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Genetic basis of cohesinopathies

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Abstract: Cohesin is a ring-form multifunctional protein complex, which was discovered during a search for molecules that keep sister chromatids together during segregation of chromosomes during cell division. In the past decade, a large number of results have also demonstrated a need for the cohesin complex in other crucial events in the life cycle of the cell, including DNA duplication, heterochromatin formation, DNA double-strand break repair, and control of gene expression. The dynamics of the cohesin ring are modulated by a number of accessory and regulatory proteins, known as cohesin cofactors. Loss of function of the cohesin complex is incompatible with life; however, mutations in the genes encoding for cohesin subunits and/or cohesin cofactors, which have very little or a null effect on chromosome segregation, represent a newly recognized class of human genetic disorders known as cohesinopathies. A number of genetic, biochemical, and clinical approaches, and importantly, animal models, can help us to determine the underlying mechanisms for these human diseases.

Keywords: cohesin, cohesinopathies, Cornelia de Lange syndrome, Roberts syndrome, control, gene expression, insulators

Introduction

Cells have precise mechanisms for controlling cohesion of sister chromatids during cell division to ensure appropriate distribution of genetic material to daughter cells. The main molecular entity in this process is the evolutionarily conserved cohesin complex. The canonical cohesin complex consists of four subunits known as SMC1 and SMC3 (from the structural maintenance of chromosomes family) and SCC1 and SCC3 (sister chromatid cohesion). The latter two subunits are also known as RAD21 (SCC1, kleisin) and STAG (SCC3) in mammals (Table 1). The cohesin complex adopts a ring-like structure, which traps the replicated DNA and mediates cohesion between sister chromatids (Figure 1). The dynamics of the cohesin ring are regulated by an undetermined number of proteins that interact with cohesins (Table 1). These cohesin regulators were first characterized and studied in relation to the cohesive function of cohesin complexes, most of which are involved in the dynamics of the association and/or dissociation of the cohesin complex with chromatin. Loading of cohesins into chromosomes depends on the adherin complex, which is composed of two proteins, SCC2 (also named NIPBL/ Nipped B/delangin) and SCC4 (MAU2, Figure 1). However, this loading is not sufficient for correct cohesive function and requires the participation of ESCO1/2 acetyltransferases for effective cohesion. The substrate of this acetylation is the

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Table 1 Subunits of cohesin complexes and cohesin regulators implicated in cohesinopathies

SMC3 subunit of the cohesin complex (Figure 1). Others cohesin cofactors are PDS5 (precocious dissociation of sisters), WAPL (wings apart-like) and Sororin, which are implicated in the maintenance and/or removal of cohesins from chromatin (Figure 1). Although it is necessary to

Figure 1 Cohesin complex and cohesin regulators.

Notes: Ring-embraced model of cohesion. The cohesin complex is formed by four proteins, ie, SMC1, SMC3, SCC1/RAD21, and SCC3/STAG. The SMC1, SMC3, and RAD21/SCC1 subunits form a ring-like structure. RAD21/SCC1 is the subunit that closes the ring formed by SMC (structural maintenance of chromosomes) subunits and has been named α -kleisin (closure). The SCC3/STAG protein interacts with RAD21/SCC1 to complete the cohesin complex. The adherin loading complex consists of two proteins, ie, SCC2/NIPBL and MAU2/SCC4. The acetyltransferases (ESCO1/2) acetylate the SMC3 cohesin subunit to establish cohesion of the chromatids. The cofactors, PDS5, WAPL, and Sororin, are involved in association and/or dissociation with chromatin.

mention the role of these cohesin regulators, this is not the principal focus of this review, and there are already at least two excellent published reviews offering a detailed description of the function and molecular mechanisms of cohesin regulators.^{1,2}

In the last few years, new functions of the cohesin complex have emerged in terms of genome structure and dynamics. The requirement for cohesin subunits and regulators in repair of DNA damage in different models has been extensively reported.³⁻⁶ Alteration of these two essential cell life processes by defects in the cohesin network may underlie chromosomal instability and aneuploidy, which are two principal hallmarks of tumor formation and progression.7 One of the most surprising findings was the discovery in 2004 of a link between cohesin gene mutations and human developmental abnormalities.⁸⁻¹⁰ Four years later, three research groups reported results indicating the molecular mechanisms by which cohesin metabolism could be related to development control. Taking into account the fact that cohesins are also expressed in post-mitotic cells, different groups have investigated these newly discovered cohesin/ chromatin interactions. The search for cohesin binding sites in the human genome showed overlap with CCCTC binding factor (CTCF) sites.¹¹⁻¹³ CTCF is an insulator protein that participates in blocking enhancer-promoter interaction. These works pioneered the study of the function of this newly identified cohesin, suggesting involvement of cohesin in regulation of gene expression. The present review focuses on the links between cohesin networks and the genetic and molecular basis of human diseases now known as cohesinopathies.

Gene mutations in the cohesin network and human developmental disorders

Mutations in the cohesin complex subunits, or cofactors, which participate in cohesin ring dynamics, were described some years ago as the main genetic factors triggering a variety of rare human diseases, known as cohesinopathies. The two best described cohesinopathies are Cornelia de Lange syndrome (CdLS, OMIM 122470, 300590, 610759, 614701, 300882) and Roberts syndrome (and its variant SC phocomelia, OMIM 268300). CdLS is an autosomal dominant multiple neurodevelopmental disorder, with an estimated occurrence of one in every 10,000. It is characterized by mental retardation, facial dysmorphism, upper limb abnormalities, and growth delay. Roberts syndrome is an autosomal recessive disorder related phenotypically to CdLS, with affected patients having craniofacial abnormalities, limb reduction, and growth retardation.

Cornelia de Lange syndrome

Patients with CdLS have mutations in the gene encoding SCC2/NIPBL, a protein in the adherin complex required for loading of the cohesin complex to chromatin (Figure 2).^{8,9}

Figure 2 Cohesin and cohesin regulators in human cohesinopathies. **Notes:** Gene mutation occurs in human CdLS and RBS (orange arrows), and results in mouse models suggest involvement of cohesin and cohesin regulator genes in these human disorders (green arrows). Mutations in the *SCC2/NIPBL* gene cause the most severe phenotype in patients with Cornelia de Lange syndrome. Mutations in genes encoding for the cohesin subunits SMC1α, SMC3, and RAD21, and for the histone deacetylase, HDAC8, cause a mild variant of this syndrome. Although the phenotype of mice lacking *PDS5A* and/or *PDS5B* function show developmental abnormalities like those in patients with Cornelia de Lange syndrome, currently there are no data supporting mutations of these genes as a cause of this cohesinopathy in humans. Similarly, to the author's knowledge, no data have been reported on *STAG1* mutations in patients with Cornelia de Lange syndrome. A human ortholog of yeast *Eco1* named *ESCO2* is mutated in Roberts syndrome, which has a phenotype closely related to that seen in Cornelia de Lange syndrome. **Abbreviation:** Ac, Acetylation.

In situ analyses of *NIPBL* expression in mouse embryos showed accumulation in the limb buds, branchial arch, and craniofacial mesenchyme.⁸ Similar experiments in human embryonic tissue sections revealed *NIPBL* expression in the primordial cartilage of the ulna and various hand bones.9 The investigators performing these studies also observed expression of this gene in craniofacial tissue, the spinal column, and the heart, with defects clearly related to the CdLS phenotype. In 2009, Kawauchi et al reported generation of mice heterozygous for a gene-trap mutation of the first coding exon of the *NIPBL* gene.14 This mouse model showed many features of CdLS, including growth retardation, cardiac defects, delayed bone development, and hearing deficits, providing the first experimental evidence that *NIPBL* mutations are the cause of CdLS. SCC2/NIPBL/ delangin is a protein with two major isoforms, ie, delangin A (long) and delangin B (short). Both have the first 2683 amino acid residues in common, but have different carboxy-terminal tails containing 121 amino acids (long) and 14 amino acids (short).9 NIPBL contains a predicted nuclear localization sequence (residues 1108–1124) and five HEAT (protein interaction) repeat regions approximately spanning residues 1750–2350. NIPBL is able to mediate local chromatin modifications by recruitment of histone deacetylases and a large number of the CdLS mutations found in this protein that dramatically affect its function. These results suggest a contribution to the syndrome distinct from its canonical role in loading cohesin complexes to chromatin.15

After these early findings, numerous studies have been done in patients to identify other mutations in proteins related to the dynamics of cohesin. Reports of several cases of this syndrome show that mutations in the SMC1 α and SMC3 cohesin subunits cause a mild variant of CdLS.^{16,17} The disorder triggered by mutations in the human gene encoding the $SMCl\alpha$ cohesin subunit is frequently denominated as X-linked CdLS because the human homolog of yeast *Smc1,* known as *SMC1L1*, is located in the human X chromosome.¹⁶ Later, a single mutation was identified in human *SMC3* in one of 96 probands tested.17 In CdLS patients with mutated *SMC1L1* and *SMC3*, a milder phenotype characterized by less significant psychomotor and growth retardation, a lower incidence of major malformations, and milder limb anomalies has been reported. Because SMC1 and SMC3 are the cohesin subunits mostly involved in formation of the ring-like structure through their hinge and head domains (Figure 1), Revenkova et al studied the affinity of mutated SMC1 α and SMC3 proteins for DNA, focusing

on dimeric hinge domains where several CdLS mutations clustered. They found that $SMC1\alpha$ and $SMC3$ mutations mapping to the hinge domains produced higher binding affinity between the cohesin complex and DNA than wildtype proteins in vitro, suggesting modified dynamics of chromatin association-dissociation for mutated cohesin complexes.18

To identify additional potential causal loci for CdLS, Deardorff et al performed a genome-wide array-based copy number analysis for 101 individuals with typical CdLS and 189 with overlapping features who were negative for mutations in previously reported *NIPBL*, *SMC1L1*, and *SMC3* genes associated with CdLS.¹⁹ They identified one boy with a 8q24.1 interstitial microdeletion that included *RAD21*, which encodes the kleisin subunit that interacts with the other three subunits, $SMC1\alpha$, $SMC3$, and STAG/SCC3, as well as with other regulatory proteins to maintain the ring-like structure of the cohesin complex (Figures 1 and 2). In addition, these authors identified two additional probands with atypical clinical features which demonstrated heterozygous de novo *RAD21* missense mutations (c.1127C $>$ G [p.Pro376 Arg] and c.1753T $>$ C [p.Cys585 Arg], respectively).

Therefore, until now, mutations in three cohesin subunits (SMC1α, SMC3, RAD21) and in one cohesin-interacting protein (NIPBL) have been found in patients with CdLS (Figure 2). However, there are results suggesting the possibility that mutations in other cohesins and cohesin regulators could also contribute to this syndrome in humans. In vertebrate somatic cells, there are two cohesin complexes that differentiate in the STAG subunit, consisting of SMC1α, SMC3, RAD21/SCC1, and either STAG1 or STAG2.20–22 Recently, Remeseiro et al studied the phenotypic characteristics of mouse embryos lacking *STAG1* function.23 They found that loss of *STAG1* function alters transcription of genes related to the CdLS phenotype, and hypothesized that impaired *STAG1* function in gene expression underlies the molecular etiology of CdLS.

PDS5 is a regulatory component in the cohesin complex that interacts with the cohesin subunits and other cohesin cofactors (Figure 1).²⁴ Vertebrates have two homologs of PDS5, ie, PDS5A and PDS5B, both of which contribute to the dynamics of cohesion. Mice that lack PDS5B have multiple developmental anomalies that resemble those found in humans with CdLS and die shortly after birth,²⁵ whereas *Pds5B-/-* mouse cells show no defects in sister chromatid cohesion. In addition, *Pds5A* deficiency results in developmental abnormalities similar to those seen in *Pds5B* knockout mice.²⁶ Although these results, reported in both *PDS5* knockout models, suggest the involvement of these proteins in CdLS, a recent study looking for mutations in the *PDS5A* gene in 137 Italian patients with CdLS, who did not have mutations in *NIPBL*, *SMC1L1*, or *SMC3*, was negative,27 and mutations in *PDS5A* and/or *PDS5B* genes have not been reported in human patients with CdLS.

Roberts syndrome/SC phocomelia

Mutations in the gene encoding for human ESCO2, a homolog to yeast *Eco1* acetyltransferase, were found to be responsible for the Roberts syndrome and its variant, SC phocomelia in humans (Figure 2).¹⁰ Cells from patients with Roberts syndrome show a lack of cohesion at the heterochromatic regions around centromeres and in the long arm of the Y chromosome.¹⁰ The sequence of human ESCO2 contains 601 amino acid residues, including two well conserved regions, a Zn finger-like motif and a conserved C-terminal acetyltransferase domain. Different mutations in the exons and introns of the human *Esco2* gene have been reported in individuals with Roberts syndrome.²⁸ Most, if not all, of these mutations cause loss of acetyltransferase activity of ESCO2, providing the first indication that loss of acetyltransferase function contributes to the pathogenesis of Roberts syndrome.29 Similarly to STAG and PDS5 proteins, ESCO acetyltransferases consist of two members, ie, ESCO1 and ESCO2, in reptiles, birds, fish, and mammals. Although ESCO1 mutations have not been reported until now in patients with Roberts syndrome, Slavin et al described a case with a phenotype of hemivertebral and rib anomalies. This individual showed a karyotype of 47 chromosomes and genes in the triplicated region, possibly contributing to her skeletal phenotype, including *GATA6*, *MC2R*, *MC5R*, *RBBP8*, *ESCO1*, and *ROCK1* among others, and implicating the second acetyltransferase member, ESCO1, in her skeletal anomalies.30

The function of ESCO acetyltransferases is reversed by deacetylases. In humans, this deacetylase has been recently identified as HDAC8 (Figure 2), which is responsible for deacetylation of the SMC3 cohesin subunit to facilitate renewal of the cohesin complex after its dissociation from chromatin during prophase or anaphase.31 In this study, the authors screened this X-linked gene in 154 individuals with CdLS negative for mutations in *NIPBL*, *SMC1a* and *SMC3*, *RAD21*, *STAG2*, *ESCO1*, *ESCO2*, and *SCC4*. Four missense mutations and one nonsense mutation in *HDAC8* were identified, indicating that loss of HDAC8 activity results in

decreased cohesin at specific sites, causing clinical features of CdLS.

Link between genotype and phenotype in cohesinopathies Cohesin complex, insulator CTCF factor, and transcription regulation

The earliest results connecting the dynamics of the cohesin complex with control of gene expression date back to 1999, when a study by Rollins et al of the *Nipped* loci from *Drosophila* showed that *Nipped-B (the Drosophila homolog of human NIPBL*) mutations also magnify the effect of a *gypsy* transposable element insertion in the *Ultrabithorax* gene.32 Later, the same researchers found that, in contrast with the effect of Nipped-B, the Scc3 component of the cohesin complex inhibits long-range activation of the *cut* gene in *Drosophila*. 33 Further, in human cells, STAG2 coactivates a multimeric NF-kB reporter construct and enhances activity of the p65/RelA transactivation domain.34 Three independent research groups have studied the localization of cohesin in mammalian chromosomes and found that numerous cohesin binding sites overlap with CTCF, an insulator protein that participates in blocking enhancer-promoter interactions (Figure 3A). Cohesins are also required for the CTCF insulation function and for control of *H19/IGF2* locus imprinting in the G2 and G1 phases in mice. In G1 phase, there

is no cohesion of sister chromatids, so the role of cohesins in the control of *H19/IGF2* transcription is independent of their function in the cohesion of sister chromatids.^{11–13} These were the initial works suggesting a link between a new cohesin function and the molecular causes of cohesinopathies. Since then, a large body of research has confirmed this newly identified role of cohesin. The insulation-mediated CTCF/ cohesin complex maintains formation of the chromatin loop and localization of transcriptional apparatus for promoters in the human apolipoprotein gene cluster.³⁵

Chromatin immunoprecipitation (ChIP) experiments have been very useful for identification of genes controlled by cohesin. The fact that RAD21 is recruited to CTCF sites in all *Ig* loci during development of B lymphocytes suggests that the cohesin/CTCF complex may promote formation of multiple loops and thus effective recombination of V(D)J.36 Liu et al, in a study of 16 mutant cell lines from severely affected CdLS probands, identified specific gene expression profiles for CdLS. 37 The cohesin binding sites were significantly reduced in CdLS samples with mutant *SCC2*/*NIPBL*. However, of the altered control genes in the CdLS samples, about 60% were upregulated and 40% were downregulated, suggesting that SCC2/NIPBL and cohesin have both negative and positive effects on expression. In the last two years, a large number of results have been reported illustrating the role of the CTCF/cohesin insulation complex in the control of expression of distinct differentiation and

Figure 3 Models for a chromatin architectural function of cohesin in control of gene expression. (**A**) Interaction of the cohesin complex with chromatin-bound CTCF maintains a chromatin structure in which the enhancer cannot interact with the promoter and behaves as an insulator, repressing gene expression. (**B**) Loop structure formed by the mediator/cohesin complex allows enhancer-promoter interactions promoting gene transcription.

developmental genes in the immune system, stem cells, and germ cells.38,39 Recently, in a transcriptomic analysis of marker genes for differences in intellectual disability found in patients with Down syndrome, Mégarbané et al showed that genes of major histocompatibility complex class II (*HLA DQA1* and *HLA DRB1*) were significantly downregulated in patients with a low intelligence quotient.⁴⁰ The intergenic region between these genes contains a consensus binding sequence for the CTCF/cohesin complex, indicating that regulation of gene expression by cohesin would extend beyond CdLS and Roberts syndrome.

Cohesin complex and CTCFindependent gene regulation

Initial results on this emergent cohesin function suggested a requirement for interaction between the cohesin complex and the CTCF homodimer (Figure 3A) to form a new cohesin/CTCF complex for control of cohesin-mediated gene expression, but later studies showed that the cohesin complex is also able to regulate the transcription of several genes by interacting with a specific transcription factor in a manner independent of CTCF.

Two laboratories have shown that the cohesin complex is required for morphogenesis of nondividing neurons in *Drosophila.* Using a modified *piggyBac* vector for insertional mutagenesis in a genetic screening⁴¹ and by generation of flies expressing a modified version of cohesin subunit RAD21⁴² (named RAD21TEV), they found that cohesin is essential for axon pruning. Looking for genes regulated by cohesin in this context, they found that expression of the steroid hormone receptor *EcR* gene, which encodes EcR-B1 protein, an essential regulator of axon pruning in the mushroom body, is reduced in SMC1α-depleted γ-neurons and that this pruning defect is reversed by overexpression *of EcR-B1*. In this case, the results indicated that cohesins facilitated *EcR* transcription. Further, zebrafish embryos lacking cohesin subunit RAD21 or SMC3 do not express *runx3* and lose *runx1* expression in early embryonic development.⁴³ This positive regulation is the opposite of the effect previously described for cohesin function in insulators, but is similar to that previously reported for SA2/STAG2.34

Using (ChIP-seq) assays in human breast cancer (MCF-7) cells, Schmidt et al identified thousands of genomic sites that share cohesin and estrogen receptor-α yet lack CTCF binding.44 Further, estrogen-regulated genes are preferentially bound by both estrogen receptor- α and cohesin, and the silencing of cohesin causes aberrant re-entry of breast cancer cells into the cell cycle after hormone treatment. In a human

hepatocellular carcinoma (HepG2) cell line, liver-specific transcription factors colocalized with cohesin independently of CTCF at liver-specific targets. These results show for the first time that the cohesin complex plays a role in estrogenregulated gene expression independently of CTCF by interacting with specific transcription factors.⁴⁵

Gene activation may involve a specific conformation of chromatin to facilitate interactions between enhancerbound transcription factors/transcriptional coactivators and the transcription apparatus at the promoter. A multisubunit protein complex known as Mediator interacts with the RNA polymerase II enzyme and control of gene expression.45 ChIPseq results showed that Mediator, cohesin, and the cohesin loading factor, SCC2/NIPBL, co-occupy thousands of sites in the embryonic stem cell genome. To confirm interactions between Mediator and the cohesin complex, Kagey et al, using antibodies against Mediator subunits (Med1, Med12) and cohesin (SMC1α, SMC3), coprecipitated both Mediator and cohesin subunits. Further, an antibody against NIPBL also coprecipitated both cohesin and Mediator subunits.46 These results confirm that Mediator, cohesin, and NIPBL interact, and also suggest that a Mediator/cohesin complex would function as a chromatin architect, maintaining the DNA loop at specific chromosome sites to activate gene expression (Figure 3B). Therefore, involvement of the cohesin complex in the regulation of positive and negative transcription points to the function of cohesin in gene expression being genedependent.

Fish models of cohesinopathies

Two zebrafish *(Danio rerio*) models with deficiency in *nipbl*⁴⁷ and *esco2*48 have been generated to explore the molecular mechanisms causing the phenotypes observed in CdLS and Roberts syndrome. Using morpholino knockdown for the two *nipbl* genes in zebra fish, ie, *nipbla* and *nipblb,* it was found that a deficiency of these genes produces a spectrum of specific heart and gut/visceral organ defects with similarities to those found in CdLS. In addition, reduced nipbl function has quantitative effects on the expression of multiple genes also contributing to the appearance of developmental defects.⁴⁷

Zebrafish embryos deficient for *esco2* have phenotypes similar to those in humans with Roberts syndrome.⁴⁸ Esco2depleted fish have craniofacial abnormalities characterized by missing cartilaginous elements along with truncated pectoral fins, much like the phocomelia observed in patients with Roberts syndrome. Cells in *esco2*-mutant fish have mitotic defects characterized by disorganized chromosomes

spread throughout the cell. This observation is consistent with the precocious separation of sister chromatids observed in cells of patients with Roberts syndrome.¹⁰ However, fish mutant for *esco2* do not show other anomalies typical of Roberts syndrome. Ossification is normal, indicating that the developmental pathways for bone are intact in these embryos. High levels of cell death contribute to the morphology of esco2-depleted embryos without affecting specific developmental pathways, and it is suggested that cell proliferation defects and apoptosis could be the primary cause of the features seen in Roberts syndrome.

On the other hand, similar research in the medaka *(Oryzias latipes)* model of Roberts syndrome shows that mutation of *esco2* affects expression of several genes.⁴⁹ In this study, the investigators demonstrated that an *esco2* mutation (R80S) actually suppresses expression of *notch1a* (a neural development marker) and its downstream genes, as well as *notch3* (a vascular differentiation marker), suggesting an important effect of *esco2* mutations on expression of developmental genes in Roberts syndrome.

These results show that mutations in different elements of the cohesion apparatus have distinct developmental outcomes, and suggest that different processes, dysregulation of gene expression, cell proliferation defects, and apoptosis could contribute to the anomalies seen in Roberts syndrome.

Concluding remarks

The cohesin complex, initially characterized as a ringlike protein complex that maintains cohesion between sister chromatids during segregation of chromosomes, is now considered an essential component of the family of modulators of chromatin structure during different dynamic processes in chromosomes. In many cases, these processes aim to safeguard the stability of genetic material and its proper distribution to daughter cells, and for those related to aneuploidy, genomic instability, and cancer (Figure 4). It is known that cohesins are also involved in control of gene expression, so it is not surprising that when there are errors or problems with the cohesin complex related to its role in transcription, one of the likely results is abnormal development of the organism.

In recent years, an increasing body of scientific research has shown that the functions of cohesin are mediated by the actions of other proteins. These molecules, such as SCC2/ SCC4, ESCO, HDAC8, PDS5, WAPL, and Sororin, may be necessary for the multiple functions of cohesin, or they may contribute to one specific role by spatial-temporal interaction

Figure 4 Cohesin metabolism and human disease.

Notes: Scheme of the main life processes of the cell in which cohesins have been functionally characterized and related diseases. The role of cohesin in control of gene expression (in red) is the principal focus of this review.

with the cohesin complex, eg, CTCF and Mediator, in the control of gene expression. Specific post-translational modifications of cohesin subunits, such as acetylation, phosphorylation, and sumoylation, are also required for particular functions of cohesin, suggesting the existence of a cohesin code.

These findings point to the denomination of cohesin being currently partial and incomplete, and perhaps the term "chromosome structure architects" or "architectins" would be more appropriate. Therefore, interactions between the cohesin complex and specific regulator proteins model precise tridimensional structures at local regions of chromatin to perform different and specific functions depending on spatiotemporal requirements. This architectural function of cohesin would modulate the interaction of positive or negative regulatory elements in gene transcription during development, providing a molecular link between genotype and phenotype for the cohesinopathies discussed in this paper. Future research on the molecular mechanisms triggering alterations in the cohesin network in pathological conditions is crucial for determining the genetic and molecular causes of these neurodevelopmental disorders in humans, currently known as cohesinopathies.

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Disclosure

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