diabetes should be considered clinically relevant in a child who does not have autoantibodies.

Monogenic forms of diabetes are caused by mutations in a single gene and account for 1% to 6% of pediatric diabetes patients.<sup>4</sup> Maturity-onset diabetes of the young (MODY) is the most common type of monogenic diabetes and can be

caused by a mutation in 15 genes.<sup>5</sup> Monogenic diabetes also includes transient or permanent neonatal forms occurring under 6 months of age. More than 20 genes are known to be related to congenital neonatal diabetes.<sup>4</sup> Clinically, monogenic diabetes may be difficult to classify as type 1 and type 2 diabetes, so it can be misdiagnosed and treated incorrectly. The previous study of known MODY genes was found in Thai patients, including *HNF1A*<sup>6</sup> (R203C) and *PAX4*<sup>7</sup> (R192H). A molecular diagnosis of monogenic diabetes is essential for optimal treatment, prognosis, and genetic counseling. We used targeted whole-exome sequencing (WES) to detect genetic variants causative of monogenic diabetes. However, many cases of monogenic diabetes remain underestimated due to the lack of genetic information. In this study, we aimed to identify the spectrum of genetic variants associated with monogenic genes related to diabetes and possibly identify novel variants related to autoantibody-negative insulin-requiring diabetes mellitus.

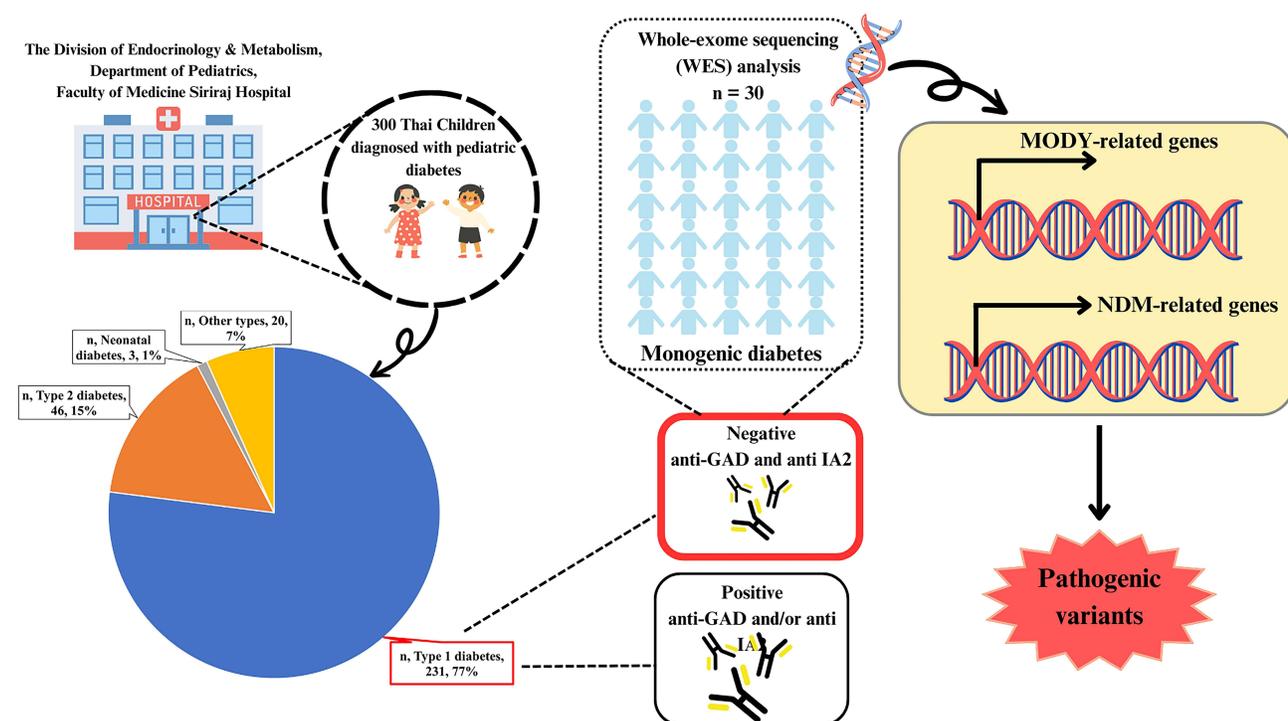
## Materials and Methods

### Subjects and Study Design

A total of 300 participants diagnosed with diabetes mellitus were investigated in the project “Pediatric diabetes registry and a study on etiology, glycemic control, and complication in children and adolescents with diabetes” according to the criteria of the International Society for Pediatric and Adolescent Diabetes (ISPAD). This study was conducted at the Division of Endocrinology & Metabolism, Department of Pediatrics, Faculty of Medicine Siriraj Hospital, Thailand, during 2016–2018. Subjects were categorized into 4 groups including type 1 diabetes (T1D), type 2 diabetes (T2D), neonatal diabetes mellitus (NDM), and other types of diabetes mellitus (Figure 1). They underwent a thorough clinical examination and their medical records were retrospectively reviewed from their electronic and written medical records. Islet beta-cell autoantibodies (anti-GAD and IA-2) were determined.

Participants who met the following criteria (i) diagnosed with diabetes according to the 2018 American Diabetes Association (ADA),<sup>8</sup> (ii) diagnosed with diabetes mellitus before the age of 18 years, (iii) showed negative results for both GAD and IA-2 antibodies at the beginning of diabetes and (iv) required insulin therapy were enrolled in this study.

The control group included 16 individuals aged 49 to 56 and normal fasting glucose was tested. They had an annual check-up at Siriraj Hospital (Supplemental Table 1).



**Figure 1** Classification of diabetes in Thai children.

## Ethics Statement

All parents of subjects were informed of the purpose of the study before signing an informed consent form for the genetic testing of their child. The research was carried out following the Declaration of Helsinki, and informed consent was obtained from patients where appropriate. The entire study was approved by the Siriraj Institutional Review Board (SIRB), Faculty of Medicine Siriraj Hospital, Mahidol University, Bangkok, Thailand (COA no. Si145/2016).

## Autoantibody Analyzes

Pancreatic autoantibodies (GAD65 and IA2) were determined in serum from participants at the recruitment step, using previously described standardized radio assays.<sup>9</sup> GAD65 and IA2 autoantibodies were determined using standardized radio-binding assays using in vitro transcribed and translated [35S]-labeled recombinant human full-length GAD65, IA2ic (amino acids 605–979). The results of the antibodies for GAD65 and IA2 are expressed as a semiquantitative index calculated using a dilution curve of a positive sample. All cut-off values were set at the 99th percentile of the control population. Specificity was 100% for the two antibody assays, and sensitivity was 68% for GAD65 and 62% for IA2.

## DNA Extraction and Whole-Exome Sequencing (WES) Analysis

A total of 5 mL of venous blood were collected from each subject, including both patients and controls. Genomic DNA was extracted from the buffy coat using Flexigene<sup>®</sup> DNA (Qiagen, Valencia, CA, USA). DNA purity determination was quantified using the NanoDrop-2000c (Scientific, USA).

Exome capture was performed using an Agilent SureSelect Human All Exon 50 Mb kit (Agilent Technologies, Inc., Santa Clara, CA, USA) according to the manufacturer's protocol. The captured library was then loaded onto an Illumina HiSeq 2000 platform (Illumina, Inc., San Diego, CA, USA) for amplification and sequencing. Sequence reads were assigned to the reference human genome (UCSC NCBI37/hg19). Variant detections and annotations were analyzed using SAMtools10 and Genome Analysis Toolkit 11, respectively.

## Variant Filtering and Bioinformatics Analysis

All variants detected in whole-exome sequencing analysis were selected missense variants with an allele frequency less than 0.05 from the 1000 Genome Project (<http://www.1000genomes.org/>) and the Exome Aggregation Consortium (ExAC) (Figure 2). Bioinformatic analysis of WES data was performed using VARCARDs online software (<http://varcards.biols.ac.cn/>).<sup>10</sup> To identify genetic variants causative of monogenic diabetes in this study, pathogenicity prediction was tested using a damaging score >0.5 using VARCARDs as possibly pathogenic variants.<sup>10</sup>

The obtained potential pathogenic variants were screened for 32 genes known to cause monogenic diabetes, MODY or NDM, and both MODY and NDM (*APPL1*, *ABCC8*, *BLK*, *CEL*, *EIF2AK3*, *FOXP3*, *GATA4*, *GATA6*, *GCK*, *GLIS3*, *HNF1A*, *HNF1B*, *HNF4A*, *IER3IP1*, *INS*, *KCNJ11*, *KCNJ11*, *KLF11*, *MNX1*, *NEUROD1*, *NEUROG3*, *NKX2-2*, *PAX4*, *PAX6*, *PDX1*, *PLAGL1*, *PTF1A*, *RFX6*, *SLC19A2*, *SLC2A2*, *WFS1*, *ZFP57*).<sup>4</sup> All genetic variants of interest in the patient's group were not identified in the Thai healthy control group (n = 16).

## Statistical Analysis

Statistical analysis was carried out using SPSS software (version 21; SPSS Inc., Chicago, IL). Quantitative variables were expressed as means and standard deviations and qualitative variables as frequencies and percentages. The Mann–Whitney *U*-test was used to compare quantitative variables. Frequencies were compared using Pearson's chi-square analysis and Fisher's exact test when necessary. The significance level was defined as  $p < 0.05$ .

## Results

### Demographic Data and Clinical Characteristics

As shown in Table 1, the prevalence of type 1 diabetes and type 2 diabetes in the 300 patients with new-onset pediatric diabetes between March 2016 and August 2018 was 77% and 15.3%, respectively. The average age, age at diagnosis, duration of diabetes, height, weight, body mass index (BMI), waist circumference, systolic blood pressure, diastolic

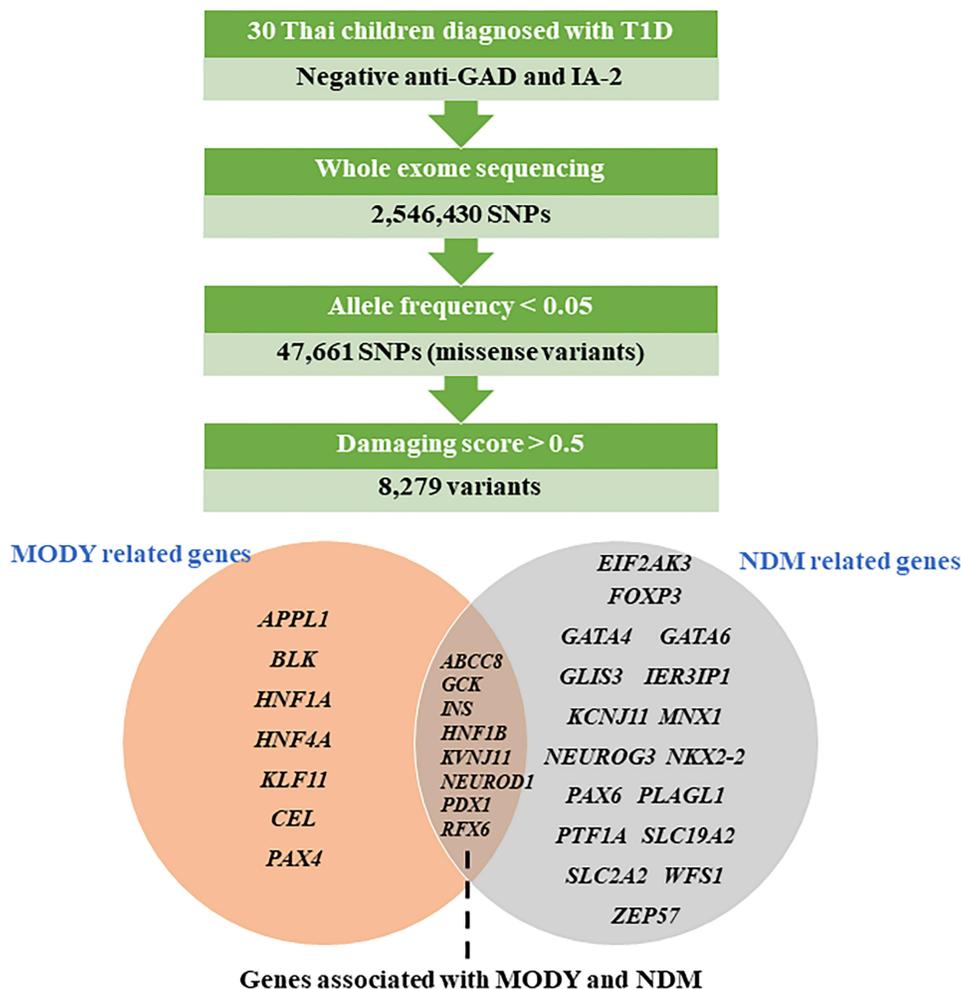


Figure 2 Variants filtering in MODY and/or NDM known genes.

blood pressure, and hemoglobin A1c (HbA1c) were significantly different between types of diabetes (type 1 diabetes (T1D), type 2 diabetes (T2D), neonatal diabetes mellitus (NDM) and other types) (all  $p < 0.001$ ).

An autoimmune response against pancreatic  $\beta$ -cells (anti-GAD) was detected in 60.6% of the patients to diagnose T1D. IA2-positive autoantibody results were present in 65.5% of patients with T1D. Forty-three percent (43.3%) of

Table 1 Demographic, Anthropometric, and Clinical Characteristics of Pediatric Diabetes

Clinical Characteristics	Type 1 Diabetes	Type 2 Diabetes	Neonatal Diabetes	Other Types	p-value
Total, n (%)	231 (77%)	46 (15.3%)	3 (1%)	20 (6.7%)	–
Sex (male/female), n	91/140	19/27	3/0	6/14	0.145
Age (years), mean $\pm$ SD	12.7 $\pm$ 4.1	14.7 $\pm$ 2.5	7.1 $\pm$ 3.5	14.5 $\pm$ 3.9	<0.001
Age at diagnosis (years), mean $\pm$ SD	7.7 $\pm$ 3.3	12.3 $\pm$ 2.5	0.09 $\pm$ 0.07	8.9 $\pm$ 4.0	<0.001
Duration of diabetes (years), median (Q1, Q3)	3.75 (1.50, 7.33)	2.17 (0.48, 3.27)	5.83	6.34 (1.21, 7.96)	<0.001
Birth Weight (grams), mean $\pm$ SD	3090.3 $\pm$ 526.6	3005.4 $\pm$ 714.7	2466.7 $\pm$ 641.3	3153.5 $\pm$ 895.5	0.229
Height (cm.), mean $\pm$ SD	146.5 $\pm$ 18.14	160.67 $\pm$ 8.02	112.60 $\pm$ 7.61	146.74 $\pm$ 15.96	<0.001
Weight (kg.), mean $\pm$ SD	45.05 $\pm$ 17.35	79.55 $\pm$ 15.70	17.23 $\pm$ 1.04	50.11 $\pm$ 13.37	<0.001
BMI (kg/m <sup>2</sup> ), mean $\pm$ SD	20.11 $\pm$ 4.31	30.76 $\pm$ 5.53	13.63 $\pm$ 1.12	23.09 $\pm$ 4.88	<0.001
Waist circumference (cm), mean $\pm$ SD	68.80 $\pm$ 11.44	97.91 $\pm$ 11.28	51.33 $\pm$ 0.75	78.62 $\pm$ 13.62	<0.001

(Continued)

**Table 1** (Continued).

Clinical Characteristics	Type 1 Diabetes	Type 2 Diabetes	Neonatal Diabetes	Other Types	p-value
Systolic blood pressure (mmHg), mean $\pm$ SD	111.78 $\pm$ 11.89	124.78 $\pm$ 12.76	99.67 $\pm$ 2.08	116.85 $\pm$ 9.56	<b>&lt;0.001</b>
Diastolic blood pressure (mmHg), mean $\pm$ SD	68.32 $\pm$ 8.78	71.74 $\pm$ 10.30	55 $\pm$ 8.72	68.35 $\pm$ 8.29	<b>&lt;0.001</b>
HbA1c (%), median (Q1, Q3)	9.11 (8.24, 10.24)	7.60 (6.50, 9.27)	7.30	8.22 (7.24, 9.41)	<b>&lt;0.001</b>
Autoantibodies, n/n total (%)					
Positive Anti-GAD	120/198 (60.6%)	–	–	–	–
Positive IA2	129/197 (65.5%)	–	–	1 (100%)	–
Positive Anti-GAD and IA2	84/194 (43.3%)	–	–	–	–
Negative Anti-GAD and IA2	36/201 (17.9%)	–	–	1 (100%)	–

**Notes:** Values are expressed as number (%), mean  $\pm$  standard deviation (SD), or median (Q1, Q3). p-value with bold text and less than 0.05 indicates statistical significance. Autoantibodies (n; positive, %): Autoantibodies positive results are higher levels than the normal range (Anti-GAD > 1 U/mL and IA2 > 1 U/mL).

patients with a clinical diagnosis of T1D had positive autoantibodies (GAD65 and IA2). All negative autoantibodies (GAD65 and IA2) were found in 36 pediatric patients (36/201, 17.9%) with a clinical T1D diagnosis (Table 1).

This study recruited only 30 out of 36 monogenic diabetes subjects with negative autoantibody (GAD65 and IA2) and clinical characteristics were shown (Table 2). Ten men and twenty women are among the participants. The median age to the onset of diabetes was 8 years and 3 months. The median age at the time of diagnosis of diabetes was 13 years and 2 months. Family history information on diabetes included 25 patients (80%). The median BMI at diagnosis was 19.3 kg/m<sup>2</sup>. The median HbA1C at the time of diagnosis was 9.1%. The median birth weight was 3115 grams. The average systolic and diastolic blood pressures were 110.3 and 68.2 mmHg, respectively. The average pulse rate was 89.4 bpm. The average waist circumference was 67.7 cm. The average amount of insulin required per day was 1.1 units/kg. The insulin regimen included 13 patients with modified conventional, 16 patients with basal-bolus, and 1 patient with mixed twice. No subjects had

**Table 2** Characteristics of Participants with Autoantibody-Negative Insulin-Requiring Diabetes Mellitus

Clinical Characteristics	Monogenic Diabetes (n=30)
Male: Female (%)	10 (33%): 20 (67%)
Age onset (years)	8.3 (4.9–10.8)
Age at participation (years)	13.2 (11–16)
Parental history of diabetes (Yes/No)	25/5 (80%)
BMI at diagnosis (kg/m <sup>2</sup> )	19.3 (16.1–22.5)
HbA1C at diagnosis (%)	9.1 (8.1–10.3)
DKA at the first onset	
Yes	14
No	16
Birth Weight (g)	3115.0 (2762.5–3575.0)
Systolic blood pressure, SBP (mmHg)	110.3 $\pm$ 12.3
Diastolic blood pressure, DBP (mmHg)	68.2 $\pm$ 8.6
Pulse rate (beats per minute, bpm)	89.4 $\pm$ 14.8
Waist circumference (cm)	67.7 $\pm$ 12.4
Insulin (unit/kg/day)	1.1 $\pm$ 0.4
Insulin regimen	
Modified conventional	13
Basal-bolus	16
Mixed twice	1

**Note:** \*Data are represented as mean  $\pm$  SD or median (interquartile range).

**Abbreviations:** BMI, body mass index; HbA1C, hemoglobin A1C; DKA, Diabetic ketoacidosis; SBP, Systolic blood pressure; DBP, Diastolic blood pressure.

monogenic diabetes phenotypes that present with diabetes before 6 months of age or had a family history of diabetes in one parent or other first-degree relatives, and all islet autoantibodies were positive.

## Genetic Screening

This present study utilized a targeted whole-exome sequencing for the screening of pathogenic variants in 32 genes causative of monogenic diabetes, including 7 genes that have been associated with MODY but not NDM (*HNF4A*, *HNF1A*, *KLF11*, *CEL*, *PAX4*, *BLK*, *APPL1*) and 17 genes that have been associated with NDM but not MODY (*EIF2AK3*, *FOXP3*, *GATA6*, *IER3IP1*, *MNX1*, *NKX2-2*, *PLAGL1*, *SLC19A2*, *WFS1*, *GATA4*, *GLIS3*, *KCNJ11*, *NEUROG3*, *PAX6*, *PTF1A*, *SLC2A2*, *ZFP57*), and 8 monogenic diabetes genes that have been identified to cause both MODY and NDM (*ABCC8*, *GCK*, *INS*, *HNF1B*, *KCNJ11*, *NEUROD1*, *PDX1*, *RFX6*).<sup>4,11</sup> The 2,546,430 variants were called using the Genome analysis toolkit. The WES data was then analyzed using VARCHARDS based on allele frequencies and damaging scores.<sup>10</sup> The 47,661 variants with allele frequencies <0.05 were included. After that, the variants were filtered for further analysis if the predicted damaging score >0.5. Using these criteria, 8279 variants were suspected as pathogenic variants and screened for causative genes of MODY or NDM.

The results showed that only 25 variants were identified in known genes that cause MODY and/or NDM (Figure 2). Twenty out of 30 patients (67%) had genetic variants in the target genes (Table 3). Genetic variants in different target genes were found in 12 monogenic diabetes patients (patients # 3, 9, 11, 12, 13, 15, 17, 18, 20, 24, 26, 27). Five patients had genetic variants in only five genes (*PAX4*, *HNF1A*, *HNF4A*, *KLF11*, and *CEL*) associated with MODY (patients # 3, 8, 25, 28, and 29). There are 5 patients (patients # 1, 4, 7, 18, and 19) who had gene mutations in only four NDM-related genes (*WFS1*, *EIF2AK3*,

**Table 3** Characteristics of Patients Diagnosed with Monogenic Diabetes

Pt.	Age (y)	Sex	Birth Weight (g)	DKA	Average HbA1C (%)	BMI	Treatment <sup>a</sup>	Insulin (unit/kg/day)	FAMILY History
1*	5	M	3400	No	8.61	19.8	Basal bolus	1.05	No
2	13	M	2800	Yes	12.22	21.5	Basal bolus	1.26	Yes
3*	10	F	2900	Yes	9.23	14.9	Basal bolus	0.98	Yes
4*	11	F	2900	No	10.06	17.2	Modified conventional	1.33	Yes
5	3	F	3000	Yes	8.95	18.3	Basal bolus	1.51	Yes
6	5	F	3100	Yes	10.66	24.2	Basal bolus	1.25	Yes
7*	5	M	3600	No	8.24	17.6	Modified conventional	1.46	Yes
8*	12	M	2750	No	10.44	22.5	Basal bolus	1.38	No
9*	10	F	2900	Yes	9.84	20.5	Basal bolus	1.27	Yes
10	4	F	3770	Yes	9.33	13.7	Basal bolus	0.85	Yes
11*	3	M	3500	No	12.15	20.5	Basal bolus	0.96	Yes
12*	11	F	3900	No	7.05	26	Modified conventional	0.62	Yes
13*	10	F	4000	No	8.88	21.3	Basal bolus	0.77	Yes
14	8	F	2960	Yes	8.63	15.7	Modified conventional	0.74	No
15*	12	F	2740	No	7	22.5	Basal bolus	1.91	Yes
16	7	M	1890	Yes	8.45	13.2	Basal bolus	0.71	Yes
17*	7	M	1900	Yes	8.55	16.2	Modified conventional	0.67	No

(Continued)

Table 3 (Continued).

Pt.	Age (y)	Sex	Birth Weight (g)	DKA	Average HbA1C (%)	BMI	Treatment <sup>a</sup>	Insulin (unit/kg/day)	FAMILY History
18*	5	F	2500	No	8.1	29.2	Basal bolus	0.9	Yes
19*	5	F	3250	Yes	8	18.1	Modified conventional	1.32	Yes
20*	8	F	2700	No	10.58	25.3	Modified conventional	1.36	Yes
21	8	F	2700	No	10.53	14	Modified conventional	1.96	Yes
22	2	F	3715	Yes	9.45	15.3	Modified conventional	0.71	Yes
23	2	M	3480	No	9.8	15.7	Modified conventional	0.96	Yes
24*	13	F	800	No	6.13	18.9	Self-mixed insulin twice daily	1.07	Yes
25*	11	F	4600	No	10	18	Basal bolus	1.09	Yes
26*	17	M	4800	No	10.55	24.5	Modified conventional	0.92	Yes
27*	9	F	3200	Yes	7.35	19.6	Basal bolus	0.54	Yes
28*	13	M	4700	No	6.9	25.1	Basal bolus	0.51	Yes
29*	9	F	3200	Yes	12.17	22.7	Modified conventional	1.92	Yes
30	8	F	3130	Yes	7.55	16.1	Modified conventional	0.74	No

Notes: \*Patients carried MODY and/or NDM-related variants in pathogenic genes. Bold text: They are siblings. <sup>a</sup>Modification: Modified conventional regimen.

*GATA6*, and *KCNJ11*). On the other hand, eight patients (patients # 12, 13, 15, 17, 20, 24, 26, and 27) carried multiple genetic variants in genes associated with MODY and genes associated with NDM. Two patients (patients # 9 and 11) detected mutations in genes that were previously reported as causative genes of MODY and NDM (*RFX6* and *PDX1*).

### MODY-Related Genes

Half of the patients who carried variants in known pathogenic genes (10 out of 20: patient# 3, 8, 9, 11, 12, 13, 15, 24, 25, and 26) had a missense mutation (rs2233580) in *PAX4* (MODY9). Missense mutations (patient# 17: rs1800961 and patient# 3: rs150776703) in *HNF4A* (MODY1) were identified in two patients. In addition, two cases of a missense mutation (patient# 27: c.1040T>C and patient # 28: rs62576769) were detected in *CEL* (MODY8). Other MODY-related genetic variants, including missense mutations in rs1800574 *HNF1A* (MODY3) (patient # 29) and rs150096859 *KLF11* (MODY7) (patient # 20) were found in each patient. In addition to diabetes patients, a case mutation in *KLF11* (patient # 20: rs150096859) was also found in a control subject (Control# 14) (Table 4).

### NDM-Related Genes

For genetic variants in NDM-related genes, most of them had genetic variants in *WFS1*, which included six missense mutations and one frameshift insertion. Four of these variants have not been reported. These included a missense mutation (c.1726G>A) that was found in two patients (patients # 15 and 20). In addition, no case of a missense and frameshift mutation presented in *WFS1* (c.1270A>G and c.2204\_2205insT) has previously been identified (patient # 27). The other genetic variants causative of NDM were found in 11 patients. These included a missense mutation in *EIF2AK3*, *GATA6*, and *SLC19A2* that were found in two patients each, three cases of a missense mutation in *GATA4*, and one case each for a missense mutation in *GLIS3* and *KCNJ11*. Of these, four genetic variants were previously reported, and five genetic variants were novel. Only the mutation in *GATA4* (rs115099192) was classified as pathogenic (patient # 9 and 27). Additional control subjects in this study showed the presence of a genetic variant in *WFS1* (Control# 14: c.1367G>A), *GATA6* (Control# 8: c.43G>C), *GLIS3* (Control# 12: c.2678C>T) and *SLC19A2* (Control# 1, 2 and 9: c.1322T>C). It is noticeable that just under a fifth of the control (3 out of 16) also had a missense mutation in *SLC19A2*.

**Table 4** Genetic Variants Identified in 20 Monogenic Diabetes Patients

Patient Number (Control Number, If Any)	Gene	Nucleotide Change	Amino acid Change	Mutation Type	Damage Score <sup>a</sup>	Pathogenicity <sup>b</sup>	rs Number	Ref
<b>MODY-related genes</b>								
3, 8, 9, 11, 12, 13, 15, 24, 25, 26	PAX4	c.575G>A	p.Arg192His	Missense	0.78	Likely benign	rs2233580	[12]
29	HNF1A	c.293C>T	p.Ala98Val	Missense	0.64	Not provided	rs1800574	[13]
3	HNF4A	c.1309C>T	p.Pro437Ser	Missense	0.62	Conflicting	rs150776703	Novel
17	HNF4A	c.416C>T	p.Thr139Ile	Missense	0.61	Likely benign	rs1800961	[14]
20 (14)	KLF11	c.86G>A	p.Arg29Gln	Missense	0.50	Likely benign	rs150096859	Novel
27	CEL	c.1040T>C	p.Phe347Ser	Missense	0.78	–	–	Novel
28	CEL	c.1235C>T	p.Thr412Ile	Missense	0.74	Benign	rs62576769	Novel
<b>NDM-related genes</b>								
1	WFS1	c.1235T>C	p.Val412Ala	Missense	0.78	Likely benign	rs144951440	[15]
15	WFS1	c.2209G>A	p.Glu737Lys	Missense	0.83	Likely benign	rs147834269	[16]
15, 20	WFS1	c.1726G>A	p.Gly576Ser	Missense	0.65	Likely benign	rs1805069	Novel
18	WFS1	c.2018G>A	p.Cys673Tyr	Missense	0.96	–	–	Novel
24 (14)	WFS1	c.1367G>A	p.Arg456His	Missense	0.65	Likely benign	rs1801208	[16]
27	WFS1	c.1270A>G	p.Lys424Glu	Missense	0.74	–	–	Novel
27	WFS1	c.2204_2205insT	p.Gly736fs	Frameshift	0.83	–	–	Novel
4	EIF2AK3	c.1627C>T	p.Leu543Phe	Missense	0.52	n/a	rs753078059	Novel
7	EIF2AK3	c.928A>T	p.Ile310Leu	Missense	0.65	n/a	rs191277311	Novel
9, 27	GATA4	c.1220C>A	p.Pro407Gln	Missense	0.74	Pathogenic	rs115099192	[17]
13	GATA4	c.799G>A	p.Val267Met	Missense	0.74	–	rs116781972	[18]
19 (8)	GATA6	c.43G>C	p.Gly15Arg	Missense	0.71	Likely benign	rs116262672	Novel
26	GATA6	c.187C>T	p.Pro63Ser	Missense	0.65	–	rs559705145	Novel
12 (12)	GLIS3	c.2678C>T	p.Ser893Phe	Missense	0.57	–	–	Novel
17, 20 (1, 2, 9)	SLC19A2	c.1322T>C	p.Ile441Thr	Missense	0.91	Likely benign	rs17847484	[19]
18	KCNJ11	c.1154C>G	p.Ser385Cys	Missense	0.50	Likely benign	rs41282930	[20]
<b>MODY- and NDM- related genes</b>								
9	RFX6	c.523C>T	p.Pro175Ser	Missense	0.87	n/a	rs868202124	Novel
11	PDX1	c.670G>A	p.Glu224Lys	Missense	0.57	Conflicting	rs137852787	[21]

Notes: <sup>a</sup>Calculated from VARCHAD, <sup>b</sup>Data according to ClinVar (<https://www.ncbi.nlm.nih.gov/clinvar/>).

### MODY and NDM-Related Genes

In this study, a mutation in *RFX6* and *PDX1* was also found which has been reported as a causative gene for both MODY and NDM. A new variant of *regulatory factor X 6 (RFX6)* (c.523C>T, rs868202124) was identified in patient # 9. For

*PDX1* (pancreatic and duodenal homeobox-1), also known as *IPF1* (insulin promoter factor-1), one missense variant (c.670G>A, rs137852787) of this gene was detected in patient #11.

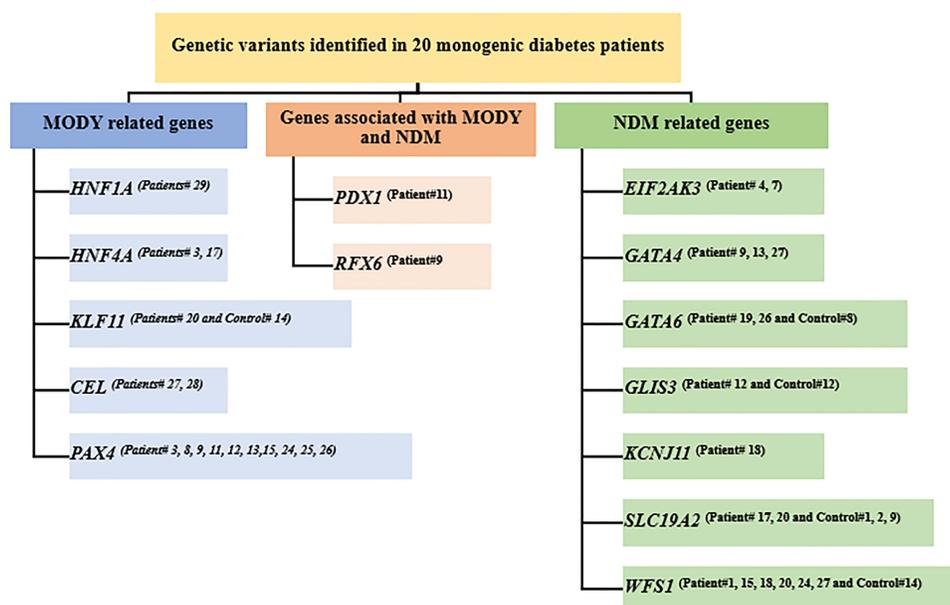
## Variants and Diabetic Phenotypes

The clinical presentation was also considered to examine the relationship between genetic variants and diabetic phenotypes as shown in Tables 3 and 4. Age at the time of onset, sex, birth weight, diabetic ketoacidosis (DKA) at first presentation, body mass index (BMI), average level of glycated hemoglobin (HbA1c), treatment regimen, and daily insulin dose used per kilogram and family history of diabetes mellitus were presented in each patient. The diagnosis of diabetic ketoacidosis (DKA) was based on the presence of acidosis symptoms and was combined with hyperglycemia acidosis ketone in blood or urine. The clinical significance of the variants obtained was represented in ClinVar and showed that most of them were reported as likely benign (Table 4 and Figure 3). However, the clinical and functional significance of clinical testing or experimental evidence was not provided.

A total of 30 patients with monogenic diabetes participated in this study. We found that 14 patients were diagnosed with DKA at the first clinical presentation of diabetes mellitus. Of these, only six patients (patient # 3, 9, 17, 19, 27, and 29) carried MODY and/or NDM-related variants in pathogenic genes such as *PAX4*, *GATA4*, *GATA6*, *RFX6*, *HNF1A*, *HNF4A*, *SLC19A2*, *CEL* and *WFS1* (Table 4). While the largest number of patients in this study (10 out of 30: patient # 3, 8, 9, 11, 12, 13, 15, 24, 25, and 26) had genetic variants in *PAX4* c.575G>A (rs2233580), which was not detected in the 16 controls.

Most *PAX4* mutation-positive (c.575G>A, rs2233580) patients (8 out of 10: patient# 8, 11, 12, 13, 15, 24, 25, and 26) did not show the presence of DKA at the first diagnosis. Patients #25 and #26 carried the same *PAX4* (c.575G>A, rs2233580) but lacked DKA. They were siblings, and only the brother (patient # 26) had another genetic variant in *GATA6* (c.187C>T, rs559705145).

The clinical significance of the *GATA4* variants (c.1220C>A, rs115099192) was represented as pathogenic in ClinVar, which was found in patients # 9 and 27 but not detected in the 16 controls (Table 4). The clinical presentation of patients # 9 and 27 were female, with a history of DKA at first diagnosis, basal-bolus treatment, and a family history of diabetes mellitus (Table 3). The clinical picture in some patients with multiple genetic variants was not different from that of a single variant mutation, including age at the time of onset, BMI, DKA at the time of onset, and insulin treatment.



**Figure 3** Flowchart of monogenic diabetes variants is identified in patients. [Gene<sup>(Patient number)</sup>].

## Discussion

Our study provides a wide spectrum of variants in monogenic diabetes-related genes and 25 variants were identified from 30 patients who were diagnosed before 18 years of age and negative for GAD and IA-2 antibodies. In this study, 14 variants were not reported as pathogenic variants in the case of MODY and/ or NDM. It should be noted that some patients in this study did not have a family history of diabetes.

A recent study has shown that some genetic variants can be associated with *de novo* occurrence or incomplete penetration in MODY patients.<sup>22</sup> Thus, our study could have benefits in establishing MODY and/or NDM-related genes among patients with a negative family history. In our cohort of patients with monogenic diabetes, *de novo* mutations in *PAX4*, *WFS1*, *HNF4A*, and *SLC19A2* were present in three patients (patients # 1, 8, and 17) without a history of diabetes.

Several of these patients had genetic variants in *PAX4* c.575G>A (rs2233580). This missense mutation led to a substitution of amino acid from arginine to histidine at codon 192 (R192H). The *PAX4* (R192H) mutation had previously been identified as causing MODY9.<sup>7</sup> The role of *PAX4* is affected in the development, differentiation, and survival of insulin-producing beta cells.<sup>23</sup> *PAX4* rs2233580 (R192H) results in the change of an amino acid at a highly conserved position across different species, and the change of an amino acid in the homeodomain that is required for DNA binding to target gene promoters could contribute to a defect in transcription activity.<sup>24</sup> A younger age at diagnosis of diabetes was related to the risk allele (T) of *PAX4* rs2233580.<sup>24</sup> *PAX4* R192H can disrupt its binding to target DNA sequences and exert a reduction in the transcriptional activity of target genes.<sup>25</sup> We found this *PAX4* c.575G>A (rs2233580) in 10 out of 30 patients with monogenic diabetes but not in control subjects. This is consistent with a previous study showing a high prevalence of *PAX4* c.575G>A (rs2233580) among Thai children with autoantibody-negative insulin-requiring diabetes mellitus. The study also found that *PAX4* c.575G>A (R192H) increased in frequency in MODY probands compared to non-diabetic controls in the Thai population.<sup>7</sup> Additionally, a previous study in Thailand and Singapore had shown that *PAX4* rs2233580 (R192H) influences T2D risk.<sup>26</sup> Ethnic differences might play an important role in determining the epidemiology of monogenic diabetes. This may suggest that *PAX4* rs2233580 (R192H) is responsible for diabetes susceptibility in Thai populations through the mechanism of decreased beta-cell activities. The correlation between diabetic ketoacidosis at first presentation and *PAX4* rs2233580 (R192H) was examined, and it was found that 8 of 10 patients with *PAX4* R192H had not presented DKA at the first clinical presentation. In the same way, most subjects who were MODY did not have DKA at diagnosis.<sup>27</sup> It should be noted that two patients in the *PAX4*-positive group were siblings. Thus, this variant could be inherited from their parents. However, the correlation between diabetic ketoacidosis at first presentation and *PAX4* R192H has not been investigated.

A mutation in the Hepatocyte Nuclear Factor 1A (*HNF1A*) gene, which is the most common genetic cause of MODY, was found in a patient in this study (patient # 29). We detected a missense mutation in *HNF1A* that causes a substitution of amino acid from alanine to valine at codon 98 (A98V). This position is located in the DNA binding domain, and an *in vivo* study demonstrated that *HNF1A* (A98V) polymorphism was correlated with a decreased in insulin release when responding to glucose.<sup>28</sup> A study in India reported that the A98V polymorphism of *HNF1A* is associated with MODY and an earlier age at the beginning of T2D.<sup>13</sup>

In addition to *HNF1A*, we detected two mutations in the *HNF4A* gene, including T139I and P437S. One case had an amino acid substitution from threonine to isoleucine at codon 139 (T139I) in the *HNF4* gene. This variant has been reported and shows that it is located within the hinge/DNA binding domain within the gene, potentially leading to altering interactions with targeted promoter regions.<sup>29</sup> Although mutations in the *HNF4A* gene cause type 1 MODY (MODY1), this variant of T139I variant is associated with T2D.<sup>29</sup> Furthermore, this missense mutation c.416C>T in the *HNF4A* gene has been identified in one case among 43 Turkish children diagnosed with MODY.<sup>14</sup> Another case in which we found a mutation in the *HNF4A* gene that leads to a substitution of amino acid from proline to serine at codon 437 (P437S, rs150776703) has not been studied in terms of its functions. This variant has been classified in ClinVar interpretation as conflicting interpretations of pathogenicity.

In this study, 16 mutations were identified in NDM-related genes. Seven variants in *WFS1* represented most of the patients with NDM-related genes in the cohort. *WFS1* is expressed in various types of tissues, including the pancreas, and is involved in islet  $\beta$ -cells.<sup>30</sup> *WFS1* c.1235T>C (V412A, rs144951440) and c.2209G>A (E737K, rs147834269) that caused an amino acid substitution from valine to alanine at codon 412 and glutamate to lysine at codon 737, respectively, have been reported as a pathogenic variant in low-frequency non-syndromic hearing loss.<sup>15,16</sup> However, hearing defects

in patients #1 and 15 had not been detected in medical records. Furthermore, we identified *WFS1* c.1367G>A that led to a substitution of amino acid from arginine to histidine at codon 456 (R456H) and was involved in the development of common T1D in the Japanese population.<sup>31</sup> This missense mutation was detected in only one patient (patient #24) who carried another mutation in *PAX4* of the MODY-related gene. This patient was a preterm female infant with a low birth weight (800 g) and a family history of diabetes. She was diagnosed with diabetes at 13 years of age and did not have DKA at the first presentation. At the moment, she has controlled her blood sugar with insulin premixed twice daily. However, this *WFS1* R456H mutation was also identified in a Thai non-diabetic control subject. *WFS1* R456H may be only a polymorphism of the *WFS1* gene. The presence of DKA at the first diagnosis was considered in these *WFS1* mutation-positive participants. Interestingly, 5 out of 6 patients who had *WFS1* genetic variants did not present with DKA at the first diagnosis. This is consistent with a previous report showing that *WFS1* mutations in Wolfram syndrome are associated with a lower prevalence of ketoacidosis and fewer positive autoantibodies.<sup>32</sup>

The *GATA* family of transcription factors, including *GATA4* and *GATA6*, plays an important role in the development of the pancreas.<sup>33</sup> All variants in the *GATA* family found in this study have not been studied in diabetic patients, except for a single variant in the *GATA4* gene that caused a substitution of amino acid from proline to glutamine at codon 407 (P407Q, rs115099192). The mutation at codon 407 has been identified as a pathogenic variant of monogenic diabetes in patients with early-onset diabetes in South Korea.<sup>17</sup> Furthermore, this *GATA4* (P407Q) mutation is found to be associated with congenital heart disease.<sup>34</sup> Another missense mutation in *GATA4*, valine is mutated to methionine at codon 267 (V267M) and is known to affect cardiac function on the transcriptional properties of *GATA4*.<sup>18</sup> Two variants in *GATA6* (G15R, rs116262672, and P63S, rs559705145) identified in this study are not associated with diabetes mellitus. The missense mutation in *GATA6* (G15R, rs116262672) has been reported to be associated with nonsyndromic congenital heart disease.<sup>35</sup>

Mutations in the *GLIS3*, *SLC19A2*, and *KCNJ11* genes that cause NDM were also identified. Although variants in *GLIS3* c.2678C>T (p.Ser893Phe) that cause a substitution of amino acid at codon 893 from serine to phenylalanine have not been discovered, mutations in *SLC19A2* c.1322T>C (p.Ile441Thr, rs17847484)<sup>19</sup> and *KCNJ11* c.1154C>G (p.Ser385Cys, rs41282930)<sup>36</sup> have previously been studied. In this study, a variant detected in *SLC19A2* c.1322T>C (p.Ile441Thr, rs17847484) has been implicated in the pathogenesis of thiamine responsive megaloblastic anemia syndrome (TRMAS).<sup>19</sup> TRMAS is a rare autosomal recessive disorder with a triad of disease characteristics: megaloblastic anemia, early-onset deafness, and non-type I diabetes.<sup>37</sup> Thus, it would be assumed that patients # 17 and 20 had diabetes with negative autoantibodies, which could be non-T1D. However, no signs and symptoms of TRMAS, including megaloblastic anemia and deafness, had been shown. This variant was also identified in three healthy control subjects. Neonatal diabetes mellitus (NDM) is mainly caused by mutations in the *KCNJ11* gene.<sup>20</sup> The Kir 6.2 subunit of the ATP-sensitive potassium channel, which controls pancreatic beta cell insulin secretion, is encoded by the *KCNJ11* gene.<sup>20</sup> *KCNJ11* S385C mutations were found as polymorphisms in patients with NDM, but they did not respond with sulfonylurea.<sup>20</sup>

In this present study, two variants of the *RFX6* and *PDX1* genes were found that are associated with MODY and NDM. *RFX6* c.523C>T (p.Pro175Ser, rs868202124) has not been reported, while *PDX1* c.670G>A (p.Glu224Lys, rs137852787) has previously been studied. The mutation in the regulatory factor X 6 (RFX6) gene was known to be associated with beta cell dysfunction.<sup>38</sup> Previously, variants in *RFX6* associated with NDM were discovered in neonatal diabetes patients with other digestive system defects (known as Mitchell-Riley syndrome).<sup>39</sup> Furthermore, variants truncated with the *RFX6* protein, ie p.His293Leufs are found to be a cause of MODY in European patients.<sup>40</sup>

Pancreatic and duodenal homeobox 1 (PDX-1) plays an important role in regulating the function of the pancreatic  $\beta$ -cell. *PDX-1* mutations cause pancreatic agenesis and neonatal diabetes.<sup>41</sup> The variants that caused an amino acid substitution mutation at codon 224 from glutamate to lysine (E224K, rs137852787) were found in patient # 11 and were not detected in 16 controls. Residue 224 of *PDX1* is conserved between different species and the E224K mutation has been shown to cosegregate with early-onset diabetes or impaired glucose tolerance in an Indo-Trinidadian family.<sup>21</sup> The E224K mutation of *PDX1* has significantly reduced transactivation properties, suggesting that this mutation is associated with MODY4 rather than type 2 diabetes.<sup>21</sup>

By targeting WES, we enabled the identification of novel variants in known MODY- and/or NDM-related genes in Thai children diagnosed with diabetes mellitus and negative for both GAD and IA-2 antibodies. This study provides evidence for an association of genetic polymorphism with autoantibody-negative diabetes and monogenic diabetes, although considerable

limitations of our study should be acknowledged. First, this data was collected from only 30 cases (28 when excluding two siblings). We may have missed some rare variants for evaluation. Second, we did not test for segregation within their pedigree. Third, we tested only 2 autoantibodies GAD and IA2, including ZnT8 and insulin, that will be missing some positivity. Fourth, the control subjects are older than the cases, some parameters will not compare even the genetic factors will not be affected. Last, it could not be interpreted that all of these variants were pathogenic. It can be difficult to classify novel, uncommon variations as pathogenic or benign; as a result, several variants have been classified as either uncertainly significant or likely benign. Although this is still inconclusive, we cannot exclude the possibility that some novel genes remain unidentified and uninvestigated. The basic history, signs, symptoms, and clinical characteristics are still essential and should be included in the diagnosis. To reach a strong conclusion about the pathogenicity of each variant, further studies are required to provide more information, including functional studies to reveal the impact of these variants as a causative of diabetes.

## Conclusions

The prevalence of monogenic diabetes with negative autoantibody was analyzed using WES. All candidate variants were considered disease risk with clinically significant variants from the ClinVar database. We found 5 MODY-related genes (*PAX4*, *HNF1A*, *HNF4A*, *KLF11*, and *CEL*), 7 NDM-related genes (*WFS1*, *EIF2AK3*, *GATA4*, *GATA6*, *GLIS3*, *SLC19A2*, *KCNJ11*) and 2 MODY and NDM related genes (*RFX6* and *PDX1*) in Thai children with autoantibody negative insulin-requiring diabetes mellitus. Therefore, all candidate variants of monogenic diabetes could be used for appropriate treatment in each patient. Fourteen novel variants were identified in this study and need to be investigated in the future.

## Data Sharing Statement

Data will be made available by the corresponding author upon reasonable request from the journal editor.

## Ethics Approval

This study involves human participants, and the study protocol was approved by the Siriraj Institutional Review Board (SIRB) of the Siriraj Hospital, Faculty of Medicine, Mahidol University, Bangkok, Thailand (COA no. Si145/2016).

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## Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis, and interpretation, or all these areas; took part in drafting, revising, or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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## Disclosure

All authors declare no personal or professional conflicts of interest, and no financial support from the companies that produce and/or distribute the drugs, devices, or materials described in this report.

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