

Interferons and their potential in the treatment of ocular inflammation

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Abstract: Since their discovery in the 1950s interferons have been the scope of investigation in many diseases as therapeutic as well as pathogenetic factors. We know they have immune stimulatory and immune regulatory effects. This apparently counter-intuitive mechanism can be summarized as immunomodulatory action and seems to be very effective in a number of ocular inflammatory diseases. We review the current knowledge of interferons in immunity and autoimmunity and show their use in clinical ophthalmologic practice.

Keywords: interferon, uveitis, treatment, inflammation

What are interferons?

Interferons (IFNs) were discovered in 1957¹ as natural antiviral substances produced during viral infection and were characterized for their ability to ‘interfere’ with viral replication, reduce cell proliferation, and alter immunity. They have received attention because of their diverse effects, influencing both innate and adaptive immune responses;² thus they are involved as well in defense against viral infections, tumor growth, and tolerance induction as well suspected inducers of autoimmune disease, such as sarcoidosis.^{3,4} At the same time they have been used successfully as treatment for autoimmune diseases such as multiple sclerosis.⁵ Thus in autoimmunity IFNs appear as double agents, involved in both supportive and suppressive action.

The different IFNs have been classified into type I, with the large group of IFN- α isotypes and IFN- β as the most immunologically relevant, and type II, with the single member IFN- γ , based on their amino acid composition and biologic properties. Type I IFNs share a common receptor, the IFN- α/β receptor, IFNAR, whereas IFN- γ as the only representative of type II IFNs binds to a different receptor, IFNGR.

There is a single IFN- β , while there are at least 13 different IFN- α isotypes. Evidence suggests that they have distinct functions. Their expression seems to depend on the stimulating agent and the cell type.⁶

In addressing the role of IFN- α/β in autoimmunity, it is important to recognize that in addition to direct action their effect may be mediated by synergistic or antagonistic interactions with other cytokines. As the clinical experience is limited mainly to type I IFNs, for sake of clarity and space restrictions we concentrate on these.

Virtually any cell can produce type I IFNs, but antigen presenting cells, especially plasmacytoid dendritic cells, are the main producers of type I IFNs, already at early stages of the immune response. Thus, type I IFNs, especially IFN- α , may be the pivotal cytokines linking the innate with the adaptive immune system. Low levels of type I

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IFNs seem to be prerequisites for the upregulation of type I IFNs as a reaction to viral infection and subsequent induction of IFN- γ production. This finally leads to the induction and maintenance of T-helper type 1 cells, CD8 $^{+}$ cytotoxic T cells, and natural killer cells that fight the viral intrusion. In contrast, type I IFNs have also been shown to exert an antiproliferative and proapoptotic effect on T cells. Another IFN effect is the development of tolerance-promoting regulatory T cells. Equally there has been a positive as well as an inhibitory effect described on B-cell development and survival.^{6,7}

IFNs also have been shown to be involved in the pathogenesis of several autoimmune diseases as systemic lupus or type I diabetes.^{8,9} Systemic lupus is a highly heterogeneous, multiorgan disorder primarily afflicting women and is characterized by the production of diverse autoantibodies, predominantly against nucleosomal and spliceosomal antigens. Type I IFNs, central to both innate and adaptive immunity, have received particular attention for their role in the development of autoimmune responses, and a preponderance of evidence supports their disease-promoting activity in lupus (reviewed in).^{8,10} In humans with active disease, levels of IFN- α are increased in serum and affected tissues.

Supposed mechanisms of action

IFNs are thought to have immunomodulatory effects rather than immunosuppressive mode of action. This is supported by insights from animal models of uveitis (see below), but also from studies in humans that were treated with IFNs.

IFN in animal models

Experimental autoimmune encephalomyelitis (EAE) is a well-established rodent model of CNS-specific inflammatory disease and is known to be the best animal model for studying the etiology and pathogenesis of encephalomyelitis disseminata (ED). It is mediated by T cells and results in progressive demyelination and paralysis.

There are several subtypes of T cells in the immune system. They are distinguished by their surface receptors and cytokine repertoire and their differentiation is influenced by the cytokines in their environment. For quite some time the (dis)balance of TH1 (autoaggressive) and Th2 (downregulating) CD4 $^{+}$ T cells was thought to induce autoimmunity. Newer research showed the existence of several more T cell subtypes, as the CD25 $^{+}$, FOXP3 $^{+}$ T “regulatory” T cells, or so-called Th17, IL-17 producing, possibly autoimmunity supporting cells.¹¹

As in ED, EAE is characterized by a breakdown of the blood–brain barrier. The inflammatory response is characterized by T cell infiltrates located around vessels of the CNS white matter, by activation of local microglia and astrocytes, and in the most severe cases it may be eventually followed by demyelination. Anterior uveitis (AU) has been found to coincide with EAE in rabbits, monkeys, in the Lewis rat,^{12,13} and in mice.^{14,15} The encephalitogenic T cells are specific for the antigen myelin basic protein (MBP), which is a component of the myelinated sheath surrounding nerve bundles. These myelinated nerve bundles are abundant in the spinal cord and in the iris; thus this “autoantigen” is located at sites of inflammation. In the rodent models of EAE, AU generally persists after the paralysis has subsided. EAE and AU can be induced actively by immunizing with MBP in the presence of adjuvant, or passively by using adoptive transfer of MBP-specific T cells that have been generated against the whole antigen or encephalogenic peptides. Mice deficient in IFN- β showed augmented and chronic EAE.¹⁶ Histopathological investigations of CNS in the effector phase revealed an extensive microglia activation and TNF- α production in IFN- β KO mice; this was virtually absent in wildtype littermates. This coincided with an increase in effector functions of T cells in IFN- β KO mice. The authors suggested that the lack of IFN- β leads to persistent activation of residual antigen presenting cells, which results in prolonged inflammation and extensive demyelination. Another more recent study used EAE as a model to investigate the role of the TRIF-dependent IFN induction pathway of the innate immune system in the regulation of autoimmune inflammation, showing that Th-17 mediated autoimmune inflammation was negatively regulated via IFN-induced IL-27 production in macrophages.¹⁷

Another mouse model of intraocular inflammation is experimental autoimmune uveitis (EAU), which is induced by interphotoreceptor retinoid-binding protein (IRBP) in rats or mice. EAU can be mitigated or prevented by IFN- α 2a or - β treatment, in the animal model even an oral application was shown to be effective.^{18–21}

IFN in human disease

Generally type I IFNs have been shown to have multiple immunostimulatory as well as immunosuppressive effects.^{6,22} On the one hand, a shift towards Th1 T cell response and related cytokines has been shown, an increase in MHC class I expression and cellular adhesion molecules, an increase in cytotoxicity of T cells, and especially

increase in numbers as well as in activity of NK cells.²³ This is helpful to eliminate foreign antigen and would explain the anti-viral and anti-tumor effect, but would at the same time indicate an immune-stimulatory capacity that is promoting autoimmunity rather than treating it. IFN is used in treatment of melanoma, and especially in responders autoimmune disease has been described.²⁴ The development of autoimmunity was higher in the extended treatment group. IFNs are also involved in the development of autoimmune diseases as diabetes type I.⁹ Thus, the immunoregulatory effects of IFNs are harder to grasp. Especially in Behçet's disease (BD) investigations have been undertaken: Treusch and colleagues have shown that numbers of g-d T cells are normalized²⁵ and soluble TNF receptor increases.²⁶ These apparently incompatible stimulatory versus regulatory effects (see Figure 1) were reconciled by more recent results from human studies showing an upregulation of T regulatory cells in patients with BD treated with IFN.²⁷ Wang and colleagues showed that IFN- α 2b upregulates STAT5 and downregulates STAT3, in conjunction with upregulation of Treg and inhibition of IL-17-expressing lymphocytes in melanoma tissues.²⁸ Similarly, it has been shown that IFN- β 1a inhibits the secretion of Th17-polarizing cytokines in human dendritic cells via TLR7 up-regulation.²⁹ The discovery of the Th17 cell lineage marked a new era in the studies of the autoimmune response, as it resolved many controversial findings not compatible with the Th1 paradigm of autoimmune response.³⁰ In summary the influence of type I

IFNs on the immune system is still not fully elucidated, but seems to be a very complex web of dosage, timing, and type of cell involved.

A number of clinical trials and case series have been published on systemic treatment with type I IFNs in uveitis. Table 1 lists these in detail. The mode of administration generally is subcutaneous. Intravitreal application has been tried in only 2 patients with advanced neovascular age-related macular degeneration with little response and was not pursued any further due to a reversible reduction in the light response on electroretinography after 1 month.³¹

Behçet's disease (BD)

BD is a multisystem disorder that can affect basically all organs. It is common in countries along the old silk route from Turkey and Japan. Uveitis in a patient with BD is accompanied by severe inflammation with occlusive vasculitis that can lead to visual impairment. Therefore, many drugs have been investigated for their potential in preventing ocular recurrences in patients with BD, including azathioprine,³² cyclosporine A,³³ and infliximab.³⁴

As a viral pathogenesis is thought to play a role in the pathogenesis of BD, IFN- α was introduced in 1986 for its antiviral activity, with encouraging results.³⁵ In 1994 Feron et al³⁶ used IFN- α for the first time in patients with ocular BD. Kotter et al³⁷ have published the widest experience in treating patients with BD with IFN- α . They published an open, noncomparative prospective study including 50 subjects. In this study, the drug was administered initially at a dose

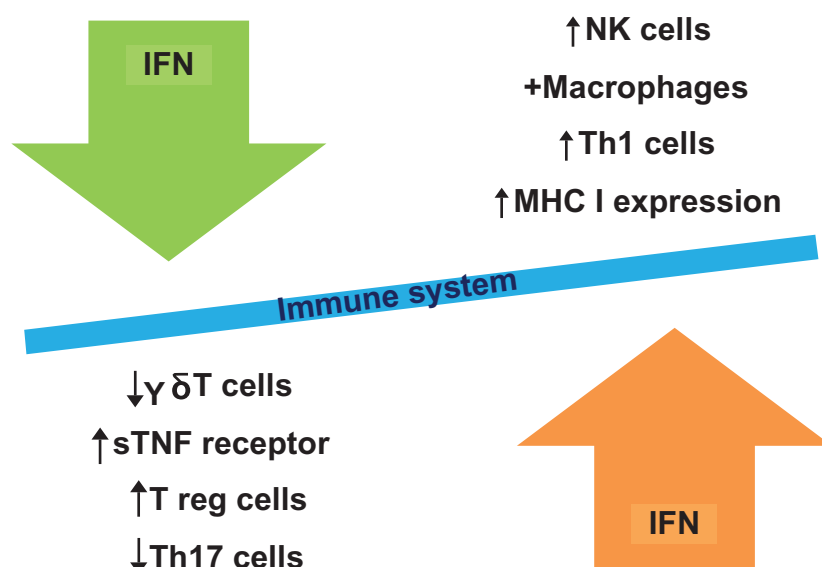


Figure 1 Interferons: immunostimulatory vs immunoregulatory effects.

Table I Systemic interferon treatment in ocular inflammatory disease

Author, Year	Type of study	n	Systemic disease (n)	Uveitis (n)	Dose (mio IU)	Interval	Improvement (of uveitis) %
Interferon-α							
Deuter 2009 ⁶⁴	Retr. CS	24	None	24	3–6	Daily	62.5–87.5 ^a
Krause 2008 ⁴³	Retr. CS	45	BD	45	3–9	3/week	73–92 ^b
Gueudry 2008 ⁴⁷	Retr. CS	32	BD	32	3	3/week	82.1 ^c
Bodaghi 2007 ⁴⁶	Retr. CS	45	BD (23)/ other (22)	45	3	3/week	82.6 59
Guillaume-Czitrom 2007 ⁴⁸	Retr. CS	7	Pediatric BD	7	1.5–3	3/week	71.4
Pliskova 2007 ⁶⁵	Pros. CS	12	BD (2)/none (10)	12	6	Daily	83 “clinical response”
Tugal-Tutkun 2006 ⁴²	Retr. CS	44	BD	44	3–6	Daily	36.4–91 ^d
Kotter 2004 ⁴⁴	Retr. Meta-analysis	338	BD	182	variable	variable	94
Kotter 2004 ³⁷	Pros. open label	50	BD	50	6–3	Daily to 3/week	92
Alpsoy 2002 ³⁸	Pros., controlled	50	BD	9	6 or placebo	3/week	83 versus 33
Interferon-β							
Becker 2005 ⁵⁶	Retr. CS	13	Multiple sclerosis	13	22/44 μ g sc (10) 30 mg im (3)	3/week or 1 weekly	71

^aReduction in cystoid macular edema incl. partial response; ^b92% any improvement, 78% no recurrence, 73% discontinued pred; ^c82.1% (no more relapses), 87.5% (+ partial response); ^d36.4% (no more relapses), 91% (+ partial response)

Abbreviations: mio IU, million international units; sc, subcutaneously; im, intramuscularly; BD, Behçet's disease; CS, case series.

of 6 million units daily, with dose and frequency adjusted depending on the clinical response. A response rate of 92% was reported, with significant improvements in both visual acuity and posterior uveitis score. More than one third of patients were off treatment and disease-free at an average of 2.5 years. The IFN treatment was also beneficial for extraocular manifestations of BD, although less so for oral ulcerations.

Alpsoy and colleagues³⁸ performed a randomized, placebo-controlled, double-blind trial showing IFN- α 2a to be superior to placebo in oral and genital ulcer reduction as well as in ocular flare reduction. The study included 50 patients, of whom nine had ocular involvement. Other groups have published case series supporting therapeutic effects of IFN- α in this subtype of uveitis.^{39–43}

There have been 2 meta-analysis of the literature performed.^{44,45} The first analysis included 32 original reports and 4 selected abstracts. Systemic IFN- α was administered to 338 patients, including 182 with acute ocular disease. Eighty-six percent of the patients with mucocutaneous symptoms, 96% with arthritis, and 94% with uveitis exhibited a partial or complete response. Higher IFN doses were more effective than low-dose regimens. Long-term remissions seem to be associated with higher doses, but not longer duration of treatment. IFN- α 2a apparently was superior to IFN- α 2b.

The second included 22 studies with 144 participants (some participants were shared between studies). Fourteen studies (70 participants) reviewed treatment with IFN- α 2a and 8 studies (74 participants) reviewed treatment with IFN- α 2b. Seventy-four percent of patients with mucocutaneous manifestations, 95% of patients with uveitis, and 93% of patients with arthropathy/arthritis exhibited a partial or complete response. IFN- α 2a regimens were more effective than IFN- α 2b ones on mucocutaneous (47% versus 7% complete response) and ocular (67% versus 8% complete response; $P < 0.001$) manifestations.

Bodaghi and colleagues presented a retrospective evaluation of 45 patients treated with IFN- α 2a.⁴⁶ About half of the patients had BD. Especially in these patients, the treatment was effective (82.6%), but it was also judged effective in 59% of the patients with other types of uveitis. Subsequently, it was possible to significantly reduce oral prednisone in both groups. The same group published their results for 32 patients with BD and IFN in 2008⁴⁷ and also for pediatric BD.⁴⁸ In contrast to the Koetter group, these authors started IFN treatment together with an iv methylprednisolone pulse and subsequent oral taper, reaching a final mean of 7 mg of oral prednisone/day. Colchicine was allowed as adjunct treatment. Another group recently published their long-term results on 45 patients with BD and also started with 100 mg of prednisone and subsequent rapid taper down to 10 mg in

2 weeks.⁴³ See also below for controversial opinions about additional immunosuppressive treatment.

Several case series about the effective use of IFN- α inhibitors in BD have been published (for a review see⁴⁹). EULAR recommendations say to introduce either cyclosporine or infliximab as a second line agent in refractory eye involvement; alternatively IFN- α can be used.⁵⁰ So far no direct comparison of IFN- α inhibitors and IFNs or other immunosuppressive agents and IFN have been performed, but a multicentric national trial is currently ongoing comparing IFN versus cyclosporine (INCYTOB, see clinicaltrials.gov).

Encephalomyelitis disseminata (multiple sclerosis)

Intermediate uveitis is the most frequent form of ED-associated uveitis. Anterior uveitis is rare in patients with ED, but if it occurs is of the granulomatous subtype.^{51,52} A sign of intermediate uveitis are snowbanks and snowballs. Especially in intermediate uveitis accompanying ED, snowbanks and continuous retinal periphlebitis in combination seem to be typical.^{53,54} In patients with this type of uveitis, secondary changes like the formation of cystoid macular edema (CME) or occlusive vasculitis with vasoproliferations can develop (Figure 1), which may be complicated by retinal detachments or vitreous hemorrhage.⁵⁵ Especially macular edema with subsequent epiretinal membrane formation is a challenge and a threat to visual prognosis.

There is increasing evidence that IFN is very effective in treatment of uveitis associated with ED, especially the accompanying macular edema. We used type 1 IFNs to treat uveitis associated with multiple sclerosis that was refractory to corticosteroid treatment in a retrospective, multicenter observational case series. Thirteen patients (8 female, 5 male) with proven multiple sclerosis and associated uveitis in 25 eyes from 5 uveitis centers were treated with IFN- β 1a. Visual acuity improved in 17 eyes (71%), 5 did not change (21%), and 2 eyes deteriorated (8%) because of development of cataract. CME resolved after or during IFN treatment in 82% of the eyes. Side effects were noted in three patients (elevation of liver enzymes in 1 patient, depression in 1, and joint pain in 1). At the last visit, 9 patients (69%) had discontinued systemic corticosteroids; 3 were taking 10 mg of prednisone or less. Treatment of multiple sclerosis-associated uveitis with IFN appeared to have beneficial effects on visual acuity, intraocular inflammation activity, and the presence of CME in this study.^{56,57} First results of a randomized, controlled, clinical trial have been presented at the Association for

Research in Vision and Ophthalmology (ARVO) meeting, indicating superiority of IFN over methotrexate in patients with intermediate uveitis with or without ED.⁵⁸

Inflammatory macular edema

Macular edema is a major cause of vision loss in patients with uveitis.⁵⁹ Diverse treatments are in use, which include periocular or intravitreal corticosteroid injections, systemic corticosteroids, acetazolamide, immunosuppressive medications, octreotides and even intravitreal bevacizumab injections.^{60–63} None of these medications has been tested in a randomized, controlled, clinical trial.

Deuter et al⁵⁷ were the first to show a positive effect of IFN- α on uveitic CME in a prospective case series. The authors treated 8 patients (2 male, 6 female) with IFN- α 2a at an initial dosage of 3 or 6 million units daily, depending on body weight. All patients had inactive primary uveitis with CME that had not responded to systemic corticosteroids and acetazolamide previously. In seven patients, a response to IFN- α 2a was seen within 3 days, and CME completely disappeared after 2 to 4 weeks in all 13 eyes in these patients. In the nonresponder, anti-IFN- α 2a antibodies were discovered. Recently, the authors published their experiences in the long-term treatment of 24 patients.⁶⁴

Other uveitis subtypes

Pliskova⁶⁵ and colleagues published their experiences with IFN- α 2b in severe posterior or panuveitis. Two of their patients were diagnosed with BD, 1 sympathetic ophthalmia, the rest were idiopathic. A positive clinical response was observed in 83% of their patients. Bodaghi and coauthors published a retrospective evaluation of 45 patients treated with IFN- α 2a.⁴⁶ About half of the patients had BD, but 22 had other forms of uveitis. In 59% of these patients, the treatment was judged effective.

Specifics of IFN treatment

Types of IFN available for treatment

Three different IFN- β drugs (Avonex® [β 1a], Rebif® [β 1a], Betaseron® [β 1b]) and 2 IFN- α drugs (Intron A® [IFN- α 2b], Roferon® [IFN- α 2a]) are available.

IFN $\pm$ other immunosuppressive treatment?

Owing to the particular mode of action of IFNs (immunomodulatory rather than immunosuppressive), it is thought that IFNs need an unsuppressed immune system.

In 2006⁶⁶ we wrote in a similar review on IFNs “Therefore, it is recommended to use additional corticosteroids only in low doses. The concurrent use of immunosuppressives should be avoided during IFN treatment.” This was proposed especially by Kotter and coworkers previously.⁶⁷ They based this recommendation on their clinical experience,⁶⁸ backed up by the rationale that the activity of NFκB is reduced by glucocorticoids. NFκB is a transcription factor that is thought to regulate the sensitivity of cells toward IFN-mediated antiviral activity⁶⁹ and is itself activated by IFN in a signaling pathway that protects cells against apoptosis.⁷⁰ Recently, several authors/studies put this paradigm to a test: Gueudry et al⁴⁷ treated BD uveitis with initial methylprednisolone pulse and IFN-α and never aimed to taper corticosteroids completely. In a case series of 2 patients with BD and uveitis Harmuryudan et al⁷¹ combined IFN and azathioprine with good results. As can be seen in Table 1, different groups have used IFNs in combination with immunosuppressive agents. There is also increasing evidence from the ED literature that adding corticosteroids or other immunosuppressives to IFN reduces formation of neutralizing antibodies and thus improves clinical response.⁷² Especially one pilot study could show this for a combination of IFN-β1a and mycophenolate mofetil (Cellcept®),⁷³ clinical trials addressing this topic are currently undertaken.

Apparently this is a preventive measure and does not work once neutralizing antibodies have been formed.⁷⁴

Management of IFN therapy

A close collaboration between other specialists and ophthalmologists is helpful to optimally direct IFN treatment. It is necessary to perform an exact medical history before starting IFN therapy focusing on autoimmune diseases (besides uveitis), impaired thyroid or liver function, and previous or current depressive disorders in particular antecedents of suicidal ideation. Patients should be informed of the most frequent adverse reactions associated with IFN therapy to improve compliance.

Secondary effects

Common side effects of IFN include injection-site reactions, flu-like symptoms (fever, headache, myalgia, arthralgia, sweating, and fatigue), leukopenia, liver enzyme elevations, and alopecia. The flu-like symptoms can be alleviated by concomitant administration of paracetamol and seem to represent a good prognostic marker for a response to IFN treatment, as this may indicate the absence of pre-existing anti-IFN autoantibodies. Usually, severity of these symptoms will decrease in the course of therapy. Patients

with cardiac disease, such as angina, congestive heart failure, or arrhythmia, should be closely monitored for worsening of their clinical condition during initiation of therapy with IFNs as symptoms of the flu-like syndrome may prove stressful to patients with cardiac conditions.

Depression and suicidal intentions can occur during therapy with IFN independent of preexisting psychiatric disease.⁷⁵ Patients treated with IFNs should be advised to immediately report any symptoms of depression and/or suicidal ideation to their prescribing physician.

IFN-α in combination with ribavirin treatment in hepatitis C infection has led to development of sarcoidosis in some patients.³ If this is due to HCV itself acting as an antigenic trigger for the development of sarcoidosis in susceptible patients, or the action of ribavirin in combination with IFN-α, or the latter alone is unknown so far. Of interest, no reports of IFN-β leading to sarcoidosis have been published so far, and no patients treated with IFN-α for other diseases such as BD have been diagnosed with sarcoidosis. Still, this may be due to the lower numbers in these cohorts.

Retinopathy (cottonwool spots and/or hemorrhages) has been reported as an ocular complication of hepatitis C treatment with IFN, with frequencies varying from 3.8% to 24% in studies, but generally with a good outcome.^{76–79} There are also about 6 single case reports of multiple sclerosis-related IFN-associated retinopathy.^{80–82}

IFN therapy may induce formation of autoantibodies and other immune-mediated diseases as auto-immune thyroiditis.²⁴

Laboratory exams

Laboratory abnormalities are associated with the use of IFNs. Basic lab tests include complete and differential blood count, platelets, and liver enzymes (especially ALT). Lab tests should be repeated at months 1, 3, and 6 on therapy and periodically thereafter. Dose reduction of IFN therapy should be considered if ALT rises above 5 times the upper normal limit. Patients being treated with IFNs may occasionally develop new or worsening thyroid abnormalities. Thyroid function testing is recommended at baseline and if abnormal, every 6 to 12 months after initiation of therapy. If tests are normal at baseline, routine testing is not needed but should be performed if clinical findings of thyroid dysfunction appear (ref: Summary of Product Characteristics).

Conclusion

IFNs have been shown highly effective in the treatment of uveitis. Most data exist for IFN-α2a in BD, from 1 placebo

controlled trial and 2 meta-analyses. IFN- α may be given for ocular BD not responsive to a first-line immunosuppressive, as per EULAR recommendations.⁵⁰ Evidence is emerging for the use of IFN in the treatment of inflammatory macular edema, but final results from a prospective clinical trial are lacking.

Disclosures

The authors are performing a clinical trial on interferon beta in patients with uveitic macular edema, partially supported by Merck Serono GmbH.

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