

# Cationic polymer modified PLGA nanoparticles encapsulating Alhagi honey polysaccharides as a vaccine delivery system for ovalbumin to improve immune responses

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**Background:** Poly (lactic-co-glycolic acid) (PLGA) nanoparticles and surface modified PLGA nanoparticles have been widely studied as antigens or drugs carriers due to their controlled release characteristics and biocompatibility. However, most PLGA nanoparticles have lower antigens loading efficiency and adjuvanticity.

**Purpose:** The aim of this study was to improve the antigen loading efficiency and adjuvant activity of PLGA nanoparticles.

**Materials and methods:** Surface cationic polymer modification can improve the antigens loading efficiency of PLGA nanoparticles by surface adsorption. Therefore, in this study, chitosan modified PLGA nanoparticles (CS-AHPP/OVA), polyethyleneimine modified PLGA nanoparticles (PEI-AHPP/OVA), and  $\epsilon$ -Poly-L-lysine modified PLGA nanoparticles ( $\epsilon$ PL-AHPP/OVA) were prepared as antigen delivery carriers to investigate the characterization and stability of these nanoparticles. These nanoparticles were evaluated for their efficacies as adjuvants pre- and post-modification.

**Results:** The AHP and OVA-loaded PLGA nanoparticles (AHPP/OVA) were positively charged after surface cationic polymers modification, and their structural integrity was maintained. Their antigen loading capacity and stability of nanoparticles were improved by the surface cationic polymers modification. Increased positive surface charge resulted in greater OVA adsorption capacity. Among AHPP/OVA and the three surface cationic polymers synthesized from modified PLGA nanoparticles, PEI-AHPP/OVA showed the highest antigen loading efficiency and good stability. AHPP/OVA, CS-AHPP/OVA PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA formulations significantly enhanced lymphocyte proliferation and improved the ratio of CD4<sup>+</sup>/CD8<sup>+</sup> T cells. In addition, AHPP/OVA, PEI-AHPP/OVA and  $\epsilon$ PL-AHPP/OVA formulations induced secretion of cytokines (TNF- $\alpha$ , IFN- $\gamma$ , IL-4, and IL-6), antibodies (IgG) and antibody subtypes (IgG1 and IgG2a) in immunized mice. These results demonstrate that these formulations generated a strong Th1-biased immune response. Among them, PEI-AHPP/OVA induced the strongest Th1-biased immune response.

**Conclusion:** In conclusion, PEI-AHPP/OVA nanoparticles may be a potential antigen delivery system for the induction of strong immune responses.

**Keywords:** Alhagi honey polysaccharides, cationic polymer, poly(lactic-co-glycolic acid), nanoparticles, OVA

## Introduction

Particulate antigen delivery systems eg, poly (lactic-co-glycolic acid) (PLGA), PLA, chitosan (CS), and liposomes etc.-based nanoparticle or microspheres are

developed as the new adjuvants, to stimulate immune responses and defend against various infectious diseases.<sup>1,2</sup> Among the biomaterials-based nanoparticle drug delivery system, PLGA nanoparticles are the most promising material which shows great potential to be used as a carrier in drug delivery and as scaffolds in tissue engineering. Favorable characteristics of PLGA include safety, biodegradability, and stability and so on.<sup>3,4</sup> PLGA can be used as a drug carrier in combination with organic materials and inorganic materials. PLGA nanoparticles combined with Fe<sub>3</sub>O<sub>4</sub> or NH<sub>4</sub>HCO<sub>3</sub> may serve as a “smart” nanostructures avenues in disease therapy.<sup>5,6</sup> The combination of PLGA with polyethylene glycol or polyethylene oxide, can control the flow pattern of nanoparticles to enhance their targeting effect.<sup>3,7</sup> A number of studies have demonstrated that encapsulation of antigens or polysaccharides into PLGA nanoparticles increased antigen-presenting cell (APC) antigen uptake, controlled drug release, and also enhanced cellular and humoral immune responses.<sup>8,9</sup> Particulate vaccine delivery systems such as PLGA nanoparticles can induce cross presentation of antigens to elicit both CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses, resulting in strong antigen-specific immune responses.<sup>10,11</sup>

PLGA nanoparticle adjuvants function through encapsulation of antigens or drugs into nanoparticles. Although PLGA nanoparticles are relatively stable and exhibit high encapsulation efficiency for ovalbumin (OVA) and drugs, washing, and centrifugation during the preparation of nanoparticles can result in decreased in loading efficiency.<sup>12,13</sup> In addition, encapsulation of large drug amounts also affects the stability of the nanoparticles. Therefore, measures need to be taken to improve loading efficiency of PLGA nanoparticles. Adsorption of antigens on the surface of PLGA nanoparticles is a common loading method and can induce a strong immune response in the body.<sup>14,15</sup> High antigen loading is promoted through a combination of antigen adsorption and antigen encapsulation. CS, polyethyleneimine (PEI), and ε-Poly-L-lysine (εPL) are the most widely used cationic polymers for coating.<sup>16–18</sup> Recent studies have shown that cationic polymers, like CS, PEI, and εPL afford facile chemical conjugation to the surfaces of PLGA nanoparticles, while allowing retention of internal microstructure.<sup>18–20</sup> In addition, positively charged PLGA nanoparticles make more effective contact with APCs and induce a stronger immune response in vivo.<sup>9,21</sup>

Multiple pharmacological activities and the strong immunomodulatory effects of polysaccharides support their use as vaccine adjuvants.<sup>22,23</sup> Alhagi honey is a light yellow

granulated sugar condensed from secreted fluid of *Alhagi pseudalhagi* Desv has been traditionally used as an herbal medicinal material in China.<sup>24</sup> It is normally used as an immunomodulator to enhance immunity, and as a remedy for cough.<sup>25</sup> Modern studies have shown that the major constituent of Alhagi honey is polysaccharides, which exert various pharmacological effects such as enhanced immunity, anti-tumor effects, and hepatoprotective effects.<sup>24,26</sup>

To improve the antigen-loading efficiency of PLGA nanoparticles and the intensity of the immunomodulatory effects, three surface cationic polymers synthesized from modified PLGA nanoparticles were prepared. Good antigen encapsulation efficiency and antigen adsorption capacity showed that surface cationic polymer modified PLGA nanoparticles were excellent antigen carriers. In addition, modified PLGA nanoparticles exhibited good sustained release of Alhagi honey polysaccharides (AHP) and stimulated strong cellular immune responses. The aim of this study was to develop new nanoparticle adjuvants, to stimulate humoral and cellular immune responses.

## Material and method

### Materials

Alhagi honey was purchased from the hospital of Xinjiang traditional Uyghur medicine in Urumqi, China. PLGA (75:25, molecular weight 18 kDa) was purchased from Jinan Daigang Biomaterial (Shandong, China). Pluronic F68 (F68) was purchased from Shanghai Yuanye Biotechnology (Shanghai, China). εPL (MW<5,000) was purchased from Shanghai Macklin Biotechnology (Shanghai, China). DEAE-52, Spandex G-100, and Micro-BCA Protein Assay Kit were purchased from Solarbio Science & Technology Co. (Beijing, China). Chitosan-low molecular weight (CS, 75–85% deacetylated, MW 50–190 kDa), PEI (MW 25 kDa), 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), Freund's complete adjuvant (FCA), and OVA were purchased from Sigma-Aldrich Co. (Louis, USA). Dimethyl sulfoxide was purchased from Zhengxing Institute of Chemical Engineering (Suzhou, China). Anti-Mouse-CD3e-FITC, anti-Mouse-CD4-APC, and anti-Mouse-CD8a-FITC antibodies were all purchased from eBioscience Inc. (San Diego, USA). Assay kits for OVA-specific IgG, IgG1, IgG2a, IL-4, IL-6, IFN-γ, and TNF-α were purchased from Wuhan Boster Biological Technology (Wuhan, China). All other chemicals used were of analytical grade.

## Preparation of AHP and ova-loaded PLGA nanoparticles

AHP was extracted and purified using a previously described preparative column chromatography method,<sup>27</sup> AHP [MW 47,479 Da, main chain-2,3)- $\alpha$ -L-Rhap-(1,2,6)- $\alpha$ -D-Manp-(1,3)- $\beta$ -D-Galp-(1,3,6)- $\alpha$ -D-Galp- (1-, while the side chain is composed of  $\alpha$ -D-Glcp-(1-] carbohydrate and protein contents were 99.0% and 0.7%, respectively. OVA and AHP-loaded PLGA nanoparticles (AHPP/OVA) were prepared using a water/oil/water (w/o/w) double emulsion technique.<sup>28</sup> Briefly, 125  $\mu$ L of OVA and AHP aqueous solution ( $w_1$ , 40 mg/mL) was added to 1.25 mL of PLGA dichloromethane solution ( $w_2$ , 40 mg/mL). The mixture was probe sonicated (5%, amplitude) for 90 s in an ice bath to obtain the primary emulsion ( $w_1/o$ ). The primary emulsion was then mixed with Pluronic F68 (F68) solution ( $w_2$ , 0.8 w/v) for 2 mins (10%, amplitude) and stirred to evaporate the organic solvent and form a stable AHPP/OVA solution ( $w_1/o/w_2$  emulsion). Blank/OVA PLGA nanoparticles (BP/OVA) were prepared in the same manner, but without AHP.

After obtaining a stable AHPP/OVA solution, CS solution (300  $\mu$ L, 5 mg/mL, 0.1 M acetic acid solution),  $\epsilon$ PL solution (300  $\mu$ L, 5 mg/mL, deionized water solution), and PEI solution (400  $\mu$ L, 5 mg/mL, deionized water solution) were added to 10 mL of AHPP/OVA solution. The emulsion was mixed with gentle stirring for 3 hrs achieve effective surface coating. Cation-modified nanoparticles were washed three times with deionized water with centrifugation at 12,000 rpm for 30 mins and lyophilized.

## Loading efficiency and characterization of nanoparticles

AHP and OVA-loading efficiency in AHPP/OVA and surface cationic polymer modified nanoparticles were measured using the phenol-sulfuric acid method, and Micro-BCA Protein Assay Kit.<sup>29</sup> Samples were centrifuged at 12,000 rpm for 30 mins at 4°C, AHP and OVA were measured in the supernatant. Particle size, polydispersity index (PDI) and zeta-potential were measured by laser diffraction using a laser particle size analyzer (Hydro2000Mu, MAL1009117, Malvern Instruments, UK). Each batch was analyzed in triplicate. Morphological examination of the resultant nanoparticles was performed using scanning electron microscopy (Model S-4800 II FESEM, Hitachi, High-Technologies Co., Ltd, Japan).

Release of OVA from nanoparticles was measured by monitoring free OVA changes in solution. Six milliliters of AHPP/OVA and three surface cationic polymers synthesized from polymer modified PLGA nanoparticles (500 mg/mL) were dispersed in 30 mL of deionized water (pH=7.0) and placed in a shaker bath (37°C, 80 rpm). At predetermined intervals (2, 4, 6, 8, 12 hrs and 1, 2, 4, 8, 12, 16, 20, 24, 30, 35 days), the suspensions were centrifuged at 12,000 rpm for 30 mins. Free OVA in supernatants was determined by the Micro-BCA Protein Assay Kit, and each batch was analyzed in triplicate.

Nanoparticle dispersions were performed in tubes and stored at 37°C. Physical stability was assessed by monitoring changes in PDI and aggregation. Each sample measurement was performed in triplicate.

## Animal immunization

ICR mice (7 weeks old) were obtained from Comparative Medicine Centre of Yangzhou University. Mice were specific pathogen-free, and all animal experiments were performed in compliance with the guide for the care and use of laboratory animals, Nanjing Agricultural University IACUC, and the protocol was approved by the IACUC (No.: 2011BAD34B02).

Mice were inoculated subcutaneously (50  $\mu$ g OVA per mouse) with the following nine formulations: AHP/OVA (50  $\mu$ g AHP in 200  $\mu$ L and OVA), AHPP/OVA (50  $\mu$ g AHPP in 200  $\mu$ L and OVA), CS-AHPP/OVA (50  $\mu$ g CS-AHPP in 200  $\mu$ L and OVA), PEI-AHPP/OVA (50  $\mu$ g PEI-AHPP in 200  $\mu$ L and OVA),  $\epsilon$ PL-AHPP/OVA (50  $\mu$ g  $\epsilon$ PL-AHPP in 200  $\mu$ L and OVA), and BP/OVA (50  $\mu$ g OVA+50  $\mu$ g BP in 200  $\mu$ L and OVA). PBS, OVA, and FCA/OVA (FCA emulsified with OVA) were used as controls. Mice were vaccinated subcutaneously with the nine groups of formulations, and boosted with equivalent doses at day 14 after initial immunization. Mice were sacrificed at days 7, 21, and 35 after the second immunization, and blood and spleens were collected. Serum samples were separated and stored at -80°C.

## Splenocyte proliferation assay

Splenic lymphocytes were isolated from immunized mice on day 21 after the second immunization. Splenocytes ( $2.5 \times 10^5$  cells/mL) were re-suspended in complete medium and re-stimulated with OVA (50  $\mu$ g/mL), then incubated for 48 hrs. Cells in the blank cells group were used as controls. MTT assay was used to assess cell proliferation. Proliferation index = ( $A_{570}$  (experimental group)/ $A_{570}$

(control group).<sup>19</sup> Each test consisted of four repeated measurements.

## Lymphocyte immunophenotype analysis

To investigate the T lymphocyte subpopulation, splenic lymphocytes were harvested from immunized mice on day 21 after the second immunization. Splenocytes ( $1 \times 10^6$  cells/mL) were seeded on a 24-well plate, restimulated with OVA (50  $\mu$ g/mL), and incubated for 60 hrs. Cells were collected and stained with anti-CD3e-FITC, anti-CD4-APC, and anti-CD8a-PE antibodies (eBioscience, USA) for 30 mins at 4°C in the dark. Cells were washed twice with PBS, resuspended in 0.5 mL PBS, then analyzed by flow cytometry (FACSCalibur, BD Biosciences, San Jose, CA, USA). Experiments were conducted in quadruplicate.

## Determination of antibodies and cytokine levels

OVA-specific IgG1 and IgG2a isotypes and IL-6, IL-4, IFN- $\gamma$ , and TNF- $\alpha$  levels in serum were analyzed on day 35 after the second immunization by enzyme-linked immunosorbent assay (ELISA), OVA-specific IgG antibody levels were analyzed on days 7, 21, and 35 by ELISA.

## Statistical analysis

Quantitative data were expressed as means  $\pm$  SEM. Statistical significance was analyzed using Duncan's multiple range test. A probability value (*P*) less than 0.05 was considered statistically significant.

## Results

### Characterization of nanoparticles

AHPP/OVA was prepared using a modified solvent emulsification-evaporation method. Surface-modified AHPP/OVA was prepared (Figure 1A), and free OVA in solution was adsorbed on to surface of the cationic polymer modified nanoparticles. As shown in Figure 1B, the loading efficiency of AHP in AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA was about 60%, while loading in the CS-AHPP/OVA group was decreased to about 55%. Loading efficiency of OVA in AHPP/OVA was 58%. Loading efficiencies of OVA in CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA were (72%, 78%, and 76%, respectively) due to OVA adsorption to nanoparticle surfaces. As shown in Figure 1C–G the particle size of AHPP/OVA nanoparticles was about 230 nm, and particle size did not change after modification with PEI and  $\epsilon$ PL. However,

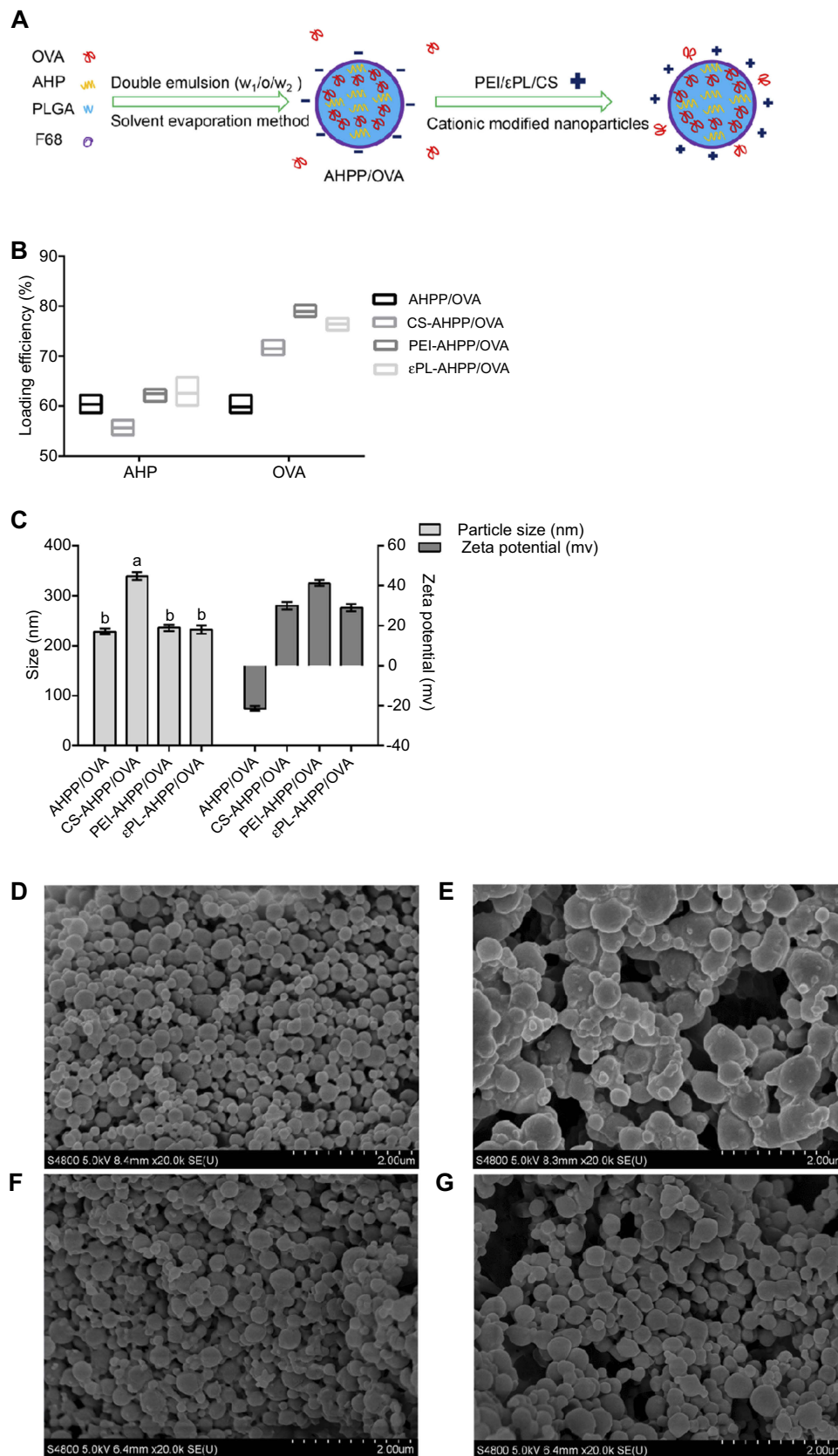
modification of nanoparticles with CS, resulted in particle sizes of approximately 330 nm. AHPP/OVA showed a relatively negative surface charge ( $-21.43$  mV), while CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA showed positive electrical charges ( $+30$  mV,  $+41.33$  mV, and  $+29.03$  mV, respectively) due to surface cationic polymer modifications on the nanoparticle surfaces.

PEI-AHPP/OVA and  $\epsilon$ PL-AHPP/OVA nanoparticles showed surface morphologies and size distributions similar to those of AHPP/OVA, suggesting that surface cationic polymer modification had no influence on morphology, size, and dispersion of nanoparticles (Figure 1D, F, and G). After AHPP/OVA was modified by with CS, surface properties changed substantially, but completeness of the nanoparticles was maintained (Figure 1E).

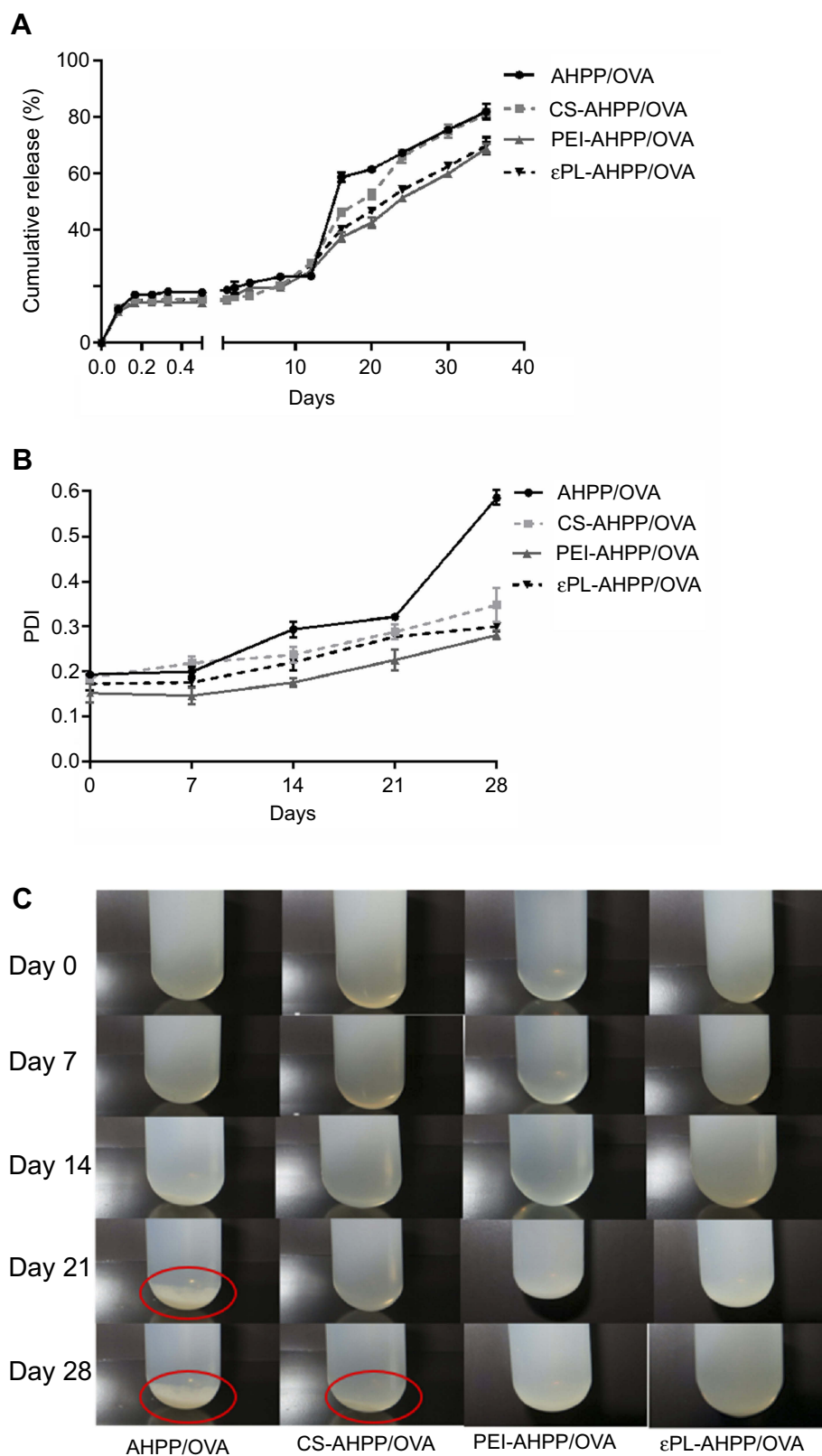
### In vitro release and stability of nanoparticles

As shown in Figure 2A, cumulative releases of OVA from AHPP/OVA showed three-phase kinetic phases, while the surfaces cationic polymer modified PLGA nanoparticles showed two kinetics phases. During the first 4 hrs, OVA was rapidly released from AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA and the cumulative releases of OVA were 17.09%, 15.20%, 14.52%, and 15.20%, respectively. From 6 hrs to 35 days, OVA was released slowly from surfaces of cationic polymer modified PLGA nanoparticles. Cumulative release of OVA from CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA on day 35 was 81.03%, 68.99%, and 69.97%, respectively. From 8 hrs to 12 days, the cumulative releases of OVA from AHPP/OVA became slowly. However, from 12 days to 16 days, OVA release rate increased. After 17 days, the cumulative release of AHP and OVA slowed. Cumulative release results demonstrated that AHPP and surface cationic polymer modified PLGA nanoparticles effected slow release.

To observe the stability of AHP and OVA-loaded PLGA nanoparticles, PDI was determined. Aggregation of nanoparticles was observed over 28 days at 37°C. The average PDI of nanoparticles initially increased during incubation. As shown in Figure 2B, the average PDI of AHPP/OVA nanoparticles within 14 days was less than 0.3, and the PDI value reached 0.3 at day 21, then rapidly increased. Average PDI values of the CS-AHPP/OVA within 21 days were less than 0.3, then gradually increased to levels higher than 0.3 at day 28. Average PDI of PEI-AHPP/OVA and  $\epsilon$ PL-AHPP/OVA did not change



**Figure 1** Characterization of AHPP/OVA and surface cationic polymer modified AHPP/OVA. **(A)** Schematic of OVA-loaded surface cationic polymer modified AHPP/OVA nanoparticles. **(B)** Loading efficiency of AHPP/OVA and surface cationic polymer modified AHPP/OVA nanoparticle dispersions stored at 37°C. **(C)** Zeta-potential and particle size of AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA. **(D–G)** SEM of AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA. Results were expressed as means  $\pm$  SEM (n=3). <sup>a–b</sup> Bars with different superscripts differed significantly (P<0.05).



**Figure 2** In vitro release and stability of AHPP/OVA and surface cationic polymer modified AHPP/OVA. **(A)** OVA release from the AHPP/OVA and surface cationic polymer modified AHPP/OVA incubated in deionized water (pH=7.0) for 35 days. **(B)** PDI of AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA dispersions stored at 37°C. **(C)** Changes in the polymerization of AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA and  $\epsilon$ PL-AHPP/OVA dispersions stored at 37°C. Results were expressed as means  $\pm$  SEM (n=3).

significantly changes (all less than 0.3), which suggested these types of nanoparticles exhibited a homogeneous particle size distribution over 28 days.

To observe the stability of the nanoparticles, nanoparticle solutions were placed in test tubes and sealed at 37°C, and aggregation of nanoparticles was observed weekly. As shown in Figure 2C, the AHPP/OVA group began to precipitate at day 21, and continued to precipitate until day 28, indicating that nanoparticles began to aggregate on the 21st day. The CS-AHPP/OVA group began to precipitate at day 28. No precipitation occurred at day 28 in the PEI-AHPP/OVA and εPL-AHPP/OVA groups.

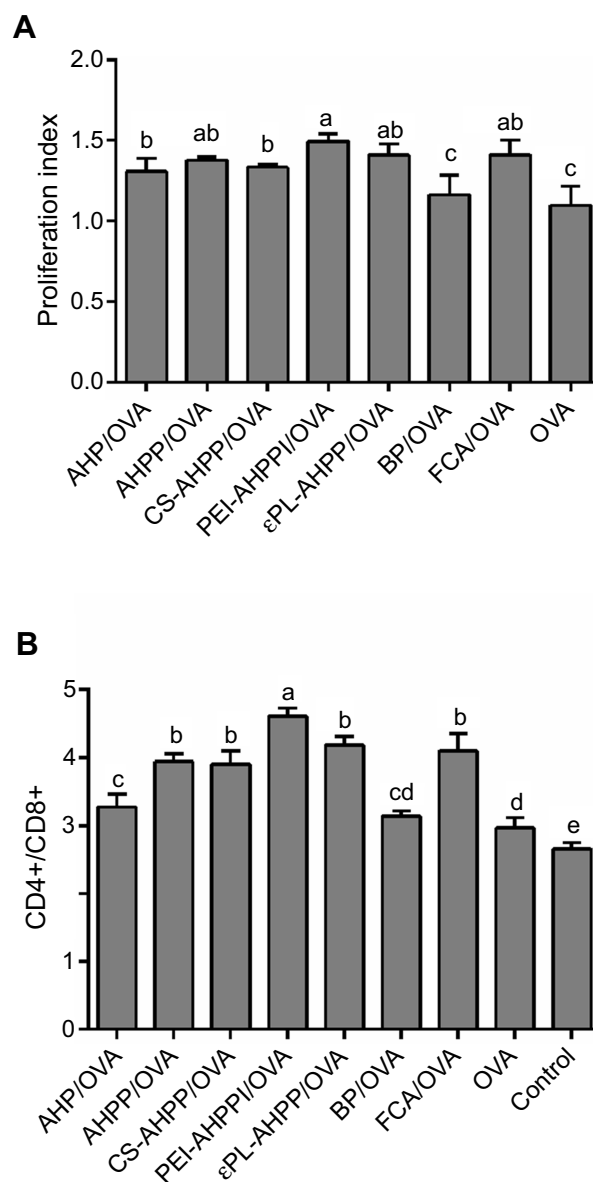
## Splenocyte proliferation and OVA-specific T cell activation

Spleen lymphocyte proliferation was measured under following re-stimulation with OVA at day 21 after the second vaccination. As shown in Figure 3A, the proliferation index values of the AHP/OVA, AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA and εPL-AHPP/OVA groups were significantly higher than those of the BP/OVA and OVA groups ( $P < 0.05$ ), while no difference from the FCA/OVA group was observed ( $P > 0.05$ ). Therefore, each of the AHP/OVA, AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA, and εPL-AHPP/OVA vaccine formulations can cause proliferation of spleen lymphocytes and lead to potent antigen-specific immune responses.

To further examine the effects of each formulation on T lymphocyte subpopulation ratio, antigen-specific CD4<sup>+</sup>/CD8<sup>+</sup> T cells were evaluated by flow cytometry 21 days after the final immunization. As shown in Figure 3B, a significantly greater percentage of CD4<sup>+</sup>/CD8<sup>+</sup> T cells was observed in spleens of mice vaccinated with PEI-AHPP/OVA compared with all other groups ( $P < 0.05$ ), while there were no significant differences among the between AHPP/OVA, CS-AHPP/OVA, εPL-AHPP/OVA, and FCA/OVA groups ( $P > 0.05$ ). T cell activation was elicited by PEI-AHPP/OVA, suggesting that this formulation induced a more effective immune response than the AHPP/OVA, CS-AHPP/OVA, εPL-AHPP/OVA, and FCA/OVA formulations.

## Cytokine levels in serum

Th1 cytokines (TNF-α and IFN-γ) and Th2 cytokines (IL-4 and IL-6) were measured in serum 35 days after final vaccination. As shown in Figure 4A and B, the PEI-AHPP/OVA formulation significantly increased expression of IL-4, IL-6, TNF-α, and IFN-γ compared to the AHP/OVA, AHPP/OVA, CS-AHPP/OVA, BP/OVA, and OVA groups ( $P < 0.05$ ), which

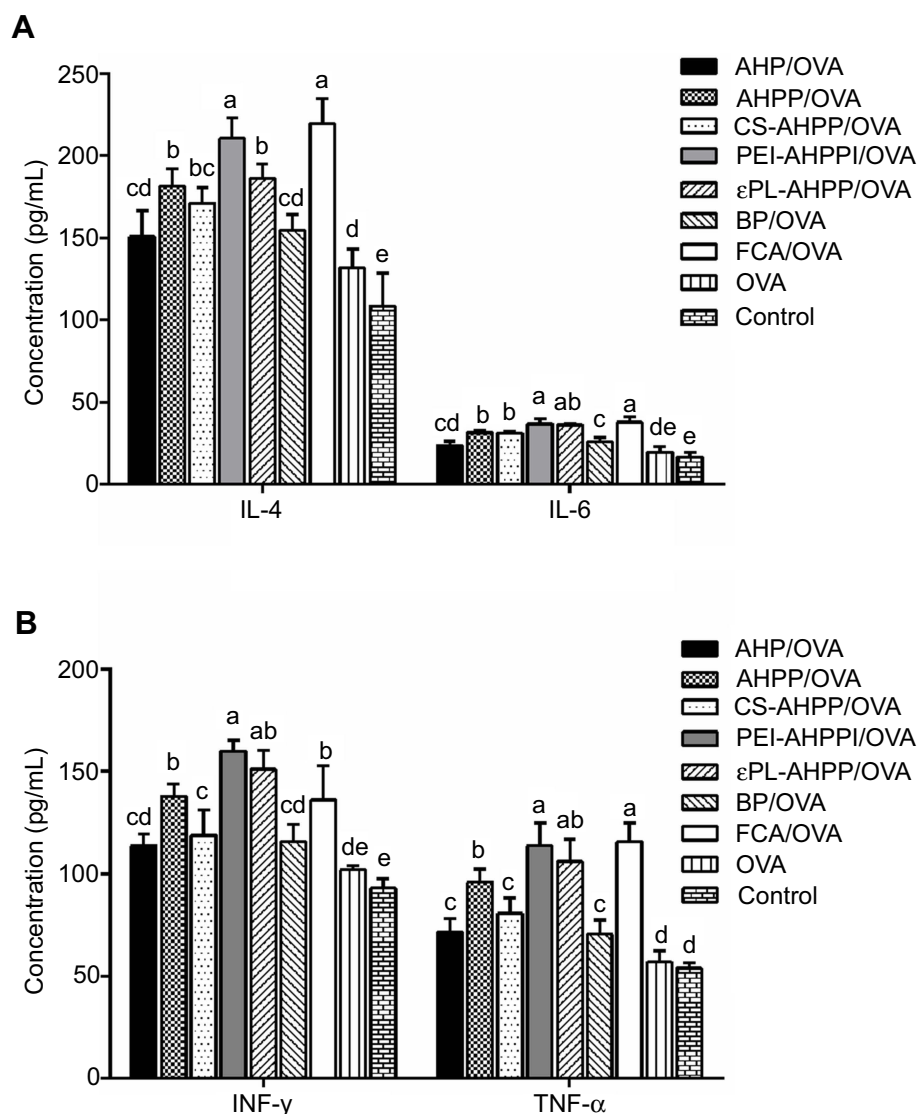


**Figure 3** Antigen-specific CD4<sup>+</sup>/CD8<sup>+</sup> T cell activation. **(A)** Effects of drugs on splenic lymphocyte proliferation. **(B)** Ratio of CD3<sup>+</sup>CD4<sup>+</sup> to CD3<sup>+</sup>CD8<sup>+</sup> splenocytes harvested from vaccinated mice re-stimulated with OVA. Mice (n=4) were immunized using different vaccine formulations. <sup>a-e</sup> Bars with different superscripts differed significantly ( $P < 0.05$ ).

indicated that PEI-AHPP/OVA enhanced both Th1-type and Th2-type immune responses. The εPL-AHPP/OVA formulation also induced showed high expression of IL-4, IL-6, TNF-α, and IFN-γ, while no difference from the AHPP/OVA group were observed ( $P > 0.05$ ). In addition, levels of TNF-α and IFN-γ in the CS-AHPP/OVA group were lower than those in the AHPP/OVA group.

## Serum antibody responses

To better understand the effects of various OVA formulations on antibody response, levels of OVA-specific serum



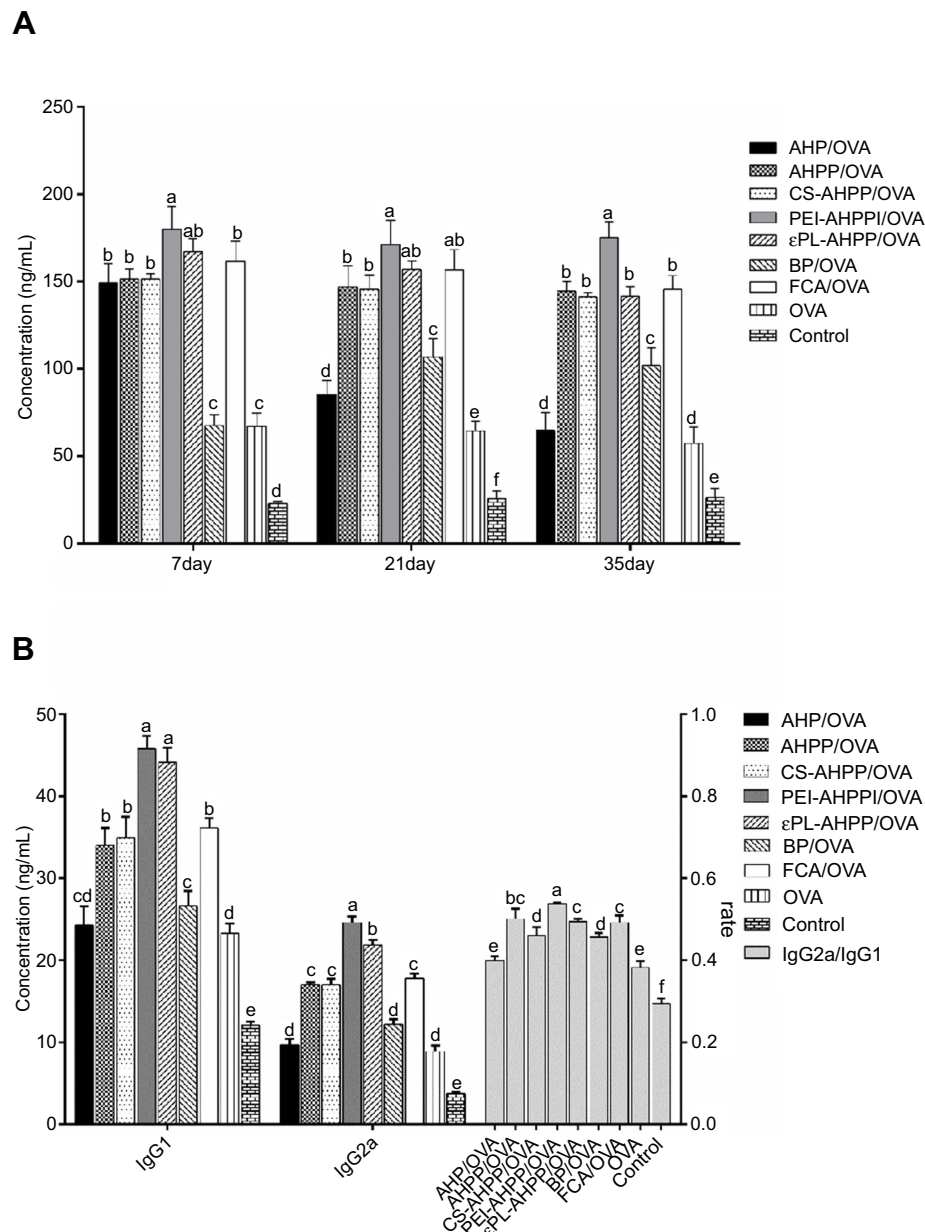
**Figure 4** Cytokine secretion. (A) IL-4, IL-6, (B) INF- $\gamma$ , and TNF- $\alpha$  levels in serum 35 days after final immunization were measured by ELISA. Mice (n=4) were immunized using different vaccine formulations. <sup>a-e</sup> Bars with different superscripts differed significantly ( $P < 0.05$ ).

IgG were determined using indirect ELISA analysis at days 7, 21, and 35 after the final immunization. As shown in Figure 5A, AHP/OVA induced significantly higher antigen-specific IgG levels than the BP/OVA and free OVA groups on day 7 ( $P < 0.05$ ), but antibody levels on days 21 and 35 decreased significantly. PEI-AHPP/OVA induced significantly higher antigen-specific IgG levels than AHP/OVA, AHPP/OVA, CS-AHPP/OVA, BP/OVA, FCA/OVA, and free OVA from days 7 to 35 after the final immunization ( $P < 0.05$ ). High expression of IgG indicated that the PEI-AHPP/OVA formulation could induce a large and sustained humoral antibody response. The AHPP/OVA, CS-AHPP/OVA, εPL-AHPP/OVA, and

FCA/OVA formulations also exhibited high IgG levels, but there was no significant difference between these groups.

As shown in Figure 5B, expression of IgG1 and IgG2a in response to PEI-AHPP/OVA was highest compared to all other groups, and εPL-AHPP/OVA treatment resulted in expression of the second highest levels of IgG1 and IgG2. Immunization with the AHPP/OVA and CS-AHPP/OVA formulations resulted in nearly equal responses to the group injected with FCA at 35 days after final vaccination. In addition, PEI-AHPP/OVA induced the highest ratios of IgG2a/IgG1 compared to all other groups ( $P < 0.05$ ). AHPP/OVA, εPL-AHPP/OVA, and FCA/OVA also induced higher ratios of IgG2a/IgG1 compared to AHP/OVA and BP/OVA ( $P < 0.05$ ). However, the ratio of





**Figure 5 (A)** OVA-specific IgG levels at the indicated time points. **(B)** Th2-associated isotype IgG1 levels, Th1-associated isotype IgG2a levels, and ratio of IgG2a/IgG1 at day 35 after final vaccination. Mice ( $n=4$ ) were immunized using different vaccine formulations. <sup>a-f</sup> Bars with different superscripts differed significantly ( $P<0.05$ ).

IgG2a/IgG1 induced by the CS-AHPP group was not significantly different from that of the BP/OVA group ( $P>0.05$ ).

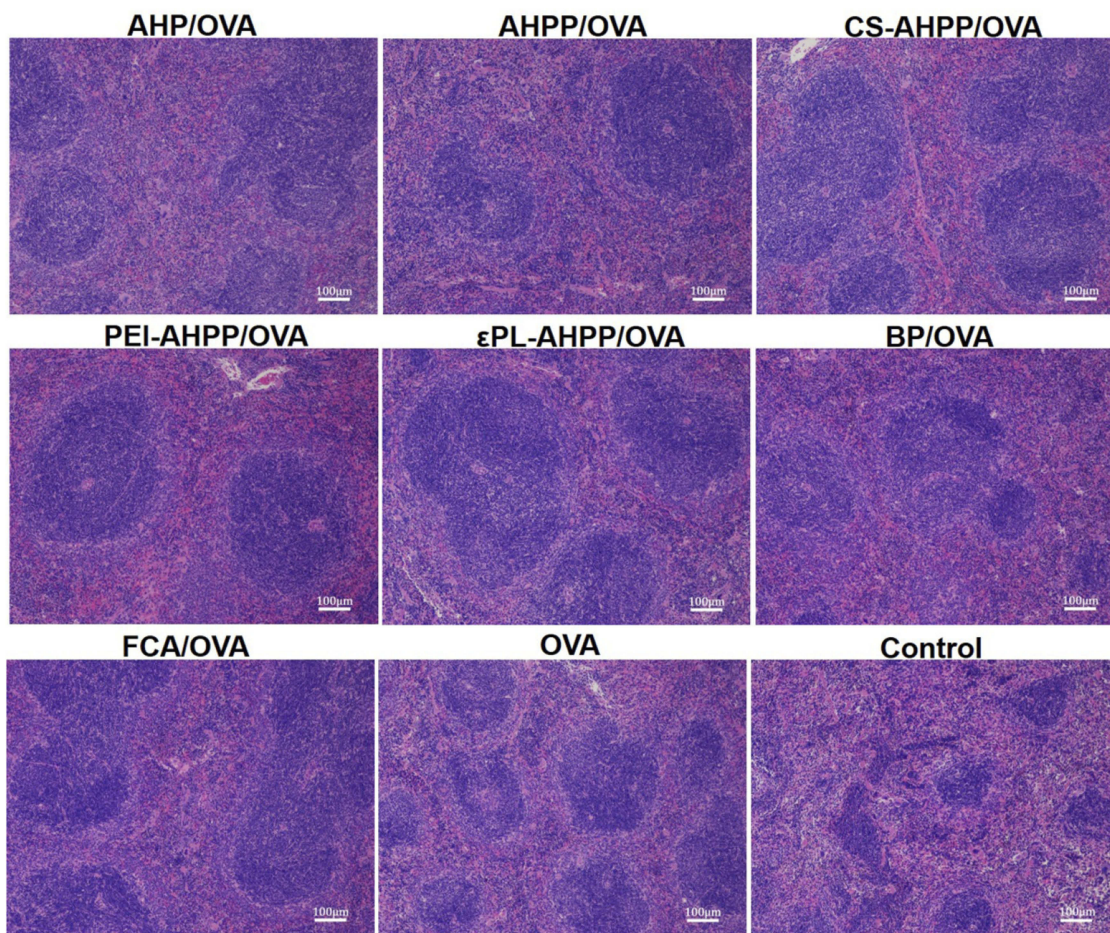
## H&E staining of spleens

The spleen is one of the most important immune organs in the body. As shown in Figure 6, mice vaccinated with AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA formulations showed distinct changes in the spleen compared with the control group. Splenic corpuscles volumes of the surface cationic polymers modified AHPP/OVA group were larger than control and free OVA groups, and nearly

similar to that of the FCA/OVA group, which suggested that modification of AHPP/OVA with cationic polymers (CS, PEI, and,  $\epsilon$ PL) enhanced the immune response.

## Discussion

PLGA is one of the most widely synthesized biodegradable polymers, and is commonly used as a drug delivery system due to safety, biodegradability, stability in blood, and non-inflammatory properties.<sup>30,31</sup> Antigen encapsulation into PLGA nanoparticles leads to slow antigen release from the nanoparticles, and provides long-term antigen



**Figure 6** HE staining of spleens of immunized mice at day 35 after final vaccination. Scale bar represents 100 nm.

persistence at the injection site after vaccination.<sup>32,33</sup> However, a single antigen encapsulation strategy limits the antigen-loading efficiency and stability of PLGA nanoparticles. Many efforts have been made to increase the loading efficiency of nanoparticles, including altering particle size, shape, surface potential, and polymer types.<sup>34,35</sup> Using these strategies, loading efficiency and stability of nanoparticles have been improved. In particular, coating of nanoparticles with cationic polymers that result in a positive surface charge has been considered to be an effective method.<sup>15,36,37</sup>

Positively charged nanoparticles allowed for efficient interaction with negatively charged cell membranes, which promotes phagocytosis of antigens by APCs and enhances the efficiency of antigen presentation.<sup>38,39</sup> PLGA nanoparticles showed differential positive charging after modification with different cationic polymers (CS,  $\epsilon$ PL, and PEI). Antigen adsorption capacity was closely related to the surface charge of these PLGA nanoparticles. As shown in

Figure 1B, the loading efficiency of the OVA was greatly improved by surface cationic polymers modification, while the loading efficiency of AHP did not change. This may have been due to positive charging on the surface of the nanoparticles allowing for free OVA in solution to be adsorbed on the surface, thereby increasing loading efficiency of OVA (Figure 1A). Lower loading efficiency of AHP in the CS-AHPP/OVA group may have resulted from acidic conditions required to achieve CS surface modification, which may have damaged the PLGA nanoparticles, allowing AHP to dissociate from the nanoparticle.

Particle size and surface morphology changes of CS-AHPP/OVA verified this effect. As shown in Figure 1C and E, particle size of CS-AHPP/OVA increased, reaching 330 nm, and surface morphology also changed substantially. Larger particle size may have accelerated release of OVA and AHP from the nanoparticles. However, these effects should stop as acetic acid is evaporated, and a small amount of acetic acid in solution

should not have a large impact on loading efficiency and completeness of the nanoparticles. Surface-modified  $\epsilon$ PL-AHPP/OVA and PEI-AHPP/OVA showed size distributions and surface morphologies similar to those of AHPP/OVA nanoparticles, suggesting that surface cationic polymers modification had no influence on the size and morphology of nanoparticles (Figure 1C–G).

Stability of nanoparticles was followed for 28 days at 37°C. Change in PDI and precipitation of nanoparticles indicated that stability of AHPP/OVA nanoparticles improved after surface cationic polymers modification (Figure 2B and C). This may have resulted from a high positive charge on the surface of the nanoparticles. Furthermore, excellent stability of CS-AHPP/OVA, PEI-AHPP/OVA and,  $\epsilon$ PL-AHPP/OVA could be explained by high surface charge and subsequent strong colloidal stability between particles. Nanoparticles size has been shown to influence the efficiency of lymph nodes (LNs) targeting and retention after injection.<sup>40</sup> Nanoparticles with a particle size less than 80 nm can drain to LNs along with the interstitial flow. While nanoparticles larger than 100 nm, are commonly taken up and carried to the LNs by migratory dendritic cells.<sup>41,42</sup> AHPP/OVA and surface cationic polymer modified PLGA nanoparticles have particle sizes larger than 200 nm, and exhibited good stability controlled the release of OVA. Therefore, AHPP/OVA and surface cationic polymer modified PLGA nanoparticle formulations could provide long-lasting antigen persistence at the injection site after immunization. Nanoparticles resident in LNs can also be taken up by dendritic cells and enhanced the induction CD4<sup>+</sup> or CD8<sup>+</sup> T cells in the body.

Lymphocyte proliferation is an indicator of immune-stimulation, and reflects the level of cellular immune response.<sup>43,44</sup> Our results demonstrated that the AHP/OVA, AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA formulations induced strong cellular immune responses. In the present study, high CD4<sup>+</sup>/CD8<sup>+</sup> lymphocyte ratio was considered a key immunological event has been observed in individuals with increased immune capacity.<sup>45,46</sup> As shown in Figure 3B, the highest CD4<sup>+</sup>/CD8<sup>+</sup> lymphocyte ratios were observed in spleens in the PEI-AHPP/OVA group, This ratio was, significantly higher than those in the AHPP/OVA, and FCA/OVA groups. AHPP/OVA, CS-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA induced significantly higher CD4<sup>+</sup>/CD8<sup>+</sup> lymphocyte ratios than that in the OVA group, but did not induce a significantly different ratio than the FCA/OVA group. The above results indicated that AHPP/OVA enhanced

T cell immunity, and this effect was significantly enhanced by PEI modification.

Th1 cytokines (TNF- $\alpha$ , IFN- $\gamma$ ) reflect the cellular immune response, and Th2 cytokines (IL-4 and IL-6) mediate the humoral immune response.<sup>47,48</sup> As shown in Figure 4A and B, mice immunized with the PEI-AHPP/OVA and  $\epsilon$ PL-AHPP/OVA formulations showed increased numbers number of T cells and levels of TNF- $\alpha$ , IFN- $\gamma$ , IL-4, and IL-6 compared to levels of these cytokines induced by the AHP/OVA and AHPP/OVA formulations. These results indicated that the PEI-AHPP/OVA and  $\epsilon$ PL-AHPP/OVA vaccine formulations could enhance both Th1-type and Th2-type immune responses. In addition, PEI-AHPP/OVA induced significantly higher IFN- $\gamma$  production compared to that of the FCA/OVA group ( $P < 0.05$ ), and levels of TNF- $\alpha$  and IFN- $\gamma$  induced by PEI-AHPP/OVA and  $\epsilon$ PL-AHPP/OVA were not significantly different than those induced by FCA ( $P > 0.05$ ). The AHPP/OVA formulation also induced high IFN- $\gamma$  expression equivalent to that observed in response to FCA treatment ( $P > 0.05$ ). FCA is known to promote a robust antibody and Th1-type response.<sup>49,50</sup> As such, these data suggested that the AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA formulations mainly induced a stronger Th1 immune response. TNF- $\alpha$  and IFN- $\gamma$  levels induced by CS-AHPP/OVA formulation were lower than those induced by AHPP/OVA, which indicated that CS surface modification reduced AHPP/OVA-induced Th1 immune response.

As shown in Figure 5A, the AHPP/OVA, CS-AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA formulations significantly enhanced production of IgG compared with free OVA ( $P < 0.05$ ), among which PEI-AHPP/OVA induced the highest IgG secretion. The other three formulations induced a similar response to that of the FCA/OVA formulation at days 7, 21, and 35 after the final immunization. These results indicated that PEI modification is an effective way strategy induce high IgG production. IgG1 antibody production is characteristic of a Th2-polarized immune response, while IgG2a antibody production is characteristic of a Th1-polarized immune response. The ratio of IgG2a/IgG1 is indicative of a Th1-biased immune response.<sup>51,52</sup> Our results showed that the PEI-AHPP/OVA formulation induced the greatest Th1-associated IgG2a response and Th2-associated IgG1 responses. The AHPP/OVA, CS-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA formulations induced large IgG2a and IgG1 responses. FCA is known to induce strong Th-1 polarized humoral immune responses. The IgG2a/IgG1 ratio resulting from PEI-AHPP/OVA immunization was significantly higher than that of FCA/

OVA immunization, while AHPP/OVA and  $\epsilon$ PL-AHPP/OVA administration resulted in IgG2a/IgG1 ratios nearly equal to that of the FCA/OVA group (Figure 5B), further demonstrating that AHPP/OVA, PEI-AHPP/OVA, and  $\epsilon$ PL-AHPP/OVA generated a strong Th1-biased immune response, among which PEI-AHPP/OVA immunization induced the strongest Th1 polarized response.

## Conclusion

In this study, high antigen-loading efficiency and good stability of the nanoparticles can be achieved by surface cationic polymers (CS, PEI, and  $\epsilon$ PL) modification. Surface cationic polymers modification does not damage the structural integrity of the AHPP/OVA nanoparticles. AHPP/OVA and three surface cationic polymers synthesized from modified AHPP/OVA nanoparticles significantly enhanced lymphocyte proliferation and improved the ratio of CD4<sup>+</sup>/CD8<sup>+</sup> T cells in immunized mice. In all treatment groups, the PEI-AHPP/OVA formulation induced the highest cytokines secretion of TNF- $\alpha$ , IFN- $\gamma$ , IL-4, and IL-6 and the levels of antibodies (IgG) and antibody subtypes (IgG1 and IgG2a). In summary, the PEI-AHPP/OVA formulation could potentially serve as a novel and effective vaccine adjuvant to induce strong and long-term immune responses.

## Abbreviation list

AHP, Alhagi honey polysaccharides; PLGA, poly(lactic-co-glycolic acid); F68, Pluronic F68; CS, chitosan; PEI, polyethyleneimine;  $\epsilon$ PL,  $\epsilon$ -Poly-L-lysine; MW, molecular weight; EE, encapsulation efficiency; PDI, poly dispersity index; CD3e, anti-Mouse-CD3e-FITC; CD4, anti-Mouse-CD4-APC; CD8a, anti-Mouse-CD8a-FITC antibodies; FCA, Freund's Adjuvant Complete; OVA, ovalbumin; SEM, scanning electron microscope; PBS, phosphate buffer solution; MTT, 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; DMSO, dimethyl sulfoxide; APC, antigen-presenting cell; ELISA, enzyme-linked immunosorbent assay.

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## Disclosure

No potential conflicts of interest were reported by the authors in this work.

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