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#### REVIEW

# Reducing rectal injury in men receiving prostate cancer radiation therapy: current perspectives

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**Abstract:** Dose escalation is now the standard of care for the treatment of prostate cancer with radiation therapy. However, the rectum tends to be the dose-limiting structure when treating prostate cancer, given its close proximity. Early and late toxicities can occur when the rectum receives large doses of radiation therapy. New technologies allow for prevention of these toxicities. In this review, we examine the evidence that supports various dose constraints employed to prevent these rectal injuries from occurring. We also examine the use of intensity-modulated radiation therapy and how this compares to older radiation therapy techniques that allow for further sparing of the rectum during a radiation therapy course. We then review the literature on endorectal balloons and the effects of their daily use throughout a radiation therapy course. Tissue spacers are now being investigated in greater detail; these devices are injected into the rectoprostatic fascia to physically increase the distance between the prostate and the anterior rectal wall. Last, we review the use of systemic drugs, specifically statin medications and anti-hypertensives, as well as their impact on rectal toxicity.

Keywords: rectal toxicity, radiation therapy, prostate, prevention

## Introduction

Radiation therapy for prostate cancer affords local control and survival benefits for men with varying stages of the disease. We now understand that dose escalation –through intensity-modulated radiation therapy (IMRT), brachytherapy (BT), or a combination of both IMRT and BT – allow even greater biochemical disease-free survival benefits. While this increased dose improves tumor kill, the tolerance of normal tissues limits the dose of radiation (RT) that can be safely delivered.<sup>1</sup> The rectum, in most instances, tends to be the structure that limits the overall dose prescribed due to the potential toxicities.

Early rectal toxicities develop during the course of radiation therapy and typically persist for <90 days after the completion of treatment. These symptoms include loose stools or diarrhea, tenesmus, urgency, anorectal pain, irritation of hemorrhoids, and bleeding. These side effects are typically self-limited. However, more severe acute toxicities put patients at greater risk for long-term injuries from radiation therapy.<sup>2</sup>

Late RT-induced rectal toxicities are defined as those persisting or developing >90 days after therapy ends. Development of chronic proctitis (Figure 1) after pelvic RT has been reported in 5% to 40% of patients.<sup>3</sup> Rectal bleeding is the most common late toxicity, which generally develops due to neovascularization and telangiectasias.<sup>4,5</sup> Thickening and edema of the anterior rectal wall can result. Severely affected bowel can become fibrotic and ischemic, which results in more severe clinical manifestations, such as fistula formation, stricture, loss of compliance of the anal sphincter and even

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Figure I Example of radiation proctitis found on endoscopy. There are multiple hemorrhagic changes and telangiectasia seen after radiation therapy to the pelvis. Copyright ©2012. Reproduced from World Endoscopy Organization. Available from: http://www.endoatlas.org/index.php?page=results\_iquery&mstcat=3.<sup>108</sup>

rectal perforation. Although few patients develop bleeding severe enough to necessitate transfusion, it can severely alter daily activities and affect the patient's quality of life (QOL).

In this article, we discuss methods that one can employ to prevent these toxicities.

#### Incidence

Advances in technology have resulted in improved RT dose delivery which, in turn, has improved biochemical disease-free survival.<sup>6</sup> There are various approaches to increasing the dose the patient receives, including high-dose photons using IMRT,<sup>6</sup> charged particles (protons),<sup>7</sup> and combinations of external beam and BT.<sup>8,9</sup> Despite increased dose conformity, rectal injuries have not been eliminated, owing to the proximity of the rectum to the prostate.

Giordano et al<sup>10</sup> analyzed the SEER database for claims of gastrointestinal (GI) diagnoses 6–60 months after RT (n = 24,130), and compared this to a group of men with prostate cancer treated without RT (n = 33,835). They found that late lower GI toxicity after RT may be more common than previously reported. Rates of GI diagnoses were 19% higher in the RT group, with bleeding being the most common diagnosis (40% vs 18–20% in patients treated without RT). Lower endoscopies were performed in 32% of RT patients versus 12% of patients treated with radical prostatectomy. These patients were treated between 1992 and 1999; thus, today's improved techniques of irradiation (IMRT and BT) might reduce the risk of GI injury, but not eliminate it. Conversely, these values may more accurately estimate the risk of GI injury throughout the radiation oncology community, as opposed to what is reported from highvolume centers. Table 1 summarizes data on the incidence of late rectal injury after various types of radiotherapy for prostate cancer.

## **IMRT**

Dose escalation has become the standard of care for patients with prostate cancer after randomized trials showed improvement in disease control with increasing RT dose.<sup>7,11–13</sup> The primary clinical problem radiation oncologists face is the ability to achieve high doses of irradiation at the tumor site without causing severe complication in normal tissues that are in the path of the treatment beam. Over the past two decades, there have been significant advances in technology that now allow three-dimensional (3D) tailoring of RT dose delivery as well as proton therapy (Figure 2). IMRT is a technique that uses multiple beam angles and robotically controlled beam-shaping devices located within the linear accelerator to conform the dose to the prostate as closely as possible. This allows for sparing of the nearby normal structures – in this case, the bladder and/or rectum.

The utilization of IMRT in the treatment of prostate cancer has led to decreases in rectal injury. An example of this comes from a study by Zelefsky et al<sup>14</sup> in which they examined 1,571 patients treated with 3DCRT or IMRT. The doses ranged from 66 to 81 Gy and all patients who received IMRT were prescribed 81 Gy. With a median follow-up of 10 years, they found that the incidence of Grade ≥2 GI toxicity was significantly lower at 5% in the IMRT group versus 13% in the 3DCRT group (P < 0.0001), despite the fact that patients in the IMRT group received higher doses of RT to the prostate. Alicikus et al<sup>15</sup> examined 10-year outcomes of 170 patients who received 81 Gy RT using a 5-field IMRT technique. They found that late Grade 2 GI toxicity occurred in 4 patients (2%), and late Grade 3 GI toxicity occurred in 2 patients (1%). Among patients who developed late Grade 2 GI toxicity, 2 developed rectal bleeding at a median of 24.5 months after therapy completion. No Grade 4 rectal toxicities were noted. The 10-year incidence of late Grade 2 GI toxicity was 3.7%, much lower than in historical controls using 3DCRT.11,13,16

Whereas IMRT has improved the ability to safely dose escalate in patients with prostate cancer, IMRT per se cannot take sole credit for reduction in toxicities. Given that larger doses are prescribed in prostate IMRT plans, the margins around the clinical target volume are significantly reduced as compared to 3DCRT plans and accurate image guidance is required to safely deliver these treatments.

Author	Treatment	Dose	Incidence	Comments
Vargas et al <sup>30</sup>	IGRT	75.6 Gy median	20% Grade ≥2	Cumulative incidence
Sarosdy <sup>93</sup>	$BT\pmEBRT$	Various	26% (3% Grade 4)	Crude incidence
Akimoto et al <sup>94</sup>	EBRT	69 Gy; 3 Gy/fraction	25% Grade ≥2	Cumulative incidence
Rancati et al <sup>29</sup>	3D	64–79.2 Gy	8% Grade ≥2	Crude incidence
Lee et al <sup>95</sup>	3D	70–74 Gy	24% Grade ≥2	Cumulative incidence
Sherertz et al%	EBRT+BT	Various	7.5%	Crude incidence
Tucker et al <sup>97</sup>	3D	78 Gy	23% Grade ≥2	Crude incidence
Waterman and Dicker <sup>98</sup>	ВТ	150 Gy	10%	Crude incidence
Yoshioka et al <sup>99</sup>	HDR BT	48–54 Gy, 6 Gy/fraction	12%	Crude incidence
Albert et al <sup>100</sup>	$BT\pmEBRT$	Various	8%–30% Grade ≥2	Actuarial
Ryu et al <sup>101</sup>	3D	79.2 Gy	~20% Grade ≥2	Estimated
Michalski et al <sup>37</sup>	3D	74 Gy	~20% Grade ≥2	Estimated
Skwarchuk et al <sup>102</sup>	3D	≥75.6 Gy	I7% Grade ≥2	Actuarial
Lee et al <sup>46</sup>	3D, IMRT	73.8 Gy (conventional) vs 70 Gy (hypofractionated)	14% vs 22%, Grade $\geq$ 2, respectively	Cumulative incidence
Hoffman et al <sup>103</sup>	3D, IMRT	75.6 Gy (conventional) vs 72 Gy (hypofractionated)	20% vs 37%, Grade $\geq$ 2, respectively	Cumulative incidence
Pollack et al <sup>104</sup>	IMRT	76 Gy (conventional) vs 70.2 Gy (hypofractionated	23% vs 18%, Grade $\geq$ 2, respectively	Crude incidence
Katz and Kang <sup>105</sup>	SBRT	35–36.25 Gy	4% Grade 2	Actuarial
Chen et al <sup>106</sup>	SBRT	35–36.25 Gy	1% Grade 2	Actuarial
King et al <sup>107</sup>	SBRT	36.25 Gy	2% Grade 2	Cumulative incidence
Kim et al <sup>79</sup>	SBRT	45, 47.5, and 50 Gy	45 Gy–7% Grade ≥2, 47.5 Gy–33% Grade ≥2, 50 Gy–33% Grade ≥2	Cumulative incidence

Abbreviations: IGRT, image-guided radiation therapy; 3D, three-dimensional conformal radiation therapy; EBRT, external beam radiation therapy; BT, brachytherapy; HDR, high dose rate brachytherapy; IMRT, intensity-modulated radiation therapy; SBRT, stereotactic body radiation therapy.

## Image-guided radiation therapy

One of the most crucial aspects of patient treatment involves the setup and target localization before each treatment fraction. New technologic advances have dramatically improved the accuracy of IGRT.<sup>17–19</sup> These techniques include daily CT scans acquired by an imaging system mounted on the linear accelerator,<sup>20</sup> radio-opaque fiducial markers placed in the prostate and imaged with daily X-rays,<sup>21</sup> and radiofrequency beacons that can be implanted, similar to fiducials.<sup>22</sup> The position of those radiofrequency beacons can be electronically determined and allows real-time monitoring of the position of the prostate during therapy. This helps reduce rectal injury as the radiation beam can be turned off if the target beacons move outside a predetermined location. Rajendran et al<sup>23</sup>



Figure 2 Comparison of treatment plans in a patient with prostate cancer. Shown is a typical slice of the planning CT in the pelvis with different radiation plans calculated: left image: Conventional 4-field 3D-conformal plan; middle image: intensity-modulated volumetric arc plan, right image: 4-field proton plan. The arrows show the target contour (prostate, red), the rectum (black with inflated rectum balloon), and the hips. Red signifies high dose volume, green intermediate dose volume, and blue low dose volume. The rectum is the major organ that has to be spared from radiation in the case of prostate cancer. To protect the rectum, an endorectal balloon is inserted before every radiation fraction and inflated with air. The rectum balloon serves to move major parts of the rectal wall away from the prostate and the high dose volume. The volumetric arc plan spares the rectum much better and conforms the dose better to the prostate that b3D plan. In comparison, the proton plan shows equal target coverage as the VMAT plan, but much less dose delivery to the surrounding tissue, especially the rectum and both hip bones. Copyright ©2013. Swiss Physical Society. Image and figure caption reproduced from Lang S and Riesterer O. Progress in Physics: Modern Techniques in Radiation Oncology. SPG Mitteilungen. 2013;41(36): 19–22.<sup>109</sup>

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evaluated dosimetric consequences of daily isocenter corrections during prostate cancer radiation therapy of 28 men using an electromagnetic beacon system. They calculated the daily corrections in positioning based on real-time data obtained by the beacons during delivery of 79.2 Gy. They reported that, without daily electromagnetic localization, 70% of the rectum received an additional 10 Gy.

To investigate the importance of IGRT in prostate radiation therapy, Chung et al<sup>24</sup> examined 25 patients treated with high-dose IMRT. Radio-opaque fiducials were placed into the prostate in 15 patients and were compared to 10 patients without fiducials. The planning target volume dose coverage was not significantly different between the two groups. However, the volume of rectum and bladder receiving  $\geq$ 40,  $\geq$ 60, and  $\geq$ 70 Gy were all significantly less using IGRT (*P* < 0.001). This correlated with lower acute RTOG Grade 2 rectal toxicities (80% vs 13%, *P* = 0.004).

# Dose-volume histograms (DVHs)

Given the increased use of IMRT, quantitative assessments of the doses delivered to normal organ volumes have become increasingly important. With the use of 3D planning, reliable dose–volume relationships have been developed to reduce toxicity. This has also led to the establishment of normal tissue complication probability (NTCP) models to allow radiation oncologists to protect normal tissues by setting predetermined dose–volume constraints at the time of RT treatment planning.

Fiorino et al<sup>25</sup> reviewed the existing literature on NTCP models and dose constraints of pelvic organs and related these constraints to specific pelvic toxicities. The authors found relatively consistent agreement among investigations on the DVH relationships to rectal bleeding. Additionally, the strict application of rectal dose-volume constraints in high-dose IMRT translated into a greatly reduced rate of bleeding.<sup>25–32</sup> After reviewing available literature, they found that keeping the volume of rectum receiving >70 Gy and >75 Gy (V70Gy and V75Gy) to <25% and <5%, respectively, reduced the incidence of late rectal bleeding.33-35 In addition to keeping the high-dose volumes (V70 and V75) as low as possible, the moderate dose volumes (V40-V50) can also play a key role in the development of rectal bleeding. The authors found an increased incidence of rectal bleeding when large portions of the rectum received 40-50 Gy.

Two large clinical trials reported correlations between rectal DVHs and rectal incontinence.<sup>32,36</sup> The authors suggested keeping the V40Gy to <65–70% to reduce the risk of

chronic late incontinence, defined as using pads, to <1.5%. Others reported that large volumes (80–90%) of the rectum receiving 40–50 Gy were predictive of late incontinence.<sup>36</sup> They hypothesized that the mechanism involved the reduced ability of the rectal mucosa to absorb water.

The majority of dose-volume investigations have focused on late rectal toxicities and the doses that may predict for these events. While fewer studies examined acute rectal toxicity, they are equally important as acute rectal injuries predict for chronic injuries and can lead to worsened patient QOL and treatment interruptions. Michalski et al<sup>37</sup> reported on 262 patients that were enrolled on RTOG 9406, a phase I-II dose escalation trial using 3DCRT for localized prostate cancer. They used a rectal constraint of V65Gy <20%. They found that acute toxicity was remarkably low at 3%, with no Grade 4 or 5 acute toxicities reported. Peeters et al<sup>38</sup> examined dose-volume data and correlated this to acute toxicity. They found that both intermediate and high doses delivered to the rectum correlated with GI toxicity. They also found that the rectal lengths irradiated to doses greater than 5, 15, and 30 Gy were correlated with acute side effects.

In a recent study, Schaake et al<sup>39</sup> developed multivariable NTCP models for late rectal bleeding, stool frequency, and fecal incontinence in men that received pelvic radiation therapy for prostate cancer. They prospectively analyzed 262 patients. Anorectal toxicity was assessed, and the authors identified and contoured different anatomical subregions within and around the anorectum for dosimetric analyses. They found that rectal bleeding was associated with the anorectum V70. Fecal incontinence was associated with the external sphincter V15 and the iliococcygeal muscle V55. Moreover, they found that increases in stool frequency were associated with the iliococcygeal muscle V45 and the levator ani V40. They did not find significant associations for rectal pain.

Given that most of the aforementioned studies defined dose–volume constraints based primarily on 3D-conformal RT, Mirjolet et al<sup>40</sup> studied whether these same constraints applied to IMRT. They retrospectively examined 180 patients with prostate cancer treated with IMRT and looked at standard dose–volume-specific endpoints, specifically the volume of rectum receiving from 25 to 75 Gy (V25–V75), expressed in percentages (%) and in cubic centimeters (cc). They then calculated the area under the DVH curve between 25 and 50 Gy for the rectum (rAUC<sub>25–50</sub>), which they hypothesized would more accurately predict risk of rectal events. The rAUC<sub>25–50</sub> calculated in cubic centimeters correlated with any grade  $\geq$ 1 acute GI toxicity (*P* = 0.028). Based on this, the

authors recommended that the  $rAUC_{25-50}$  of the entire rectum should not exceed 794 cc.Gy.

The aforementioned studies examined the association between rectal injury and dose to the rectum using whole organ at risk (OAR) models, where the entire rectum is assumed to be homogeneously radiosensitive. However, Dréan et al<sup>41</sup> recently published a unique analysis where the authors set out to identify rectal subregions at risk (SRR) that correlated with rectal bleeding and compared these SRRs to conventional whole-organ rectal DVHs. The authors prospectively treated 173 patients with localized prostate cancer and generated 20 geometric rectal delineations for all patients. These delineations included the whole rectum, anterior hemirectum, craniospinal rectum thirds (inferior, medium, and superior), the part of the rectum directly in front of the prostate, and the portions of the rectum localized at <5, 10, 15, and 20 mm from the prostate surface. DVHs were then calculated in three types of rectal subregions: "geometric", "personalized", obtained by non-rigid registration followed by voxel-wise statistical analysis (SRRp); and "generic", mapped from SRRps, located within 8×8 rectal subsections (SRRg). Through this non-rigid registration and voxel-wise statistical analysis, the authors were able to identify a specific SRRp for each patient representing <4% of the absolute rectal volume as a whole. These rectal subregions were primarily located in the subprostatic anterior hemirectum and upper part of the anal canal. The dose delivered to these subregions in patients suffering from rectal bleeding was almost 4 Gy greater (up to 6.8 Gy) than for patients without rectal bleeding.

# Moderate hypofraction

Conventional fractionation for prostate cancer involves delivering daily fractions of 1.8-2 Gy per day over 7-9 weeks. Recent advances in radiation therapy technologies have renewed interest in hypofractionation – defined as delivery of daily fractions of 2.5-10 Gy. Moderate hypofractionation (MH) has been defined as <4 Gy per fraction and, recently, has been tested in prospective trials against conventional fractionation. The linear quadratic model describes the association of the total isoeffective dose and fraction size. The model uses two constants:  $\alpha$  and  $\beta$ , and the ratio  $\alpha/\beta$  is inversely related to the effect of changes in fraction size on normal and malignant tissues. Most cancers and acute normal tissue reactions are believed to have high  $\alpha/\beta$  ratios of 10 Gy. However, prostate cancer has been suggested to have an  $\alpha/\beta$ of 1.5 Gy.<sup>42,43</sup> This is lower than the 3 Gy reported for the late reactions of most normal tissues, including the rectum.44 MH also has advantages of increased convenience for the patient and a lower cost burden for the health-care system.

Recently, four large randomized trials have been published that compared standard fractionation (SF) to MH. The trials were CHHiP,<sup>45</sup> RTOG 0415,<sup>46</sup> PROFIT,<sup>47</sup> and HYPRO.<sup>48</sup> The first three trials were non-inferiority trials and the doses in the MH arms ranged from 57 to 70 Gy administered in 2.5–3.4 Gy per fraction. The HYPRO<sup>48</sup> study was a superiority trial and the patients in the MH arm received 64.6 Gy in 3.4 Gy per fraction. Overall, these studies demonstrated that the safety and efficacy of MH was similar to that of SF. However, the MH arm in the HYPRO study was not superior to conventional radiotherapy with respect to 5-year relapse-free survival.

The CHHiP trial<sup>45</sup> was the largest non-inferiority randomized study of MH and randomized 3,216 patients to 74 Gy/37 fractions/7.4 weeks, 60 Gy/20 fractions/4 weeks, or 57 Gy/19 fractions/3.8 weeks, with treatment delivery using IMRT. After a median follow-up of 62.4 months, acute RTOG GI toxicity had become similar in each arm by 18 weeks, it peaked earlier in the hypofractionated arm (4-5 weeks) compared to the control arm (7–8 weeks). Early Grade  $\geq 2$ GI toxicity was significantly higher in the hypofractionated arms; it was 25% in the 74-Gy arm, 38% in the 60-Gy arm (P < 0.0001), and 38% in the 57-Gy arm (P < 0.0001). The 5-year clinician and patient-reported side effects were not significantly different. RTOG Grade ≥2 GI toxicity was reported at 13.7, 11.9, and 11.5% in the 74-, 60-, and 57-Gy arms respectively. Comparison of the 60- and 57-Gy arms revealed a slightly higher rate of cumulative LENT-SOM Grade ≥2 GI toxicity [hazard ratio (HR) 1.39, 95% confidence interval (CI) 1.14–1.70; P = 0.001].

The PROFIT trial<sup>47</sup> enrolled 1,206 men and randomized them to 60 Gy/20 fractions/4 weeks versus 78 Gy/39 fractions/7.8 weeks. The median follow-up was 6 years. The proportion of patients with acute Grade  $\geq$ 3 toxicity was low in both arms. Late Grade  $\geq 3$  toxicity was not significantly different between groups; interestingly, there was a trend toward higher levels in the standard arm (P = 0.10). There was a significant increase in acute Grade  $\geq 2$  toxicity in the MH arm (P = 0.003). However, the late Grade  $\geq 2$  toxicity was significantly increased in the standard arm (P = 0.006). The RTOG 0415 study<sup>46</sup> randomized 1,092 patients with lowrisk disease to 73.8 Gy/41 fractions/8.2 weeks or 70 Gy/28 fractions/5.6 weeks. The median follow-up was 5.8 years. The acute side effects did not differ significantly in the two arms of the study. Late grades 2 and 3 GI adverse events were approximately 60% more likely in men who were assigned to treatment with MH (RR, 1.55-1.59).

In contrast to RTOG 0415,<sup>46</sup> CHHiP<sup>45</sup> reported no difference in late toxicity, whereas PROFIT<sup>47</sup> reports a lower rate of late toxicity in the MH arm. These differences may be due to the biologically effective dose (BED) in the MH and control arms of each individual study as explained by Benjamin et al.<sup>49</sup> Assuming an  $\alpha/\beta$  of 3.0 Gy for bladder/rectum, the BED in the MH arm is higher than in the control arm in RTOG 0415<sup>46</sup> (128 Gy vs 118 Gy), similar to the control arm in CHHiP<sup>45</sup> (120 Gy vs 123 Gy), and lower than the control arm in PROFIT<sup>47</sup> (120 Gy vs 130 Gy).

The HYPRO study<sup>48</sup> is the largest of the MH superiority studies. HYPRO randomized 804 patients with intermediateor high-risk disease to 64.6 Gy/19 fractions/3 fractions per week/6.5 weeks or 78 Gy/39 fractions/5 fractions per week/7.8 weeks, respectively. The cumulative incidence for acute Grade  $\geq 2$  GI toxicity was significantly higher (OR 1.6; *P* = 0.0015) in the MH arm (42%, 95% CI 37.2%–46.9%) compared to control (31.2%, 95% CI 26.6%–35.8%). There were no statistically significant differences in cumulative Grade  $\geq 3$  late GI toxicity between the two arms (2.6% vs 3.3%).

# Endorectal balloons

Endorectal balloons are silicon or latex devices that are filled with either air or water and are inserted into the rectum just prior to an RT treatment. These balloons have been utilized during radiation therapy as prostate immobilizers to reduce interfraction and intrafraction variations in prostate position, thus facilitating treatment with tighter margins. D'Amico et al50 evaluated intrafraction prostate motion by obtaining CT-images at 1-minute time intervals, both with and without an air-filled (60 cc) endorectal balloon in place. The mean displacement of the prostate gland with the endorectal balloon present versus that when absent was 1.3 mm (range 0-2.2 mm) versus 1.8 mm (range 0-9.1 mm) at 2 minutes, respectively, and both were statistically significant (P = 0.03). The maximum displacement in any direction (anterior-posterior, superior-inferior, or right-left) when the endorectal balloon was inflated versus absent was reduced to ≤1 mm from 4 mm.

Other investigators looked at larger endorectal balloons (100 cc) and studied the interfraction motion throughout a prostate RT course. Two groups of investigators using 100 cc balloon<sup>51,52</sup> found small interfraction displacements when the device was inserted. The largest mean displacement was in the superior–inferior direction and measured 0.92 mm. Additionally, no organ displacement was seen during normal breathing with the balloon inserted. Given this limited prostate motion, smaller treatment margins were advised when using the balloon.

van Lin et al53 investigated the effect of an endorectal balloon on the day-to-day interfraction prostate gland motion. They compared prostate displacements daily in three orthogonal directions with portal images in patients with the balloon, compared to measurements made without the balloon. They found that prostate displacements were essentially the same for both groups: 1.3-1.8 mm. The mean 3D displacement was reduced to 2.8 and 2.4 mm for the balloon and no-balloon groups, respectively. The random interfraction displacements, relative to the treatment isocenter, were not reduced by the endorectal balloon and remained nearly unchanged in all three directions: 3.1 mm left-right, 2.6 mm superior-inferior, and 4.7 mm anterior-posterior. They concluded that off-line corrections using fiducial markers were effective at reducing the systematic prostate displacements and that endorectal balloons did not further reduce interfraction prostate motion. A similar conclusion was made by a separate group of investigators that prospectively analyzed weekly portal images of 15 patients undergoing external-beam radiotherapy with endorectal balloons.<sup>54</sup> Thus, there are conflicting reports on the utility of these balloons.

Endorectal balloons also effect the dosimetry of radiation therapy plans, and many groups of investigators have studied this dosimetric impact. In 3DCRT plans, smaller endorectal balloons (40 cc air-filled) reduced the high-dose exposure to the posterior rectal wall.<sup>55</sup> This finding was largely attributed to the increased distance between the prostate and the posterior rectal wall. However, when the seminal vesicles (SVs) were included in the target volumes, only intermediate-sized balloons (60 cc) led to reductions in intermediate and high posterior rectal wall doses.<sup>56,57</sup> These results were reproduced even when SVs were included in the treatment field.<sup>58</sup>

van Lin et al performed a unique study comparing endorectal balloons of various sizes. They studied 40, 80, and 100 cc balloons, versus no balloons, in patients undergoing four-field 3DCRT and IMRT treatments.<sup>59</sup> They analyzed 284 treatment plans and examined the rectal wall mean dose, the rectal wall NTCP, and the absolute rectal wall volumes exposed to  $\geq$ 50 Gy (V50) and  $\geq$ 70 Gy (V70). In patients receiving 3DCRT, the endorectal balloons significantly reduced the measured parameters and the 80 and 100 cc balloons performed better than the 40 cc balloons. In IMRT patients, no significant reductions in rectal wall dose parameters could be demonstrated for any of the balloons.

Because IMRT has become the standard of care for prostate cancer, these results pertaining to 3DCRT are less relevant. In contrast to the van Lin et al analysis,<sup>59</sup> the previously mentioned study by Patel et al<sup>58</sup> found that the 100 cc balloons reduced the absolute rectal wall V60, V65, and V70 in patients receiving IMRT. The authors compared rectal dose sparing with endorectal balloons in patients treated with 3DCRT plans to those with IMRT plans, both with and without balloons. They found that the rectal dose sparing in a 3DCRT with a balloon was as conformal as an image-guided IMRT approach without a balloon. However, inclusion of a balloon with IMRT produced further rectal sparing.

The majority of published studies focus on the dosimetric effects of endorectal balloons, but data on patient- and physician-reported toxicities with the use of these balloons is scarce. van Lin et al<sup>60</sup> compared 24 patients treated with versus 24 patients irradiated without a 40 cc endorectal balloon. These patients were treated with 3DCRT to 67.5 Gy and subsequently underwent rectosigmoidoscopy at multiple time points after radiation therapy. A total of 146 endoscopies and 2,336 mucosal areas were analyzed. The endorectal balloon significantly reduced the rectal wall volume that was exposed to doses >40 Gy, and late rectal toxicity (grade  $\geq 1$ ) was reduced significantly. Telangiectasias were most frequently seen and appeared after 6 months. At 1 and 2 years, there were significantly fewer high-grade telangiectasias observed in the endorectal balloon group in mucosal areas exposed to doses >40 Gy. The authors found that grades 1-3 late rectal bleeding occurred in 33% of the patients without the balloon versus 13% with the balloon. However, given the small number of patients in the study, this difference did not reach statistical significance. While these results are promising, larger prospective trials, especially with IMRT techniques, are needed to confirm these reductions in the rectal toxicity with the use of the endorectal balloons.

#### Tissue spacers

Given the close proximity of the anterior rectal wall to the prostate, many investigators have begun studying the use of bioabsorbable spacers to physically increase the distance from the prostate to the rectum. The rectoprostatic fascia (or Denonvilliers' fascia), is a membrane that separates the prostate and bladder from the rectum. This potential space is accessed to inject bioabsorbable material directly posterior to the prostate, thereby increasing the distance from the prostate to the anterior rectal wall. To date, various bioabsorbable materials have been injected between the prostate and rectum and have successfully increased the separation between the rectum and prostate.<sup>61</sup> This procedure is typically done prior to starting a course of external beam radiation therapy (EBRT) and can also be undertaken simultaneously with a BT implant or fiducial marker placement. Hyaluronic acid,<sup>62</sup>

human collagen,<sup>63</sup> interstitial biodegradable balloons,<sup>64</sup> and synthetic polyethylene glycol (PEG) hydrogels<sup>65,66</sup> have been the main materials studied in small trials evaluating safety and its subsequent degradation over time.

Synthetic PEG hydrogels are now FDA approved for used in prostate cancer. Strom et al<sup>66</sup> treated 100 patients of prostate cancer with high dose rate (HDR) BT with or without IMRT. The patients received a transrectal ultrasound (TRUS)-guided transperineal injection of 10 mL PEG hydrogel DuraSeal<sup>™</sup> (Covidien, Mansfield, MA, USA) in their anterior perirectal fat immediately prior to the first HDR BT treatment and 5 mL PEG hydrogel prior to the second HDR BT treatment. They compared this to 100 patients treated without a tissue spacer. PEG hydrogel significantly increased the mean prostate-rectal separation (mean  $\pm$  SD,  $12 \pm 4$  mm with gel vs  $4 \pm 2$  mm without gel, P < 0.001) and significantly decreased rectal D2cc ( $47\% \pm 9\%$  with gel vs  $60\% \pm 8\%$  without gel, P < 0.001). There were no significant differences in pain between patients who did and did not receive PEG hydrogel, and 3% of gel patients developed prostatitis/epididymitis. However, no patients who received gel and HDR BT experienced infections when ceftriaxone and gentamicin were prescribed. While this report focused on EBRT in combination with HDR BT, other investigations of synthetic hydrogels have been published with other radiation therapy modalities, including low dose rate BT<sup>67,68</sup> and stereotactic body radiation therapy (SBRT)<sup>69</sup> also with promising results.

Whereas the aforementioned studies focused primarily on the safety and efficacy of spacer placement, rectal toxicity rates have been reported with various RT modalities. Uhl et al<sup>70</sup> performed a phase II trial that included 52 men who received transperineal injection of SpaceOAR (Augmenix, Waltham, MA, USA) (Figure 3) prior to IMRT to 78 Gy. Six patients (12%) experienced acute GI Grade 2 toxicity, with no patients experiencing Grade 3 or 4 toxicity. In addition, no patient had GI toxicity Grade ≥2 after 12 months. A separate prospective analysis was published by Eckert et al71 in which 11 patients had undergone SpaceOAR injection prior to IMRT to 78 Gy. Radiation treatment planning showed low rectal doses despite dose escalation to the prostate. This resulted in mild acute rectal toxicity without Grade 2 events and they revealed complete resolution of the GI rectal toxicities within 4-12 weeks. Last, a matched-pair analysis investigating QOL after prostate IMRT found that patients treated after SpaceOAR injection had lesser changes in their baseline Expanded Prostate Cancer Index Composite bowel bother scores when compared with their matched-pair cohorts.72



Figure 3 This material is injected in liquid form into the space between the rectum and prostate, where it hardens into a biodegradable gel, creating a separation between the posterior prostate and anterior rectal wall, thereby lowering the dose to the rectum. Used with permission from Augmenix, Inc. [homepage on the internet]. Bedford, MA, USA: SpaceOAR® system – spacing organs at risk. Available from: <a href="http://review.augmenix.com/products/spaceoar/">http://review.augmenix.com/products/spaceoar/</a>.<sup>110</sup>

With today's IMRT techniques, rectal toxicities are relatively low and, thus, adding tissue spacers to IMRT may have little additional reduction of rectal toxicity. However, these tissue spacers may be more beneficial in men receiving higher doses per fraction such as those on SBRT protocols. SBRT typically involves doses >5 Gy per fraction. Multiple phase I and II trials with small numbers of patients have been reported that examined the role of SBRT in prostate cancer.<sup>73–78</sup> In these studies, the Grade  $\geq 2$  GI toxicity rates ranged from 0 to 12%. However, in a phase I dose-escalation trial,<sup>79</sup> grades 3-4 rectal toxicity was observed in 6.6% of patients enrolled in the highest dose level - 50 Gy in 5 fractions. The risk of toxicity increased when the rectal volume receiving 50 Gy exceeded 3 cc and when >35% of the rectal wall received 39 Gy. Despite this need to reduce rectal dose during SBRT delivery, few studies have been published examining the role of tissue spacers with SBRT. Alongi et al<sup>69</sup> performed a phase I-II trial of SBRT in 40 patients. Within this cohort of patients, 8 patients underwent SpaceOAR injection prior to SBRT. No Grade ≥3 toxicities were reported in the SpaceOAR patients; however, the median follow-up was only 11 months.

Fortunately, we now have a recently published phase III randomized trial that examined the role of SpaceOAR with median follow-up of three years in patients receiving conventionally fractionated RT. Hamstra et al<sup>80</sup> enrolled 222 men

that were randomized 2:1 to the spacer or control group. All patients received 79.2 Gy in 1.8-Gy fractions to the prostate and SVs, if indicated. The patients were blinded to the treatment allocation. The primary endpoint is the proportion of subjects with >25% reduction in rectal V70, relative to the control group, together with the incidence of clinical rectal toxicity as a secondary endpoint. With a three-year follow-up, those with the hydrogel had a smaller volume of rectum treated to all volumes from V50 to V80 (P < 0.0001). Grade  $\geq 1$  rectal toxicity decreased by 75% in the spacer arm (P < 0.03; HR 0.24, 95% CI 0.06–0.97), and no Grade  $\geq$ 2 rectal toxicity was observed in the spacer arm (control: 6%, vs spacer: 0%; P <0.015). With regard to bowel OOL, the investigators found that the spacer-arm bowel QOL score was near, or greater than, the baseline score but had declined significantly in the control arm, indicating worsening QOL without the spacer.

## Systemic agents

The molecular and cellular events leading to late toxicity after RT begin virtually immediately after the first exposure to ionizing radiation. Vascular damage is important in the phenotype of RT-induced rectal injury, where telangiectatic vessels are often responsible for the bleeding characteristic of this condition. The cholesterol-lowering agents – HMG-CoA reductase inhibitors (statins) – have been demonstrated to reduce the risk of myocardial infarction, in part, through their vascular protective effects. In vitro, statins have been shown to protect human endothelial cells from ionizing radiation.<sup>81–83</sup> Multiple mechanisms appear to be involved, including attenuation of extracellular stress responses,<sup>84,85</sup> downregulation of chemokines and chemokine receptors,<sup>86</sup> and exerting antiinflammatory and antithrombotic effects<sup>81,87–89</sup> on these cells.

Wedlake et al<sup>90</sup> performed a retrospective analysis of 308 patients treated for various pelvic malignancies, including prostate cancer. They found that the use of statins alone or in combination with angiotensin-converting enzyme inhibitors during radiotherapy was associated with reduced GI toxicities, both acutely and at 1 year after radiotherapy. The authors suggested a stronger protective effect from the nonlipophilic statin, pravastatin, as this class of statins is not cleared as readily by the liver in contrast to the lipophilic statins, and concentrates more readily in peripheral tissues.

Palumbo et al<sup>91</sup> enrolled 195 patients into a prospective trial in which patients received 74.25 Gy in 33 fractions to the prostate and, if involved, to the SVs with IMRT. They assessed for acute rectal side effects weekly during RT and at 1 and 3 months after treatment. Late rectal side effects and disease status were monitored every 4–6 months for the

first 5 years, and then annually. The authors observed which patients had been on antihypertensives, statins, or both during their course of RT. They found that the majority of patients (137/195; 70.26%) were taking antihypertensive drugs; 42 patients (27.69%) were on calcium channel blockers; and 55 patients (28.21%) were on statins. Univariate analysis showed that statins and calcium channel blockers significantly reduced the rate of acute rectal toxicity, while multivariate analysis confirmed that only statins were an independent protective factor. Although these results are intriguing, the statins were not given in a randomized prospective manner; thus, additional studies are needed to confirm this benefit.

Anscher et al<sup>92</sup> performed a prospective phase II trial evaluating the ability of statins to protect against the development of late rectal injury in a group of patients receiving highdose RT for prostate cancer. Lovastatin was the HMG-CoA reductase inhibitor used in this study and was administered concurrently for 1 year. Fifty-three patients were enrolled and evaluable at the end of the study. Patients could receive EBRT, BT, or a combination of both. EBRT was given with both 3DCRT and IMRT. Patients receiving adjuvant or salvage RT after prostatectomy were also enrolled. To be eligible for the study, a portion of the rectum had to receive at least 60 Gy. The authors looked at all late rectal toxicities and found that 20/53 (38%) patients developed Grade  $\geq 2$  late rectal toxicity during the 2-year follow-up period after RT. This incidence was not lower than the anticipated incidence of 30% based on the literature available at the time of study implementation. The authors concluded that lovastatin was not effective as an agent to reduce the frequency and severity of late RT-induced rectal injury. However, based on preclinical data, other more potent members of the HMG-CoA reductase inhibitor class deserve further study as radiation protectors, especially with the implementation of IMRT.

# Conclusion

Many technological advances have occurred over the past two decades that have allowed radiation oncologists to safely escalate the doses needed for prostate cancer while sparing acute and late rectal toxicities. We now have published dose–volume constraints that can prevent rectal injury and the use of IMRT, in combination with IGRT, which has led to significant reductions in these toxicities. Endorectal balloons have been studied in patients receiving both 3DCRT and IMRT. However, the recommendation for their use remains controversial, with some studies showing successful reduction of rectal toxicities but with others revealing no difference when compared to patients without balloons. Tissue spacers involve injecting a biodegradable material into the rectoprostatic fascia to physically move the prostate away from the rectal wall. The use of these spacers is promising as they show significant reduction in acute and late toxicities. However, longer follow-up is needed, as there is currently only one published phase III trial with a 3-year follow-up. Last, systemic agents such as statins and antihypertensives have been studied as radioprotectors of late rectal injury. The retrospective studies on this topic are promising, but additional prospective data is needed before this can be recommended to all patients undergoing RT for prostate cancer.

# Disclosure

The authors report no conflicts of interest in this work.

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