

The genetic basis of amyotrophic lateral sclerosis: recent breakthroughs

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Abstract: Deciphering the genetic architecture of amyotrophic lateral sclerosis (ALS), an adult-onset neurodegenerative disorder of the motor neuron system, is important to understand the etiology of this fatal disease as well as to develop customized ALS therapies based on the patient's genetic fingerprint. In this review, we discuss the genetic basis of ALS, and attempt to link the causal genes to three highly interrelated pathogenic mechanisms: dysproteostasis, RNA dysregulation, and axon dysfunction. In addition, we address the clinical and biological implications of these genetic findings. Furthermore, we explore to what extent genetic knowledge can be converted into targeted and personalized treatments.

Keywords: amyotrophic lateral sclerosis, frontotemporal dementia, genetics, disease modifiers, personalized medicine

Introduction

Amyotrophic lateral sclerosis (ALS)

ALS is a severely disabling and lethal neurodegenerative disorder that affects ~2–3 per 100,000 individuals worldwide.¹ It generally strikes people over the age of 50, although juvenile ALS occurs earlier.² It is usually fatal within 3–5 years after symptom onset.³ Selective dysfunction and dying of motor neurons in the spinal cord, brainstem, and motor cortex are the key features of ALS.¹ Consequently, the major symptoms consist of muscle weakness and paralysis, which emerge when motor axonal retraction occurs. Cognitive dysfunction is an additional finding in a subset of patients. The majority of patients (~90%) are classified as being sporadic ALS (SALS) because they are not aware of other affected family members. Only in 10% of ALS patients a family history of disease is evident; that form is referred to as familial ALS (FALS).⁴ The borders between FALS and SALS are gradually fading, as discussed in the “FALS and SALS” section. Despite the progress made in ALS research so far, current clinical practice fails to halt or even slow down the disease course significantly. Riluzole, the only FDA-approved drug for ALS, results in a survival benefit, which is however limited and not noticeable for patients.⁵

Disease variability

Although the clinical picture of ALS may seem remarkably stereotypic, phenotypic and genetic heterogeneity is a persistent feature of the disease.^{2,4,6,7} Age of onset, clinical manifestation, type of motor neuron involvement, disease duration, survival, and many other disease parameters vary significantly.²

Cognitive dysfunction is evident in some patients.⁸ Recent evidence indeed shows ALS to be closely related to frontotemporal dementia (FTD). FTD is a degenerative

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disease affecting large neurons in the frontal and temporal cortex.^{2,9,10} It usually manifests itself through behavioral or language abnormalities. Approximately 40% of FTD patients have a family history of the disorder,¹¹ *progranulin* (*PGRN*)^{12–14} and *MAPT*¹⁵ mutations being the most frequently encountered causes for FTD until recently. Many ALS patients have neuropsychological (usually behavioral) abnormalities suggestive for FTD,¹⁰ a minority of them meeting the criteria for the additional diagnosis of FTD.^{16,17} The mirror finding also holds true: many FTD patients have motor abnormalities of variable severity (mostly amyotrophy).^{18,19} Therefore, ALS and FTD are thought to represent the extremes of one disease spectrum, called the ALS/FTD spectrum.^{2,6}

In addition to the marked phenotypic variability, there also is marked genetic variability. The number of genes in which pathogenic mutations cause ALS is steadily increasing (Table 1). Hitherto, more than 25 ALS-related genes have been identified, explaining the cause of more than half of FALS.⁴ Thus, mutations in, at first glance, very different genes induce a very similar (or even identical) clinical picture, but the same mutation may induce quite variable phenotypes both in terms of onset and survival,^{20–22} or type of involvement.^{23,24} This generated an interesting but rather semantic debate on whether ALS is a true disease entity, or rather embodies a heterogeneous group of diseases with motor neuron degeneration as a common characteristic.⁴

This progress in genetics has allowed the generation of disease models, which, hopefully, will serve as tools for the rational design and testing of therapeutic approaches. Furthermore, the boom in genetic discoveries has led to the notion that therapeutic interventions will need to be personalized, with ALS patients receiving the most suited therapy based on their genetic fingerprint.

FALS and SALS

Whether an individual patient has SALS or FALS is clinically decided based upon careful analysis of family history. However, mutations in typical ALS genes are found in a sizeable portion of patients labeled as sporadic. Incomplete penetrance,²⁵ insufficient knowledge of family history, and diagnostic errors related to family members⁷ are, among others, reasons why the genetic predisposition is unnoticed. This has profound implications for clinicians in terms of correctly informing the family. Therefore, many ALS specialists consider genetic testing in all patients independent of a family history of disease. In addition, the finding that SALS patients actually have FALS, and the notion that some mutations have limited penetrance and thus may be considered as risk factors, have led to the hypothesis that all ALS is inheritable.

A genetic basis for ALS without a familial context has indeed been addressed in twin studies²⁶ and genome-wide association studies (GWAS),²⁷ and is now being explored using recently developed sequencing techniques.²⁷

In this review, we first discuss the genetic factors implicated in ALS and classify them in three possible disease mechanisms: protein homeostasis, RNA metabolism, and cytoskeletal integrity (Figure 1A–C). This classification is somewhat arbitrary and premature, as the exact mechanisms through which the mutations discussed induce motor neuron degeneration are still incompletely understood. Second, we assess the clinical and biological implications of neurogenetics and GWAS, and dig into genetic factors that act as disease modifiers. Third, we discuss what new genetic approaches may mean for the understanding of ALS. As the discovery of ALS-causing and modifying genes yields possible targets for intervention in cellular pathways, we critically look at the potential of translating this knowledge into target-based therapeutics. We conclude this review by looking into the possibility of customized ALS therapy based on genetic information.

ALS: collapse of the proteostasis machinery

A common hallmark of several neurodegenerative disorders is the accumulation of proteinaceous deposits.²⁸ According to current thinking, accumulation of mutant, misfolding-prone proteins in vulnerable neurons and neighboring nonneuronal cells is induced by cellular stressors, of which one may be aging.²⁹ By interfering with the proteostatic machinery, these protein aggregates fuel a self-reinforcing vicious circle of misfolded protein buildup and subsequent disturbance of various cellular processes.³⁰ The convergence of the resulting effects within multiple cell types ultimately results in motor axonal retraction and motor neuronal death. There is ample experimental support for protein misfolding, protein accumulation, and proteostatic machinery dysfunction in ALS. However, the temporal relationship between these elements, their pathogenic contribution, the identity and role of cellular stresses, and the primary significance of these factors have been very difficult to firmly establish.

Superoxide dismutase I (SOD1): the old-timer among causal ALS genes

The groundbreaking discovery of the first ALS gene, *SOD1*, more than 20 years ago,³¹ turned the attention to hereditary ALS. Mutations in this gene account for ~12% of FALS and are found in ~1% of SALS.^{32,33} *SOD1* is a ubiquitously expressed enzyme that catalyzes the dismutation of

Table 1 List of selected genes associated with ALS and FTD

Genes	Locus	Inheritance	Discovery method	Suggested role in ALS*	Involvement in the ALS-FTD spectrum	Putative protein function	References
Causal ALS genes							
<i>PGRN</i>	17q21.31	AD	Candidate gene, association study	Established	FTD	Growth factor	12–14
<i>MAPT</i>	17q21.31	NA	Candidate gene, association study	Established	FTD	Cytoskeleton	15
<i>SOD1</i>	21q22	AD (AR rare)	Linkage	Established	ALS	Superoxide metabolism, toxic aggregation	31
<i>UBQLN2</i>	Xp11	XL	Linkage	Established	ALS, ALS/FTD	Proteasome	45
<i>SQSTM1</i>	5q35	AD	Candidate gene	Established	ALS, ALS/FTD	Ubiquitination, autophagy	55
<i>VCP</i>	9p13	AD	Candidate gene	Established	ALS, ALS/FTD, FTD	Proteasome, vesicle trafficking	56
<i>OPTN</i>	10p13	AD	Homozygosity mapping	Established	ALS	Autophagy	63
<i>CHMP2B</i>	3p11.2	AD	Linkage, candidate gene	Established	ALS, FTD (rare)	Vesicle trafficking	68
<i>TARDBP</i>	1p36	AD	Linkage, candidate gene	Established	ALS (ALS/FTD)	RNA metabolism	71
<i>FUS</i>	16p11	AD	Linkage, candidate gene	Established	ALS (ALS/FTD)	RNA metabolism	89,90
<i>MATR3</i>	5q31.2	AD	WES	Established	ALS, FTD/ALS	RNA metabolism	95,222
<i>hnRNPA1</i>	12q13.13	AD	WES	To be confirmed	ALS, ALS/FTD, FTD	RNA metabolism	100
<i>hnRNPA2B1</i>	7p15.2	AD	WES	To be confirmed	ALS, ALS/FTD, FTD	RNA metabolism	100
<i>ATXN2</i>	12q24	NA	Candidate gene	Established	ALS	RNA translation, endocytosis	106
<i>C9orf72</i>	9p21.2	AD	GWAS, linkage	Established	ALS, ALS/FTD, FTD	DENN protein	108,109
<i>NFH</i>	22q12.2	AD	Candidate gene, association	To be confirmed	ALS	Axonal transport	159
<i>DCTN1</i>	2p13.1	AD	Linkage	Established	ALS, ALS/FTD	Axonal transport	162
<i>PFN1</i>	17p13	AD	WES	Established	ALS	Cytoskeleton	164
<i>VAPB</i>	20q13.33	AD	Linkage	Established	ALS	Vesicle trafficking	172
<i>Alsin</i>	2q33.2	AR	Linkage	Established	Juvenile ALS	Vesicle trafficking	173,226
<i>TUBA4A</i>	2q35	AD [#]	WES	To be confirmed	ALS	Cytoskeleton	175
<i>CHCHD10</i>	22q11.23	AD and AR	WES	Established	ALS, ALS/FTD, FTD	Oxidative phosphorylation	198,199
<i>PPARGCIA</i>	4p15.2	NA	Candidate gene	To be confirmed	ALS	Mitochondrial biogenesis	204
<i>Peripherin</i>	12q12	AD [#]	Candidate gene	Uncertain	ALS	Cytoskeleton	224
<i>FIG4</i>	6q21	AD and AR	Candidate gene	Established	ALS	Vesicle trafficking	227
<i>SPG11</i>	15q21.1	AR	Linkage, candidate gene	To be confirmed	Juvenile ALS	Axonal maintenance, vesicle trafficking	228
<i>SETX</i>	9q34.13	AR	Linkage	Established	ALS	DNA/RNA metabolism	229
<i>EWSR1</i>	22q12.2	AD [#]	Candidate gene	To be confirmed	ALS	RNA metabolism	230
<i>TAF15</i>	17q12	AD and AR	Candidate gene	To be confirmed	ALS	RNA metabolism	231
<i>DAO</i>	13q33.2	AD	Linkage	To be confirmed	ALS	Oxidative deamination	232
<i>ANG</i>	14q11.1	AD [#]	Candidate gene	Established	ALS, ALS/FTD	Angiogenic factor	235
<i>TBK1</i>	12q14	AD	WES	To be confirmed	ALS	Autophagy	237
Modifiers, susceptibility genes							
<i>ATXN2</i>	12q24	NA	Candidate gene	Established	ALS	RNA translation, endocytosis	106
<i>EPHA4</i>	2q36.1	NA	Candidate gene	To be confirmed	ALS	Axon guidance	168
<i>UNC13A</i>	19p13.11	NA	GWAS	Established	ALS, ALS/FTD	Neurotransmitter release	184,185,220
<i>ELP3</i>	8p21.1	NA	GWAS	Established	ALS, ALS/FTD	RNA metabolism	188,192
<i>SS18L1</i>	20q13.33	AD	WES	To be confirmed	ALS	Chromatin regulation	203
<i>APOE</i>	19q13.32	NA	Candidate gene	To be confirmed	ALS, FTD	Lipid homeostasis	207,219
<i>KIFAP3</i>	1q24.2	NA	GWAS	Uncertain	ALS	Axonal transport	207
<i>VEGF</i>	6p21.1	NA	Candidate gene	Uncertain	ALS	Angiogenic factor	209
<i>SMN1</i>	5q13.2	NA	Candidate gene	To be confirmed	ALS	Survival factor	221
<i>ZNF512B</i>	20q13.33	NA	GWAS	To be confirmed	ALS	Positive regulator of TGF- β signaling	223
<i>SPAST</i>	2q22.3	AD	Candidate gene	To be confirmed	ALS	Cytoskeleton	225

(Continued)

Table 1 (Continued)

Genes	Locus	Inheritance	Discovery method	Suggested role in ALS*	Involvement in the ALS-FTD spectrum	Putative protein function	References
<i>PONI, 2, 3</i>	7q21.3	NA	Candidate gene	To be confirmed	ALS	Detoxifying enzyme	234
<i>HFE</i>	6p22.2	NA	Candidate gene	To be confirmed	ALS	Iron metabolism	235
<i>TMEM106B</i>	7p21.3	NA	GWAS	Established	FTD	Endolysosomal pathway	236

Notes: *The suggested role of the different genes in ALS pathogenesis is an appreciation based upon literature and following criteria: "Established": generally accepted as causal, modifying, or susceptibility genes in independent studies; "To be confirmed": firm scientific basis but further validation in other populations is mandatory; "Uncertain": further study is needed. #Further evidence is required.

Abbreviations: ALS, amyotrophic lateral sclerosis; FTD, frontotemporal dementia; AD, autosomal dominant; AR, autosomal recessive; XL, X-linked inheritance; GWAS, genome-wide association study; WES, whole-exome sequencing; TGF-β, transforming growth factor-β; NA, not applicable.

superoxide radicals and protects the cell against reactive oxygen species. So far, over 160 mutations scattered throughout all five exons have been identified (ALSod Consortium; <http://alsod.iop.kcl.ac.uk/>), predominantly consisting of

missense mutations; nonsense mutations or gene deletions/insertions appear to be rare.⁷ The majority of mutations are autosomal dominantly inherited, with the exception of the recessive D90A mutation in the Scandinavian population.³⁴

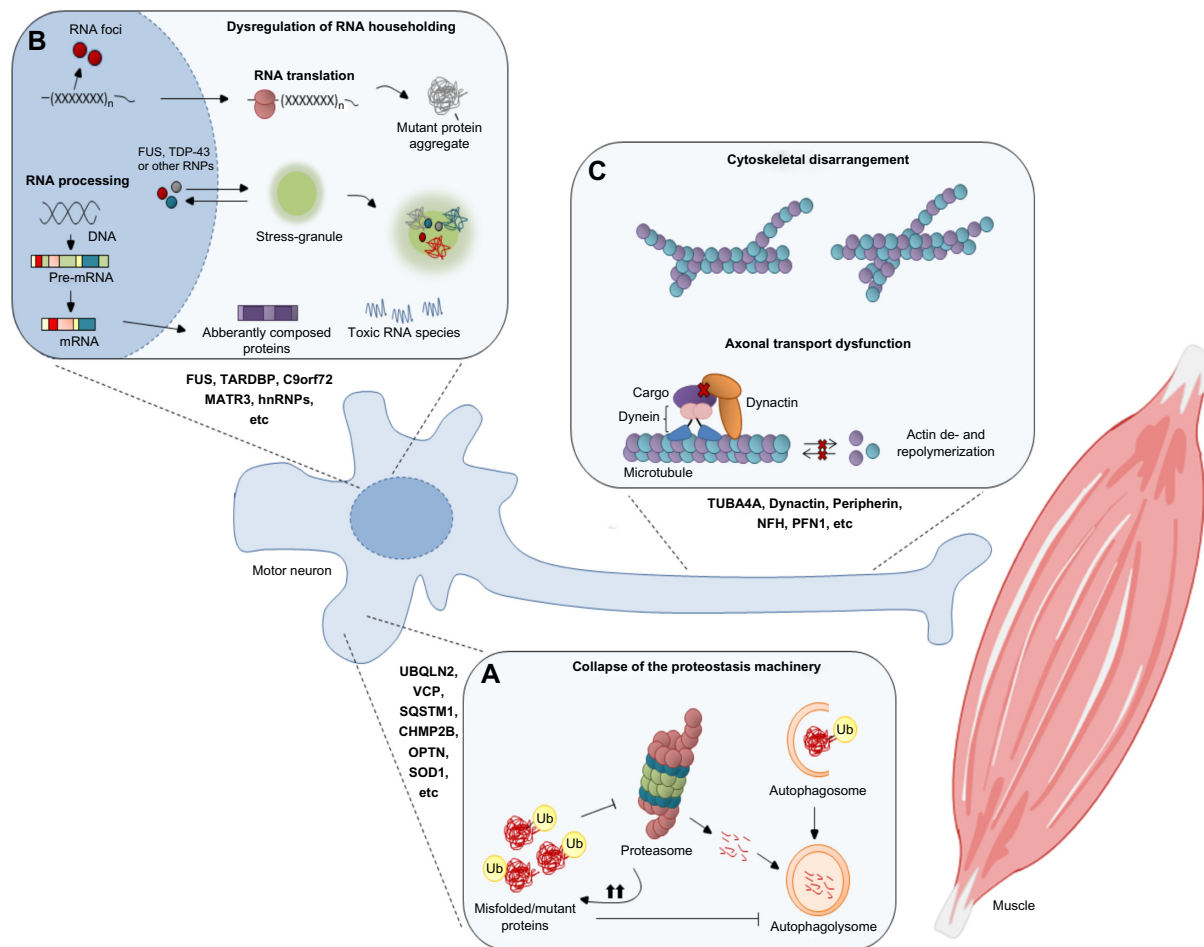


Figure 1 Schematic overview of processes that contribute to ALS pathogenesis.

Notes: (A) Collapse of the proteostasis machinery: impairment of normal proteasomal or autophagic degradation is caused by mutations in, among others, ubiquitin 2 (*UBQLN2*), valosin-containing protein (*VCP*), sequestosome 1 (*SQSTM1*), charged multivesicular body protein 2b (*CHMP2B*), optineurin (*OPTN*), and superoxide dismutase 1 (*SOD1*). By interfering with the proteostatic machinery, protein aggregates fuel a self-reinforcing vicious circle of misfolded protein buildup and subsequent disturbance of various cellular processes; (B) RNA-mediated motor neuron degeneration: disturbance of normal RNA processing, which results in abnormally assembled proteins and toxic RNA species, is caused by mutations in, among others, fused in sarcoma (*FUS*), TAR DNA-binding protein (*TARDBP*), *C9orf72*, *matrin3* (*MATR3*), and heterogeneous nuclear ribonucleoprotein complex proteins (*hnRNPs*); (C) axonal dysfunction: mutations in, among others, tubulin alpha 4A (*TUBA4A*), dynactin, peripherin, neurofilament heavy chain (*NFH*), and profilin 1 (*PFN1*) affect the axonal architecture and function.

Abbreviations: ALS, amyotrophic lateral sclerosis; Ub, ubiquitin.

For some mutations, genotype–phenotype correlations have been established. For example, carriers of the A4V mutation clinically mainly show lower motor neuron involvement and suffer from an aggressive ALS form with rapid disease progression and death on average occurring at 1.4 years after symptom onset.³⁵ In contrast, D90A homozygous patients have a rather mild phenotype with mean disease duration of around 14 years.³⁴ It remains to be seen whether all reported *SOD1* variants are truly pathogenic.

The identification of *SOD1* mutations has meant a momentous turnaround for ALS research. Rodent models overexpressing mutant *SOD1* characterized by a fatal motor neuron degeneration reminiscent of ALS in humans were developed.³⁶ In particular, the *SOD1*^{G93A} mouse model has been widely used in order to get insight into the pathogenic mechanism of mutant *SOD1*.³⁷ Several hypotheses regarding the mechanisms by which *SOD1* aggregates contribute to the manifestation of motor neuron death have been proposed. These include perturbation of mitochondrial function, glutamate excitotoxicity, disturbance of axonal transport, *SOD1* toxicity in nonneuronal cells surrounding the motor neurons, and impairment of protein homeostasis.³⁸

One of the contributing mechanisms of mutant *SOD1* may relate to protein homeostasis.⁶ Evidence suggests that the cell's chaperone system is unable to refold misfolded mutant *SOD1*, resulting in abundant presence of toxic (likely oligomeric) *SOD1* species. Consequently, the ubiquitin–proteasome system (UPS) and autophagy pathway appear to be unable to dispose these.^{6,30,39,40} In spinal cord motor neurons of ALS patients and rodent models, increased autophagosome expression was found, whereas proteasome activity was reduced.³⁹ Moreover, the misfolded proteins impair the protein degradation machinery in the cell, which leads to a vicious circle of misfolded protein buildup through which a variety of important cellular processes are perturbed, resulting in axonal retraction and neuronal cell death.³⁸

Still, even after 20 years of mutant *SOD1* research, an unequivocal explanation for its toxicity has yet to be provided; a combination of different mechanisms is likely. Furthermore, most of data available in the literature relate to what happens in the mutant *SOD1* mouse. Evidence for what happens in human ALS is less astounding.

Of notice, posttranslational modifications cause wild-type *SOD1* to misfold and adopt an aggregation-prone and toxic conformation comparable to mutant *SOD1*.^{41–43} Using a conformation-specific antibody, aberrantly folded wild-type *SOD1* has been described in the motor neurons in a subset of SALS cases (thus, without *SOD1* mutations).^{43,44} These

observations implicate that aberrantly modified forms of wild-type *SOD1* may be at play in SALS pathogenesis.

Disturbance of protein degradation in ALS: a common theme

Strong evidence for a disturbance of protein degradation as a cellular disease mechanism in ALS comes from the exciting finding that ALS can be caused by mutations in proteins, which are directly or indirectly involved in regulated protein breakdown (Figure 2A).⁶

Ubiquitin 2 (UBQLN2)

In 2011, missense mutations in the *UBQLN2* gene were found to underlie X-linked ALS and ALS/FTD.⁴⁵ They appear to be rare. *UBQLN2* is a member of the ubiquitin-like (UBL) protein family and consists of a C-terminal ubiquitin-associated (UBA) domain together with an N-terminal UBL domain.⁴⁶ Through these domains, *UBQLN2* binds poly-ubiquitin chains and associates with the proteasome and autophagosome.⁴⁶ It thus mediates the delivery of ubiquitin-tagged proteins for degradation. Interestingly, the most prevalent *UBQLN2* mutations affect the proline residue in a region containing 12 PXX tandem repeats (P497H, P497S, P506T, P509S, and P525S),⁴⁵ but mutations outside this region have also been described.^{47,48} Of notice, *UBQLN2* can also be found in skein-like inclusions in the spinal cord motor neurons and hippocampus of ALS and ALS/FTD patients not carrying mutations in this protein, suggesting that *UBQLN2* may have a role in ALS that is not only attributable to mutations.⁴⁵

Sequestosome 1 (SQSTM1)

SQSTM1, also known as p62, shares structural similarity with *UBQLN2* and is found in inclusions in a variety of neurodegenerative disorders.^{49–51} The protein acts as a cargo receptor for the degradation of ubiquitinated proteins and regulates the activation of nuclear factor kappa-B (NF-κB) signaling.⁵² *SQSTM1* mutations have been found in SALS, FALS, FTD, and FTD/ALS patients.^{53–55} Further screening and functional studies are needed to understand the pathogenic relevance of these *SQSTM1* alterations for ALS.

Valosin-containing protein (VCP)

Exome sequencing revealed mutations in the *VCP* gene in ~1%–2% of FALS and <1% of SALS patients.⁵⁶ Such mutations had already been found in a syndrome characterized by inclusion body myopathy (IBM) with Paget's disease of the bone (PDB) and FTD (IBMPFD).⁵⁷ *VCP* (also known as p97) is a multifunctional AAA+-ATPase that orchestrates

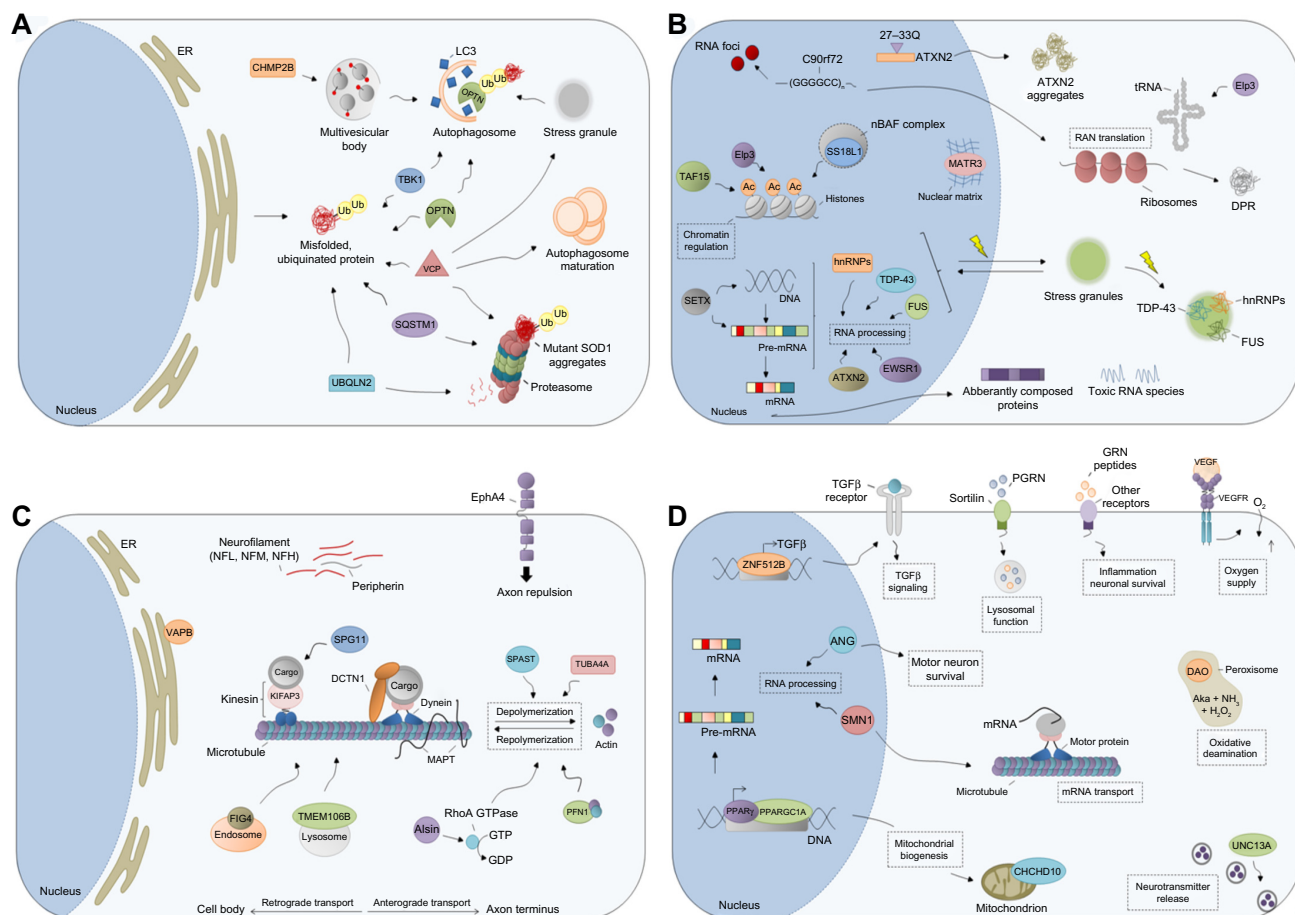


Figure 2 Schematic overview of selected ALS genes according to their (neuronal) function.

Notes: (A) Proteostasis: delivery of misfolded and ubiquitinated (Ub) proteins to the proteasome and their subsequent degradation is facilitated by binding of sequestosome 1 (SQSTM1), ubiquilin 2 (UBQLN2), or valosin-containing protein (VCP). In addition, VCP is essential for the maturation of autophagosomes and facilitates the autophagic clearance of stress granules. Optineurin (OPTN) and TANK-binding kinase 1 (TBK1) are involved in the autophagic clearance of protein aggregates. Charged multivesicular body protein 2b (CHMP2B) is required for the sorting of integral membrane proteins in multivesicular bodies and their subsequent autophagic degradation. Superoxide dismutase 1 (SOD1) aggregates impair the protein degradation machinery in the cell, leading to a vicious circle of misfolded protein buildup through which a variety of important cellular processes are perturbed; **(B)** DNA/RNA metabolism: disturbance of normal RNA processing and metabolism results in abnormally assembled proteins and toxic RNA species. To this extent, the *C9orf72* RNA repeat expansion may exert its toxic properties via the sequestration of multiple RRM-containing proteins in RNA foci and their subsequent degradation. Another possible disease mechanism is the contribution of repeat-associated non-ATG (RAN) translation products, more specifically dipeptide repeat proteins (DPRs). *Ataxin-2* (*ATXN2*) intermediate-length polyQ expansions and aggregates may lead to depletion of the protein and therefore may inhibit the reported functions of *ATXN2* in RNA metabolism. Other regulators of RNA homeostasis that are mutated in ALS are *senataxin* (*SETX*) and *Ewing sarcoma breakpoint region 1* (*EWSR1*). Elongator acetyltransferase complex subunit 3 (*Elp3*) is a regulator of RNA processing through mRNA elongation, histone acetylation, and modification of transfer RNA (tRNA) wobble nucleosides. Matrin3 (*MATR3*) is predominantly associated with the nuclear matrix and is involved in RNA metabolism. SS18-like protein 1 (*SS18L1*) is a chromatin regulatory protein that is a component of the neuronal chromatin remodeling complex (nBAF). TAF15 has similar *in vitro* and *in vivo* properties to TDP-43 and fused in sarcoma (*FUS*) and is thus involved in RNA metabolism. *FUS*, TAR DNA-binding protein (*TARDBP*), and other ribonucleoproteins (RNPs) are regulators of RNA processing. They generally display a redistribution from the nucleus to the cytoplasm. In times of stress (represented by the lightning symbol), these mutants irreversibly accumulate in stress granules. As a result, RNA processing is disturbed. In addition, these stress granules presumably create a proaggregation environment in ALS and thereby contribute to the observed inclusion buildup and depletion of several factors from the nucleus; **(C)** cytoskeletal function: neurofilaments (assembled from light [NFL], medium [NFM], and heavy [NFH] subunits) and the intermediate filament peripherin are essential for normal nerve cell function. EphA4 is a receptor in the ephrin axonal repellent system and induces axonal repulsion. Vesicle-associated membrane protein-associated protein B and C (*VAPB*) localizes to the endoplasmic reticulum and is involved in the unfolded protein response and vesicle trafficking. Dynactin (*DCTN1*) stabilizes the binding of cargos to the motor protein dynein. KIPAF3 is the non-motor accessory subunit of kinesin and is involved in axonal transport. *FIG4* regulates retrograde trafficking of endosomal vesicles. *SPG11* is involved in the regulation of axonal stability and anterograde vesicle trafficking. *TMEM106B* controls the trafficking of lysosomes. Alsin, profilin I (*PFN1*), spastin (*SPAST*), and tubulin alpha 4A (*TUBA4A*) are important regulators of actin dynamics and stability; **(D)** other processes: *ZNF512B* is a regulator of transforming growth factor- β (TGF- β) signaling. The RNA-binding protein angiogenin (*ANG*) is involved in RNA processing, whereas *SMN1* is essential for RNA splicing and RNA transport in motor neurons. *PPARGC1A* is a transcriptional coactivator and regulator of mitochondrial biogenesis. *CHCHD10* is a mitochondrial protein that may play a role in oxidative phosphorylation. Progranulin (*PGRN*) is sorted to the lysosomal pathway through its interaction with sortilin. *PGRN* promotes neuronal survival and regulates inflammation. VEGF is important for the oxygenation and protection of motor neurons. D-amino acid oxidase (*DAO*) is a peroxisomal enzyme that is involved in oxidative deamination. *UNC13A* is a presynaptic protein that regulates the release of neurotransmitters, such as glutamate, at neuromuscular synapses.

Abbreviations: ALS, amyotrophic lateral sclerosis; ER, endoplasmic reticulum; LC3, microtubule-associated protein 1A/1B-light chain 3; Ac, acetyl group; DPR, dipeptide repeat; RRM, RNA recognition motif.

a number of processes, including autophagy and proteasomal degradation. More precisely, VCP directs ubiquitin-tagged proteins to the proteasome and is essential for the maturation of autophagosomes.⁵⁸ Interestingly, nearly all mutations reside in the N-terminal domain, which is crucial to carry out the proper function of VCP.^{56,57} Moreover, in mammalian cells, the depletion of pathogenic mutations in *VCP* reduced the autophagic clearance of stress granules,⁵⁹ which are presumed to create a proaggregation environment.⁶⁰ Therefore, it is plausible that *VCP* mutations disrupt VCP's protein and stress granule removal activity in ALS, giving rise to the accumulation of ubiquitinated protein deposits within the cell.

Optineurin (OPTN)

OPTN mutations are associated with a very diverse phenotype. Polymorphisms have been described in Paget's disease;⁶¹ missense and insertion mutations were found in primary open-angle glaucoma (POAG),⁶² and *OPTN* mutations have now been reported as a (rare) cause of ALS.⁶³ *OPTN* is a protein with many functions; it is involved in membrane and vesicle trafficking,⁶⁴ NF- κ B regulation,⁶⁵ and autophagic clearance of protein aggregates.⁶⁶ Homozygous deletions, nonsense mutations, and heterozygous missense mutations have been found in both FALS and SALS patients.⁶³ *OPTN* depletion enhances protein aggregation in HeLa cells,⁶⁶ and *OPTN* inclusions are found in the spinal cord of SALS patients.⁶⁴ The exact mechanism through which *OPTN* mutations cause ALS still remains to be established.

Charged multivesicular body protein 2b (CHMP2B)

Although rarely so, mutations in *CHMP2B* originally found to be associated with FTD⁶⁷ may give rise to an ALS phenotype.⁶⁸ *CHMP2B* is required for the sorting of integral membrane proteins in multivesicular bodies and their subsequent autophagic degradation.⁶⁹

The finding of ALS-causing mutations in these proteins suggests that disturbances of protein degradation play a mechanistic role in ALS, as mentioned earlier. However, it should be noted that almost all mutations are missense mutations giving rise to proteins that, at most, have lost some of their function. This means that in the cell (apart from the *UBQLN2* mutations), at least 50% of the normal function is preserved. Therefore, it remains to be convincingly shown that these mutations actually cause failure of the protein breakdown sufficient to induce cell dysfunction and death. It is fairly well possible that they are pathogenic not through

such a loss-of-function mechanism, but rather through a gain-of-function mechanism such as described for *SOD1* mutants. Obviously, both mechanisms may be at play.

ALS: dysregulation of RNA housekeeping

The identification of disease-linked mutations in genes involved in RNA processing marked the beginning of a paradigm shift and challenged the proteocentric thinking of the ALS field. May aberrant RNA metabolism contribute to ALS pathogenesis (Figure 2B)?

TAR DNA-binding protein (TARDBP)

The TARDBP (TDP-43) is a major constituent of ubiquitinated inclusions in a variety of neurodegenerative disorders, including FTD and ALS.⁷⁰ This observation prompted the mutational screening of the *TARDBP* gene (which encodes the TDP-43 protein) in a cohort of FALS and SALS cases.⁷¹ To this day, up to 47 missense mutations and one truncated variant have been identified.⁷² Nearly all of the missense mutations reside in exon 6, which encodes the C-terminal glycine-rich part.⁷³ *TARDBP* mutations have been reported in 4% of FALS and 1% of SALS cases.⁴

TDP-43 is a multifunctional nuclear protein that is, among other things, involved in multiple levels of RNA processing including transcription, splicing, transport, and translation.⁷³ Besides the C-terminal glycine-rich domain, TDP-43 also contains two RNA recognition motifs (RRMs). RRM1 is essential for binding to single-stranded RNA with a minimum of five GU repeats.^{74,75} GU-rich sequences are predominantly present in pre-mRNAs encoded by more than 6,000 genes, which are consequently targeted by TDP-43.⁷⁵ Therefore, it is not surprising that depletion of TDP-43 with the aid of antisense oligonucleotides affected the expression of ~600 mRNAs and altered 965 splicing events in the mouse brain.⁷⁵ Of note, long intronic sequences containing GU repeats are typically found in neuronally expressed genes, and thus many of these can be found on the list of genes affected by TDP-43 downregulation.⁷⁶

Although TDP-43 is predominantly present in the nucleus, nuclear-cytoplasmic shuttling has been shown, a process controlled by its bipartite nuclear localization signal (NLS) and nuclear export signal (NES). Neurons and glial cells of the ALS nervous system display a redistribution of TDP-43 from the nucleus to the cytoplasm. In the cytoplasmic compartment, TDP-43 associates with stress granules that appear in times of cellular stress (Figure 2B).⁷⁷ In these granules, mRNAs are sorted during a triage process that determines

mRNA fate: translation, sequestration in the stress granule, or degradation.⁷⁸ Stress granules in ALS presumably create a proaggregation environment and hereby contribute to the observed inclusion buildup and TDP-43 depletion from the nucleus.⁶⁰

Based upon this, a multistep model has been suggested to explain TDP-43 toxicity.^{6,79} First, ALS-associated mutations augment cytoplasmic TDP-43 accumulation (loss-of-function in the nucleus) and enhance its aggregation propensity (gain-of-function).⁸⁰ Second, stress granule formation further reinforces TDP-43 accumulation and aggregation.⁶⁰ Their toxicity may further be enhanced by acting as a sink for other proteins and RNAs (loss-of-function). It is likely, based on what has been found in cellular and animal models, that both TDP-43 deficiency^{81–83} and overexpression^{84–88} are detrimental for the living organism. However, in at least one mouse model, TDP-43 overexpression induced a phenotype without any evidence for aggregate formation or TDP-43 mislocalization.⁸⁸ The understanding of the interplay between TDP-43 aggregation, stress granules, and TDP-43 pathology needs further clarification.

Fused in sarcoma (FUS)

The interest in RNA processing as a mechanistic factor in motor neuron degeneration grew rapidly with the discovery of *FUS* mutations.^{89,90} *FUS* shares structural homology with TDP-43 and is also involved in RNA metabolism. The protein binds over 5,500 genes through a GUGGU-binding motif and *FUS* depletion alters the splicing of more than 950 mRNAs.⁹¹ Remarkably, most of them are distinct from the mRNAs targeted by TDP-43.⁹¹ Similar to what is seen in TDP-43, nearly all mutations are clustered in the C-terminal region encoded by exons 14 and 15.⁷³ *FUS* variants account for ~4% of FALS and ~1% of SALS.⁴ Cognitive impairment is rare in ALS patients with *FUS* mutations and the overall survival is significantly shorter compared with other ALS forms.⁹² Of note, a possible association between *FUS* mutations (c. 1475delG and c. 1542G > T) and mental retardation was recently discovered.⁹³

Under physiological conditions, *FUS* is predominantly present in the cell nucleus. Similar to what is seen for TDP-43, cytoplasmic mislocalization and *FUS*-positive inclusions can be found in ALS patients with *FUS* mutations.^{89,90} It is thought that this is explained by mutations in the NLS, which compromise transportin-mediated nuclear import.⁹⁴ Interference with this transport results in cytoplasmic redistribution and subsequent recruitment of *FUS* protein into stress granules (Figure 2B).⁹⁴ As stress granules are known

to be stress-sensitive dynamic entities, it seems possible that they form insoluble aggregates under stress conditions. A similar multistep model as for TDP-43 toxicity has been proposed for FUSopathies.⁷⁹ Again, it remains to be seen whether a loss-of-function or gain-of-function mechanism or both explain what happens in patients.

Matrin3 (MATR3)

In early 2014, Johnson et al identified missense mutations in *MATR3* that segregated with disease in several families with multiple members affected by ALS.⁹⁵ Interestingly, a *MATR3* variant (Ser85Cys) had been found to underlie a distal, asymmetrical myopathy with vocal cord weakness in two large families of different origin,^{96,97} but it turns out that these patients actually suffered from a slowly progressive motor neuron disorder better classified as ALS.⁹⁵

MATR3 is a highly conserved protein that is predominantly associated with the nuclear matrix.⁹⁸ It has structural similarity with other ALS-linked genes, ie, *TDP-43* and *FUS*. With its bipartite NLS, two zinc finger domains, and two RRM, *MATR3* is involved in RNA metabolism. It binds and stabilizes several mRNA species including that of TDP-43.⁹⁹ Interestingly, one *MATR3* variant (Ser85Cys) displayed a higher affinity for TDP-43 while its interaction with other partners remained unaltered.⁹⁵ Cytoplasmic mislocalization of mutant *MATR3* is found in motor neurons of some ALS patients, even without these patients carrying *MATR3* mutations.⁹⁵ It is tempting to speculate that *MATR3* variants cause dysfunction of TDP-43. Despite being a rare cause of ALS, the discovery of *MATR3* mutations underscores once again the importance of RNA metabolism in ALS.

Heterogeneous nuclear ribonucleoprotein complex proteins (hnRNPs): infectious proteins?

Recently, mutations in the prion-like domain of *hnRNP2/B1* and *hnRNP1* have been reported in patients suffering from ALS and IBMPFD.¹⁰⁰ Notably, these mutations enhance *hnRNP2/B1* and *hnRNP1* incorporation into stress granules and exacerbate their intrinsic tendency to assemble into self-seeding fibrils.¹⁰⁰ Multiple lines of evidence link hnRNPs to neurodegeneration. They directly interact with TDP-43 to regulate RNA metabolism¹⁰¹ and two of them (*hnRNP2/B1* and *hnRNP1*) are suppressors of VCP-induced degeneration in a *Drosophila* model for multisystem proteinopathy.¹⁰² *hnRNP2/B1* and *hnRNP1* mutations are rare in ALS.¹⁰⁰ Finding more families in which they segregate with the disease would be reassuring, but

their identification has contributed to the generation of a hypothesis for a prion-like mechanism contributing to ALS.²⁸ Pathogenic mutations strengthen a steric zipper motif in the prion-like domain of hnRNP proteins, which accelerates the formation of self-seeding fibrils that induce the polymerization of wild-type hnRNP.¹⁰⁰ It is thought that such motifs may induce aggregation by acting as a template, forcing the conversion of natively folded proteins into an abnormal form. The resulting aggregates may be released and taken up by neighboring cells, thus explaining the spatially progressive nature of ALS.^{44,103} Interestingly, not only hnRNP proteins, but also SOD1, TDP-43, and FUS have been shown to contain such a prion-like domain.^{28,104} It should be noted that these findings strongly link RNA housekeeping dysregulation and dysproteostasis as two pathogenic pathways for motor neuron degeneration.

C9orf72 mutations in ALS: secrets unlocked?

Since the discovery of repeat expansions as a mechanism of disease in the 1990s, at least 24 neurological disorders characterized by such mutations have been described.¹⁰⁵ Repeat expansions in the *Ataxin-2 (ATXN2)* gene¹⁰⁶ and the non-imprinted *Prader-Willi/Angelman syndrome region protein 1 (NIPAI)* gene¹⁰⁷ had previously already been implicated in ALS and/or FTD. But recently, major progress in the field of ALS was made by the discovery of a noncoding hexanucleotide repeat expansion (G₄C₂) in the 5' region of *C9orf72* as a very prevalent cause of ALS and FTD.^{108,109} While in the normal population the number of repeats never exceeds 30, their number in *C9orf72* mutation carriers increases to up to thousand repeats.^{108,109}

The exact function of the cytoplasmic *C9orf72* protein remains to be elucidated at present. The structural similarity to DENN-like proteins suggested that the protein may belong to this family of GDP-GTP exchange factors for Rab-GTPases, which are involved in the regulation of membrane trafficking.¹¹⁰ At least three different *C9orf72* transcripts exist and are expressed in most tissues including brain.¹⁰⁸

An impressive percentage of ALS and FTD can currently be explained by *C9orf72* mutations: nearly 40% of FALS and almost 10% of SALS; more than 25% of familial FTD; and approximately 5% of sporadic FTD.¹¹¹ The G₄C₂ expansion is associated with a variable phenotype as addressed in recent reviews.^{2,24,111} *C9orf72*-ALS has a high incidence of bulbar onset and cognitive dysfunction is frequent with *C9orf72* mutations.^{2,24,111} Almost a third of *C9orf72* patients have both ALS and FTD.¹¹¹ Additional phenotypes include

Parkinsonism, ataxia, and psychosis.^{112,113} In addition, a large variation in disease onset (27–83 years) and duration (3–264 months) is evident among *C9orf72* subjects.¹¹¹

Interestingly, some *C9orf72* patients harbor variations in other ALS- and/or FTD-associated genes,^{111,114} a finding of possible pathogenic significance.¹¹¹ It is thought, but far from proven, that the *C9orf72* expansion mutation establishes a susceptibility for neurodegeneration and that additional factors (such as these mutations or other modifiers) shape the phenotype, acting as disease modifiers.¹¹¹ Of note, in that regard, the motor component appears to be modified by genes different from those that modify the cognitive component.¹¹¹

Nuclear RNA foci containing both sense^{108,115–117} and antisense^{116,118,119} repeats have been found in neurons of the frontal cortex, and the spinal cord of patients with *C9orf72* mutations.¹⁰⁸ Three plausible mechanisms have been proposed to explain the pathogenic effect of the *C9orf72* expansions and they may exist simultaneously.

First, the expansion may interfere with *C9orf72* expression. Several, but certainly not all studies have found a reduction of mRNA expression in patients with the *C9orf72* expansion, possibly resulting in haploinsufficiency (loss-of-function).^{120,121} Motor axonal degeneration was indeed seen upon knockdown of *C9orf72* in zebrafish.¹²² In addition, hypermethylation of the *C9orf72* promoter in *C9orf72* mutation carriers was found.¹²³ This methylation-driven gene silencing also occurs in other repeat disorders, including Friedreich's ataxia,¹²⁴ fragile X mental retardation,¹²⁵ and myotonic dystrophy (DM).^{126–128} However, promoter hypermethylation was associated with reduced accumulation of RNA foci and dipeptide repeat (DPR) protein aggregates in *C9orf72* patient brains¹²⁹ and a shorter disease duration,¹²³ suggesting that hypermethylation actually represents a protective response.¹²⁹ Furthermore, homozygosity is not associated with more severe disease.^{130,131} These findings, together with the fact that *C9orf72* coding mutations have not been described yet, make a loss-of-function mechanism less likely to have a major contribution to the molecular pathogenesis of *C9orf72* expansion mutations.¹³²

In contrast, a body of evidence has emerged that favors a toxic RNA gain-of-function mechanism. The *C9orf72* RNA repeat expansion may exert its toxic properties via the sequestration of multiple RRM-containing proteins and their subsequent depletion.^{117,133,134} Proteins involved in splicing, mRNA nuclear export, and/or translation were significantly enriched as binding partners of the G₄C₂ repeat expansion. hnRNPA3, hnRNPA2/B1, SFPQ, ILF3, NONO, hnRNP L, IL2BP1, ILF-2, FUS,¹³³ and Purα¹³⁵ displayed

strong and selective binding to the G₄C₂ repeat. Furthermore, immunohistochemical colocalization of RNA foci with SRSF2, hnRNPH1/F, and ALYREF in neuronal cells was observed.¹³⁴ The avid and dynamic binding of these proteins to the expanded G₄C₂ sequence is presumably facilitated by the tendency of the repeat to form G-quadruplexes.¹³⁶ Such deleterious gain-of-function of the G₄C₂ repeat expansion is similar to what is thought to occur in DM. This neuromuscular disorder is caused by a CTG repeat in the 3'UTR region of the *myotonic dystrophy protein kinase (DMPK)* gene (type one) or by a CCTG repeat in intron 1 of the *zinc finger protein 9 (ZNF9)* gene (type two).^{137–139} These repeats act as a sink for RNA binding proteins, resulting in the dysregulation of the (developmentally regulated) splicing of a variety of mRNAs such as the one of tau, the CIC-1 chloride channel, and troponin, explaining the clinical findings in DM patients.^{140–142} Sequestration of muscleblind-like 1 (MBNL1) appears to be crucial, as MBNL1-deficient mice display a phenotype reminiscent to that of DM.^{143,144}

Major attention has recently been devoted to yet another possible disease mechanism, the contribution of repeat-associated non-ATG (RAN) translation products (Figure 2B).¹⁴⁵ This ATG-independent translation from both sense and antisense C9orf72 repeat transcripts gives rise to five different DPR species: poly-(Gly-Ala), poly-(Gly-Arg), poly-(Gly-Pro), poly-(Pro-Arg), and poly-(Pro-Ala).^{146,147} These DPRs are found in (TDP-43 negative, p62/ubiquitin positive inclusions) the central nervous system of C9orf72 mutation carriers.^{147,148} When overexpressed in cells, yeast, or *Drosophila*, some of these DPRs evoke toxicity.^{149,150} Most but not all reports suggest poly-(Gly-Arg) and poly-(Pro-Arg) to be toxic, possibly through inducing nucleolar stress.^{148,151} It looks as if the G₄C₂ repeat toxicity in *Drosophila* eye is mediated by the generation of DPR species,¹⁵⁰ but their pathological significance for the human condition still remains to be demonstrated. Still, even if their pathogenic contribution is limited, they may turn out to be very useful biomarkers.

Cytoskeletal defects in ALS: an emerging theme

With their axons extending more than one meter, motor neurons are highly dependent on axonal transport to shuttle organelles and vesicles between soma and synapses for their proper function and survival.¹⁵² Axonal swelling with cytoskeletal disarrangement is a hallmark of ALS pathology.¹⁵³ Not surprisingly, a number of factors involved

in axonal architecture and function have been documented to play a role in ALS (Figure 2C).¹⁵⁴

Neurofilaments are essential for normal nerve cell function and are assembled from light (NFL), medium (NFM), and heavy (NFH) subunits. Decreased expression levels of NFL (encoded by the *NEFL* gene) were previously demonstrated in ALS patients.¹⁵⁵ Interestingly, TDP-43 and FUS bind to *NEFL* mRNA,^{75,91,156} which is sequestered in stress granules in ALS motor neurons.¹⁵⁷ Furthermore, *NFH* mutations were found in ALS patients.^{158,159} Overexpression of the intermediate filament peripherin, which is a component of inclusion bodies associated with degenerating motor neurons, induces motor neuron abnormalities in mice,¹⁶⁰ and a frameshift deletion in the *peripherin* gene has been reported in ALS.¹⁶¹ The significance of both types of mutations requires more study.

Dynactin1 (DCTN1) mutations have been identified in a lower motor neuron disease consisting of vocal fold paralysis¹⁶² and were later reported in FALS and SALS patients.¹⁶³ DCTN1 stabilizes the binding of cargos to the motor protein dynein and *DCTN1* variants may therefore contribute to the axonal transport deficits seen in ALS.

Notwithstanding, it is the recent discovery of *profilin1 (PFN1)* mutations as a cause for ALS that strongly points out the possible involvement of the cytoskeleton in ALS pathogenesis.^{164,165} PFN1 is an important regulator of actin dynamics.¹⁶⁶ Mutant PFN1 may contribute to ALS by its reduced actin binding, thus diminished actin polymerization, and subsequent cytoskeletal disturbance.¹⁶⁴ In addition, *PFN1* mutations may link the cytoskeletal defects and RNA aggregation seen in ALS. Mutant PFN1 colocalizes with and enhances the formation of stress granules.¹⁶⁷ As the cytoskeletal machinery is crucial in the formation and disassemblage of RNA-containing stress granules, it is tempting to speculate that mutant PFN1 may play a pivotal role in the impairment of this process. Furthermore, PFN1 mutants form insoluble and ubiquitinated aggregates, which are enhanced by ubiquitin–proteasome impairment.¹⁶⁴ These observations further emphasize that the artificially separated pathogenic mechanisms in ALS are highly interrelated.

There is additional evidence for a role of axonal factors in the mechanism of motor neuron degeneration.¹⁵⁴ EphA4 expression has been found to inversely correlate with disease onset and survival.¹⁶⁸ EphA4 is a receptor in the ephrin axonal repellent system¹⁶⁹ and induces cytoskeleton remodeling through RhoA GTPase.¹⁷⁰ Its expression in ALS patients was studied because its pharmacological and genetic inhibition

rescued the ALS phenotype in zebrafish and increased the survival in ALS mice and rats.¹⁶⁸ It was suggested that EphA4 is a determinant of the re-innervating capacity of motor neurons and contributes to the differential vulnerability of these neurons in ALS. Interestingly, ephrin signaling had already been found to be involved in the pathogenic mechanism of mutant vesicle-associated membrane protein-associated protein B and C (VAPB),¹⁷¹ which is a rare cause of ALS.¹⁷² Of notice, the *alsin* gene, in which loss-of-function mutations cause an unusual form of ALS,¹⁷³ belongs to the RhoA GTPase family.¹⁷⁴ These observations suggest that the dynamics of the cytoskeleton and axonal outgrowth may be involved in constituting ALS and its phenotype.

More direct evidence, however, comes from the finding that *tubulin alpha 4A (TUBA4A)* mutations are associated with ALS.¹⁷⁵ TUBA4A mutants disrupt microtubule dynamics and stability, strengthening the hypothesis that alterations of cytoskeletal integrity may have a major role in ALS.¹⁷⁵ Interestingly, at least one mutant (W407X) displayed aggregation propensities reminiscent of other ALS-associated mutant proteins.¹⁷⁵ Its pathogenic effect may thus be explained in terms of overburdening of the UPS and trapping of tubulin-binding proteins.¹⁷⁵ However, the relevance of these aggregates in vivo still remains to be seen.

A genetic basis for SALS and phenotypic variability: a complex puzzle with missing pieces

Although unraveling of the genetic basis of some forms of ALS has provided insights in the mechanisms underlying motor neuron degeneration, many questions remain. One major issue relates to the genetic basis of ALS/FTD that occurs in patients who have no affected family members. Some of this may be Mendelian hereditary as explained earlier. Some of it may be polygenic. Genetic variants may indeed convey susceptibility, while other factors (genetic or environmental) may be needed to establish phenotypic expression. The *C9orf72* expansion mutation already points in that direction, as mentioned earlier. Twin studies, GWAS, and the recent exome and whole-genome sequencing efforts address these issues.

Twin studies in ALS

Twin studies are valuable tools to study the genetic and environmental contribution in the etiology of complex disorders.¹⁷⁶ Hereby, the frequency of disease occurring in both members of the twin pair is calculated (concordance)

whereafter the concordance rates of identical and dizygotic twins are compared.¹⁷⁷ High concordance rates in monozygotic twins and much lower concordance rates in dizygotic twins imply a strong genetic influence. Equal concordance in monozygotic and dizygotic twins suggests that environmental factors are more important.¹⁷⁷

Twin studies have been employed to test the assumption that there is a genetic component to all ALS. One study included 171 twin pairs by combining the British MND data with data from twins identified via an ALS registry in the United Kingdom and from the National Swedish Twin Registry.²⁶ The heritability of SALS was estimated as 0.61, strongly suggesting that the majority of SALS cases also have a genetic basis. This is however an overestimation compared to two independent studies that applied genome-wide complex trait analysis (GCTA) to unrelated ALS individuals.^{178,179} GCTA differs from traditional GWAS studies in such a way that the overall effect of multiple single-nucleotide polymorphisms (SNPs), which may be nonsignificant on their own but additive together, can be assessed.¹⁸⁰ The heritability estimates of SALS ranged from 20%–25%, implying that about one-fifth instead of more than half of disease risk comes from mutant genes in SALS.

It appears that the methodological differences make a precise estimate of ALS heritability difficult.¹⁸¹ The low number of possible study subjects remains a main limitation for the power of a classical twin study. In addition, recent evidence reveals the environmental, genetic, and epigenetic complexity of twin studies.^{181,182}

GWAS conveying candidate ALS genes: food for thought

GWAS have been successful in identifying the genetic basis for a long list of human diseases. Essentially, this approach screens the genome for SNPs that occur more frequently in patients with a particular disease than in control individuals. Thousands of SNPs across the genome can be examined, making GWAS an interesting tool to identify the variations contributing to a person's risk and modifying the disease. The most consistent result obtained in GWAS in ALS is the linkage to the chromosome 9p21 locus that later was found to contain the expanded *C9orf72* gene.¹⁸³ Only few of the many other GWAS results in ALS reported could be replicated in different populations.

UNC13A was identified as a risk factor for ALS^{184,185} and a modifier of ALS survival in general^{186,187} and in *C9orf72* patients.¹⁸⁸ *UNC13A* is a presynaptic protein that regulates the

release of neurotransmitters, such as glutamate, at neuromuscular synapses.¹⁸⁶ Upon exocytosis, presynaptic vesicles are recruited to the membrane and primed for membrane fusion.¹⁸⁹ Disturbance of this priming process as a result of altered UNC13A function could lead to impairment in neurotransmitter release and ultimately to the death of motor neurons.¹⁹⁰ As UNC13A regulates glutamate release, this mechanism may support the glutamate excitotoxicity hypothesis in ALS.¹⁹¹

Polymorphisms in the *elongator acetyltransferase complex subunit 3 (Elp3)* gene have been found to be associated with ALS,¹⁹² and with survival after onset in C9orf72 expansion carriers.¹⁸⁸ Elp3 is a member of a complex involved in RNA processing through mRNA elongation, histone acetylation, and modification of transfer RNA wobble nucleosides.¹⁹³ Knockdown of Elp3 resulted in shortening and abnormal branching of motor neuron axons in zebrafish and in synaptic abnormalities in *Drosophila*.¹⁹² The effect of the polymorphisms on Elp3 function is unknown, and how Elp3 affects neuronal function is uncertain. In *Drosophila*, Elp3 acetylates Bruchpilot, a synaptic active zone protein involved in synaptic vesicle release.¹⁹⁴ This may link its mechanism to that of UNC13A, discussed earlier. However, it is unknown whether Elp3 also affects the function of active zone proteins in mammalian cells. It is fairly well possible, given the significance of RNA metabolism as a factor in the mechanism of ALS, that it is the effect of Elp3 on transfer RNA function that explains its effect in ALS.¹⁹⁵

Disappointingly, many of the GWAS hits in ALS did not stand the test of replication.¹⁹⁶ Several factors may be invoked to explain this. GWAS requires thousands of patient and control samples in order to obtain sufficient statistical power. Recently generated large DNA banks and the public availability of results obtained in individual studies, allowing meta-analyses, are necessary for this.¹⁹⁷ Furthermore, in view of the disease heterogeneity explained earlier, it may be necessary to study phenotypically or genetically more homogenous patient populations. Finally, it should be mentioned that the commonly studied SNPs only cover the most common genetic variation⁴ and that we may have to step away from this common disease/common variant hypothesis in ALS. To that end, new genotyping platforms are currently used to detect rare variants (minor allele frequency <5% instead of >5% for common variants).⁴

Whole-genome sequencing projects and exome sequencing efforts

It is unlikely that one single locus drives the genetic risk for so-called SALS; a number of different genes may add to

the risk, each with a relatively modest contribution.⁴ Exome and whole-genome sequencing covers most of all variations possible.

The use of exome sequencing, which aims to identify coding variants, led to the discovery of a missense mutation in, for example, the *VCP* gene that segregated with ALS⁵⁶ and in the *CHCHD10* gene that causes ALS and FTD.^{198,199} Exome sequencing in parent-case offspring trios has already been employed for the investigation of de novo mutations in SALS.^{200–202} To this end, de novo mutations have been found in genes encoding chromatin regulators, including the neuronal chromatin remodeling complex (nBAF) component *SSI8L1* (also known as *CREST*).²⁰³ Furthermore, the usage of exome-wide rare variant burden analysis recently resulted in the discovery of *TUBA4A* mutations in ALS.¹⁷⁵

Whole-genome sequencing represents a promising approach for the detection of rare variants that establish ALS risk. To this end, the “whole-genome sequencing project” aims to sequence over 1,500 genomes, making use of samples available in the UK MND DNA bank. This study collaborates with Project MinE, an international initiative that aims to sequence 15,000 motor neuron disease genomes in several participating countries (including the Netherlands, Belgium, France, the US, and Australia). The detailed clinical information linked to these samples will allow the search for genetic factors that are associated with certain phenotypes such as slow disease progression or extended survival, cognitive involvement, etc.

Disease heterogeneity and disease modifiers: hope or hype?

The finding that the same mutation can be associated with quite variable phenotypes, as mentioned earlier, suggests the existence of (genetic and/or environmental) factors that modify the phenotype. Such factors are important to identify, as they may represent therapeutic targets, which would enable us to tackle disease severity, even without knowing the exact cause of ALS. Genetic factors such as *UNC13A*, *Elp3*, and *EphA4* have been mentioned earlier. In addition, effects of *PGRN*,¹⁴ *PPARGC1A*,²⁰⁴ *APOE*,²⁰⁵ *MAO-B*,²⁰⁶ *KIFAP3*,²⁰⁷ *ZNF512B*,²⁰⁸ *VEGF*,²⁰⁹ and *ATXN2*^{210,211} on the age of onset or survival have been reported.

The intermediate-length CAG expansion in *ATXN2* (≥ 34 repeats, encoding polyglutamine [polyQ]) was initially identified as a cause of spinocerebellar ataxia type 2 (SCA2).²¹² Afterward, the gene was put forward as a modifier of mutant TDP-43 toxicity in yeast.¹⁰⁶ Based on this observation, a genetic study was performed in humans, which showed that a polyQ intermediate expansion (27–33 repeats) on one allele in

ATXN2 is a risk factor for ALS.^{106,213–216} This raises the possibility that SCA2 and ALS represent opposite ends of a clinical spectrum, further underlining that ALS most likely consists of a group of diseases that has motor neuron degeneration in common. In ALS, the expanded repeat alleles are interrupted with 1–3 CAA codons that reside within the CAG repeat.²¹⁰ The number of interruptions is a determinant of disease onset.²¹⁰ In addition, patients bearing ≥ 31 polyQ *ATXN2* repeats had a shorter survival than those with < 31 repeats.²¹¹ Disease-modifying therapies targeting *ATXN2* may therefore represent a promising therapeutic approach for ALS.

Finally, a possible modifying effect of VEGF in ALS has been reported. VEGF overexpression²¹⁷ or intracerebrovascular administration²¹⁸ in SOD1-based rodent models attenuated onset of paralysis, improved motor performance, and prolonged survival. A clinical trial is ongoing in which VEGFa is delivered intracerebroventricularly using an infusion pump. The clinical significance of all these modifying factors identified in genetic studies or in animal models needs further study. An overview of genes for which there is more than anecdotal evidence is represented in Table 1 and Figure 2.^{219–237}

Therapeutic targets and potential for personalized treatment?

It is hoped for that the discovery of disease-causing and modifying genes in ALS allows the development of tailored therapies based upon the patient's genetic fingerprint. Approaches for this are emerging. Causal treatments directly target the factor considered to be the cause of ALS and aim to lower the expression of disease-causing proteins or RNA species. An immunological approach is being explored for mutant SOD1-associated ALS. Both passive and active immunization protocols have been shown to have a positive impact on disease progression and to delay the mortality in ALS mice by decreasing the abundance of misfolded SOD1.^{238–240} Genetic approaches use lentiviral vector-delivered siRNA or use intrathecal delivery of antisense oligonucleotides. They have been successfully studied in rodent models for mutant SOD1-associated ALS.^{241–243} Based on these findings, a Phase 1 trial was initiated and the intrathecal administration of antisense oligonucleotide against SOD1 was found to be safe and well tolerated.²⁴⁴ This study may pave the way for applying this approach to other forms of genetically determined motor neuron disorders and in fact several neurodegenerative diseases such as Huntington's disease.²⁴⁵

The generation of iPSC-derived neurons from ALS patients now allows to generate and test novel therapeutic approaches. Some studies report these cells to show a pheno-

type that may be relevant to what happens in vivo,^{115,246–252} but more work is needed. One such highly interesting example is the use of neurons from patients with a *C9orf72* expansion mutation.²⁵² These were found to develop RNA foci reminiscent of what is seen in vivo. Antisense oligonucleotides targeting the *C9orf72* transcript reduced the formation of such RNA foci suggesting that this is an approach to be considered in *C9orf72* patients.^{115,116,247}

Conclusion

ALS genetics has made remarkable progress and is essential to gain insight into the complex pathogenic mechanisms leading to motor neuron degeneration and the clinical entity we call ALS. Still, despite the boom of newly found ALS genes, the cause of approximately a third of FALS and most of SALS remains unknown.⁴ The identification of the remaining genes represents a substantial challenge, for which novel approaches are being explored. The genetic heterogeneity of ALS has led to the notion that therapeutic interventions will need to be personalized. Treatment options based on the genetic cause of ALS are on the way. In the long run, further efforts will presumably enable clinicians to treat ALS patients with the most suited therapy, based on their genetic fingerprint.

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