

# Clinical significance of *Fusobacterium nucleatum*, epithelial–mesenchymal transition, and cancer stem cell markers in stage III/IV colorectal cancer patients

Xuebing Yan<sup>1,\*</sup>Liguo Liu<sup>2,\*</sup>Hao Li<sup>1,\*</sup>Huanlong Qin<sup>1</sup>Zhenliang Sun<sup>1,3</sup>

<sup>1</sup>Department of General Surgery, Shanghai Tenth People's Hospital, Tongji University School of Medicine,

<sup>2</sup>Department of General Surgery, Shanghai Jiao Tong University Affiliated Sixth People's Hospital, <sup>3</sup>Central Laboratory, Shanghai Jiao Tong University Affiliated Sixth People's Hospital, South Campus, Shanghai, China

\*These authors contributed equally to this work

**Abstract:** Colorectal cancer (CRC) is a common digestive malignancy and emerging studies have closely linked its initiation and development with gut microbiota changes. *Fusobacterium nucleatum* (*Fn*) has been recently identified as a pathogenic bacteria for CRC; however, its prognostic significance for patients is poorly investigated and is less for patients within late stage. Therefore, in this study, we made efforts to analyze its level and prognostic significance in a retrospective cohort of 280 stage III/IV CRC patients. We found that the *Fn* level was abnormally high in tumor tissues and correlated with tumor invasion, lymph node metastasis status, and distant metastasis. We also identified it as an independent adverse prognostic factor for cancer-specific survival (CSS) and disease-free survival (DFS). The following subgroup analysis indicated that *Fn* level could stratify CSS and DFS in stage IIIB/C and IV patients but failed in stage IIIA patients. In addition, stage III/IV patients with low *Fn* level were found to benefit more from adjuvant chemotherapy than those with high *Fn* level, in terms of DFS. Finally, we analyzed the expression and clinical significance of epithelial-to-mesenchymal transition (EMT) markers (E-cadherin and N-cadherin) and cancer stem cell (CSC) markers (Nanog, Oct-4, and Sox-2) in CRC tissues. The results indicated that N-cadherin, Nanog, Oct-4, and Sox-2 were adverse prognostic factors in these patients, while the opposite was true for E-cadherin. More importantly, expression of E-cadherin, N-cadherin, and Nanog was significantly correlated with *Fn* level in tumor tissues, suggesting the potential involvement of *Fn* in EMT-CSC cross talk during CRC progression. Taken together, these findings indicate that *Fn* is a novel predictive biomarker for clinical management in stage III/IV patients, and targeting *Fn* may be an effective adjuvant approach for preventing CRC metastasis and chemotherapy resistance.

**Keywords:** colorectal cancer, *Fn*, EMT, cancer stem cell, prognosis

## Introduction

Colorectal cancer (CRC) is a fatal digestive malignancy that is commonly diagnosed in both males and females worldwide.<sup>1</sup> In USA, it is the third most common form of cancer and will account for an estimated 135,430 newly diagnosed cases and 50,260 CRC-specific deaths in 2017.<sup>2</sup> In China, its incidence has reached ~37.63 per 100,000 in 2015 according to the latest report.<sup>3</sup> The pathogenesis of CRC is a complicated multistep process involving various inherent and environmental factors such as genetic predisposition and unhealthy lifestyles.<sup>4</sup> Although dramatic reduction has been achieved in CRC mortality because of the introduction of screening programs and multidisciplinary treatments, ~60% of CRC patients are still diagnosed with advanced stage with their 5-year survival rate ranging from 14% to 71%.<sup>5</sup> In addition, there are few effective therapeutical approaches and prognostic biomarkers available for metastatic

Correspondence: Huanlong Qin; Zhenliang Sun  
Department of General Surgery, Shanghai Tenth People's Hospital, Tongji University School of Medicine, No 301, Yanchang Road, Shanghai 200072, China  
Email qinhuanlong111@163.com; sunzli6@126.com

CRC currently, frequently leading to inappropriate decision making.<sup>6</sup> Targeted therapy (such as epidermal growth factor receptor antagonists) represents an emerging clinical strategy for these patients; however, primary and acquired therapy resistance limit its actual efficiency.<sup>7</sup> Molecular biomarker tests hold promise for personalized therapy, while a considerable proportion of them may be overestimated and fail to be recommended for prognosis prediction or therapy selection due to insufficient evidence.<sup>8,9</sup> Therefore, it can be concluded that our existing achievements appear to be insufficient to improve the clinical outcome of CRC patients and therefore substantial efforts are still essential to identify other potential CRC-related driving factors.

Recently, increasing studies have suggested that gut microbiota dysbiosis is correlated with tumor initiation and development.<sup>10</sup> Microbiota dysbiosis may contribute to the malignant progression of cancer cells through various mechanisms such as metabolism signals, inflammation induction, and immunosuppression.<sup>11</sup> Furthermore, microbiota is also crucial for the therapeutical efficacy of some anticancer drugs such as cyclophosphamide, which may associate with its regulation of T-cell responses.<sup>12</sup> In gastrointestinal malignancies, a close correlation between microbiota and carcinogenesis has been well established in gastric cancer, where *Helicobacter pylori* is most extensively studied and has been identified as a risk factor for screening.<sup>13</sup> However, with regard to CRC, related studies are emerging although advanced metagenomic techniques are able to provide more potential pathogenic microbiota.<sup>14</sup> For example, Tsoi et al proved that *Peptostreptococcus anaerobius* is increased in CRC tissues and promotes the growth of CRC cells through inducing intracellular cholesterol synthesis.<sup>15</sup> Wang et al demonstrated that *Enterococcus faecalis* can drive the malignant transformation in normal colon epithelial cells via its bystander effect.<sup>16</sup> Despite increasing evidences supporting the oncogenic role of some specific bacteria in CRC, their clinical significance is still poorly investigated and whether these bacteria can be further developed as clinical biomarkers for patient management remains unknown.

Previously, using pyrosequencing, we found that *Fusobacterium nucleatum* (*Fn*) is abnormally abundant in 1,2-dimethylhydrazine-induced CRC animal models as compared with healthy controls.<sup>17</sup> Then, we used the same method to further confirm that it is also significantly more abundant in human CRC tissues than in adjacent normal tissues, suggesting its potential correlation with CRC development.<sup>18</sup> Further investigation revealed that *Fn* promotes the proliferation and invasiveness of CRC cells through activating toll-like receptors/MyD88/NF-Kb/miR-21 signaling.<sup>19</sup> Given these

findings, we speculate that *Fn* may be a promising clinical biomarker for CRC patients. Therefore, in this study, we aimed to investigate the level and clinical significance of *Fn* in stage III/IV CRC patients, who are clinically characterized with positive regional/distant metastasis and have a dramatically worse outcome than those within stage I/II. Since epithelial-to-mesenchymal transition (EMT) and cancer stem cell (CSC) are both widely considered as major molecular factors driving cancer development, we also made efforts to detect the expression of representative EMT and CSC markers in these patients and identify their potential correlations with *Fn*.<sup>20,21</sup> Taken together, our findings not only suggest *Fn* as a novel therapeutical target and prognostic biomarker for CRC patients within late stage, but also highlight the crucial link between dysregulated microbiota and oncogenic molecular events in CRC progression.

## Materials and methods

### Patient data and specimens

A total of 280 pairs of tumor and adjacent normal tissues were collected from stage III/IV CRC patients who underwent radical surgery at Department of General Surgery, Shanghai Jiao Tong University Affiliated Sixth People's Hospital and Shanghai Tenth People's Hospital between October 1, 2007 and September 25, 2015. All the patients were pathologically confirmed as CRC with positive lymph node metastasis (LNM). Preoperative distant metastasis (including lung, liver, and ovary) was identified by enhanced computed tomography (CT) scanning. Tumor-node-metastasis (TNM) stage was determined according to the latest guidelines of the Union for International Cancer Control (8th edition). Neither preoperative chemotherapy nor radiotherapy was performed on patients. For postoperative chemotherapy, a standard FOLFOX scheme (5-fluorouracil [5-fu] [Shanghai Xudong Haipu Pharmaceutical Co., LTD, Shanghai, China] + oxaliplatin [Jiangsu HengRui Medicine Co., LTD, Lianyungang, Jiangsu, China] + leucovorin [Jiangsu HengRui Medicine Co., LTD, Lianyungang, Jiangsu, China]) was applied. Regular follow-up was conducted according to the Clinical Practice Guidelines in Oncology proposed by the National Comprehensive Cancer Network. In brief, patients were recommended to undergo physical examination, carcinoembryonic antigen (CEA) test, and enhanced CT scan every 3–6 months for the first 2 years, and then 6–12 months for the following 3 years. Patient prognosis was assessed by cancer-specific survival (CSS) and disease-free survival (DFS). CSS was calculated from the date of surgery to the date of death caused by CRC, while DFS was calculated from the date of surgery to the date of local recurrence or regional/

**Table 1** Correlations between *Fn* level and clinicopathological parameters in stage III/IV CRC patients

Characteristics	Total	<i>Fn</i> level		p-value
		Low	High	
Gender				0.705
Female	122	42	80	
Male	158	51	107	
Age				0.822
≤65 years	111	36	75	
>65 years	169	57	112	
Tumor location				0.579
Rectal	130	41	89	
Colon	150	52	98	
Tumor size				0.357
≤5 cm	214	68	146	
>5 cm	66	25	41	
Tumor differentiation				0.650
Poor	74	23	51	
Well/moderate	206	70	136	
Tumor invasion				0.015
T1–T2	96	41	55	
T3–T4	184	52	132	
Lymph node metastasis				0.008
N1	81	17	64	
N2a	95	41	54	
N2b	104	35	69	
Distant metastasis				0.020
Absent	218	80	138	
Present	62	13	49	
Ki-67 expression				0.381
<30%	78	29	49	
≥30%	202	64	138	
Serum CEA level				0.274
≤5 ng/mL	99	37	62	
>5 ng/mL	181	56	125	
BMI				0.202
<18.5 kg/m <sup>2</sup>	22	11	11	
18.5–24.99 kg/m <sup>2</sup>	178	58	120	
≥25.0 kg/m <sup>2</sup>	80	24	56	

**Abbreviations:** *Fn*, *Fusobacterium nucleatum*; CRC, colorectal cancer; CEA, carcino-embryonic antigen; BMI, body mass index.

distant metastasis. The basic clinical features of patients are summarized in Table 1. This study was approved by the ethics committees of both the hospitals mentioned above. Written informed consents were obtained from patients or their legal guardians for using their specimens in medical researches.

### Quantitative reverse transcription-polymerase chain reaction (qRT-PCR)

The levels of *Fn* in human CRC and adjacent normal tissues were detected by qRT-PCR. Briefly, paraffin-embedded tissues were deparaffinized in xylene and lysed in buffer ATL (Qiagen NV, Venlo, the Netherlands) and Proteinase K (Qiagen NV). Then, the genomic DNAs were extracted using QIAamp DNA FFPE Tissue Kit according to the

manufacturer's instructions (Qiagen NV). The quality of obtained DNAs was verified by an ultraviolet spectrophotometer and eligible DNA samples were preserved at –20°C. The PCR reaction was performed on a 7500 Real-Time PCR System (Thermo Fisher Scientific, Waltham, MA, USA) using SYBR Premix Ex Taq (TaKaRa, Kusatsu, Shiga, Japan). The reaction conditions were applied as follows: initial denaturation at 95°C for 10 minutes, denaturation at 95°C for 1 minute, primer annealing at 60°C for 20 seconds, and primer extension at 56°C for 60 seconds. The sequences of primers were as follows: *Fn*, forward: 5'-CTTAGGAATGAGACAGAGATG-3' and reverse: 5'-TGATGGTAACATACGAAAGG-3';  $\beta$ -actin, forward: 5'-CCTCCATCGTCCACCGCAAATG-3' and reverse: 5'-TGCTGTACCTTACCAGTTCCA-3'. The 2<sup>- $\Delta\Delta$ CT</sup> method was utilized to calculate the relative level of *Fn* gene and  $\beta$ -actin served as an internal control gene. All the experiments were repeated in triplicate.

### Immunohistochemistry (IHC) and staining evaluation

Experimental procedures of IHC were carried out according to our previous study.<sup>22</sup> In brief, paraffin-embedded tissues were continuously cut into 4- $\mu$ m-thick sections, dewaxed in xylene, and rehydrated in gradient concentrations of ethanol. Antigen retrieval was achieved by microwave heating and endogenous peroxidase activity was blocked by incubation with 3% H<sub>2</sub>O<sub>2</sub> solution. Then, sections were incubated with the primary antibody against E-cadherin (1:250; Abcam, Cambridge, UK), N-cadherin (1:250; Abcam), Nanog (1:200; Abcam), Sox-2 (1:200; Abcam), and Oct-4 (1:200; Abcam) at 4°C overnight. Sections incubated with only antibody dilution buffer were utilized as negative controls. Following several washes with phosphate-buffered saline solution, sections were treated with the secondary antibody (1:250; Abcam) at 37°C for 30 minutes. Finally, protein staining was visualized by incubating sections with a diaminobenzidine kit (Thermo Fisher Scientific) for 5 minutes. The sections were counterstained with hematoxylin (Thermo Fisher Scientific) for 10 minutes, dehydrated, sealed, and transferred for microscopic examination.

Staining evaluation was independently carried out by two investigators who were blind to the clinical features and outcome of patients. Any controversial cases were determined by a well-skilled pathologist. The evaluation criteria were based on staining intensity (SI) and percentage of positive cells (PP). SI is scored as follows: 0, negative; 1, weak; 2, moderate; 3, strong. PP is scored as follows: 0, 0%–10%; 1, 11%–25%; 2, 26%–50%; 3, 51%–75%; 4, 76%–100%.

A final staining score was calculated by multiplying the PP score with SI score. The cutoff value of the final score was determined by receiver operating characteristic (ROC) curve analysis. The sections that scored more or less than the cutoff value were regarded as high or low expression cases, respectively.

## Statistical analysis

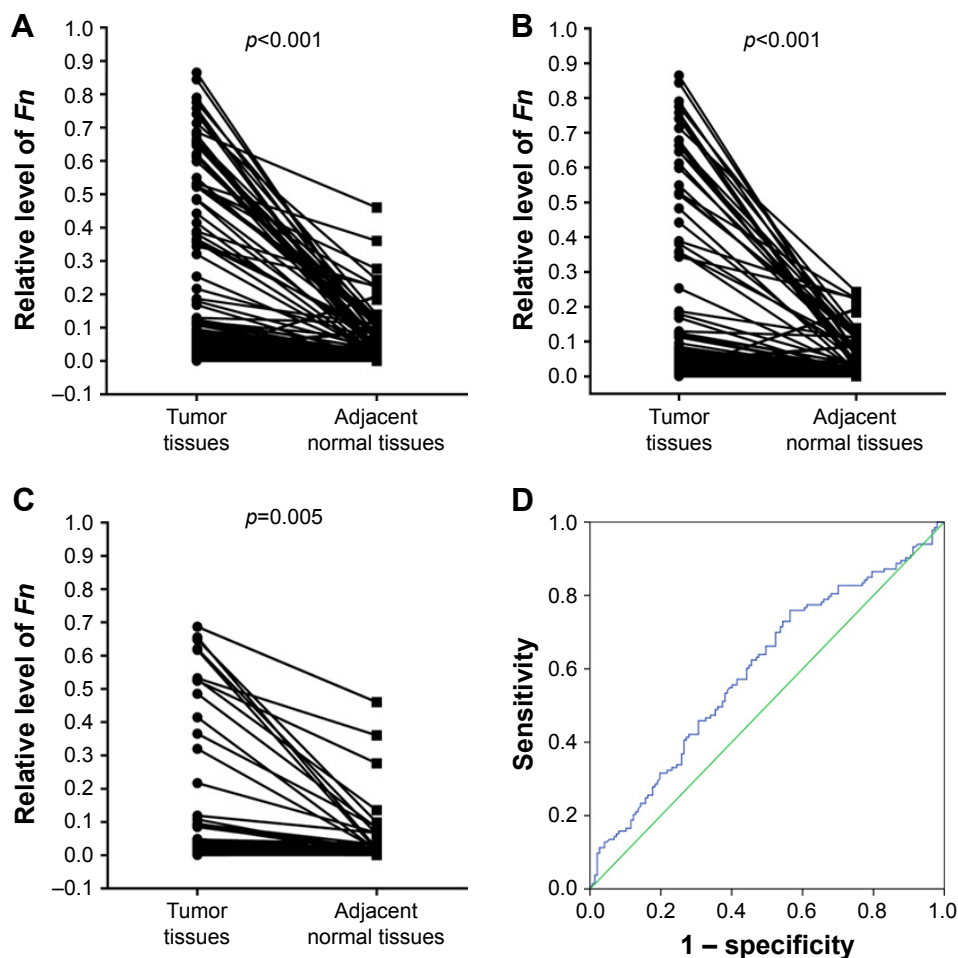
Data are presented as mean  $\pm$  standard deviation and statistical analyses were performed on SPSS 20.0 statistical software (IBM Corporation, Armonk, NY, USA). The *Fn* level between CRC and adjacent normal tissues was compared by Mann–Whitney test. The cutoff value of the ROC curve was estimated by Youden index. The correlations between biomarkers and clinicopathological parameters were analyzed by chi-square test. The CSS and DFS curves based on Kaplan–Meier model were depicted using

GraphPad Prism 5 (GraphPad Software, Inc, La Jolla, CA, USA) and intergroup difference was compared by log-rank test. Independent factors affecting CSS/DFS were identified by univariate and multivariate analysis based on Cox proportional hazards regression model. The impact of *Fn* level on chemotherapy benefits was evaluated using treatment-by-biomarker interaction analysis in a 2 $\times$ 2 factorial design.<sup>23</sup> The correlations of *Fn* level with expression of EMT/CSC markers in CRC tissues were evaluated by Spearman's rank correlation coefficient. A *p*-value <0.05 was considered statistically significant.

## Results

### *Fn* level in CRC and adjacent normal tissues of stage III/IV CRC patients

The relative level of *Fn* in CRC and adjacent normal tissues was detected by qRT-PCR. As shown in Figure 1A,



**Figure 1** *Fn* level in tumor and adjacent normal tissues of stage III/IV CRC patients.

**Notes:** (A) *Fn* level is significantly higher in tumor tissues than in adjacent normal tissues of the whole cohort (tumor vs normal:  $0.1092 \pm 0.2150$  vs  $0.0245 \pm 0.0553$ ,  $n=280$ ,  $p < 0.001$ ). (B) *Fn* level is significantly higher in tumor tissues than in adjacent normal tissues of stage III patients (tumor vs normal:  $0.1043 \pm 0.2165$  vs  $0.0216 \pm 0.0450$ ,  $n=218$ ,  $p < 0.001$ ). (C) *Fn* level is significantly higher in tumor tissues than in adjacent normal tissues of stage IV patients (tumor vs normal:  $0.1266 \pm 0.2106$  vs  $0.0348 \pm 0.0817$ ,  $n=62$ ,  $p=0.005$ ). (D) ROC curve analysis determined the cutoff value of *Fn* level in tumor tissues to be 0.0282.

**Abbreviations:** *Fn*, *Fusobacterium nucleatum*; CRC, colorectal cancer; ROC, receiver operating characteristic.

for the whole study cohort, *Fn* level is significantly higher in CRC tissues than in adjacent normal tissues (CRC vs normal:  $0.1092 \pm 0.2150$  vs  $0.0245 \pm 0.0553$ ,  $n=280$ ,  $p < 0.001$ ). In subgroups classified by tumor stage, this difference remains statistically significant in both stage III patients (CRC vs normal:  $0.1043 \pm 0.2165$  vs  $0.0216 \pm 0.0450$ ,  $n=218$ ,  $p < 0.001$ , Figure 1B) and stage IV patients (CRC vs normal:  $0.1266 \pm 0.2106$  vs  $0.0348 \pm 0.0817$ ,  $n=62$ ,  $p=0.005$ , Figure 1C). Then, the ROC curve was used to calculate an optimal cutoff value for defining the *Fn* level (Figure 1D). The optimal cutoff value of *Fn* level in CRC tissues was 0.0282. Therefore, we classified the entire cohort into a high level group ( $n=187$ ) and a low level group ( $n=93$ ) according to this cutoff value.

### Correlations between *Fn* level and clinicopathological parameters in stage III/IV CRC patients

As shown in Table 1, *Fn* level was found to significantly associate with tumor invasion ( $p=0.015$ ), LNM status ( $p=0.008$ ), and distant metastasis ( $p=0.020$ ). No significant association was observed between *Fn* level and other clinicopathological parameters including age ( $p=0.822$ ), gender ( $p=0.705$ ), tumor location ( $p=0.579$ ), tumor size ( $p=0.357$ ), tumor differentiation ( $p=0.650$ ), body mass index ( $p=0.202$ ), preoperative serum CEA level ( $p=0.274$ ), and Ki-67 positive rate ( $p=0.381$ ).

### Prognostic significance of *Fn* in stage III/IV CRC patients

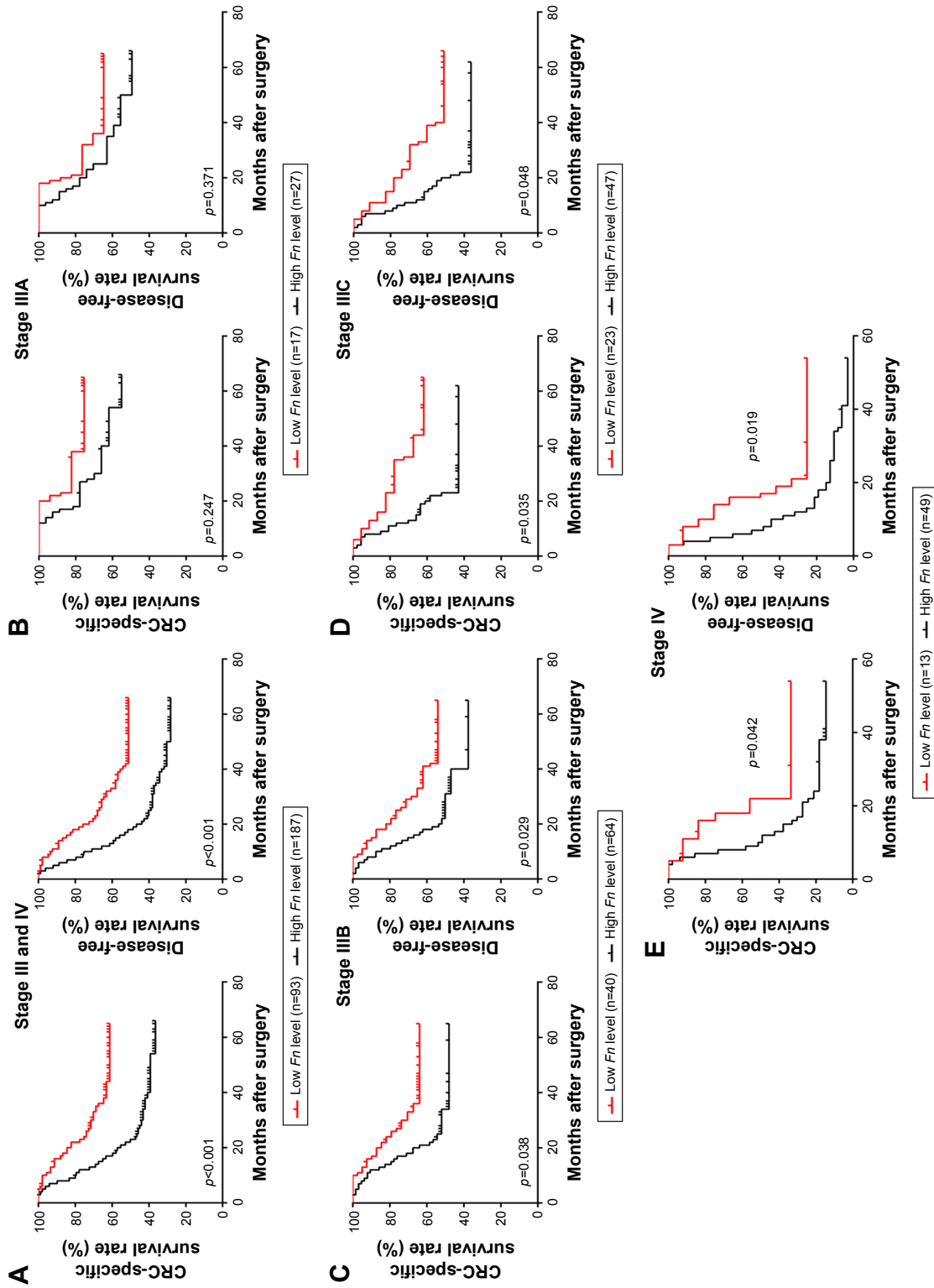
The impact of *Fn* on patient prognosis was illustrated using Kaplan–Meier survival curves. For the whole cohort, patients with low *Fn* level had a significantly better CSS and DFS than those with high *Fn* level (CSS,  $p < 0.001$ ; DFS,  $p < 0.001$ , Figure 2A). As shown in Tables 2 and 3, the univariate analysis suggested that *Fn* level, tumor invasion, LNM status, distant metastasis, and serum CEA level were significant factors for CSS ( $p < 0.001$ ,  $p=0.015$ ,  $p=0.002$ ,  $p < 0.001$ ,  $p=0.046$ ), while *Fn* level, tumor differentiation, tumor invasion, LNM status, distant metastasis, and serum CEA level were for DFS ( $p < 0.001$ ,  $p=0.009$ ,  $p=0.005$ ,  $p=0.015$ ,  $p < 0.001$ ,  $p=0.018$ ). The multivariate analysis suggested that *Fn* level, LNM status, distant metastasis, and serum CEA level were independent factors affecting CSS ( $p < 0.001$ ,  $p=0.001$ ,  $p < 0.001$ ,  $p=0.031$ ), while *Fn* level, tumor differentiation, tumor invasion, LNM status, distant metastasis, and serum CEA level were affecting DFS ( $p < 0.001$ ,  $p=0.003$ ,  $p=0.022$ ,  $p=0.008$ ,  $p < 0.001$ ,  $p=0.027$ ). To further identify

whether *Fn* has the capacity to stratify patient prognosis within the same stage, subgroup analysis was performed according to LNM status and distant metastasis. Surprisingly, we found that stage IIIA patients with low *Fn* level had no better CSS and DFS than those with high *Fn* level (CSS:  $p=0.247$ ; DFS:  $p=0.371$ , Figure 2B). But, high *Fn* level was still significantly associated with worse CSS and DFS in other stage III patients (stage IIIB: CSS:  $p=0.038$ , DFS:  $p=0.029$ , Figure 2C; stage IIIC: CSS:  $p=0.035$ , DFS:  $p=0.048$ , Figure 2D). With regard to its prognostic role in stage IV patients, a statistically significant association between high *Fn* level and worse clinical outcome is also obviously found (CSS:  $p=0.042$ ; DFS:  $p=0.019$ , Figure 2E).

Adjuvant chemotherapy (AC) is the primary therapeutical modality for surgically treated CRC patients, especially for those within stage III/IV. Hence, we next made efforts to identify whether *Fn* level is associated with AC benefits in stage III/IV patients. In this study, majority of patients ( $n=239$ ) received standard AC treatment postoperatively, while the rest ( $n=41$ ) failed due to some factors such as poor physical condition and financial problems. As shown in Figure 3A, the survival analysis demonstrated that patients receiving AC had a dramatically better CSS and DFS than those receiving no AC (CSS:  $p < 0.001$ ; DFS,  $p < 0.001$ ). In the subgroups classified by *Fn* level, we found that AC treatment was associated with a significantly better clinical outcome in both patients with low *Fn* level (CSS:  $p < 0.001$ , DFS:  $p < 0.001$ , Figure 3B) and high *Fn* level (CSS:  $p=0.034$ , DFS:  $p=0.024$ , Figure 3C). However, the interaction analysis based on factorial design indicated that patients with low *Fn* level benefit more from AC than those with high *Fn* level, in terms of DFS (CSS:  $p=0.134$ ; DFS:  $p=0.048$ ).

### Expression and clinical significance of EMT and CSC markers in stage III/IV CRC patients

The representative images of IHC assay are shown in Figure 4. ROC curves were employed to estimate the cutoff values of staining scores for these markers and the results are shown in Figure S1. The cutoff value is 2.5 for E-cadherin and Sox-2, 3.5 for Oct-4, and 5 for N-cadherin and Nanog. Therefore, we used these cutoff values for the following statistical analysis and the correlations between their expression and clinicopathological features are summarized in Table S1. We noted that expression of these markers was significantly correlated with prognosis-related clinical features. For instance, both E-cadherin and N-cadherin expression was correlated with LNM status and distant metastasis (all  $p < 0.05$ ).



**Figure 2** Prognostic significance of Fn in stage III/IV CRC patients.  
**Note:** CSS and DFS curves of stage III/IV CRC patients (A), stage IIIA patients (B), stage IIIB patients (C), stage IIIC patients (D), stage IVC patients (E).  
**Abbreviations:** Fn, *Fusobacterium nucleatum*; CRC, colorectal cancer; CSS, cancer-specific survival; DFS, disease-free survival.

**Table 2** Univariate and multivariate analysis for prognostic factors in cancer-specific survival of stage III/IV CRC patients

Variables	Univariate analysis			Multivariate analysis		
	HR	95% CI	p-value	HR	95% CI	p-value
Gender	0.835	0.594–1.175	0.300			
Age	1.351	0.943–1.935	0.101			
Tumor location	1.188	0.843–1.676	0.326			
Tumor size	1.199	0.817–1.757	0.354			
Tumor differentiation	0.731	0.497–1.074	0.110			
Ki-67 positivity	0.914	0.629–1.328	0.638			
Body mass index	0.806	0.597–1.087	0.158			
Tumor invasion	1.595	1.097–2.319	0.015	1.341	0.920–1.955	0.127
Lymph node metastasis	1.426	1.136–1.789	0.002	1.430	1.158–1.766	0.001
Distant metastasis	3.507	2.425–5.071	<0.001	3.243	2.232–4.712	<0.001
Serum CEA level	1.466	1.006–2.136	0.046	1.515	1.038–2.212	0.031
Fn level	2.302	1.541–3.437	<0.001	2.222	1.483–3.329	<0.001

**Abbreviations:** Fn, *Fusobacterium nucleatum*; CRC, colorectal cancer; CEA, carcinoembryonic antigen; HR, hazard ratio; CI, confidence interval.

Naong and Sox-2 expression was correlated with LNM status, while Oct-4 expression was correlated with distant metastasis (all  $p < 0.05$ ).

The prognostic significance of EMT and CSC markers was analyzed using Kaplan–Meier survival curves. Patients with high E-cadherin expression had a significantly better CSS and DFS than those with low E-cadherin expression (CSS:  $p < 0.001$ , DFS:  $p = 0.001$ , Figure 5A), while the opposite was true for N-cadherin (CSS:  $p < 0.001$ , DFS:  $p < 0.001$ , Figure 5B), Nanog (CSS:  $p < 0.001$ , DFS:  $p < 0.001$ , Figure 5C), Oct-4 (CSS:  $p = 0.006$ , DFS:  $p < 0.001$ , Figure 5D), and Sox-2 (CSS:  $p < 0.001$ , DFS:  $p = 0.001$ , Figure 5E).

## Correlations of Fn with EMT and CSC markers in stage III/IV CRC patients

The correlations between Fn level and expression of EMT/CSC markers in CRC tissues are summarized in Table 4.

Fn level was negatively correlated with E-cadherin expression ( $r = -0.301$ ,  $p < 0.001$ ), but positively correlated with expression of N-cadherin ( $r = 0.377$ ,  $p < 0.001$ ) and Nanog ( $r = 0.362$ ,  $p < 0.001$ ). No significant association was observed between Fn level and Sox-2 expression ( $r = 0.105$ ,  $p = 0.078$ ) or Oct-4 expression ( $r = 0.099$ ,  $p = 0.097$ ).

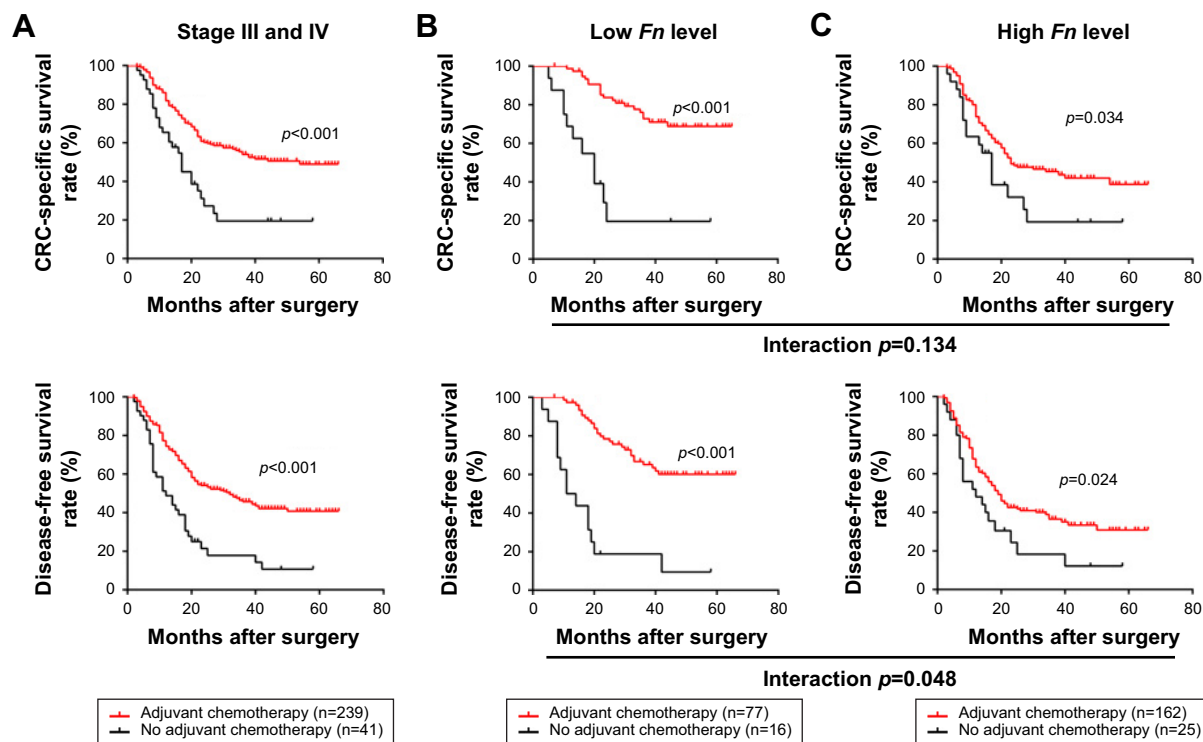
## Discussion

Fn is a gram-negative anaerobe that is enriched in the oral cavity but hardly detected in other body organs under physiological conditions.<sup>24</sup> However, under pathological conditions, it disseminates and colonizes into extraoral sites to function as pathogenic bacteria for various diseases such as inflammatory bowel disease, organ abscess, and adverse pregnancy outcome.<sup>25–27</sup> In human malignancies, it is perhaps most relevant to CRC, although some emerging evidences have suggested its implication in esophageal and

**Table 3** Univariate and multivariate analysis for prognostic factors in disease-free survival of stage III/IV CRC patients

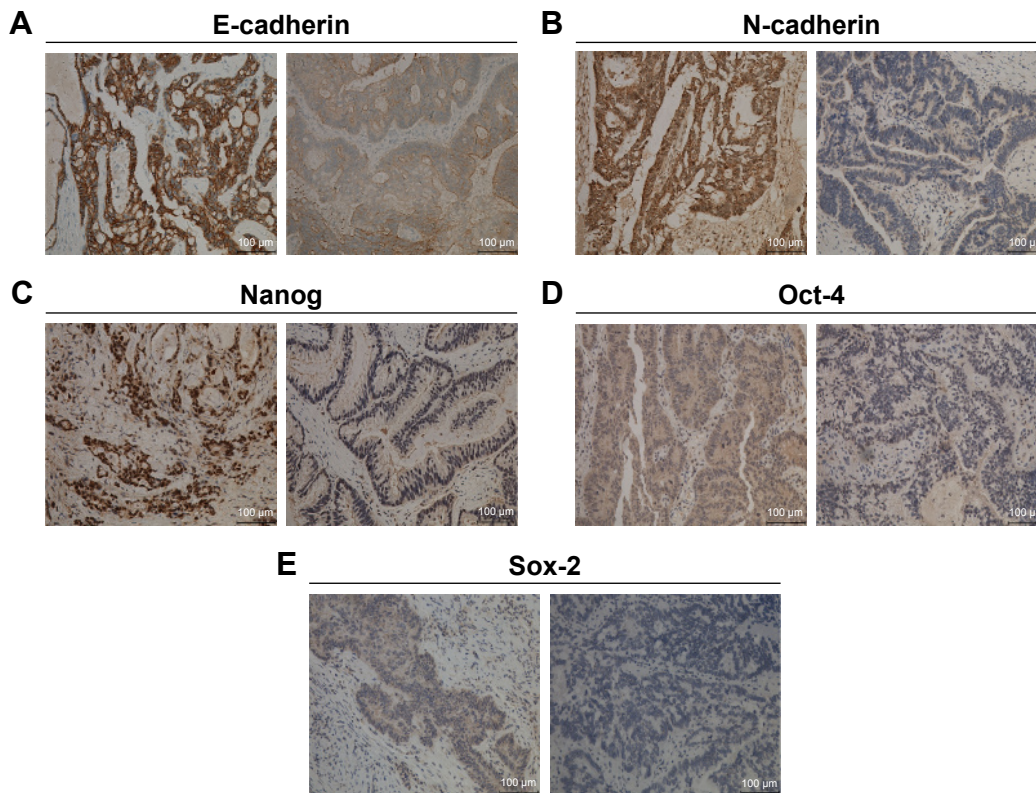
Variables	Univariate analysis			Multivariate analysis		
	HR	95% CI	p-value	HR	95% CI	p-value
Gender	0.821	0.603–1.119	0.212			
Age	1.092	0.796–1.498	0.585			
Tumor location	1.149	0.842–1.568	0.381			
Tumor size	1.132	0.796–1.609	0.490			
Ki-67 positivity	0.998	0.708–1.408	0.993			
Body mass index	0.871	0.665–1.143	0.319			
Tumor differentiation	0.636	0.453–0.893	0.009	0.592	0.417–0.841	0.003
Tumor invasion	1.634	1.163–2.297	0.005	1.499	1.060–2.119	0.022
Lymph node metastasis	1.287	1.050–1.579	0.015	1.294	1.069–1.566	0.008
Distant metastasis	3.965	2.843–5.531	<0.001	3.914	2.788–5.495	<0.001
Serum CEA level	1.512	1.075–2.128	0.018	1.483	1.046–2.101	0.027
Fn level	2.133	1.496–3.041	<0.001	2.000	1.396–2.865	<0.001

**Abbreviations:** Fn, *Fusobacterium nucleatum*; CRC, colorectal cancer; CEA, carcinoembryonic antigen; HR, hazard ratio; CI, confidence interval.



**Figure 3** Correlations between *Fn* level and chemotherapy benefits in stage III/IV patients.

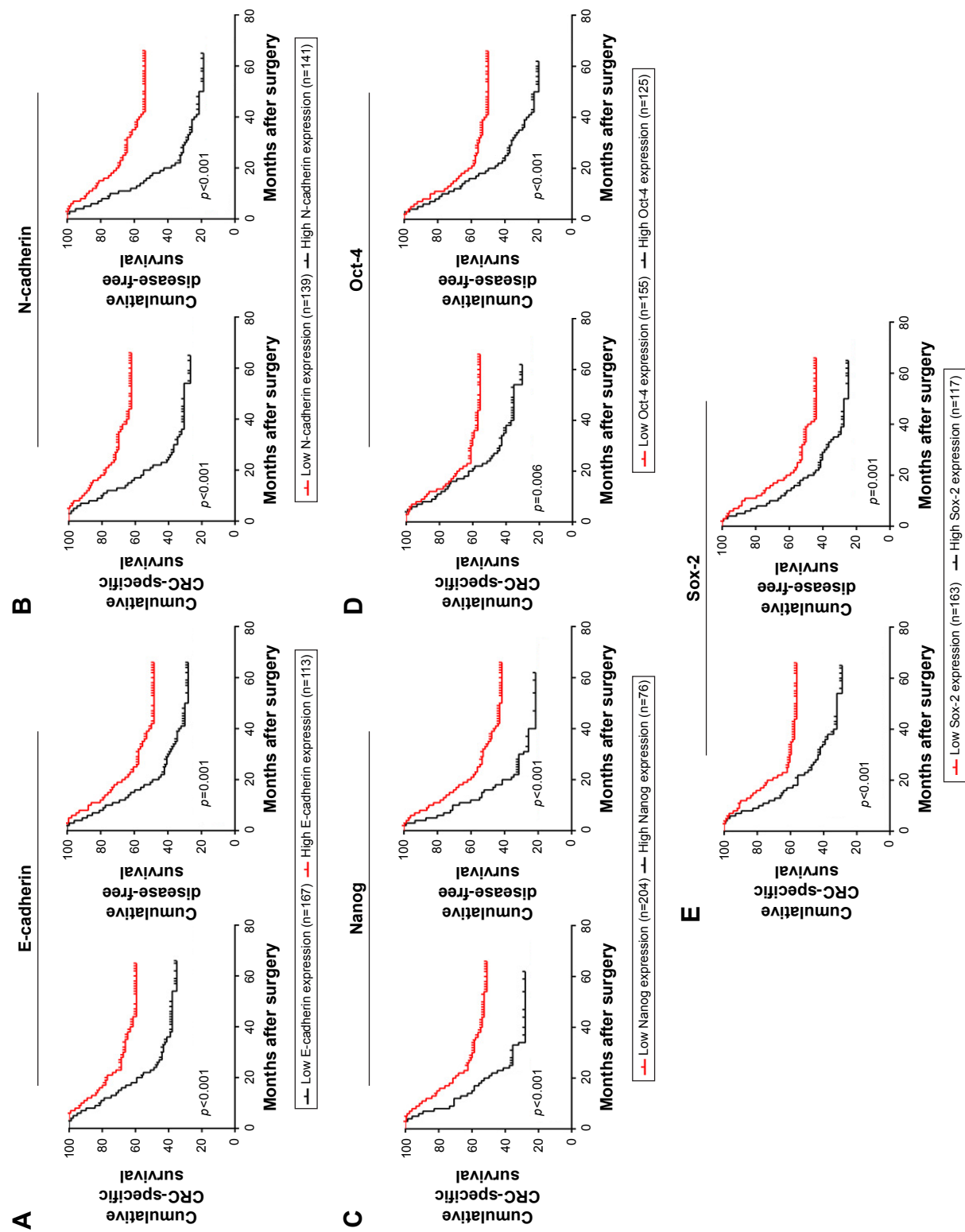
**Notes:** (A) CSS and DFS of the whole cohort, (B) CSS and DFS of low *Fn* level group, and (C) CSS and DFS of high *Fn* level group stratified by chemotherapy reception. An interaction analysis indicates that patients with low *Fn* level benefit more from chemotherapy than those with high *Fn* level, in terms of DFS (CSS:  $p=0.134$ ; DFS:  $p=0.048$ ).  
**Abbreviations:** *Fn*, *Fusobacterium nucleatum*; CRC, colorectal cancer; CSS, cancer-specific survival; DFS, disease-free survival.



**Figure 4** Representative immunohistochemical staining images of EMT and CSC markers in CRC tissues.

**Notes:** High (left) and low (right) expression of E-cadherin (A), N-cadherin (B), Nanog (C), Oct-4 (D), Sox-2 (E). Magnification:  $\times 200$ .  
**Abbreviations:** CRC, colorectal cancer; EMT, epithelial-to-mesenchymal transition; CSC, cancer stem cell.





**Figure 5** Prognostic significance of epithelial-to-mesenchymal transition and cancer stem cell markers in stage III/IV CRC patients.  
**Note:** CSS and DFS curves stratified by E-cadherin expression (A), N-cadherin expression (B), Nanog expression (C), Oct-4 expression (D), Sox-2 expression (E).  
**Abbreviations:** CRC, colorectal cancer; CSS, cancer-specific survival; DFS, disease-free survival.

**Table 4** Correlations of *Fn* with EMT/CSC markers in stage III/IV CRC patients

Markers	N	<i>Fn</i> level		<i>r</i>	<i>p</i> -value
		Low	High		
E-cadherin					<0.001
Low	167	36	131	-0.301	
High	113	57	56		
N-cadherin					<0.001
Low	139	71	68	0.377	
High	141	22	119		
Sox-2					0.078
Low	163	61	102	0.105	
High	117	32	85		
Oct-4					0.097
Low	155	58	97	0.099	
High	125	35	90		
Nanog					<0.001
Low	204	89	115	0.362	
High	76	4	72		

**Abbreviations:** *Fn*, *Fusobacterium nucleatum*; CRC, colorectal cancer; EMT, epithelial-to-mesenchymal transition; CSC, cancer stem cell.

pancreatic cancer.<sup>28,29</sup> Using RNA sequencing, Castellarin et al for the first time proposed that *Fn* infection might be prevalent in CRC patients.<sup>30</sup> Then, increasing studies made efforts to investigate its potential oncogenic mechanisms in CRC where its regulatory role in tumor immunity is the most extensively discussed.<sup>31–33</sup> In addition, *Fn* is found to be abundant in premalignant lesions with positive CpG island methylator phenotype, implying its involvement in epigenetic changes of early tumorigenesis.<sup>34</sup> However, despite these novel findings about its oncogenic role, its prognostic significance in CRC patients remains unclear and whether it has the potential utility for improving the current TNM-based prognostic system still needs to be validated.

In this study, the level and clinical significance of *Fn* were analyzed in a cohort of 280 surgically treated stage III/IV patients. Firstly, we found that the *Fn* level is significantly higher in tumor tissues than that in adjacent normal tissues in both stage III and IV patients, supporting its promoting role in CRC initiation and development. A recent study proposed that this promoting role may be partly attributed to its participation in oncogenic biofilm formation.<sup>35</sup> The following correlation analysis demonstrated that *Fn* level is significantly correlated with tumor invasion, LNM status, and distant metastasis. This further confirmed our previous finding that *Fn* enhances the malignant characteristics of CRC cells in vitro and in vivo.<sup>19</sup> Li et al proved that *Fn* level is positively associated with the presence of LNM but not with tumor invasion in a relatively smaller cohort of CRC patients (n=101), partly consistent with our present result.<sup>36</sup> Furthermore, Castellarin et al found that 74.4% (29/39)

of CRC patients with high *Fn* level had positive LNM as compared with 44.8% (26/58) of those with low *Fn* level, also indicating a close correlation between *Fn* and LNM.<sup>30</sup> Therefore, given these evidences, we concluded that *Fn* level might be a promising indicator for CRC metastasis in CRC patients, especially for those with positive LNM.

Although *Fn* level has been identified as an unfavorable prognostic factor in several studies, its specific prognostic significance for stage III/IV patients remains unknown.<sup>37,38</sup> Using the Kaplan–Meier model, our survival analysis showed that stage III/IV patients with high *Fn* level had a significantly worse CSS and DFS than those with low *Fn* level. The following univariate and multivariate analysis not only further confirmed a significant correlation between *Fn* level and patient survival, but also revealed its independence in prognosis prediction. Given these results, we preliminarily proposed that *Fn* level might serve as a predictor for clinical outcome of stage III/IV patients. Several studies have recently suggested the limitation of traditional LNM status in prognosis stratification of stage III patients, strongly urging us to investigate whether the *Fn* level has the capacity to provide an accurate stratification for these patients.<sup>39,40</sup> We therefore subsequently performed a subgroup analysis and found that *Fn* level could stratify the CSS and DFS of both stage IIIB and IIIC patients, but failed in stage IIIA patients. This result suggested that *Fn* level might be an effective prognostic indicator only for stage IIIB or IIIC patients. We also speculate that this result is partly attributed to the survival paradox that stage IIIA patients, clinically characterized as T<sub>1–2</sub>N<sub>1–2a</sub>M<sub>0</sub>, have a significantly better prognosis than other stage III and even most stage II patients, with a 5-year overall survival rate ranging from 81.6% to 85.6% as reported.<sup>41,42</sup> This abnormally favorable prognosis may contribute to the failed prognostic stratification of *Fn* level in stage IIIA patients and we therefore suggest that detecting the *Fn* level in these patients may provide limited beneficial information for clinical management. Furthermore, we found that high *Fn* level is associated with worse outcome in stage IV patients despite the limited samples, implying its potential to be a prognostic predictor for surgically treated patients with distant metastasis. Finally, it should be noted that our study was unable to investigate the prognostic value of fecal *Fn* level in CRC patients, although its diagnostic potential has been highly advocated in several previous studies.<sup>43,44</sup> Hence, whether its fecal level has any prognostic value or serves as a dynamic noninvasive marker like CEA in CRC surveillance still requires our extensive clinical validations in future.

Increasing evidences have supported that gut bacteria play a major role in modulating the anticancer efficacy of

various CRC-related chemotherapeutic drugs such as 5-Fu, irinotecan, and oxaliplatin.<sup>45</sup> To identify the correlation between *Fn* level and chemotherapy benefits in stage III/IV patients, a subgroup analysis was carried out based on *Fn* level and we found that patients receiving chemotherapy had a significantly better prognosis than those receiving no chemotherapy in both the high and low *Fn* level group. However, the following interaction analysis on DFS indicated that patients with low *Fn* level benefited more from chemotherapy than those with high *Fn* level, suggesting that *Fn* might be a predictive biomarker for chemotherapy response in stage III/IV patients. These results also implied its potential involvement in chemotherapy resistance of metastatic CRC cells. Yu et al have recently found that *Fn* can induce chemotherapy resistance of CRC cells through modulating autophagy via toll-like receptor/microRNAs signaling cascade, strongly supporting our results.<sup>46</sup> Furthermore, it is reported that chemotherapy may in turn influence the gut bacteria of cancer patients.<sup>47</sup> Therefore, whether the *Fn* level is changed during chemotherapy treatment and this change has any impact on therapy efficacy or even drug toxicity is also worthy of further investigation.

Finally, we analyzed the expression and clinical significance of EMT and CSC markers in stage III/IV patients, based on the consideration that both the molecular events play a major part in disease progression and therapy resistance of cancer patients.<sup>48</sup> Our results showed that these markers are correlated with not only some clinicopathological features, but also CSS and DFS in stage III/IV patients. These findings are consistent with those of previous studies regarding their clinical significance in CRC patients.<sup>49–51</sup> More importantly, through correlation analysis, we found that the *Fn* level was negatively correlated with E-cadherin expression, but positively correlated with N-cadherin expression in CRC tissues. Since loss of E-cadherin and gain of N-cadherin are defined as classical hallmarks of EMT, we speculated that *Fn* might contribute to CRC development partly by inducing this oncogenic molecular phenotype.<sup>52</sup> This speculation is partly supported by a recent study that proved that *Fn* promotes CRC growth and invasion through regulating E-cadherin/ $\beta$ -catenin signaling.<sup>53</sup> Our previous study also found that *Fn* upregulates miR-21 level to induce colitis-associated cancer by repressing E-cadherin, implying that *Fn* may induce EMT through upregulating miR-21.<sup>19,54</sup> Then, we observed a positive correlation between *Fn* level and Nanog expression in CRC tissues, indicating that *Fn* might be involved in CSC phenotype. Nanog, as a well-established CSC marker, is also found to participate in the EMT program in cancer development, suggesting that *Fn* may partly induce

EMT through regulating CSC phenotype.<sup>55,56</sup> However, for further clarifying the correlation of *Fn* with EMT and CSC phenotype, extensive cellular assays are needed. In addition, it is reported that statins enhance the chemosensitivity of CRC cells through impairing CSC phenotype and whether *Fn* screening may be useful to discriminate between patients who most likely benefit from statins during chemotherapy still requires more clinical validations.<sup>57</sup>

In summary, our study indicates that *Fn* level is positively correlated with malignant progression and may serve as an independent prognostic indicator in stage III/IV patients. In addition, our findings also suggest that the *Fn* level is helpful for predicting chemotherapy benefits in these patients. Finally, we found that *Fn* level is correlated with several EMT and CSC markers in their tumor tissues, suggesting its potential involvement in EMT-CSC cross talk during CRC development. These findings not only suggest the immense potential of *Fn* as a clinically actionable biomarker for precise treatment in stage III/IV patients, but also provide a promising adjuvant therapeutic strategy for them that targeting *Fn* may be helpful for preventing CRC metastasis and improving chemotherapy efficacy.

## Acknowledgments

This work was supported by grants from the National Natural Science Foundation of China (no 81230057, 81372615, 81472262, and 81200264); Emerging Cutting-Edge Technology Joint Research projects of Shanghai (SHDC12012106), Tongji University Subject Pilot Program (no 162385), and China Postdoctoral Science Foundation (no 2017M610278). We thank Dr Ruting Xie (Department of Pathology, Shanghai Tenth People's Hospital, Tongji University School of Medicine) for her crucial assistance in sample collection and IHC assay.

## Disclosure

The authors report no conflicts of interest in this work.

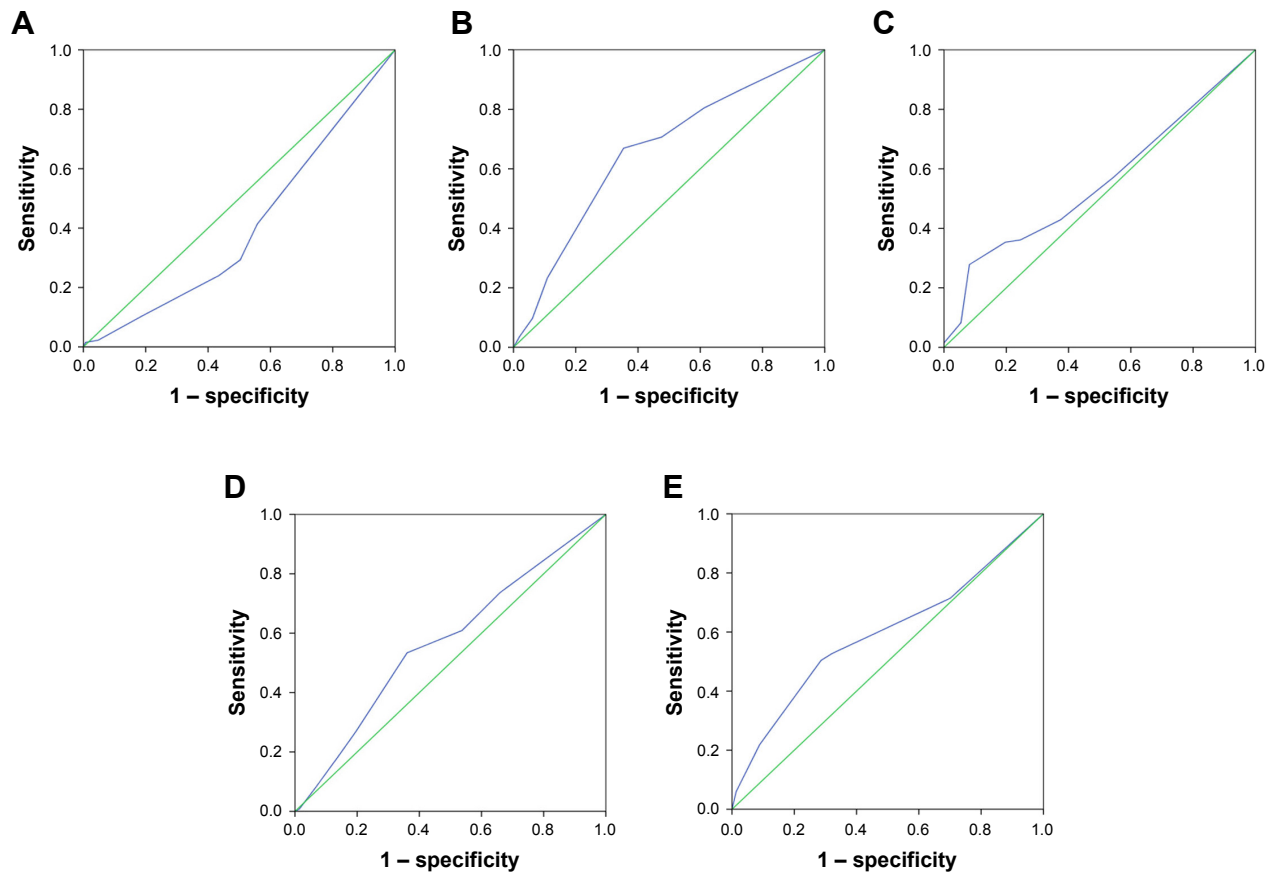
## References

1. Torre LA, Bray F, Siegel RL, Ferlay J, Lortet-Tieulent J, Jemal A. Global cancer statistics, 2012. *CA Cancer J Clin.* 2015;65(2):87–108.
2. Siegel RL, Miller KD, Jemal A. Cancer statistics, 2017. *CA Cancer J Clin.* 2017;67(1):7–30.
3. Chen W, Zheng R, Baade PD, et al. Cancer statistics in China, 2015. *CA Cancer J Clin.* 2016;66(2):115–132.
4. Aran V, Victorino AP, Thuler LC, Ferreira CG. Colorectal cancer: epidemiology, disease mechanisms and interventions to reduce onset and mortality. *Clin Colorectal Cancer.* 2016;15(3):195–203.
5. Siegel RL, Miller KD, Fedewa SA, et al. Colorectal cancer statistics, 2017. *CA Cancer J Clin.* 2017;67(3):177–193.
6. De Greef K, Rolfo C, Russo A, et al. Multidisciplinary management of patients with liver metastasis from colorectal cancer. *World J Gastroenterol.* 2016;22(32):7215–7225.

7. Troiani T, Napolitano S, Della Corte CM, et al. Therapeutic value of EGFR inhibition in CRC and NSCLC: 15 years of clinical evidence. *ESMO Open*. 2016;1(5):e000088.
8. Parikh RB, Prasad V. Blood-based screening for colon cancer: a disruptive innovation or simply a disruption? *JAMA*. 2016;315(23):2519–2520.
9. Sepulveda AR, Hamilton SR, Allegra CJ, et al. Molecular biomarkers for the evaluation of colorectal cancer: guideline from the American Society for Clinical Pathology, College of American Pathologists, Association for Molecular Pathology, and the American Society of Clinical Oncology. *J Clin Oncol*. 2017;35(13):1453–1486.
10. Tsilimigras MC, Fodor A, Jobin C. Carcinogenesis and therapeutics: the microbiota perspective. *Nat Microbiol*. 2017;2:17008.
11. Gagnaire A, Nadel B, Raoult D, Neeffes J, Gorvel JP. Collateral damage: insights into bacterial mechanisms that predispose host cells to cancer. *Nat Rev Microbiol*. 2017;15(2):109–128.
12. Dart A. Tumour microenvironment: that gut feeling. *Nat Rev Cancer*. 2016;16(12):756–757.
13. O'Connor A, O'Morain CA, Ford AC. Population screening and treatment of Helicobacter pylori infection. *Nat Rev Gastroenterol Hepatol*. 2017;14(4):230–240.
14. Wang J, Jia H. Metagenome-wide association studies: fine-mining the microbiome. *Nat Rev Microbiol*. 2016;14(8):508–522.
15. Tsoi H, Chu ES, Zhang X, et al. Peptostreptococcus anaerobius induces intracellular cholesterol biosynthesis in colon cells to induce proliferation and causes dysplasia in mice. *Gastroenterology*. 2017;152(6):1419–1433.
16. Wang X, Yang Y, Huycke MM. Commensal bacteria drive endogenous transformation and tumour stem cell marker expression through a bystander effect. *Gut*. 2015;64(3):459–468.
17. Zhu Q, Jin Z, Wu W, et al. Analysis of the intestinal lumen microbiota in an animal model of colorectal cancer. *PLoS One*. 2014;9(6):e90849.
18. Gao Z, Guo B, Gao R, Zhu Q, Qin H. Microbiota dysbiosis is associated with colorectal cancer. *Front Microbiol*. 2015;6:20.
19. Yang Y, Weng W, Peng J, et al. Fusobacterium nucleatum increases proliferation of colorectal cancer cells and tumor development in mice by activating toll-like receptor 4 signaling to nuclear factor- $\kappa$ B, and up-regulating expression of microRNA-21. *Gastroenterology*. 2017;152(4):851–866.
20. Pradella D, Naro C, Sette C, Ghigna C. EMT and stemness: flexible processes tuned by alternative splicing in development and cancer progression. *Mol Cancer*. 2017;16(1):8.
21. Chen T, You Y, Jiang H, Wang ZZ. Epithelial–mesenchymal transition (EMT): a biological process in the development, stem cell differentiation and tumorigenesis. *J Cell Physiol*. 2017;232(12):3261–3272.
22. Yan X, Liu L, Li H, et al. Dual specificity phosphatase 5 is a novel prognostic indicator for patients with advanced colorectal cancer. *Am J Cancer Res*. 2016;6(10):2323–2333.
23. Dalerba P, Sahoo D, Paik S, et al. CDX2 as a prognostic biomarker in stage II and stage III colon cancer. *N Engl J Med*. 2016;374(3):211–222.
24. Han YW. Fusobacterium nucleatum: a commensal-turned pathogen. *Curr Opin Microbiol*. 2015;23:141–147.
25. Tahara T, Shibata T, Kawamura T, et al. Fusobacterium detected in colonic biopsy and clinicopathological features of ulcerative colitis in Japan. *Dig Dis Sci*. 2015;60(1):205–210.
26. Atanasova KR, Yilmaz O. Prelude to oral microbes and chronic diseases: past, present and future. *Microbes Infect*. 2015;17(7):473–483.
27. Stockham S, Stamford JE, Roberts CT, et al. Abnormal pregnancy outcomes in mice using an induced periodontitis model and the haematogenous migration of Fusobacterium nucleatum sub-species to the murine placenta. *PLoS One*. 2015;10(3):e0120050.
28. Yamamura K, Baba Y, Nakagawa S, et al. Human microbiome Fusobacterium nucleatum in esophageal cancer tissue is associated with prognosis. *Clin Cancer Res*. 2016;22(22):5574–5581.
29. Mitsuhashi K, Noshio K, Sukawa Y, et al. Association of Fusobacterium species in pancreatic cancer tissues with molecular features and prognosis. *Oncotarget*. 2015;6(9):7209–7220.
30. Castellarin M, Warren RL, Freeman JD, et al. Fusobacterium nucleatum infection is prevalent in human colorectal carcinoma. *Genome Res*. 2012;22(2):299–306.
31. Saito T, Nishikawa H, Wada H, et al. Two FOXP3(+)/CD4(+) T cell subpopulations distinctly control the prognosis of colorectal cancers. *Nat Med*. 2016;22(6):679–684.
32. Gur C, Ibrahim Y, Isaacson B, et al. Binding of the Fap2 protein of Fusobacterium nucleatum to human inhibitory receptor TIGIT protects tumors from immune cell attack. *Immunity*. 2015;42(2):344–355.
33. Mima K, Sukawa Y, Nishihara R, et al. Fusobacterium nucleatum and T cells in colorectal carcinoma. *JAMA Oncol*. 2015;1(5):653–661.
34. Ito M, Kanno S, Noshio K, et al. Association of Fusobacterium nucleatum with clinical and molecular features in colorectal serrated pathway. *Int J Cancer*. 2015;137(6):1258–1268.
35. Li S, Konstantinov SR, Smits R, Peppelenbosch MP. Bacterial biofilms in colorectal cancer initiation and progression. *Trends Mol Med*. 2017;23(1):18–30.
36. Li YY, Ge QX, Cao J, et al. Association of Fusobacterium nucleatum infection with colorectal cancer in Chinese patients. *World J Gastroenterol*. 2016;22(11):3227–3233.
37. Mima K, Nishihara R, Qian ZR, et al. Fusobacterium nucleatum in colorectal carcinoma tissue and patient prognosis. *Gut*. 2016;65(12):1973–1980.
38. Flanagan L, Schmid J, Ebert M, et al. Fusobacterium nucleatum associates with stages of colorectal neoplasia development, colorectal cancer and disease outcome. *Eur J Clin Microbiol Infect Dis*. 2014;33(8):1381–1390.
39. Huang B, Chen C, Ni M, Mo S, Cai G, Cai S. Log odds of positive lymph nodes is a superior prognostic indicator in stage III rectal cancer patients: a retrospective analysis of 17,632 patients in the SEER database. *Int J Surg*. 2016;32:24–30.
40. Li Q, Liang L, Jia H, et al. Negative to positive lymph node ratio is a superior predictor than traditional lymph node status in stage III colorectal cancer. *Oncotarget*. 2016;7(44):72290–72299.
41. Chu QD, Zhou M, Medeiros K, Peddi P. Positive surgical margins contribute to the survival paradox between patients with stage IIB/C (T4N0) and stage IIIA (T1–2N1, T1N2a) colon cancer. *Surgery*. 2016;160(5):1333–1343.
42. Chu QD, Zhou M, Medeiros KL, Peddi P, Kavanaugh M, Wu XC. Poor survival in stage IIB/C (T4N0) compared to stage IIIA (T1–2N1, T1N2a) colon cancer persists even after adjusting for adequate lymph nodes retrieved and receipt of adjuvant chemotherapy. *BMC Cancer*. 2016;16:460.
43. Wong SH, Kwong TN, Chow TC, et al. Quantitation of faecal Fusobacterium improves faecal immunochemical test in detecting advanced colorectal neoplasia. *Gut*. 2017;66(8):1441–1448.
44. Liang Q, Chiu J, Chen Y, et al. Fecal bacteria act as novel biomarkers for noninvasive diagnosis of colorectal cancer. *Clin Cancer Res*. 2017;23(8):2061–2070.
45. Alexander JL, Wilson ID, Teare J, Marchesi JR, Nicholson JK, Kinross JM. Gut microbiota modulation of chemotherapy efficacy and toxicity. *Nat Rev Gastroenterol Hepatol*. 2017;14(6):356–365.
46. Yu T, Guo F, Yu Y, et al. Fusobacterium nucleatum promotes chemoresistance to colorectal cancer by modulating autophagy. *Cell*. 2017;170(3):548–563.
47. Rajagopala SV, Yooseph S, Harkins DM, et al. Gastrointestinal microbial populations can distinguish pediatric and adolescent acute lymphoblastic leukemia (ALL) at the time of disease diagnosis. *BMC Genomics*. 2016;17(1):635.
48. Ishiwata T. Cancer stem cells and epithelial–mesenchymal transition: novel therapeutic targets for cancer. *Pathol Int*. 2016;66(11):601–608.
49. Yan X, Yan L, Liu S, Shan Z, Tian Y, Jin Z. N-cadherin, a novel prognostic biomarker, drives malignant progression of colorectal cancer. *Mol Med Rep*. 2015;12(2):2999–3006.
50. Meng HM, Zheng P, Wang XY, et al. Over-expression of Nanog predicts tumor progression and poor prognosis in colorectal cancer. *Cancer Biol Ther*. 2010;9(4):295–302.

51. Zhou H, Hu YU, Wang W, et al. Expression of Oct-4 is significantly associated with the development and prognosis of colorectal cancer. *Oncol Lett.* 2015;10(2):691–696.
52. Serrano-Gomez SJ, Maziveyi M, Alahari SK. Regulation of epithelial–mesenchymal transition through epigenetic and post-translational modifications. *Mol Cancer.* 2016;15:18.
53. Rubinstein MR, Wang X, Liu W, Hao Y, Cai G, Han YW. *Fusobacterium nucleatum* promotes colorectal carcinogenesis by modulating E-cadherin/beta-catenin signaling via its FadA adhesin. *Cell Host Microbe.* 2013;14(2):195–206.
54. Shi C, Yang Y, Xia Y, et al. Novel evidence for an oncogenic role of microRNA-21 in colitis-associated colorectal cancer. *Gut.* 2016;65(9):1470–1481.
55. Pan Q, Meng L, Ye J, et al. Transcriptional repression of miR-200 family members by Nanog in colon cancer cells induces epithelial–mesenchymal transition (EMT). *Cancer Lett.* 2017;392:26–38.
56. Migita T, Ueda A, Ohishi T, et al. Epithelial–mesenchymal transition promotes SOX2 and NANOG expression in bladder cancer. *Lab Invest.* Epub 2017 Feb 27.
57. Kodach LL, Jacobs RJ, Voormeeld PW, et al. Statins augment the chemosensitivity of colorectal cancer cells inducing epigenetic reprogramming and reducing colorectal cancer cell ‘stemness’ via the bone morphogenetic protein pathway. *Gut.* 2011;60(11):1544–1553.

## Supplementary materials



**Figure S1** The ROC curve analysis is used to determine the cutoff values of staining scores of epithelial-mesenchymal transition and cancer stem cell markers.

**Notes:** (A) E-cadherin; (B) N-cadherin; (C) Nanog; (D) Oct-4; (E) Sox-2.

**Abbreviation:** ROC, receiver operating characteristic.

**Table S1** Correlations between epithelial-to-mesenchymal transition/cancer stem cell markers and clinicopathological characteristics

Characteristics	E-cadherin		p-value	N-cadherin		p-value	Sox-2		p-value	Oct-4		p-value	Nanog		p-value	
	Total	Low		High	Total		Low	High		Total	Low		High	Total		Low
Gender																
Female	122	74	48	122	60	62	122	69	53	122	72	50	122	86	36	0.434
Male	158	93	65	158	79	79	158	94	64	158	83	75	158	118	40	0.559
Age																
≤65 years	111	66	45	111	53	58	111	64	47	111	62	49	111	83	28	0.892
>65 years	169	101	68	169	86	83	169	99	70	169	93	76	169	121	48	0.803
Tumor location																
Rectal	130	76	54	130	58	72	130	77	53	130	73	57	130	97	33	0.538
Colon	150	91	59	150	81	69	150	86	64	150	82	68	150	107	43	0.664
Tumor size																
≤5 cm	214	124	90	214	102	112	214	125	89	214	120	94	214	157	57	0.731
>5 cm	66	43	23	66	37	29	66	38	28	66	35	31	66	47	19	0.992
Tumor differentiation																
Poor	74	42	32	74	33	41	74	46	28	74	41	33	74	49	25	0.134
Well/moderate	206	125	81	206	106	100	206	117	89	206	114	92	206	155	51	0.010
Tumor invasion																
T1-T2	96	53	43	96	50	46	96	54	42	96	47	49	96	79	17	0.120
T3-T4	184	114	70	184	89	95	184	109	75	184	108	76	184	125	59	0.609
Lymph node metastasis																
N1	81	39	42	81	31	50	81	43	38	81	45	36	81	59	22	0.003
N2a	95	58	37	95	56	39	95	65	30	95	56	39	95	80	15	0.003
N2b	104	70	34	104	52	52	104	55	49	104	54	50	104	65	39	0.003
Distant metastasis																
Absent	218	123	95	218	117	101	218	124	94	218	134	84	218	154	64	0.118
Present	62	44	18	62	22	40	62	39	23	62	21	41	62	50	12	<0.001
Ki-67 positivity																
<30%	78	54	24	78	42	36	78	48	30	78	40	38	78	50	28	0.394
≥30%	202	113	89	202	97	105	202	115	87	202	115	87	202	154	48	0.041
Serum CEA level																
≤5 ng/mL	99	54	45	99	49	50	99	63	36	99	56	43	99	76	23	0.764
>5 ng/mL	181	113	68	181	90	91	181	100	81	181	99	82	181	128	53	0.394
BMI																
<18.5 kg/m <sup>2</sup>	22	12	10	22	12	10	22	14	8	22	11	11	22	13	9	0.166
18.5–24.99 kg/m <sup>2</sup>	178	111	67	178	88	90	178	98	80	178	104	74	178	128	50	0.166
≥25.0 kg/m <sup>2</sup>	80	44	36	80	39	41	80	51	29	80	40	40	80	63	17	0.166

Abbreviations: CEA, carcinoembryonic antigen; BMI, body mass index.

**OncoTargets and Therapy**

Dovepress

**Publish your work in this journal**

OncoTargets and Therapy is an international, peer-reviewed, open access journal focusing on the pathological basis of all cancers, potential targets for therapy and treatment protocols employed to improve the management of cancer patients. The journal also focuses on the impact of management programs and new therapeutic agents and protocols on

patient perspectives such as quality of life, adherence and satisfaction. The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit <http://www.dovepress.com/testimonials.php> to read real quotes from published authors.

Submit your manuscript here: <http://www.dovepress.com/oncotargets-and-therapy-journal>