

# Preclinical pharmacodynamic and pharmacokinetic characterization of the major metabolites of cariprazine

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Béla Kiss  
Zsolt Némethy  
Károly Fazekas  
Dalma Kurkó  
István Gyertyán  
Katalin Sággy  
István Laszlovszky  
Bence Farkas  
Norbert Kirschner  
Etelka Bolf-Terjéki  
Ottília Balázs  
Balázs Lendvai

Pharmacological and Drug Safety Research,  
Gedeon Richter Plc, Budapest, Hungary

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Correspondence: Béla Kiss  
Pharmacological and Drug Safety  
Research, Gedeon Richter Plc, P.O. Box  
27, Budapest H-1475, Hungary  
Tel +361 431 4226  
Fax +361 889 8400  
Email [b.kiss@richter.hu](mailto:b.kiss@richter.hu)

**Introduction:** Cariprazine, a dopamine D<sub>3</sub>-preferring D<sub>3</sub>/D<sub>2</sub> receptor partial agonist and serotonin 5-HT<sub>1A</sub> receptor partial agonist, has two major human metabolites, desmethyl-cariprazine (DCAR) and didesmethyl-cariprazine (DDCAR). The metabolite pharmacology was profiled to understand the contribution to cariprazine efficacy.

**Methods:** In vitro receptor binding and functional assays, electrophysiology, animal models, microdialysis, and kinetic-metabolism approaches were used to characterize the pharmacology of DCAR and DDCAR.

**Results:** Similar to cariprazine, both metabolites showed high affinity for human D<sub>3</sub>, D<sub>2L</sub>, 5-HT<sub>1A</sub>, 5-HT<sub>2A</sub>, and 5-HT<sub>2B</sub> receptors, albeit with higher selectivity than cariprazine for D<sub>3</sub> versus D<sub>2</sub> receptors. In [<sup>35</sup>S]GTPγS binding assays, cariprazine and DDCAR were antagonists in membranes from rat striatum and from cells expressing human D<sub>2</sub> and D<sub>3</sub> receptors, and were partial agonists in membranes from rat hippocampus. In cAMP signaling assays, cariprazine, DCAR, and DDCAR acted as partial agonists at D<sub>2</sub> and D<sub>3</sub> receptors; cariprazine and DDCAR were full agonists, whereas DCAR was a partial agonist at 5-HT<sub>1A</sub> receptors. Cariprazine, DCAR, and DDCAR were pure antagonists at human 5-HT<sub>2B</sub> receptors. Cariprazine and DDCAR increased rat striatal dopamine and reduced cortical serotonin turnover. Cariprazine and DDCAR showed similar in vivo D<sub>3</sub> receptor occupancy in rat brain; however, cariprazine was more potent for D<sub>2</sub> receptor occupancy. Both cariprazine and DDCAR dose-dependently but partially suppressed the spontaneous activity of midbrain dopaminergic neurons in rats, with the parent compound being more potent but shorter acting than its metabolite. Consistent with the D<sub>2</sub> receptor occupancy profile, DDCAR was 3- to 10-fold less potent than cariprazine in rodent models of antipsychotic-like activity. Following acute cariprazine administration, DDCAR was detected in the rodent brain but at much lower levels than cariprazine.

**Conclusion:** Overall, in vitro and in vivo pharmacological profiles of DCAR and DDCAR demonstrated high similarity with cariprazine, suggesting that the major metabolites of cariprazine contribute significantly to its clinical efficacy.

**Keywords:** dopamine, serotonin, schizophrenia, bipolar disorder, desmethyl-cariprazine, didesmethyl-cariprazine

## Plain language summary

Cariprazine, an orally active and potent atypical antipsychotic, is a dopamine D<sub>3</sub>-preferring D<sub>3</sub>/D<sub>2</sub> receptor partial agonist and serotonin 5-HT<sub>1A</sub> receptor partial agonist with two major active metabolites, desmethyl-cariprazine (DCAR) and didesmethyl-cariprazine (DDCAR). Due to their structural similarity to the parent compound, DCAR and DDCAR may play

significant roles in the therapeutic profile of cariprazine. It was also previously determined in a pharmacokinetic clinical study that DCAR and DDCAR are substantially present in plasma under standard dosing conditions in humans. Using *in vitro* receptor binding and functional assays, electrophysiology, animal models, microdialysis, and kinetic-metabolism approaches, the *in vitro* and *in vivo* pharmacology of DCAR and DDCAR were characterized to gain a deeper understanding of the contribution of these metabolites to the effects of cariprazine. Based on their *in vitro* and *in vivo* pharmacological profiles, both DCAR and DDCAR demonstrated high degrees of similarity compared with cariprazine. Therefore, cariprazine's clinical efficacy may include contributions from its two active metabolites.

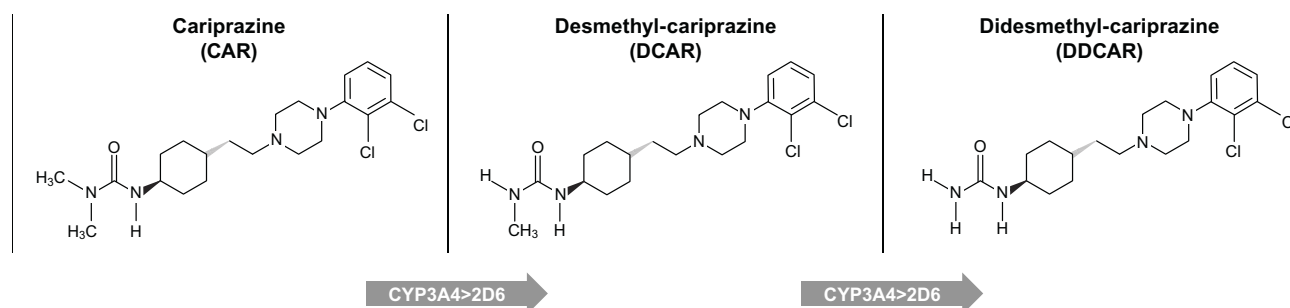
## Introduction

Cariprazine is an orally active, dopamine D<sub>3</sub>-preferring D<sub>3</sub>/D<sub>2</sub> receptor partial agonist atypical antipsychotic. After demonstrating efficacy and safety in positive, randomized, placebo-controlled trials,<sup>1–6</sup> cariprazine (USA: Vraylar<sup>®</sup>) was approved by the US Food and Drug Administration for the treatment of acute exacerbation of schizophrenia and manic or mixed episodes associated with bipolar I disorder in adults. Compared to placebo, cariprazine also significantly prolonged the time to relapse in patients with schizophrenia.<sup>7</sup> In addition, it has demonstrated efficacy as monotherapy for predominant negative symptoms of schizophrenia in stable patients.<sup>8</sup> Based on these data, cariprazine (Europe: Reagila<sup>®</sup>) was approved by the European Medicinal Agency for the treatment of schizophrenia in adults. Furthermore, cariprazine has demonstrated efficacy as monotherapy in bipolar depression<sup>9,10</sup> and as an adjunctive therapy in major depressive disorder.<sup>11</sup>

Cariprazine exhibits a 6- to 8-fold greater *in vitro* affinity for D<sub>3</sub> versus D<sub>2</sub> receptors<sup>12</sup> and has also demonstrated similar *in vivo* occupancy of both D<sub>3</sub> and D<sub>2</sub> receptors in rats, nonhuman primates, and the human brain<sup>13–17</sup> at antipsychotic-effective doses. This is in contrast with some atypical antipsychotics (eg, risperidone, olanzapine, clozapine) which show

significant *in vitro* affinity for D<sub>3</sub> receptors but no (or minimal) *in vivo* occupancy for these receptors.<sup>18</sup> In addition, cariprazine acts as a partial agonist at serotonin 5-HT<sub>1A</sub> receptors and as an antagonist at 5-HT<sub>2B</sub> receptors.<sup>12</sup> Cariprazine shows moderate to low binding affinity for 5-HT<sub>2A</sub>, 5-HT<sub>2C</sub>, 5-HT<sub>7</sub>, and histamine H<sub>1</sub> receptors, and low to negligible affinity for adrenergic and muscarinic receptors.<sup>12,19</sup>

Cariprazine undergoes demethylation to produce two major active metabolites, desmethyl-cariprazine (DCAR) and didesmethyl-cariprazine (DDCAR), in both humans and rodents.<sup>20,21</sup> Cariprazine is metabolized by hydroxylation and dealkylation mainly via the enzyme CYP3A4 and, to a lesser extent, via CYP2D6. The metabolite DCAR is a primary metabolite formed by demethylation of cariprazine, and the metabolite DDCAR is formed from DCAR by a further demethylation reaction (Figure 1). Both metabolites maintain high structural similarity to the parent compound,<sup>22</sup> and cariprazine and DDCAR display subnanomolar *in vitro* binding affinity for human D<sub>2</sub> and D<sub>3</sub> receptors with approximately 3- and 4-fold higher affinity for D<sub>3</sub> receptors than for D<sub>2</sub>, with varying intrinsic activity depending on the level of receptor density, respectively.<sup>23</sup> Substantial levels of the two metabolites were detected in the plasma samples of healthy volunteers and patients with schizophrenia after single or multiple dose(s) of cariprazine.<sup>21,24,25</sup> DCAR and DDCAR are the major circulating metabolites in human plasma, representing approximately 30–40% of and 2- to 3-fold the parent drug exposure, respectively. Both metabolites are present in the human plasma at times comparable to (DCAR) or significantly longer than (DDCAR) cariprazine; the half-life of cariprazine, DCAR, and DDCAR in humans is 1–3 days, 1–2 days, and 2–3 weeks, respectively.<sup>24</sup> Due to the high structural similarity, comparable plasma levels of cariprazine and its metabolites, and the relatively long half-life of DDCAR, pharmacological characterization of DCAR and DDCAR is essential to enhance the understanding of their roles in the efficacy of cariprazine for



**Figure 1** Formation of the main human metabolites of cariprazine.<sup>24</sup>

**Abbreviations:** CAR, cariprazine; DCAR, desmethyl-cariprazine; DDCAR, didesmethyl-cariprazine.

the treatment of schizophrenia and bipolar disorder. The purpose of this study was to characterize the *in vitro* and *in vivo* pharmacological profile of DCAR and DDCAR, the two major metabolites of cariprazine, in comparison with their parent compound, cariprazine.

## Materials and methods

Brief descriptions of the materials and methods are presented below; additional details are provided in [Supplementary materials](#).

## Animals

Male Hannover Wistar rats weighing 180–220 g (for binding, occupancy, turnover, and 3,4-dihydroxyphenylalanine (DOPA) accumulation, rat striatal and hippocampal [<sup>35</sup>S]GTPγS binding), 160–220 g (for locomotor activity), 175–240 g (for scopolamine), 160–200 g (for catalepsy), 180–200 g (for conditioned avoidance response), 170–190 g (for bioavailability), 210–250 g (for cariprazine and DDCAR brain penetrability studies, DDCAR intravenous (i.v.) administration), 280–300 g (for microdialysis (MD)), and 280–350 g (for electrophysiology) were obtained from Toxicoop Hungary Ltd.

Male CD-1 mice weighing 20–35 g (for apomorphine climbing) were obtained from Wobe. Male NMRI mice weighing 24–30 g (for phencyclidine and MK-801 hypermotility) and male NMRI mice weighing 25–30 g (for pharmacokinetics) were obtained from Toxicoop Hungary Ltd.

Animals arrived at the laboratory 2–3 days prior to initiating experiments. They were kept under standard conditions (22±2 °C; 55–65% relative humidity; 12 h light–dark cycle) on commercial laboratory chow and tap water *ad libitum*. Animal maintenance and experiments were conducted in accordance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals. All procedures using animals were approved by the local ethics committee (Institutional Animal Welfare Committee of Gedeon Richter Plc) and conformed to the rules and principles of Directive 86/609/EEC (the regulation in force at the time of the experiments).

## Cell lines

CHO cells expressing recombinant human serotonin 5-HT<sub>1A</sub> receptors (cAMP Hunter™ CHO-K1 HTR1A G<sub>i</sub> cell line) were purchased from DiscoverX (Fremont, CA, USA; Product Code: 95-0132C2). HEK293 cells expressing recombinant human D<sub>2</sub> receptors (HEK/G<sub>α15</sub>/D<sub>2</sub>)

were purchased from HD Biosciences Corporation (Shanghai, China). The cloned gene for the full-length human dopamine D<sub>2</sub> receptor (Genbank: NM\_000795) was inserted into expression vectors (plasmid: pMT8-D<sub>2</sub>) as cDNA. CHO-K1 cells transfected with a gene for the human D<sub>3</sub> receptor were from Euroscreen (Brussels, Belgium). The cloned genes for the human dopamine D<sub>3</sub> receptor (Genbank: U32499) as cDNA inserted into eukaryotic expression vectors were also provided by Euroscreen. The ValiScreen™ human 5-HT<sub>2B</sub> cell line was obtained from PerkinElmer Inc. (Waltham, MA, USA; ES-314-C, lot 450-442-A). All cells expressing recombinant human receptors employed in this study were grown under sterile conditions.

## Drugs

The following radioligands were purchased from Amersham Bioscience: [<sup>3</sup>H]prazosin [furanlyl-5-<sup>3</sup>H] (TRK-843, specific activity 88 Ci/mmol), [<sup>3</sup>H]mesulergine [N6-methyl-<sup>3</sup>H] (TRK-845, specific activity 84 Ci/mmol), and [<sup>35</sup>S]GTPγS (SJ-1308, specific activity 1000–1200 Ci/mmol).

The following ligands were purchased from PerkinElmer Inc.: [<sup>3</sup>H]spiperone (NET-565, specific activity 15.7 Ci/mmol), [<sup>3</sup>H]8-OH-DPAT (NET-929, specific activity 129 Ci/mmol), and [<sup>3</sup>H]ketanserin (NET-791, specific activity 88.0 Ci/mmol).

[<sup>3</sup>H](+)-PHNO (specific activity 63–64 Ci/mmol) was purchased from Moravék Biochemical Inc. (Brea, CA, USA).

Apomorphine HCl, butaclamol HCl, D-amphetamine sulphate, forskolin (FSK), phencyclidine, phentolamine, scopolamine HBr, (±)-2,5-dimethoxy-4-iodoamphetamine HCl, serotonin-creatinin sulphate, and isobutyl-methyl xanthine were purchased from Sigma-Aldrich Co. (St Louis, MO, USA).

Mianserin HCl was obtained from Organon, methysergide maleate from Sandoz, and MK-801 from RBI. Haloperidol, cariprazine, DDCAR (as base or HCl salt), and DCAR (as base or HCl salt) were synthesized at Gedeon Richter Plc (Budapest, Hungary).

Other chemicals used in the experiments were of analytical grade and obtained from commercial sources.

## Treatments

For the *in vivo* experiments, cariprazine and DDCAR were administered either orally (per os (p.o.)) in a volume of 5 mL/kg for rats and 10 mL/kg for mice or by i.v. route in a maximal volume of 2 mL/kg. In the case of p.o. dosing, the

test compounds were suspended in 2–6% Tween 80, depending on the dose and requirement for sufficient suspension homogeneity. Solutions required for doses in the low milligram per kilogram range were usually diluted from a stock solution or suspension. For the neurochemistry and occupancy experiments, stock solutions of cariprazine and DDCAR were made by dissolving their hydrochloride salt in a minimal amount (10–20  $\mu\text{L}$ ) of acetic acid and diluted with saline. Intravenous cariprazine and DDCAR doses, formulated in physiological saline, were injected into the femoral vein using a catheter fitted with a syringe. For further details, see [Supplementary materials](#).

## Assays

### In vitro receptor binding assays

Radioligand binding assays for recombinant human and rat, and native rat receptors were performed by MDS Pharma Services and Gedeon Richter Plc. Binding affinities of cariprazine, DCAR, and DDCAR were determined for 76 targets representing receptors, channels, transporters, and enzymes (see [Table 1](#)).

### Recombinant human and rat receptors

Membrane preparation from cells expressing recombinant receptors and assays was performed at MDS Pharma Services. The experimental conditions of the assays reported in [Table 1](#) are summarized in [Table S1](#).

### Native rat receptors

Rats were decapitated, the brain was removed, and the structures were dissected on a cold surface. Membranes from dissected brain structures were prepared and binding assays were performed as described in [Table S2](#).

Experiments were performed in duplicate and repeated two or three times.

### [ $^{35}\text{S}$ ]GTP $\gamma\text{S}$ binding, cAMP signaling, and $\text{Ca}^{2+}$ release

The agonist/antagonist activities of cariprazine, DCAR, and DDCAR were determined in vitro using [ $^{35}\text{S}$ ]GTP $\gamma\text{S}$  binding, cAMP accumulation, and  $\text{Ca}^{2+}$ -release assays. Determination of in vitro [ $^{35}\text{S}$ ]GTP $\gamma\text{S}$  binding and cAMP accumulation assays was carried out in membranes from rat striatum and at HEK293-hD<sub>2</sub>, CHO-hD<sub>2</sub>, CHO-hD<sub>3</sub>, and CHO-h5-HT<sub>1A</sub> receptors, as reported in the figure legends. Details are given in [Supplementary materials](#).

$\text{Ca}^{2+}$  release was assayed in CHO cells expressing recombinant human 5-HT<sub>2B</sub> receptors; compounds were tested with or without serotonin for their agonist or antagonist activities by

the method previously described,<sup>26</sup> with slight modifications. For further details, see [Supplementary materials](#).

### Brain dopamine receptor occupancy

Brain occupancy of D<sub>2</sub> and D<sub>3</sub> receptors by cariprazine and DDCAR was assessed by measuring inhibition of in vivo [ $^3\text{H}$ ](+)-PHNO binding in rat striatum and cerebellum lobes 9 and 10 (L9,10), respectively, according to the method published.<sup>27</sup> For further details, see [Supplementary materials](#).

### Dopamine and serotonin turnover and DOPA accumulation

Cariprazine and DDCAR-induced changes in levels of dopamine, serotonin, their respective metabolites 3,4-dihydroxyphenyl acetic acid (DOPAC) and homovanillic acid (HVA), and 5-hydroxy-indolyl acetic acid (5-HIAA) in rat brain regions were determined using HPLC with electrochemical detection. Similar detection of the aromatic amino-acid decarboxylase inhibitor NSD-1015-induced accumulation of DOPA was used to assess the effects of the compounds on dopamine biosynthesis in the striatum of reserpine-treated rats.<sup>12</sup> For further details, see [Supplementary materials](#).

### In vivo electrophysiology

Extracellular recordings of spontaneously active individual dopaminergic neurons were performed in the substantia nigra pars compacta (SNc) region of the midbrain after i.v. administration of cariprazine or DDCAR in anesthetized rats. Drug effects on neuronal firing rates were determined as previously described.<sup>28</sup> For further details, see [Supplementary materials](#).

### Animal behavioral assessment

The effects of cariprazine and DDCAR on apomorphine (1.5 mg/kg, subcutaneous (s.c.))-induced climbing, conditioned avoidance response, scopolamine (3 mg/kg, intraperitoneal (i.p.))-induced learning deficits in a water labyrinth, spontaneous locomotor activity, and hypermotility induced by amphetamine (0.5 mg/kg, s.c.), phencyclidine (2.5 mg/kg, s.c.), or MK-801 (0.2 mg/kg, i.p.) and their cataleptogenic effects were assessed as described previously.<sup>14</sup> The salt or base forms of cariprazine and DDCAR were used, but the ED<sub>50</sub> data refer to the base form. For doses and number of animals per dose group, see [Supplementary materials](#).

### Pharmacokinetics

The pharmacokinetics of cariprazine was studied after single p.o. and i.v. administration of 1 mg/kg doses to male Wistar rats (n=3–5/group). The formulations were 0.1% acetic acid in deionized water (d.w.) for the bioavailability study (p.o.), 5% Tween 80/d.w. for the brain penetrability study (p.o.),

**Table 1** In vitro receptor binding affinities of cariprazine (CAR), desmethyl-cariprazine (DCAR), and didesmethyl-cariprazine (DDCAR)

Receptor	Cell or tissue source	Binding affinity, pK <sub>i</sub>		
		CAR <sup>a</sup>	DCAR	DDCAR
<b>Human<sup>b</sup></b>				
Dopamine D <sub>3</sub>	CHO cells	10.07	10.42	10.25
Dopamine D <sub>2L</sub>	CHO cells	9.31	9.09	8.85
Serotonin 5-HT <sub>2B</sub>	CHO-KI cells	9.24	9.33	9.28
Dopamine D <sub>2S</sub>	CHO cells	9.16	8.88	8.58
Serotonin 5-HT <sub>1A</sub>	CHO cells	8.59	8.53	8.77
σ <sub>1</sub>	Jurkat cells	7.74	8.02	8.02
Serotonin 5-HT <sub>2A</sub>	CHO KI cells	7.73	7.93	7.94
Histamine H <sub>1</sub>	CHO cells	7.63	7.74	7.63
Serotonin 5-HT <sub>7</sub>	CHO cells	6.95	n.t.	n.t.
Serotonin 5-HT <sub>2C</sub>	CHO cells	6.87	7.13	7.07
Serotonin 5-HT <sub>6</sub>	HeLa cells	40%	7.02	6.93
<b>Rat<sup>c</sup></b>				
Dopamine D <sub>3</sub>	Sf9	9.15	9.66	9.80
Serotonin 5-HT <sub>1A</sub>	Hippocampus	8.34	8.00	8.31
Dopamine D <sub>2</sub>	Striatum	8.03	8.11	7.89
Serotonin 5-HT <sub>2A</sub>	Frontal cortex	7.26	7.50	7.37
σ <sub>2</sub>	Brain	17% <sup>d</sup>	28% <sup>d</sup>	6.73
Serotonin 5-HT <sub>2C</sub>	Ch. plexus	6.76	6.97	7.04
α <sub>1</sub> -AR	Cortex	6.81	6.77	6.72
α <sub>1A</sub> -AR	Submax. gland	6.88	7.01	6.83

**Notes:** CAR, DCAR, and DDCAR produced 40–63% displacement at 1 μM test concentration for human α<sub>2A</sub> adrenergic, human dopamine D<sub>1</sub>, and rat β-adrenergic receptors. CAR, DCAR, and DDCAR demonstrated negligible affinities (percent displacement <20% at 1 μM test concentration) for adenosine A<sub>1</sub>, A<sub>2A</sub>, and A<sub>3</sub>; adrenergic α<sub>2B</sub> and α<sub>2C</sub>; cannabinoid CB<sub>1</sub> and CB<sub>2</sub>; cholecystokinin CCK<sub>1</sub> and CCK<sub>2</sub>; corticotropin (CRF1); dopamine D<sub>4,2</sub> and D<sub>5</sub>; estrogen ERα and ERβ; GABA (A and B), galanin (GAL1 and GAL2); glucocorticoid; glutamate (AMPA, kainate, NMDA); histamine (H<sub>2</sub>, H<sub>3</sub> and H<sub>4</sub>); muscarinic (M<sub>1</sub>, M<sub>2</sub>, M<sub>3</sub>, M<sub>4</sub>, and M<sub>5</sub>); nicotinic; orphanin ORL<sub>1</sub>; opiate δ, κ, and μ; potassium channel HERG; progesterone; serotonin 5-HT<sub>3</sub>, 5-HT<sub>4</sub>, and 5-HT<sub>5A</sub>; Ca<sup>2+</sup>-L-type (benzothiazepine and phenylalkylamine); Ca<sup>2+</sup>-N-type, sodium (site 1 and site 2) channels; and norepinephrine, adenosine, and choline transporters. CAR, DCAR, and DDCAR did not inhibit (inhibition <10% at 10 μM test concentration) Ca<sup>2+</sup>-ATPase, Na<sup>+</sup>/K<sup>+</sup>-ATPase, acetylcholinesterase, HMG-CoA reductase, and acyl-CoA-cholesterol acyltransferase. <sup>a</sup>CAR values are republished with permission from Kiss B, Horváth A, Némethy Z, et al. Cariprazine (RGH-188), a dopamine D(3) receptor-preferring, D(3)/D(2) dopamine receptor antagonist-partial agonist antipsychotic candidate: in vitro and neurochemical profile. *J Pharmacol Exp Ther.* 2010;333(1):328–340.<sup>12</sup> <sup>b</sup>Performed at MDS Pharma Services. <sup>c</sup>Performed at Gedeon Richter Plc. <sup>d</sup>Percent displacement at 1 μM test concentration.

**Abbreviations:** ch. plexus, choroid plexus; CHO, Chinese hamster ovary; submax, submaxillary.

and 0.1% acetic acid/5.5% glucose in d.w. (i.v.). The pharmacokinetics of cariprazine was also studied in male NMRI mice using a p.o. dose of 1 mg/kg in d.w. (n=5/group).

The pharmacokinetic characterization of DDCAR was performed after single p.o. administration at 0.9 mg/kg (equimolar to cariprazine) and i.v. dose of 1 mg/kg in male Wistar rats (n=3–4/group). The formulations were 5% Tween 80/d.w. and 1% lactic acid/0.9% NaCl, respectively.

Samples obtained after cariprazine or DDCAR administration were analyzed for cariprazine and its metabolite DDCAR or for DDCAR alone, respectively, by liquid chromatography coupled with tandem mass spectrometry (LC-MS/MS).

Pharmacokinetic parameters were calculated from the concentration data with Kinetica software using the model independent approach. The following parameters were derived: maximum concentration (C<sub>max</sub>), with corresponding sampling

time (T<sub>max</sub>), area under the concentration versus time curve from 0 (administration of the compound) to time t (AUC<sub>0-t</sub>) or extrapolated to infinity (AUC<sub>0-inf</sub>), terminal half-life (T<sub>1/2</sub>), systemic clearance (CL), and volume of distribution (Vd).

A dual-probe MD study was conducted to estimate the free extracellular levels of cariprazine and DDCAR in awake, freely moving, male Wistar rats (n=5). Cariprazine was administered intragastrically at 1 mg/kg dose in d.w. via surgically implanted catheter. The MD experiments were performed with the Culex automated sampling system (Bioanalytical Systems Inc.). Probes were implanted in the prefrontal cortex and striatum and perfused with artificial cerebrospinal fluid at a flow rate of 1 μL/min. Dialysate samples were collected in 20-min intervals from 60 min pre-dose to ≥300 min post-dose. Dialysate concentrations of cariprazine and DDCAR were determined by LC-MS/MS.

Brain extracellular levels were calculated by correction with the in vitro recovery of probes.  $AUC_{0-5\text{ h}}$ ,  $C_{\text{max}}$ , and  $T_{\text{max}}$  were calculated for extracellular concentrations with Kinetica software (Thermo Fischer Scientific, Waltham, MA, USA) using the model independent approach. Details of the pharmacokinetic and MD methodology are given in [Supplementary materials](#).

## Data analysis

Nonlinear least-squares regression analysis was used to calculate  $IC_{50}$  values (MDS Pharma Services, Data Analysis Toolbox; MDL Information Systems). Receptor binding isotherms and concentration or dose–response curves were analyzed by nonlinear regression using Origin 6.0 (OriginLab Corp.) or Prism 4.0 software (GraphPad Software Inc., La Jolla, CA, USA) or SoftMax Pro 5.2 (Molecular Devices LLC, Sunnyvale, CA, USA) software. Pharmacokinetic data were analyzed by Kinetica software (Thermo Fischer Scientific). Inhibition constants ( $K_i$ ) were calculated from the  $IC_{50}$  values using the Cheng and Prusoff equation;<sup>29</sup> antagonist affinity ( $K_B$ ) values were calculated according to the formula described by Craig.<sup>30</sup> The Tukey–Kramer multiple comparison test was used to compare control and treatment groups in the rat turnover, biosynthesis, and occupancy experiments. In electrophysiological experiments, dose–response curves were constructed by plotting firing rates normalized to the mean firing rate of the “vehicle” period versus the tested doses. Repeated-measures ANOVA in a general linear model followed by Dunnett’s test was used for statistical analysis.  $ED_{50}$  values were calculated via sigmoidal curve regression. Time courses were compared using one-way ANOVA with Helmert contrast.  $ED_{50}$  values of the behavioral assays were calculated by linear regression; effective doses were determined by repeated-measures ANOVA followed by post-hoc Duncan test.

Details of data analysis for the relevant assays are given in [Supplementary materials](#).

## Results

### In vitro receptor binding profile of cariprazine, DCAR, and DDCAR

The in vitro binding affinities of cariprazine, DCAR, and DDCAR were tested at 76 targets including human and rat receptors, transporters, and enzymes (Table 1). Both DCAR and DDCAR demonstrated higher affinity than cariprazine for human and rat  $D_3$  receptors. Similar to cariprazine, DCAR and DDCAR displayed subnanomolar/low nanomolar affinity for human 5-HT<sub>2B</sub> receptors and  $D_{2L}$  receptors, low

nanomolar affinity for human  $D_{2S}$  and 5-HT<sub>1A</sub> receptors, and moderate ( $7.5 < pK_i < 8.0$ ) affinity for human 5-HT<sub>2A</sub>,  $H_1$ , and  $\sigma_1$  receptors. It is of note that both metabolites showed higher selectivity for the human  $D_3$  receptor compared with cariprazine. All three compounds showed an overall lower affinity for native rat receptors than for recombinant human receptors.

### [<sup>35</sup>S]GTP $\gamma$ S binding

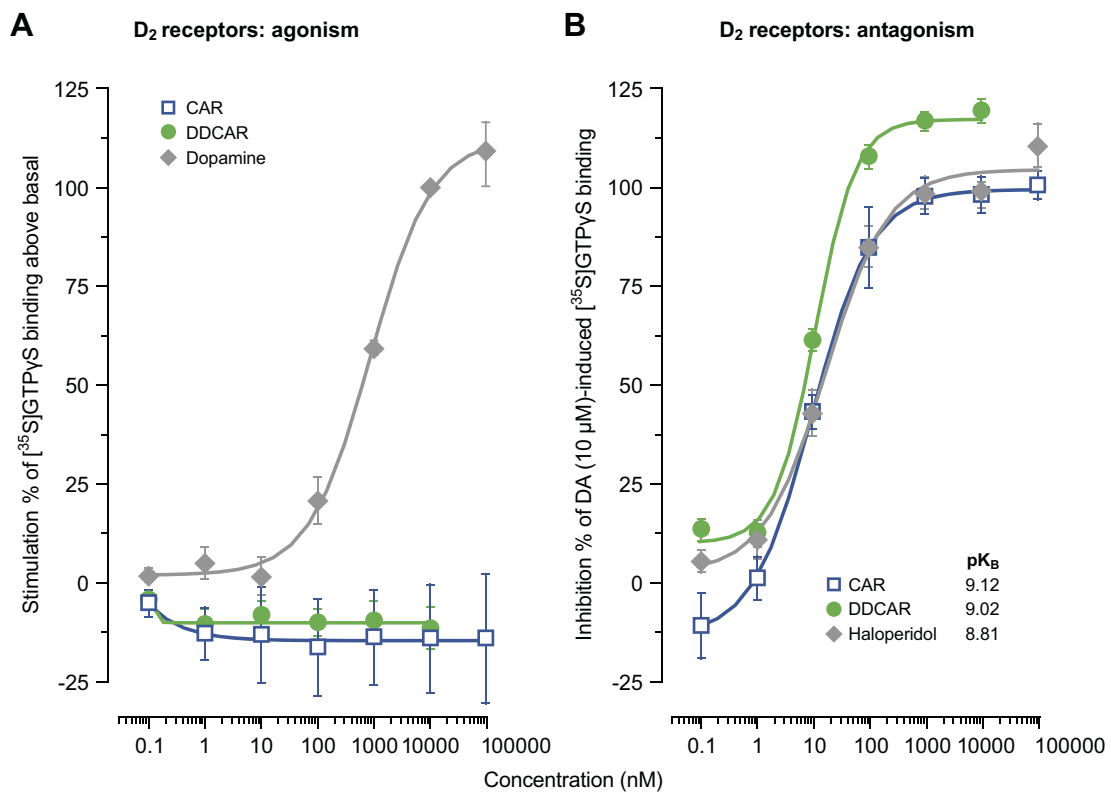
Cariprazine and DDCAR acted as pure antagonists at  $D_2$  and  $D_3$  receptors by completely inhibiting dopamine-induced [<sup>35</sup>S]GTP $\gamma$ S binding in HEK293 cells expressing human  $D_2$  receptors (Figure 2) or CHO cells expressing human  $D_3$  receptors (Figure 3), without stimulating [<sup>35</sup>S]GTP $\gamma$ S binding in the absence of dopamine. Similar results were obtained in rat striatal membranes: cariprazine and DDCAR did not show stimulatory activity, but both antagonized dopamine-stimulated [<sup>35</sup>S]GTP $\gamma$ S binding (Table 2). Cariprazine and DDCAR were partial agonists at human 5-HT<sub>1A</sub> receptors (Figure 4) in the [<sup>35</sup>S]GTP $\gamma$ S binding assay, with DDCAR displaying greater intrinsic efficacy and lower potency than cariprazine. In rat hippocampal membranes, both cariprazine and DDCAR stimulated [<sup>35</sup>S]GTP $\gamma$ S binding with comparable potency, but similar to human 5-HT<sub>1A</sub> membranes, DDCAR demonstrated higher efficacy (Table 2).

### In vitro cAMP signaling at $D_2$ , $D_3$ , and 5-HT<sub>1A</sub> receptors

Cariprazine, DCAR, and DDCAR acted as partial agonists at the recombinant human  $D_2$  and  $D_3$  receptors by inhibiting FSK-induced cAMP production, with maximal levels that were 20–30% less than those seen with dopamine (Figure 5). Each showed comparable degrees of intrinsic efficacy at both  $D_2$  and  $D_3$  receptors. Cariprazine and its major metabolites showed comparable potencies at the  $D_2$  receptor (Figure 5A). At  $D_3$  receptors, cariprazine had the highest potency, followed by DDCAR and DCAR (Figure 5B). At the 5-HT<sub>1A</sub> receptor, cariprazine and DDCAR acted as full agonists, whereas DCAR displayed partial agonist activity in the cAMP assay at the human recombinant receptor expressed in CHO cells (Figure 6). DCAR displayed lower potency than cariprazine and DDCAR at the 5-HT<sub>1A</sub> receptor (Table 2).

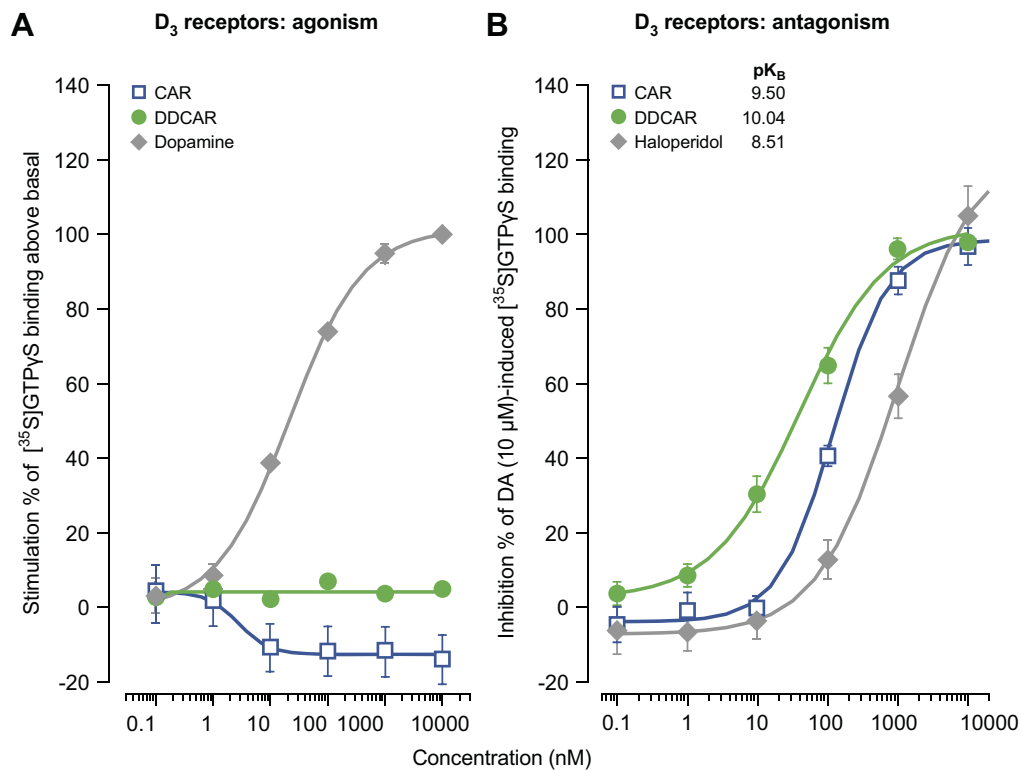
### Ca<sup>2+</sup> release in 5-HT<sub>2B</sub> receptor-expressing cells

Cariprazine, DCAR, and DDCAR did not stimulate serotonin-induced Ca<sup>2+</sup> release in CHO-5-HT<sub>2B</sub> cells (data not



**Figure 2** CAR and DDCAR do not stimulate [<sup>35</sup>S]GTPγS binding in membranes from HEK293 cells expressing human dopamine D<sub>2</sub> receptors; however, both antagonize a dopamine (10 μM)-induced increase in [<sup>35</sup>S]GTPγS binding. **(A)** D<sub>2</sub> receptor agonism; **(B)** D<sub>2</sub> receptor antagonism.

**Abbreviations:** CAR, cariprazine; DA, dopamine; DDCAR, didesmethyl-cariprazine; HEK, human embryonic kidney.



**Figure 3** In the absence of dopamine, CAR and DDCAR do not stimulate [<sup>35</sup>S]GTPγS binding in membranes from CHO cells expressing human dopamine D<sub>3</sub> receptors; however, both antagonize a dopamine (10 μM)-induced increase in [<sup>35</sup>S]GTPγS binding. **(A)** D<sub>3</sub> receptor agonism; **(B)** D<sub>3</sub> receptor antagonism.

**Abbreviations:** CAR, cariprazine; CHO, Chinese hamster ovary; DA, dopamine; DDCAR, didesmethyl-cariprazine.

**Table 2** Summary of functional activity data for cariprazine (CAR), desmethyl-cariprazine (DCAR), and didesmethyl-cariprazine (DDCAR)

Assay	CAR		DCAR		DDCAR	
	E <sub>max</sub> (%)	pEC <sub>50</sub> /pK <sub>B</sub>	E <sub>max</sub> (%)	pEC <sub>50</sub> /pK <sub>B</sub>	E <sub>max</sub> (%)	pEC <sub>50</sub> /pK <sub>B</sub>
<b>In vitro [<sup>35</sup>S]GTPγS binding</b>						
Human membranes						
hD <sub>2</sub> agonism	No stimulation		n.t.		No stimulation	
hD <sub>3</sub> agonism	No stimulation		n.t.		No stimulation	
hD <sub>2</sub> antagonism <sup>a</sup>		9.12±0.15	n.t.			9.02±0.04
hD <sub>3</sub> antagonism <sup>a</sup>		9.50±0.07	n.t.			10.04±0.17
h5-HT <sub>1A</sub> agonism	34±3	8.64±0.16	n.t.		65±13	7.51±0.18
Rat membranes						
Striatum (D <sub>2</sub> )						
Agonism	No stimulation		n.t.		No stimulation	
Antagonism <sup>a</sup>		8.90±0.10	n.t.			9.27±0.11
Hippocampus (5-HT <sub>1A</sub> )						
Agonism	44±4	7.05±0.08	n.t.		94±4	7.08±0.15
<b>cAMP signaling</b>						
hD <sub>2</sub> agonism	78±3	9.00±0.10	71±1	8.90±0.10	71±4	8.70±0.10
hD <sub>3</sub> agonism	71±4	8.57±0.30	74±2	8.09±0.03	82±4	8.17±0.18
h5-HT <sub>1A</sub> agonism	95±11	6.80±0.07	70±10	6.28±0.13	99±13	7.32±0.07
<b>Ca<sup>2+</sup> release</b>						
h5-HT <sub>2B</sub> agonism	No stimulation		No stimulation		No stimulation	
h5-HT <sub>2B</sub> antagonism <sup>b</sup>		8.50±0.19		8.36±0.19		8.67±0.09

**Notes:** Data are the mean±standard error of the mean from three to six independent experiments in duplicate or triplicate. <sup>a</sup>For antagonist action, pK<sub>B</sub> values are given. <sup>b</sup>pIC<sub>50</sub> values.

**Abbreviations:** E<sub>max</sub> (%), percent maximal stimulation relative to 10 μM dopamine or 1 μM serotonin; n.t., not tested; pEC<sub>50</sub>, negative logarithm of concentration of a drug that gives half-maximal response.

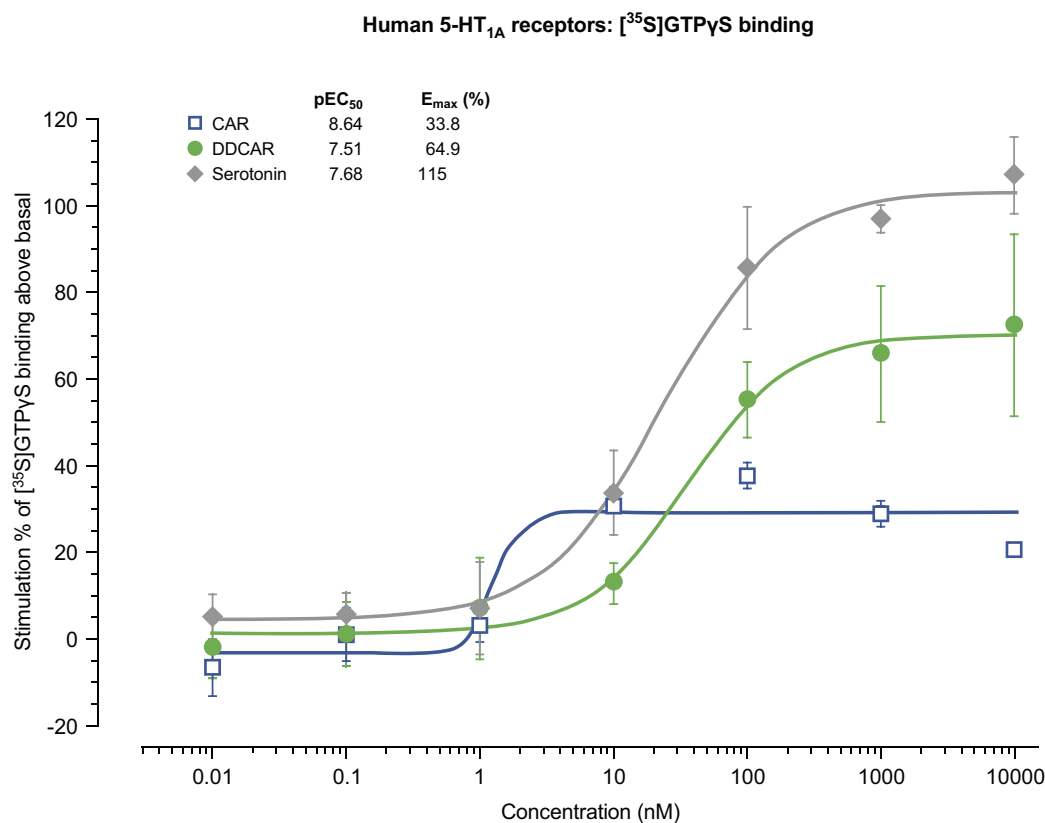
shown) but inhibited serotonin-induced Ca<sup>2+</sup> release in these cells (Figure 7). The three compounds inhibited serotonin-induced Ca<sup>2+</sup> release with similarly high potency (Table 2).

### Brain dopamine receptor occupancy

Cariprazine and DDCAR showed dose-dependent occupancy of D<sub>2</sub> and D<sub>3</sub> receptors in the rat brain, as determined by the displacement of [<sup>3</sup>H](+)-PHNO (Figure 8), a D<sub>3</sub>-preferring D<sub>2</sub>/D<sub>3</sub> receptor agonist. Cariprazine showed 2.5-fold higher potency to occupy striatal D<sub>2</sub> receptors than DDCAR (Figure 8A), whereas cariprazine and DDCAR showed similar potency for occupying D<sub>3</sub> receptors in cerebellum L9,10, a D<sub>3</sub> receptor-rich region (Figure 8B). In agreement with its higher D<sub>3</sub> receptor selectivity in vitro, these in vivo brain occupancy results showed that DDCAR demonstrated higher D<sub>3</sub> receptor occupancy compared to cariprazine (striatal D<sub>2</sub> to cerebellar D<sub>3</sub> ED<sub>50</sub> ratio: cariprazine, 0.53; DDCAR, 1.41).

There was a linear relationship between the cariprazine dose and the plasma or brain concentration (Figure S1A), which allowed data extrapolation to assess the relationship between plasma levels and brain receptor occupancy at the ED<sub>50</sub> doses. The plasma concentration of cariprazine at its ED<sub>50</sub> for striatal D<sub>2</sub> and cerebellar D<sub>3</sub> receptors was determined as 11.5 nM and 22.7 nM, respectively. Considering the (total) brain concentration is 13-fold higher than the plasma concentration and the free concentration is approximately 1.5% of the total brain concentration (as estimated from MD experiments), the free cariprazine levels were 2.2 nM and 4.4 nM at the 50% receptor occupancy for the rat striatum and cerebellum L9,10, respectively. These concentrations are in good agreement with the in vitro K<sub>i</sub> values (ie, 2.3 nM for striatal and 3.9 nM for cerebellum L9,10 membranes, respectively) for cariprazine as determined with [<sup>3</sup>H]-(+)-PHNO radioligand (Figure S1B).<sup>15</sup>





**Figure 4** CAR and DDCAR partially stimulate [<sup>35</sup>S]GTPγS binding in membranes from CHO cells expressing human serotonin 5-HT<sub>1A</sub> receptors.

**Abbreviations:** CAR, cariprazine; CHO, Chinese hamster ovary; DDCAR, didesmethyl-cariprazine; E<sub>max</sub> (%), percent maximal stimulation; pEC<sub>50</sub>, negative logarithm of concentration of a drug that gives half-maximal response.

## Neurochemistry of dopamine and serotonin

Cariprazine and DDCAR dose-dependently enhanced dopamine turnover (Figure 9A) and inhibited the NSD-1015-induced accumulation of DOPA (an index of dopamine biosynthesis; Figure 9B) in the striatum of reserpine-treated rats, with cariprazine having a greater partial agonist effect on dopamine biosynthesis than DDCAR. Both compounds slightly reduced serotonin turnover in the rat frontal cortex (Figure 9A).

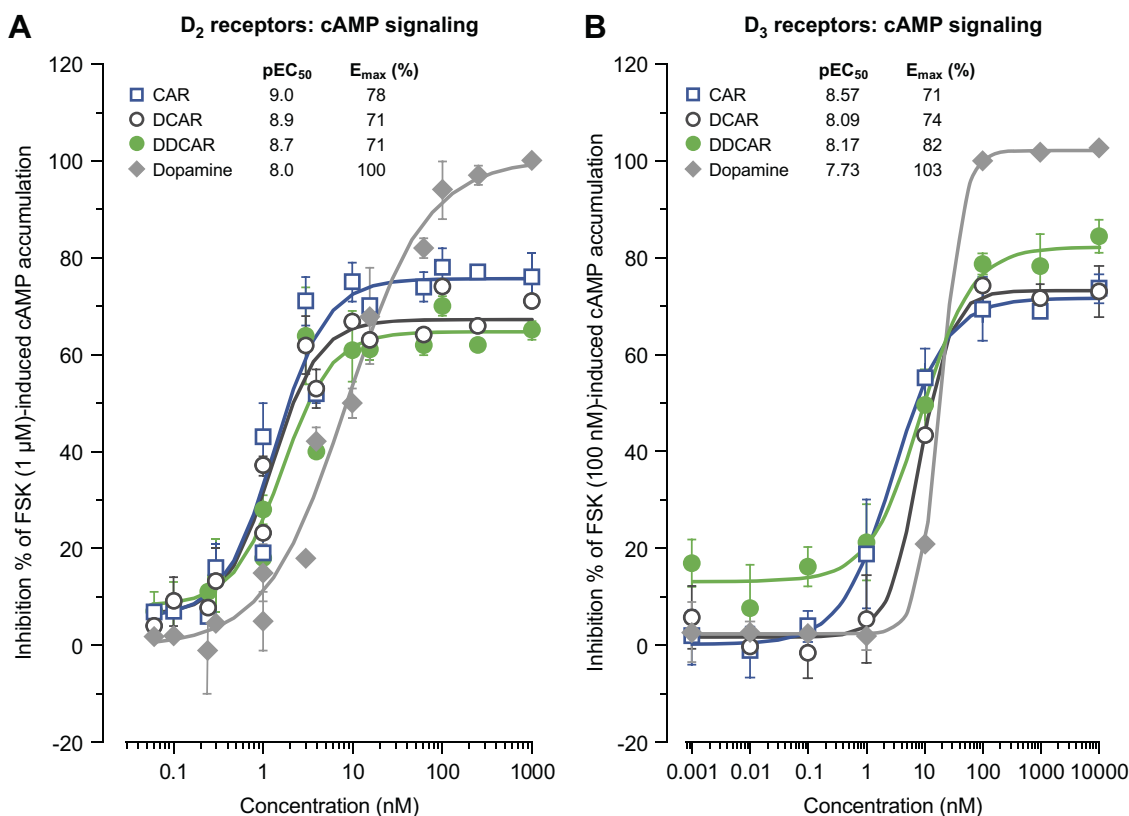
## Electrophysiology of substantia nigra dopamine neurons

Systemic cariprazine administration significantly ( $F_{6,48}=23.11$ ,  $P<0.0001$ ) and dose-dependently suppressed the firing activity of SNc dopaminergic neurons, plateauing at less than 50% inhibition (Figure 10A). DDCAR also significantly diminished dopamine firing in the SNc ( $F_{7,42}=16.35$ ,  $P<0.0001$ ; Figure 10A) in anesthetized rats. Development of the inhibitory effect was not significantly different between CAR and DDCAR when comparing

roughly equiactive single doses ( $F_{1,9}=0.92$ ,  $P=0.36$ ). The onset of neuronal suppression was followed by gradual spontaneous recovery of neuronal firing starting 10 min after dosing with CAR but not with DDCAR (Figure 10B).

## Animal behavioral profile

In rodent models for antipsychotic-like and procognitive activity, DDCAR, the major human cariprazine metabolite, was studied in detail and compared with the results previously published for cariprazine.<sup>14</sup> After oral administration, DDCAR showed similar antipsychotic-like and procognitive activity to cariprazine (Table 3). However, DDCAR was 3- to 10-fold less potent than cariprazine in the following assays: reduction of spontaneous locomotor activity; amphetamine, phencyclidine, and MK-801-induced hypermotility; apomorphine-induced climbing; conditioned avoidance response; and protection against scopolamine-induced learning deficit in the water labyrinth. Similar to cariprazine, DDCAR produced no catalepsy in rats at up to 50-fold its ED<sub>50</sub> dose obtained in the conditioned avoidance response assay.



**Figure 5** CAR, DCAR, and DDCAR are partial agonists in cAMP accumulation in CHO cells expressing human dopamine D<sub>2</sub> or D<sub>3</sub> receptors. cAMP signaling at (A) D<sub>2</sub> receptors and (B) D<sub>3</sub> receptors.

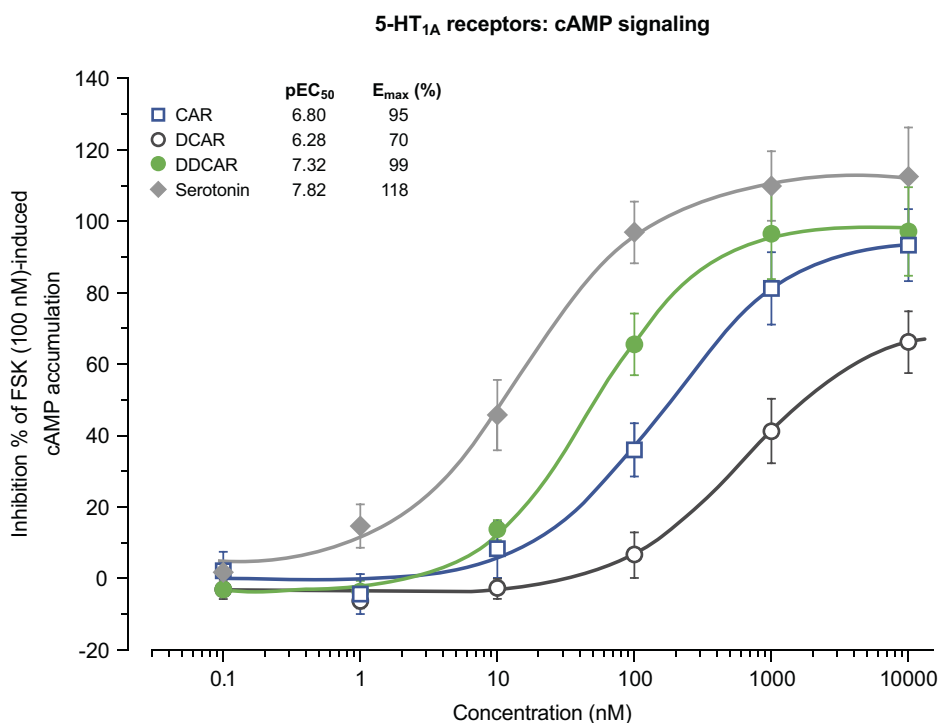
**Abbreviations:** CAR, cariprazine; CHO, Chinese hamster ovary; DCAR, desmethyl-cariprazine; DDCAR, didesmethyl-cariprazine; E<sub>max</sub> (%), percent maximal stimulation; FSK, forskolin; pEC<sub>50</sub>, negative logarithm of concentration of a drug that gives half-maximal response.

## Pharmacokinetics

After p.o. administration of 1 mg/kg cariprazine to mice, the maximal concentration of the parent compound was attained at 2 h post dose in both the plasma and the brain. The formed DDCAR represented 15% of the cariprazine concentration in plasma and 5% in the brain. The brain-to-plasma ratio was high for cariprazine (B/P: 7.3) and much lower for the formed DDCAR (B/P: 2.7). Further, cariprazine showed rapid and effective absorption (T<sub>max</sub>: 0.5–1 h), with an absolute p.o. bioavailability of 52% in rats.<sup>31</sup> After i.v. administration at a dose of 1 mg/kg to male rats, the systemic plasma clearance of cariprazine was 32 mL/min/kg, which is lower than the hepatic blood flow of this species. The high volume of distribution (V<sub>d</sub>: 6.5 L/kg) indicates extensive tissue distribution of cariprazine in the rat. The elimination half-life of cariprazine was 2–3 h, regardless of the route of administration. Cariprazine (p.o.) penetrated rapidly and extensively into the brain, with a similar time to peak value as that of plasma (T<sub>max</sub>: 1 h) and a high brain-to-plasma ratio (B/P: 11). Formation of DDCAR was relatively slow (T<sub>max</sub>: 4 h), and

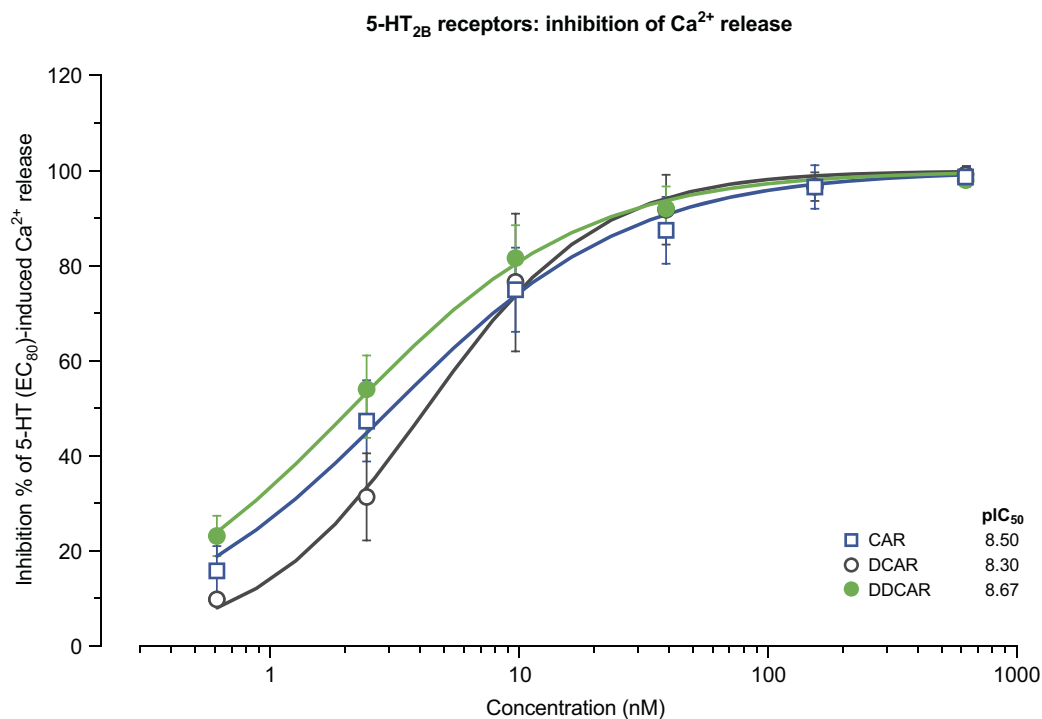
the metabolite represented approximately 5–6% of cariprazine exposure both in the plasma and the brain (Table 4, Figure 11). After p.o. administration of DDCAR at a dose of 0.9 mg/kg (equimolar to 1 mg/kg cariprazine), high plasma and brain levels were attained shortly after administration (T<sub>max</sub>: 1–2 h). The absolute p.o. bioavailability of DDCAR was 34%, which was lower than that of cariprazine. When the metabolite DDCAR was i.v. administered to male rats at a dose of 1 mg/kg, the observed pharmacokinetic parameters of DDCAR were similar to those of cariprazine (CL: 35 mL/min/kg; V<sub>d</sub>: 9.2 L/kg). The elimination half-life of DDCAR was 3–4 h, regardless of the route of administration. The brain to plasma ratio showed high but somewhat lower brain partitioning than cariprazine (B/P: 5–6) (Table 4, Figure 11).

In the rat MD study, extracellular levels of cariprazine in the brain ranged between 1.1 and 4.3 ng/mL (2.6–9.9 nM) after 1 mg/kg p.o. administration, which represented approximately 1–2% of the total brain exposure. No significant difference was observed between



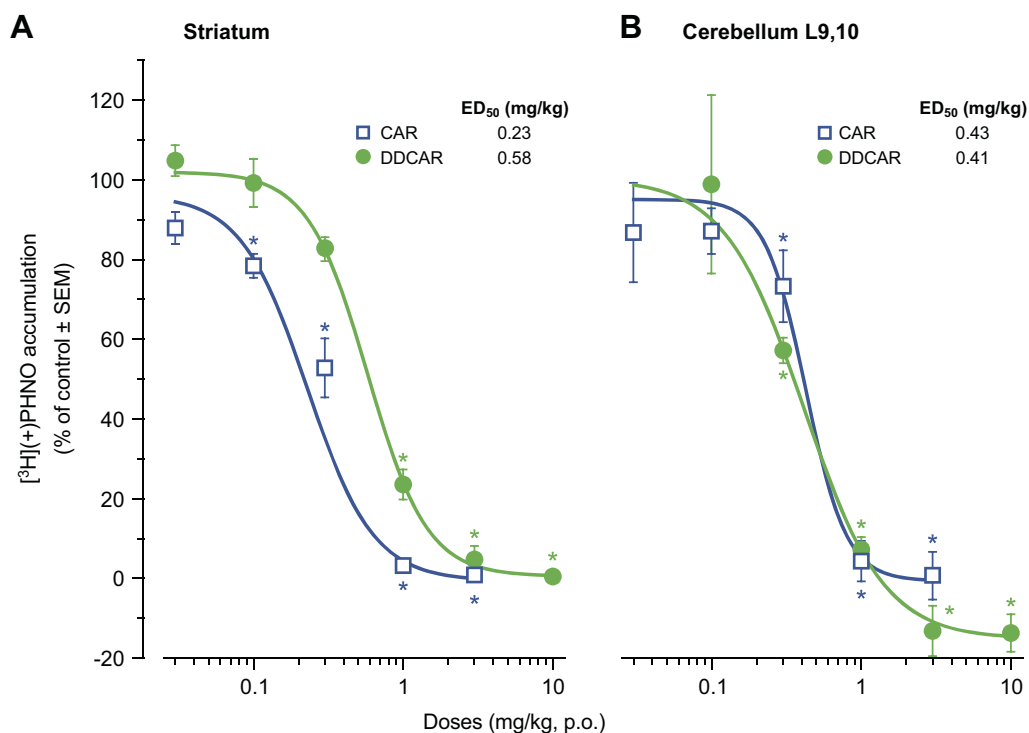
**Figure 6** CAR and DDCAR are full agonists in cAMP accumulation assay in CHO cells expressing human serotonin 5-HT<sub>1A</sub> receptors, whereas DCAR demonstrates partial agonist activity. cAMP levels were determined by the HTRF method given by the vendor (Cisbio).

**Abbreviations:** CAR, cariprazine; CHO, Chinese hamster ovary; DCAR, desmethyl-cariprazine; DDCAR, didesmethyl-cariprazine; E<sub>max</sub> (%), percent maximal stimulation; FSK, forskolin; HTRF, homogeneous time-resolved fluorescence; pEC<sub>50</sub>, negative logarithm of concentration of a drug that gives half-maximal response.



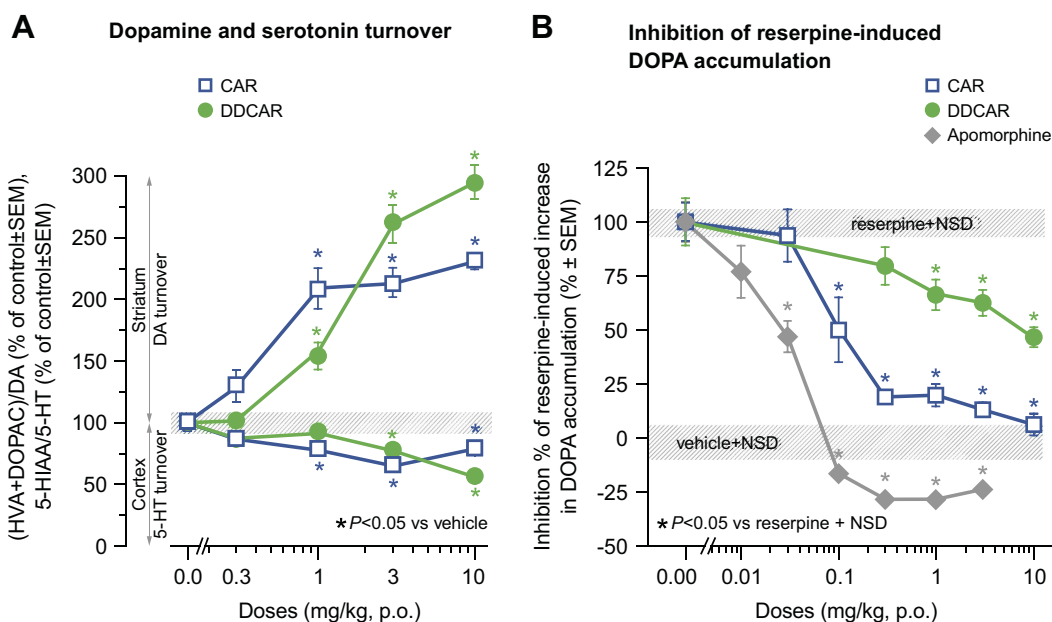
**Figure 7** CAR, DCAR, and DDCAR are antagonists at human serotonin 5-HT<sub>2B</sub> receptors expressed in CHO cells in inhibiting 5-HT-evoked Ca<sup>2+</sup> release.

**Abbreviations:** CAR, cariprazine; CHO, Chinese hamster ovary; DCAR, desmethyl-cariprazine; DDCAR, didesmethyl-cariprazine; 5-HT, serotonin.



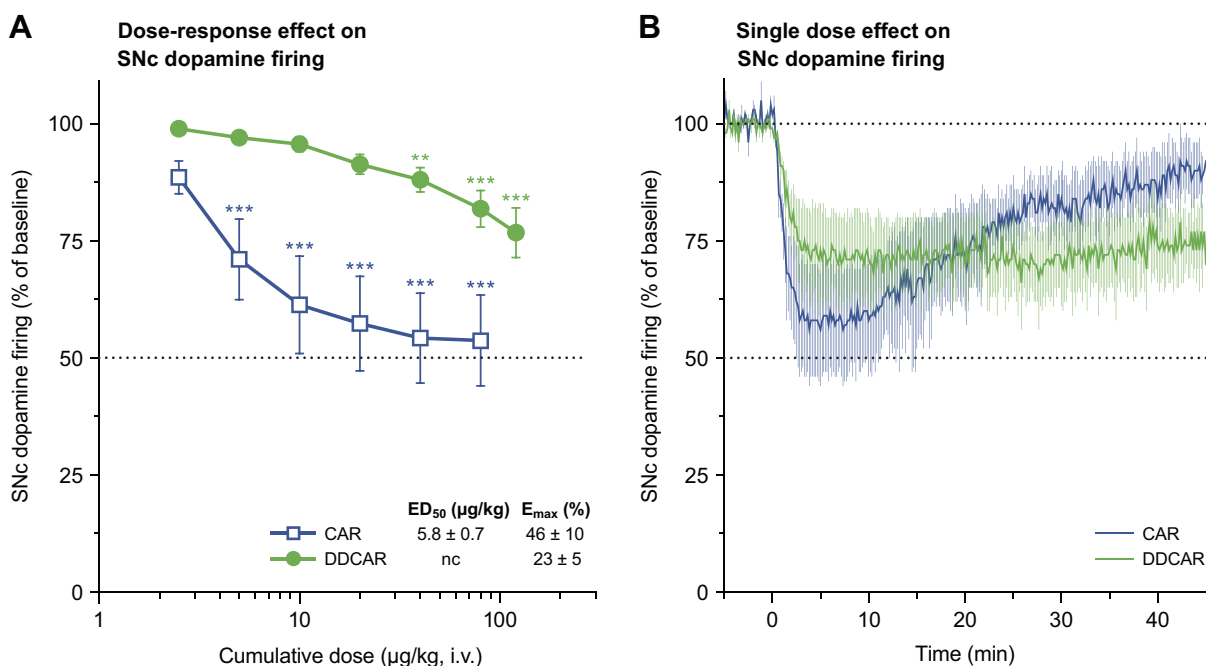
**Figure 8** CAR and DDCAR inhibit [<sup>3</sup>H](+)-PHNO binding in the rat brain. **(A)** Rat striatum; **(B)** cerebellum lobes 9 and 10 (L9,10). CAR data are also depicted in Gyertyán et al.<sup>14</sup> \*P<0.05 vs vehicle. Each dose group consisted of 5–6 animals.

**Abbreviations:** CAR, cariprazine; DDCAR, didesmethyl-cariprazine; [<sup>3</sup>H](+)-PHNO, [<sup>3</sup>H](+)-*trans*-1a,2,3,4a,5,6-hexahydro-9-hydroxy-4-propyl-4*H*-naphtho[1,2-*b*]-1,4-oxazine; p.o., per os; SEM, standard error of the mean.



**Figure 9** CAR and DDCAR enhance the dopamine turnover index ((HVA+DOPAC)/DA) in the rat striatum and slightly reduce the serotonin turnover index (5-HIAA/5-HT) in the rat frontal cortex; both partially inhibit reserpine-induced enhancement of dopamine biosynthesis (ie, NSD-1015-induced DOPA accumulation) in the rat striatum. **(A)** Dopamine and serotonin turnover index; **(B)** dopamine biosynthesis. Each group consisted of 5–6 animals.

**Abbreviations:** CAR, cariprazine; DA, dopamine; DDCAR, didesmethyl-cariprazine; DOPA, 3,4-dihydroxyphenylalanine; DOPAC, 3,4-dihydroxyphenyl acetic acid; HVA, homovanillic acid; 5-HIAA, 5-hydroxy-indolyl acetic acid; 5-HT, serotonin; NSD, aromatic amino-acid decarboxylase inhibitor; NSD-1015; p.o., per os; SEM, standard error of the mean.



**Figure 10** CAR and DDCAR inhibit spontaneous dopamine neuronal firing in the rat SNc. **(A)** Dose–response effect of systemic CAR (n=9) and DDCAR (n=7) administration on firing of SNc dopamine neurons. Inhibition of firing activity of dopamine neurons by CAR was more substantial than the effect exerted by DDCAR.  $**P<0.01$ ;  $***P<0.001$  vs vehicle by Dunnett's post hoc test. **(B)** Inhibition of SNc dopamine firing by a single dose (applied at time=0 min) of CAR (10 µg/kg, i.v.; n=6) or DDCAR (120 µg/kg, i.v.; n=5). Note the long-lasting inhibitory action of DDCAR on neuronal firing. CAR data are also depicted in Delcourte et al.<sup>28</sup> The number of recorded neurons is indicated by n.

**Abbreviations:** CAR, cariprazine; DDCAR, didesmethyl-cariprazine;  $E_{max}$ , maximal effect exerted by the drug; i.v., intravenous; nc, not calculable; SNc, substantia nigra pars compacta.

**Table 3** Effects of CAR and DDCAR in rodent models of psychosis, cognition, and EPS activity

Test	Species	CAR $ED_{50}^a$ (mg/kg, p.o.)	DDCAR $ED_{50}$ (mg/kg, p.o.)
Spontaneous locomotor activity	Rat	0.18	1.8
Amphetamine (0.5 mg/kg, s.c.)-induced hyperactivity	Rat	0.12	0.66
MK-801 (0.2 mg/kg, i.p.)-induced hyperactivity	Mouse	0.049	0.18
Phencyclidine (2.5 mg/kg, s.c.)-induced hyperactivity	Rat	0.09	0.23
Apomorphine (1.5 mg/kg, s.c.)-induced climbing	Mouse	0.27	0.84
Conditioned avoidance response	Rat	0.84	2.2
Scopolamine (3 mg/kg, i.p.)-induced learning impairment in the water labyrinth	Rat	0.02–0.2 <sup>b</sup>	0.5–2
Catalepsy	Rat	>85	>100

**Notes:** CAR or DDCAR were administered p.o. in a volume of 5 mL/kg in rats and 10 mL/kg in mice. The test compounds were suspended in 2–6% Tween 80, depending on the dose and requirement for sufficient suspension homogeneity. Solutions required for doses in the lower milligram per kilogram range were usually diluted from a stock solution/suspension. Dose–response curves were generated with 5–6 dose groups and each dose group consisted of 10 (rats) and 12 (mice) animals. <sup>a</sup>CAR values are republished from *Neurochemistry International*, 59(6), Gyertyan I, Kiss B, Saghy K, et al, Cariprazine (RGH-188), a potent D3/D2 dopamine receptor partial agonist, binds to dopamine D3 receptors in vivo and shows antipsychotic-like and procognitive effects in rodents, 930, Copyright (2011), with permission from Elsevier.<sup>14</sup> <sup>b</sup>Effective dose range.

**Abbreviations:** CAR, cariprazine; DDCAR, didesmethyl-cariprazine; EPS, extrapyramidal symptoms; i.p., intraperitoneal; p.o., per os; s.c., subcutaneous.

the prefrontal cortex and striatum. Cariprazine exhibited long-lasting brain exposure, up to 5 h; however, the concentration of DDCAR could not be detected throughout the collection period (<0.8 ng/mL in dialysate; Table 4, Figure 12). In general, the free extracellular concentration was found to be 1–5% of the total (bound+free) concentration in the rat brain. Moreover, there is an

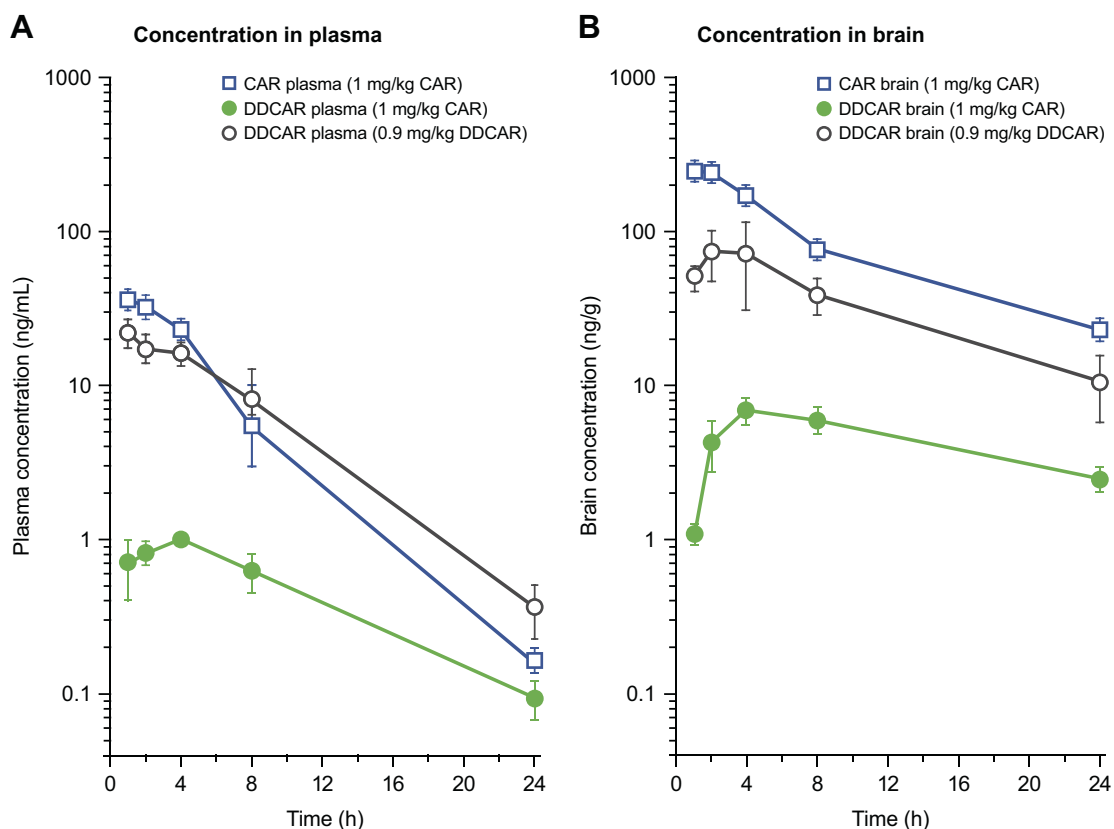
estimated technical loss of 80–85% during the procedure due to recovery. Assuming 5% free fraction and 20% recovery, we would expect the maximum amount of DDCAR in the dialysate to be 0.07 ng/mL (given that  $C_{max}$  of DDCAR is ~7 ng/g; Table 4), which is lower than our limit of quantitation of 0.8 ng/mL. In summary, considering that only the free fraction of DDCAR is

Table 4 Pharmacokinetic parameters in rats and mice after i.v. and p.o. administration

Route	Dose (mg/kg)	Analyte	Matrix	CL (mL/min/kg)	V <sub>d</sub> (L/kg)	AUC <sub>0-inf</sub> (ng/mL* <sup>a</sup> h or ng/g* <sup>a</sup> h)	AUC <sub>0-24 h</sub> (ng/mL* <sup>a</sup> h or ng/g* <sup>a</sup> h)	C <sub>max</sub> (ng/mL or ng/g)	T <sub>max</sub> (h)	T <sub>1/2</sub> (h)
CAR Rat	i.v.	CAR	Plasma	32±4.6	6.5±1.2	533±72		91±16	0.5-1	2.4±0.7
		CAR	Plasma			279±48		36	1	2.2±0.4
		CAR	Plasma			181		247	1	2.9
	p.o. <sup>a</sup> p.o. <sup>b</sup>	DDCAR	Brain		2178		11	1.0	4	6.9
			Plasma		12		104	6.9	4	6.7
			Brain		nc		4.7±1.9	4	nc	
p.o. <sup>c</sup>	CAR	Dialysate (cortex)				12±4.1 <sup>d</sup>		3.3-4.7		
		Dialysate (striatum)				11±2.9 <sup>d</sup>		3.0-6.3		
Mouse p.o.	CAR	Plasma		509		505	65	2	3.3	
		Brain		3977		3703	330	2	5.9	
		Plasma		nc		75	4.2	8	nc	
		Brain		nc		199	10	8	nc	
DDCAR Rat	i.v.	DDCAR	Plasma	35±4.9	9.2±1.0	483±67				3.0±0.2
			Plasma			155		22	1	4.3
			Brain			919		74	2	8.1

Notes: <sup>a</sup>Bioavailability study. <sup>b</sup>Brain penetrability study. <sup>c</sup>Microdialysis study (parameters calculated from the free extracellular concentrations). <sup>d</sup>AUC<sub>0-sh</sub> parameters are given as mean±SD.

Abbreviations: AUC<sub>0-24h</sub>, area under the concentration versus time curve from 0 (administration of the compound) to 24 h; AUC<sub>0-inf</sub>, area under the concentration versus time curve extrapolated to infinity; CAR, cariprazine; CL, systemic clearance; C<sub>max</sub>, maximum concentration; DDCAR, didesmethyl-cariprazine; i.v., intravenous; nc, not calculable; p.o., per os; SD, standard deviation; T<sub>1/2</sub>, terminal half-life; T<sub>max</sub>, time to maximum concentration; V<sub>d</sub>, volume of distribution.



**Figure 11** Concentration–time profiles of CAR and DDCAR in rats after equimolar p.o. administration of CAR and DDCAR. **(A)** Plasma; **(B)** brain. **Abbreviations:** CAR, cariprazine; DDCAR, didesmethyl-cariprazine; p.o., per os.

measured and other technical aspects, the concentrations could not be quantified even at the maximal concentration.

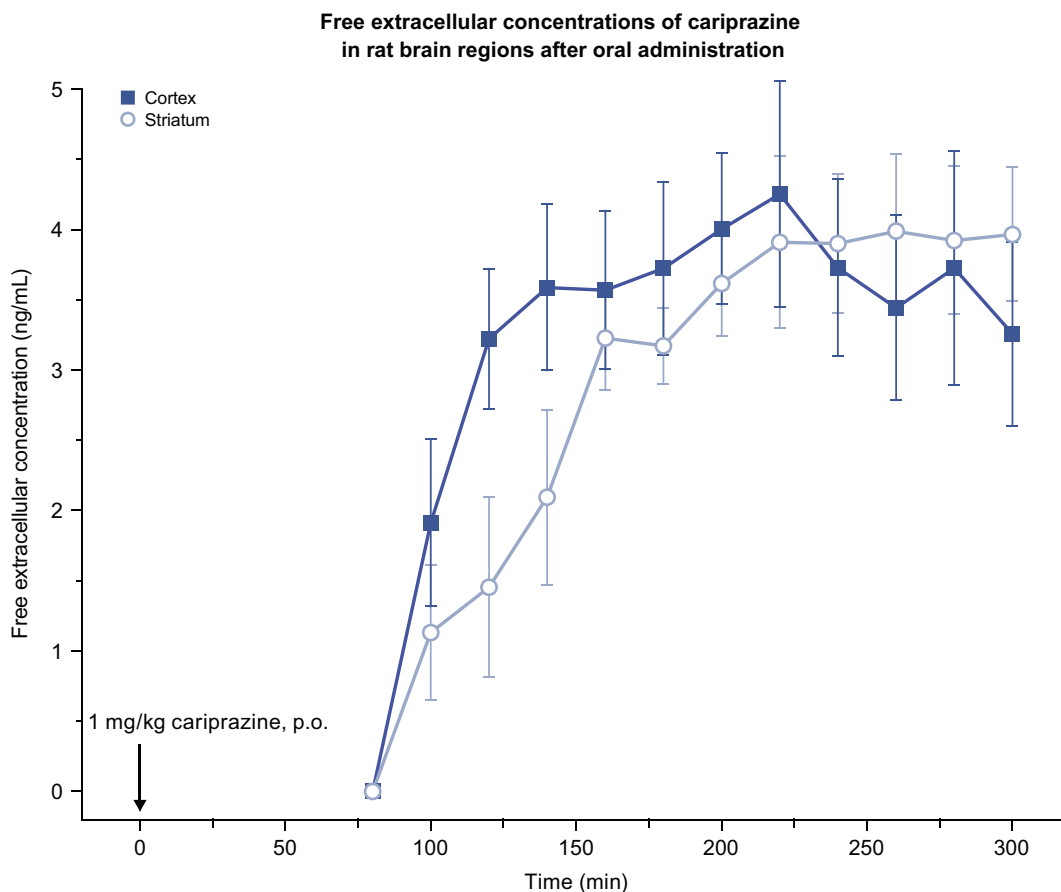
## Discussion

In this study, *in vitro*, *in vivo*, pharmacokinetic, and behavioral assays were used to compare the pharmacological profiles of cariprazine and its metabolites, DCAR and DDCAR. In general, these metabolites displayed similar receptor binding and occupancy, *in vitro* and *in vivo* functional effects, and behavioral effects as the parent compound.

Cariprazine and its metabolites exhibited comparable binding profiles at a variety of receptors (Figure 13). Similar to cariprazine, DCAR and DDCAR displayed high affinity for human and rat  $D_3$ ,  $D_{2L}$ , and  $D_{2S}$  receptors. Cariprazine and both metabolites showed greater affinity for human  $D_3$  receptors than for either of the  $D_2$  receptor isoforms, with DCAR and DDCAR having a considerably higher degree of *in vitro* selectivity for the human  $D_3$  receptor versus the  $D_{2L}$ ,  $D_{2S}$ , 5-HT $_{2B}$ , and 5-HT $_{1A}$  receptors than was seen for cariprazine. Our results with

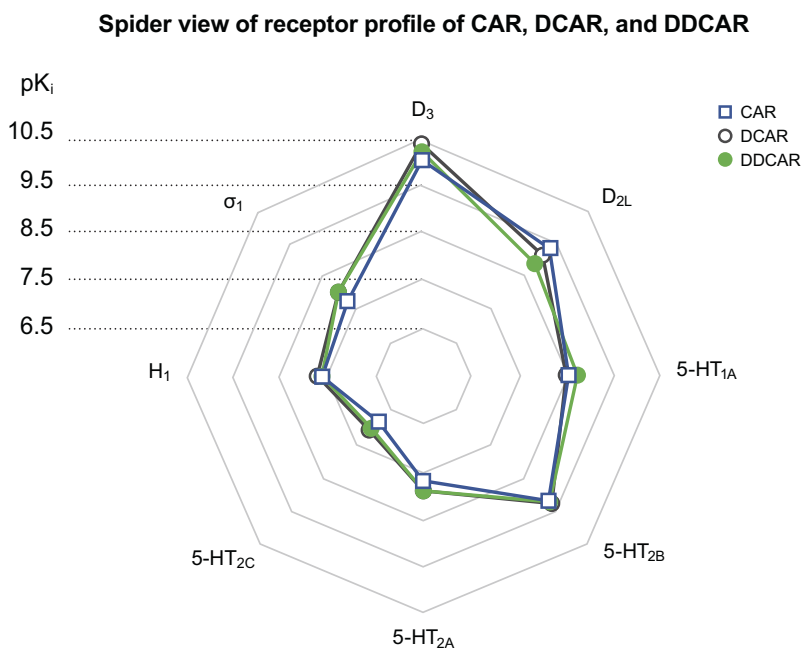
cariprazine and DDCAR corroborate previous results, which found that cariprazine and DDCAR display subnanomolar *in vitro* binding affinity for human  $D_2$  and  $D_3$  receptors with approximately 3- and 4-fold higher affinity for  $D_3$  receptors than for  $D_2$  receptors, respectively.<sup>23</sup> Cariprazine, DCAR, and DDCAR displayed similar subnanomolar or low nanomolar affinity for human 5-HT $_{2B}$  and 5-HT $_{1A}$  receptors, respectively. Both metabolites had a somewhat greater affinity for human 5-HT $_{2A}$  receptors than did cariprazine, and all three compounds displayed moderate to low affinities for human  $H_1$ , 5-HT $_{2C}$ , and  $\sigma$ -1 receptors. All three compounds had subnanomolar affinity for (recombinant) rat  $D_3$  receptors and low nanomolar affinity for rat hippocampal 5-HT $_{1A}$  receptors, with DCAR having approximately half the affinity of cariprazine and DDCAR; they also showed low nanomolar affinity for rat dopamine  $D_2$ , serotonin 5-HT $_{2A}$ , and adrenergic  $\alpha$  receptors.

In agreement with the *in vitro* affinity, both cariprazine and DDCAR demonstrated *in vivo* occupancy at  $D_2$  and  $D_3$  receptors in rats, determined with the  $D_3$ -preferring radioligand [ $^3H$ ](+)-PHNO. Compared with DDCAR, cariprazine



**Figure 12** Free extracellular concentrations of cariprazine in rat brain regions after p.o. administration of CAR. CAR was dissolved in deionized water and administered at 1 mg/kg.

**Abbreviations:** CAR, cariprazine; p.o., per os.



**Figure 13** Spider plot of the receptor profile of CAR, DCAR, and DDCAR.

**Abbreviations:** 5-HT, serotonin; CAR, cariprazine; D, dopamine; DCAR, desmethyl-cariprazine; DDCAR, didesmethyl-cariprazine; H, histamine.



demonstrated higher occupancy at striatal D<sub>2</sub> receptors and similar occupancy at D<sub>3</sub> receptors in the cerebellum. The calculated free brain concentration of cariprazine at its ED<sub>50</sub> doses corresponds with its in vitro K<sub>i</sub> values, as determined by [<sup>3</sup>H]-(+)-PHNO binding using rat striatum or cerebellum L9,10 membranes. The in vivo receptor occupancy data observed in cynomolgus monkeys confirmed the striatal occupancy data observed in the rat, indicating that cariprazine has approximately 2-fold higher affinity for dopamine receptors than DDCAR (ED<sub>50</sub>: 4.3 µg/kg<sup>13</sup> vs 7.6 µg/kg; data on file).

In membranes from rat striatum or from CHO cells expressing human D<sub>2</sub> or D<sub>3</sub> receptors used for the G-protein recruitment assay (ie, [<sup>35</sup>S]GTPγS binding), neither cariprazine nor DDCAR showed agonist activity alone, but both acted as full antagonists at D<sub>2</sub> and D<sub>3</sub> receptors in the presence of dopamine. On the other hand, D<sub>2</sub>/D<sub>3</sub> receptor partial agonism of all three compounds was demonstrated in assays in which cariprazine, DCAR, and DDCAR inhibited FSK-induced cAMP production to a lesser extent as compared to the full agonist, dopamine.

Under normal dopaminergic tone (eg, in healthy animals), the primary in vivo actions of cariprazine and DDCAR are antagonistic, resulting in dose-dependent increases in dopamine turnover in the rat striatum, with DDCAR increasing turnover to a slightly higher degree than cariprazine. However, under low dopaminergic tone (ie, when dopamine was depleted by reserpine pretreatment), both compounds showed partial agonist activity, with DDCAR showing a lesser degree of partial agonism than cariprazine. The results obtained in these two models indicate that while both compounds are D<sub>3</sub>/D<sub>2</sub> receptor antagonists/partial agonists, DDCAR possesses lower in vivo intrinsic efficacy as compared to cariprazine.

At rat hippocampal and human 5-HT<sub>1A</sub> receptors, both cariprazine and DDCAR acted as partial agonists in the [<sup>35</sup>S]GTPγS binding assay. At this receptor, DDCAR showed higher intrinsic efficacy but slightly less potency than cariprazine. Although both cariprazine and DDCAR were partial agonists in the [<sup>35</sup>S]GTPγS assay, we showed they were full agonists at the human 5-HT<sub>1A</sub> receptor in inhibiting FSK-induced cAMP production. In contrast, DCAR acted as a partial agonist at 5-HT<sub>1A</sub> receptors, but with a lower potency than either cariprazine or DDCAR. Consistent with their 5-HT<sub>1A</sub> receptor activity in the [<sup>35</sup>S]GTPγS assay, both cariprazine and DDCAR slightly but dose-dependently reduced serotonin turnover in the rat frontal cortex and did so with equal potency. Cariprazine,

DCAR, and DDCAR also acted as antagonists with similarly high potencies at the human 5-HT<sub>2B</sub> receptors.

In the rat SNc, partial inhibition of dopamine firing activity was seen with cariprazine after cumulative dosing, an effect reported to be mediated by partial agonism of midbrain autosomal D<sub>2</sub> receptors.<sup>28</sup> In addition, DDCAR partially diminished the firing activity of SNc dopaminergic neurons in a dose-dependent manner; however, it was less potent and steady state was not observed. Furthermore, while at 10 min after i.v. cariprazine administration the maximal inhibitory effect started to decline and full reversal of suppression on firing was observed within 60 min, on average,<sup>28</sup> there was no sign of any setback in the inhibitory action of DDCAR within this time frame.

In previous studies, cariprazine demonstrated antipsychotic-like, procognitive, and antidepressant-like effects in rodent models.<sup>14,32–34</sup> In this study, orally administered DDCAR demonstrated an antipsychotic-like and procognitive profile in multiple rodent behavioral assays in a manner similar to cariprazine. In all cases, the potency of DDCAR (p.o.) was 3- to 10-fold lower than that of cariprazine, perhaps reflecting the lower concentration of DDCAR found in the brain after acute administration and/or the lower affinity of DDCAR for occupying the D<sub>2</sub> receptor in the rat brain. Monkey positron emission tomography data also showed higher affinity for brain D<sub>2</sub>/D<sub>3</sub> receptor occupancy with cariprazine compared to DDCAR. Interestingly, the doses of cariprazine and DDCAR required to cause catalepsy in rats were 50- to 100-fold higher than those observed for their antipsychotic-like activity (attenuation of conditioned avoidance response), suggesting that efficacious antipsychotic activity may be achievable at doses considerably lower than those that induce extrapyramidal symptoms.

When administered p.o., cariprazine is rapidly absorbed and penetrates quickly into the brain in both rats and mice. Formation of the metabolite DDCAR resulted in plasma exposure of 6% of the parent compound's exposure in rat and 15% in mouse. DDCAR represented 5% of the parent drug exposure in both mice and rat brains. These results show that the DDCAR metabolite, formed after cariprazine administration, probably does not contribute significantly to the pharmacological effect of cariprazine in rodents. Free extracellular concentrations of cariprazine were approximately 1–2% of the total concentration in rats in the MD study (a single dose of 1 mg/kg, p.o.), with a superabundance at receptors

playing a role in its mechanism of action. However, free DDCAR concentrations were not detectable in rat brain. These data also support the minor (if any) role of DDCAR in cariprazine's acute pharmacological effect in rats. The brain penetrability study of cariprazine and DDCAR at equimolar doses in rats revealed that cariprazine brain exposure was approximately 2.5-fold higher than that of DDCAR, which may explain the lower efficacy of DDCAR in pharmacology tests compared to cariprazine.

In a metabolite profiling study following p.o. administration of 3 mg/kg radiolabelled cariprazine to rats, two major metabolites, DCAR and DDCAR, were identified, and their plasma exposure represented approximately 15% and 4% of the parent drug, respectively (data not shown). In another rat study, after 0.6 mg/kg p.o. administration of cariprazine, DCAR and DDCAR were also present in rat plasma and brain (DCAR, 13% in plasma and 9% in brain relative to the exposure of cariprazine; DDCAR, 3% in both matrices relative to the exposure of cariprazine; data not shown).

Despite the compelling evidence that DCAR and DDCAR are active metabolites of cariprazine and possess qualitatively similar *in vitro* and *in vivo* profiles compared with the parent compound, and may contribute to the established clinical efficacy of cariprazine, this study holds several limitations. Most notably, in the present experiments, the *in vivo* pharmacodynamic effects of cariprazine, DCAR, and DDCAR were investigated post acute dosing to animals; however, clinical efficacy of sustained cariprazine treatment has been established, and it has been determined that all of the active moieties are detectable under steady-state dosing in humans.<sup>25</sup> Formation of DCAR and DDCAR after a single dose of cariprazine in rodents is limited; this implies that the direct contribution of DCAR and DDCAR to the acute pharmacological actions of the parent compound in rodents can only be minor.

While the plasma and brain levels of DCAR and DDCAR in rats suggested that their contribution to the rodent pharmacological action of the parent compound is likely minor, the situation may be different in humans, in which the major cariprazine moiety is DDCAR.<sup>25</sup> As such, it has been hypothesized that DDCAR may be a major contributing factor to the clinical efficacy of cariprazine. Findings from a recent long-term cariprazine relapse-prevention study in patients with schizophrenia may provide some support for this hypothesis. In this clinical trial, DDCAR, with its extended half-life of 1–3 weeks, was found to be the primary

compound in plasma following discontinuation of cariprazine.<sup>35</sup> Additionally, there appeared to be a delayed incidence of relapse following discontinuation of cariprazine treatment in this study relative to that observed in similarly designed relapse prevention trials of other oral antipsychotics, further suggesting that DDCAR may contribute to the clinical efficacy of cariprazine.<sup>35</sup>

## Conclusion

DCAR and DDCAR, the two major active metabolites of cariprazine, possess similar *in vitro* receptor binding profiles to the parent compound. Not surprisingly, given the structural similarity of the compounds, both the *in vitro* functional profiles of DCAR and DDCAR and the *in vivo* behavioral profile of DDCAR also demonstrate high degrees of similarity with those of cariprazine. Following acute administration of cariprazine, DCAR and DDCAR were detected in the rodent brain, but the levels were low relative to cariprazine at time points where most behavioral assays were performed. Thus, the metabolite contributions to the acute pharmacological actions of cariprazine appear to be minor in rodents. However, after repeated administration (eg, under steady-state conditions) in humans, both metabolites, especially DDCAR, are present in substantially higher levels in the plasma (and possibly in the brain). Based on the high degree of *in vitro* and *in vivo* similarity between cariprazine and its active metabolites, it can be concluded that these metabolites, especially DDCAR, could significantly contribute to the clinical efficacy of cariprazine.

## Abbreviation list

Cariprazine (RGH-188), *trans-N*-[4-[2-[4-(2,3-dichlorophenyl)piperazin-1-yl]ethyl]cyclohexyl]-*N,N'*-dimethylureahydrochloride; 5-HT, serotonin; hD<sub>2</sub>, human recombinant dopamine D<sub>2</sub> receptor; hD<sub>3</sub>, human recombinant dopamine D<sub>3</sub> receptor; h5-HT<sub>1A</sub>, human recombinant serotonin 5-HT<sub>1A</sub> receptor; h5-HT<sub>2B</sub>, human recombinant serotonin 5-HT<sub>2B</sub> receptor; DCAR, desmethyl-cariprazine; DDCAR, didesmethyl-cariprazine; DOPA, 3,4-dihydroxyphenylalanine; DOPAC, 3,4-dihydroxyphenyl acetic acid; HVA, homovanillic acid; 5-HIAA, 5-hydroxy-indolyl acetic acid; CHO, Chinese hamster ovary; HEK, human embryonic kidney; [<sup>3</sup>H](+)-PHNO, [<sup>3</sup>H](+)-*trans*-1a,2,3,4a,5,6-hexahydro-9-hydroxy-4-propyl-4*H*-naphtho[1,2-*b*]-1,4-oxazine.

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István Gyertyán's present affiliation is Semmelweis University, Institute of Pharmacology and Pharmacotherapy, NAP Cognitive Translational Behavioral Pharmacology Group, Budapest, Hungary.

## Disclosure

Neither honoraria nor payments were made for authorship. All authors are or were employees of Gedeon Richter Plc. Mr. Béla Kiss, Dr. István Laszlovszky and Dr. Katalin Sággy are inventors of cariprazine and received a personal fee for it. Dr. István Gyertyán is an inventor of cariprazine and is entitled to receive a personal fee for it. All authors report nonfinancial support from Prescott Medical Communication Group for editorial assistance during preparation of the manuscript. The authors report no further conflicts of interest in this work.

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