

# Different Clusters in Patients with Chronic Obstructive Pulmonary Disease (COPD): A Two-Center Study in Brazil

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**Background:** Chronic obstructive pulmonary disease (COPD) has a functional definition. However, differences in clinical characteristics and systemic manifestations make COPD a heterogeneous disease and some manifestations have been associated with different risks of acute exacerbations, hospitalizations, and death.

**Objective:** Therefore, the objective of the study was to evaluate possible clinical clusters in COPD at two study centers in Brazil and identify the associated exacerbation and mortality rate during 1 year of follow-up.

**Methods:** We included patients with COPD and all underwent an evaluation composed of the Charlson Index, body mass index (BMI), current pharmacological treatment, smoking history (packs-year), history of exacerbations/hospitalizations in the last year, spirometry, six-minute walking test (6MWT), quality of life questionnaires, dyspnea, and hospital anxiety and depression scale. Blood samples were also collected for measurements of C-reactive protein (CRP), blood gases, laboratory analysis, and blood count. For the construction of the clusters, 13 continuous variables of clinical importance were considered: hematocrit, CRP, triglycerides, low density lipoprotein, absolute number of peripheral eosinophils, age, pulse oximetry, BMI, forced expiratory volume in the first second, dyspnea, 6MWD, total score of the Saint George Respiratory Questionnaire and packs-year of smoking. We used the Ward and K-means methods and determined the best silhouette value to identify similarities of individuals within the cluster (cohesion) in relation to the other clusters (separation). The number of clusters was determined by the heterogeneity values of the cluster, which in this case was determined as four clusters.

**Results:** We evaluated 301 COPD patients and identified four different groups of COPD patients. The first cluster (203 patients) was characterized by fewer symptoms and lower functional severity of the disease, the second cluster by higher values of peripheral eosinophils, the third cluster by more systemic inflammation and the fourth cluster by greater obstructive severity and worse gas exchange. Cluster 2 had an average of  $959 \pm 3$  peripheral eosinophils, cluster 3 had a higher prevalence of nutritional depletion (46.1%), and cluster 4 had a higher BODE index. Regarding the associated comorbidities, we found that only obstructive sleep apnea syndrome and pulmonary thromboembolism were more prevalent in cluster 4. Almost 50% of all patients presented an exacerbation during 1 year of follow-up. However, it was higher in cluster 4, with 65% of all patients having at least one exacerbation. The mortality rate was statistically higher in cluster 4, with 26.9%, vs 9.6% in cluster 1.

**Conclusion:** We could identify four clinical different clusters in these COPD populations, that were related to different clinical manifestations, comorbidities, exacerbation, and mortality rate. We also identified a specific cluster with higher values of peripheral eosinophils.

**Keywords:** COPD, eosinophils, cluster analysis, COPD phenotype, lung function

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## Introduction

Chronic obstructive pulmonary disease (COPD) has a precise functional definition. Conversely, variations in systemic and clinical characteristics occur in COPD patients and may directly influence clinical outcomes.<sup>1</sup> These characteristics are related to different comorbidities, such as cardiovascular, metabolic diseases; osteoporosis; nutritional, hematological and psychological factors; and inflammatory process, which contribute to increased risk of acute exacerbations, hospitalization, and death.<sup>2-4</sup>

The high prevalence of comorbidities and the complexity of COPD mean that alternative tools can be used to assess the severity of the disease.<sup>5-7</sup> The severity of airway obstruction, the presence of nutritional depletion, physical capacity, and the magnitude of dyspnea are variables that stratify a possible higher risk group.<sup>8</sup> However, it is necessary to identify the varied markers associated with different clinical outcomes to define phenotypes of COPD.<sup>8-10</sup>

In this context, previous studies have shown that COPD phenotypes depend on the characteristics of the population evaluated,<sup>11,12</sup> such as smoking history, respiratory symptoms, comorbidities, pulmonary function test, exercise capacity, and inflammatory biomarkers.<sup>12,13</sup> One study identified a group of COPD phenotype in elderly patients with severe airflow limitation, high nutritional depletion, muscle weakness, acute COPD exacerbations, and osteoporosis.<sup>9</sup> Another phenotype group included young female patients with severe COPD, frequent exacerbations, and increased hospitalization.<sup>15,14</sup> A further phenotype described in the literature refers to patients with low exercise capacity and worse dyspnea symptom with a higher prevalence of comorbidities and worse prognosis of survival.<sup>16</sup>

Inclusion of inflammatory biomarkers of COPD to accurately phenotype is extremely important to better understand and to identify COPD patients with higher risk of exacerbation and death.<sup>17,18</sup> New evidence suggests that serum eosinophil levels may be related to disease exacerbation risk and treatment response.<sup>19-21</sup> This population associated with exacerbation history characterize a distinct group in COPD. However, there are few data that have evaluated the influence of serum eosinophil levels in cluster analysis to determine different COPD phenotypes.<sup>22,23</sup>

Therefore, the aim of the present study was to evaluate possible clinical clusters including serum eosinophils in COPD patients that can be associated with different prevalence of exacerbation, hospitalization, and mortality.

## Subjects

This is a longitudinal observational study conducted in two study centers in Brazil, from August 2016 to October 2018. A total of 334 consecutive subjects were evaluated, 301 subjects from the Clinic Hospital of Botucatu Medical School, and 33 subjects from the Federal University of Uberaba-Minas Triangle. Inclusion criteria were subjects greater than 40 years old, all COPD severities (1-4 and A-D) according to GOLD 2017 classification, outpatient clinically stable patients characterized by the absence of acute exacerbation, and regular use of drugs for treatment in the preceding 4 weeks, cigarette consumption of more than 10 packs per year, and/or exposure to biomass, and who agreed to participate in the study protocol. Exclusion criteria were patients who had used systemic corticosteroids in the preceding 4 weeks or who had bronchiectasis, active tuberculosis, or malignant neoplasia.

All subjects signed the informed consent form approved by the Research Ethics Committee under opinion number 1399 669 and CAAE: 52515215.2.1001.5411 and approved by the Brazilian Registry of Clinical Trials (REBEC) under the registration RBR-5B6B6S. This study was conducted in accordance with the Declaration of Helsinki.

## Study Design and Methods

All project procedures were performed on the same day baseline and included demographic characteristics (age, gender, occupation, education, and monthly income), smoking history (packs/year calculation and active or non-active smoking), presence of history of exposure to biomass, pulse oximeter (SpO<sub>2</sub>), and body mass index (BMI) calculation. The six-minute walk test was performed according to the American Thoracic Society guidelines.<sup>24</sup>

The diagnosis of COPD was made by post bronchodilator spirometry [Forced Expiratory Volume in 1 Second/Forced Vital Capacity (FEV<sub>1</sub>/FVC<0.70), and classified by FEV<sub>1</sub> according to criteria of the Global Initiative for Chronic Obstructive Lung Disease 2017<sup>25</sup> and the Brazilian Thoracic Society].<sup>26</sup> Spirometry was performed on a portable computerized pulmonary function system (Ferraris Koko, Louisville, CO, USA), according to the criteria of the American Thoracic Society. FVC in liters (L) and FEV<sub>1</sub> in liters (L) were measured, and the ratio between the two measures (FEV<sub>1</sub>/FVC) was calculated. The measurements were obtained before and 20 minutes after the use of 400 mcg metered dose fenoterol as a bronchodilator medication. The

FVC and FEV1 values were also expressed as a percentage of the reference values.

Specific pharmacological treatment for COPD (corticosteroids, bronchodilators, anti-inflammatory drugs, immunomodulators, and oxygen), duration of use, participation in pulmonary rehabilitation, and vaccine status were evaluated.

The Charlson<sup>38</sup> index was used to evaluate comorbidities and the Saint George Respiratory Questionnaire (SGRQ)<sup>27</sup> and COPD Assessment Test-CAT<sup>28</sup> were applied to assess quality-of-life. The hospital anxiety and depression scale (HAD) was applied to identify anxiety and depression symptoms.<sup>29,30</sup> The dyspnea score was evaluated by Basal Dyspnea Index (BDI)<sup>31</sup> and Modified Medical Research Council (MMRC),<sup>26</sup> and the BODE multidimensional index was calculated for COPD.<sup>32</sup>

Arterial gases were collected by puncture of the radial artery, with the patient at rest and breathing ambient air. The patient also fasted for 8 hours to assess glucose, C-reactive protein (CRP), cholesterol, and fractions and triglycerides (TG) biochemical laboratory analysis and complete blood count (Stat Profile 5 Plus - Nova Biomedical, Waltham, MA, USA). We considered positive diabetes mellitus and dyslipidemia according to the Brazilian Diabetes Society<sup>33</sup> and Brazilian Cardiologic Society.<sup>34</sup>

All patients were followed for 4 months during 1 year to assess the rate of COPD acute exacerbation, hospitalization, and death. Acute exacerbation was considered when the patient presented an acute worsening in the baseline dyspnea, cough, and/or sputum with a necessity to change the regular medication with adding corticosteroids and/or antibiotics.<sup>25</sup> We used medical records and patient's prescription to validate this information. In the case of death, the causality was recorded by medical records and/or official documentation of the causality of death.

## Statistical Analysis

The sample size calculation was considered a previous pilot study conducted in our center. Six different clusters were identified, and a 300 COPD-subject size sample was calculated based on multiple comparison analysis. Sample size calculation was based on analysis of variance (ANOVA), with mean difference between groups in 6MWT (54±105 m), with 80% of power and two-sided  $P$ -value=0.0033, and a hypothetical loss rate of 30%.

Descriptive data analysis was performed to describe the characteristics of all participants. Continuous variables

are presented as mean±standard deviation (SD) or as medians and percentiles (25–75), and categorical variables are expressed as a percentage. ANOVA test followed by the Tukey's test or Kruskal Wallis test followed by Dun's test were used to compare the pairs according to variable distribution. The chi-squared test was used to compare categorical variables.

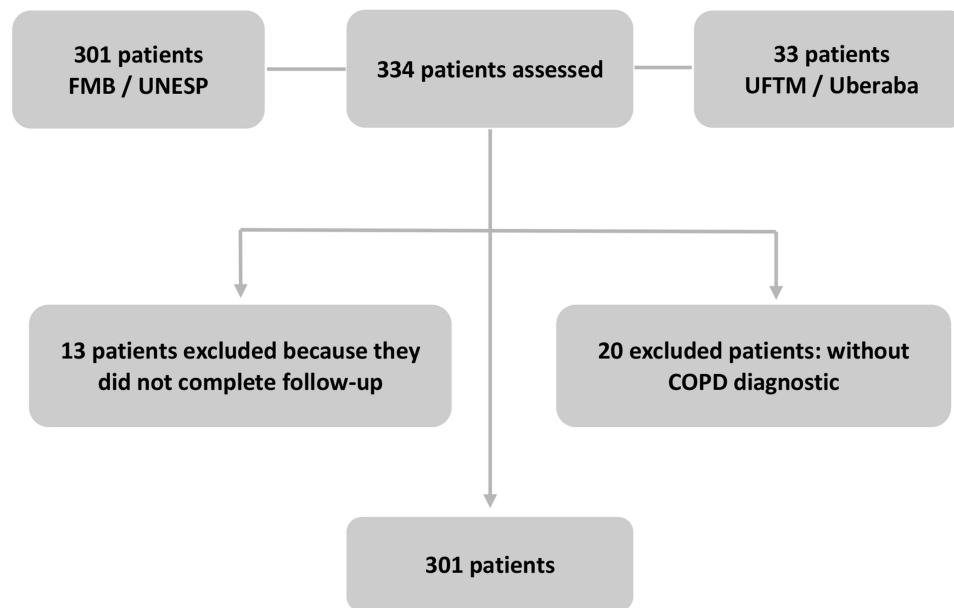
For the construction of clusters, 13 continuous variables with clinical importance were considered: hematocrit (HT), CRP, TG, low density lipoprotein (LDL), absolute peripheral eosinophils number, age, SpO<sub>2</sub>, BMI, FEV1, BDI, 6MWD, total score of SGRQ, and smoking-year package. We use the Ward and K-means methods and we determined the best silhouette value to identify similarities of individuals within the cluster (cohesion) in relation to the other clusters (separation). Given the statistical results for the Ward and K means method, the variables CRP, absolute eosinophils, and SpO<sub>2</sub> were chosen because they present the best silhouette value and were considered in the analysis of the main components. After choosing the best cluster construction method for the silhouette value (Ward method), the best cluster number (three or four) was determined through the heterogeneity values of the clusters, which in this case was four clusters.

The number of clusters was determined by the cluster heterogeneity values, which in this case was four clusters. The evaluation of each patient was performed to determine the belonging group. Among these, 10 patients were not assigned into cluster analysis related to missing data, and were excluded from the pairwise comparison.

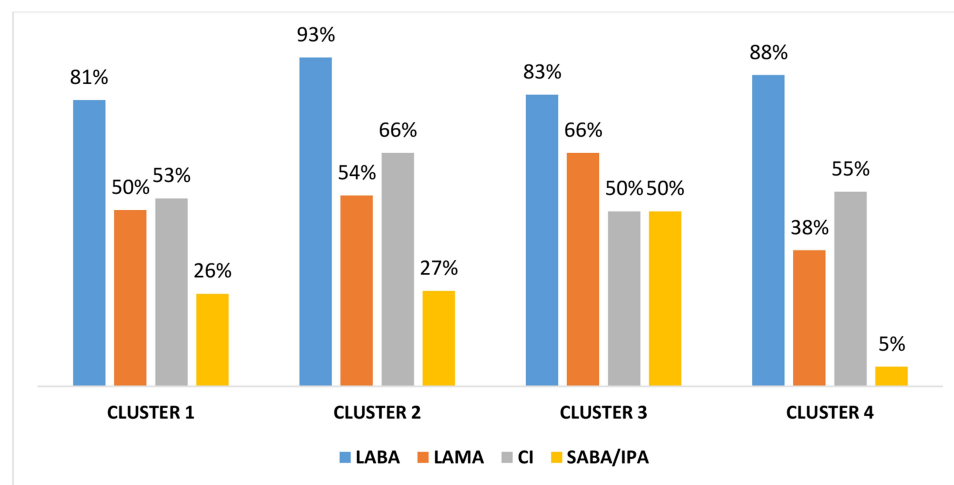
All analyzed variables were performed with the significance level set at  $P<0.05$ , with the statistical package IBM SPSS Statistics 22 (TX-USA).

## Results

After reviewing all evaluated subjects, 301 COPD patients were included, and the flow chart is presented in [Figure 1](#). The present study identified four different clusters in this sample of COPD patients. The proportions of pharmacological treatment according to each cluster are presented in [Figure 2](#). The long-acting beta 2 agonist was the pharmacological treatment used in all clusters, followed by long-acting anticholinergic and inhaled corticosteroids. We did not observe a statistical difference between groups according to the proportion of pulmonary rehabilitation and vaccine use. In terms of the proportion of previous exacerbation before the baseline assessment, we identified in clusters 14,– 33.5%, 34%, 30%, and 38.5%, respectively ( $P=0.70$ ).



**Figure 1** Total patient evaluation, division of each study center, inclusion and exclusion criteria, and final number of patients evaluated.



**Figure 2** Proportions of pharmacological treatment according to each cluster. Values expressed as a percentage.

**Abbreviations:** LABA, long-acting beta2-agonists; LAMA, long-acting anticholinergic; CI, inhaled corticosteroids; SABA/IPA, short-acting beta agonists/short-acting anticholinergics.

When all the groups were compared, the first group included the largest number of patients in the sample (203 patients) and had lower severity of the disease, characterized by lower values of the BODE index when compared to cluster 4 ( $P=0.035$ ). This cluster also presented one of the longest distances in the 6MWD and had the lowest score of dyspnea when compared to cluster 4 ( $P=0.048$ ) (Table 1).

The second cluster (49 patients) had higher peripheral eosinophil values, with a mean of  $959 \pm 389 \times 10^3/\text{mm}^3$ . However, only four patients had asthma diagnosis and

only 34% presented a history of previous exacerbations in the year before baseline assessment. They also presented higher values of lymphocytes ( $2084 \pm 737 \text{ } 10^3/\text{mm}^3$ ) when compared to cluster 4 ( $P=0.005$ ) (Table 1).

Cluster 3 had a male predominance (69.2%) and we observed higher systemic inflammation by CRP concentration (6.1; 4.1–7.7 mL) compared to other clusters ( $P<0.0001$ ). The evaluation of body composition in cluster 3 presented a higher proportion of depletion ( $22.6 \pm 6.1 \text{ kg/m}^2$ ), with 46.1% of patients with BMI below  $21 \text{ kg/m}^2$ . Absolute peripheral neutrophil cells were higher in cluster 3 ( $6535 \pm 2587 \text{ } 10^3/\text{mm}^3$ )

**Table 1** General Characteristics of the Patients According to Cluster

Cluster					
Variables	1 (n=203)	2(n=49)	3(n=13)	4(n=26)	P-value
Age, years	67.3±9.4	68.0±10.5	67.5±9.7	68.1±10.0	0.95
Male, %	52.7	59.1	69.2	42.3	0.34
BMI, kg/m <sup>2</sup>	25.9±5.6 <sup>a,b</sup>	24.2±5.5 <sup>b</sup>	22.6±6.1 <sup>b</sup>	28.9±6.4 <sup>a</sup>	0.002
SpO <sub>2</sub> rest, %	93.0±2.6 <sup>b</sup>	92.0±3.2 <sup>b</sup>	92.8±2.82 <sup>b</sup>	80.6±5.0 <sup>a</sup>	<0.0001
SaO <sub>2</sub> , mmHg	90.3±7.6	90.4±9.5	86.3±10.8	89.2±5.7	0.38
PaO <sub>2</sub> , mmHg	64.9±22.9	66.6±11.4	57.9±15.3	61.3±12.8	0.06
PaCO <sub>2</sub> , mmHg	41.2±6.9	40.2±7.1	43.7±8.2	42.0±7.1	0.52
Neutrophils, 10 <sup>3</sup> /mm <sup>3</sup>	4649±1658 <sup>a</sup>	5116±1712 <sup>a,b</sup>	6535±2587 <sup>b</sup>	5215±2194 <sup>a,b</sup>	0.002
Eosinophils, 10 <sup>3</sup> /mm <sup>3</sup>	218±103 <sup>b</sup>	959±389 <sup>a</sup>	221±159 <sup>b</sup>	236±140 <sup>b</sup>	<0.0001
Lymphocytes, 10 <sup>3</sup> /mm <sup>3</sup>	1858±638 <sup>a,b</sup>	2084±737 <sup>a</sup>	1707±577 <sup>a,b</sup>	1561±547 <sup>b</sup>	0.008
CRP, mg/dL	0.7 (0.5–1.2) <sup>a,c</sup>	0.6 (0.5–1.2) <sup>a,c</sup>	6.1 (4.1–7.7) <sup>b</sup>	1.4 (0.7–2.0) <sup>a,c</sup>	<0.0001
Previous exacerbation rate, %	33.5	34	30	38.5	0.70
Exacerbation 1 year of follow-up, %	47	55	61	65	0.27
FVC, L	2.36±0.8	2.29±0.8	2.29±0.4	1.89±0.7	0.07
FCV, %	69.7±20.6	66.3±15.5	68.0±15.1	60.2±15.1	0.14
FEV <sub>1</sub> , L	1.25±0.6	1.17±0.5	1.07±0.3	0.98±0.4	0.09
FEV <sub>1</sub> , %	46.9±18.4	43.1±12.9	42.2±16.6	40.0±21.5	0.18
FEV <sub>1</sub> /FCV, L	0.52±0.0	0.51±0.0	0.48±0.1	0.51±0.0	0.43
Pulmonary rehabilitation, %	6.4	4.0	0.0	7.7	0.71
Vaccines	55.6%	67.3%	46.1%	53.8%	0.38
6MWD, m	412.4±118.0 <sup>b,c</sup>	433.3±88.9 <sup>b</sup>	320.6±104.3 <sup>a</sup>	350.1±119.4 <sup>a,c</sup>	0.002
MMRC	1.1±1.1 <sup>a</sup>	1.9±1.1 <sup>a,b</sup>	2.3±0.9 <sup>a,b</sup>	2.4±1.1 <sup>b</sup>	0.033
BDI	6.5±2.6	6.2±2.3	6.2±2.4	5.3±2.2	0.18
CAT	15.5±8.1	16.0±8.5	18.1±4.0	17.2±8.8	0.60
SGRQ, Total%	45.3±19.8	42.9±17.7	50.9±21.4	46.2±18.2	0.60
Packs-year	61.5±40.8	50.4±27.8	66.0±35.7	62.5±38.9	0.29
LTOT,%	22.2	32.6	30.0	76.9	<0.0001
Anxiety scale	6.4±4.3	6.8±5.2	6.8±6.7	5.2±2.8	0.47
Depression scale	5.4±4.6	5.2±5.0	5.2±4.2	2.9±3.0	0.80
Charlson Index	4.3±1.6	4.6±1.7	4.5±1.4	4.1±1.4	0.58
BODE Index	2.4±1.7 <sup>a</sup>	2.5±1.4 <sup>a,b</sup>	3.5±1.8 <sup>a,b</sup>	3.4±1.8 <sup>b</sup>	0.01
SAH, %	57.7	63.0	53.8	65.3	0.79
DM, %	24.8	23.9	7.6	19.2	0.51
DLP, %	29.4	32.6	7.6	11.5	0.07
OSAS, %	2.4	0	0	11.5	0.03

**Notes:** Values expressed as mean±standard deviation or percentage. Reviewed by  $\chi^2$ , ANOVA followed by Tukey's test. Different letters indicate statistical difference with  $P < 0.05$ .

**Abbreviations:** BMI, body mass index; SpO<sub>2</sub>, peripheral oxygen saturation; CRP, C-reactive protein; FVC, forced vital capacity; FEV<sub>1</sub>, forced expiratory volume in the first second; FEV<sub>1</sub>/FCV, ratio between forced expiratory volume in the first second and forced vital capacity; Vaccines, pneumococcal vaccine and influenza vaccine; 6MWD, distance covered in the six-minute walk test in meters; MMRC, Medical Research Council modified Dyspnea Scale; BDI, Baseline Dyspnea Index; CAT, COPD Assessment Test; SGRQ, Saint George Respiratory Questionnaire; LTOT, long-term oxygen therapy; SAH, systemic arterial hypertension; DM, diabetes mellitus; DLP, dyslipidemia OSAS, obstructive sleep apnea syndrome.

when compared to cluster 1 ( $P=0.002$ ). The 6MWT was a lower value (320.6±104.3 m) in cluster 3 when compared to cluster 1 (412.4±118.0 m) and cluster 2 (433.3±88.9 m) ( $P=0.002$ ).

The last cluster was characterized by patients with higher COPD severity (FEV<sub>1</sub>: 40.0±21.5%), with lower SpO<sub>2</sub> values (80.6±5.0%), and the proportion of patients using long-term oxygen therapy was statistically

significant (76.9%;  $P < 0.0001$ ). BMI mean value was higher in this group (28.9±6.4 kg/m<sup>2</sup>) when compared to clusters 2 ( $P=0.005$ ) and 3 ( $P=0.007$ ), and the presence of obstructive sleep apnea and thromboembolism was higher in this group.

No statistical differences were identified between groups according to the prevalence of other different comorbidities, CAT, and HAD scores.

After 1 year of follow-up, we observed that more than 50% of all patients presented one exacerbation in each cluster in 1 year of follow-up. The exacerbation rate was higher in cluster 4, with a 65% proportion of all patients with at least one exacerbation (Figure 3). The hospitalization rate in all patients was 53%, without differences between clusters. The mortality rate was statistically different between groups ( $P=0.035$ ), a higher rate was observed in cluster 4, with 26.9% vs 9.6% in cluster 1 (Figure 4). The main cause of mortality in this group was acute respiratory failure.

## Discussion

In this sample, using the same statistical features to construct clusters and using clinical variables used in previous studies, we identified four different groups of COPD patients. The main finding was related to the cluster with higher values of peripheral eosinophils. It is the first time that patients with higher eosinophil values have been characterized in a single group of COPD patients. This result demonstrates the presence of different characteristics in a portion of Brazilian COPD patients evaluated compared to other cluster studies.<sup>4,11–13,23</sup>

Knowledge about the mechanism of eosinophil in COPD development is still scarce.<sup>35,36</sup> The literature present different patterns of systemic and airway inflammation involving eosinophilic and non-eosinophilic COPD.<sup>35–37</sup> However, the precise definition of the mechanisms associated with eosinophils has not yet been fully

clarified.<sup>37,38,39</sup> This cell is considered a biomarker to guide pharmacological treatment in patients with an increased risk of exacerbation.<sup>36,37</sup> However, in the present study we did not identify association with increased rate of exacerbation in this cluster. On the other hand, the mean values considered in the literature are much lower than the values found in cluster 2. It demonstrates a specific COPD group with a different mechanism associated to present higher values of peripheral eosinophils. In comparison to the literature, most of them excluded COPD patients with >600 peripheral eosinophils.<sup>35,38</sup> When we looked to asthma association, we did not observe a significant prevalence (4.3%) associated in this group. The hypothesis that this group may have other diagnoses, not performed in this study, remains. Some diagnoses, such as intestinal parasitosis, can elevate the eosinophils values, which act on innate immune response against infections, due to their microbicidal function and their ability to act as antigen presenting cells and amplify the Th1 response.<sup>40,41</sup> In Brazil, the number of incidences of intestinal parasitosis related to serum eosinophils is scarce, a study with 66 garbage collectors showed eosinophils >8% was 17% of the sample, and this number was not related to parasitosis.<sup>42</sup>

We observed that this eosinophil group presented the higher mean value of 6MWD with the same lung function parameters to other groups. This can be associated to the lower rate of the previous exacerbation rate. However, after 1 year of follow-up, we observed an increase in the exacerbation rate (Table 1) and an even higher proportion of

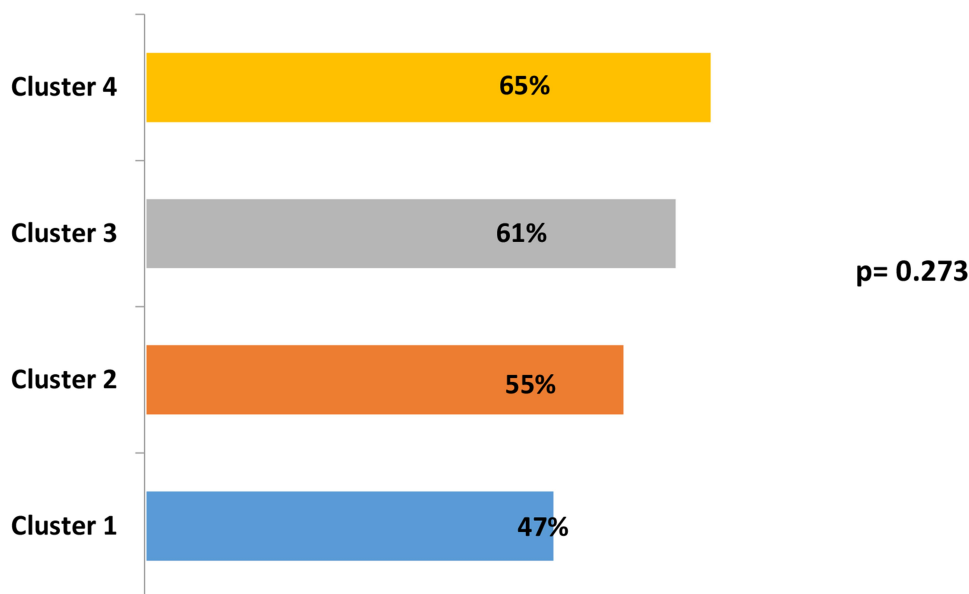
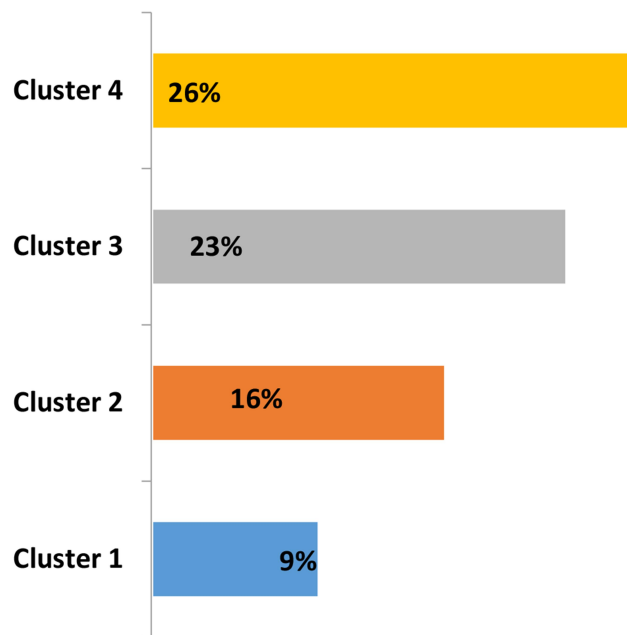


Figure 3 Exacerbation rate. Values expressed as a percentage.



**Figure 4** Mortality rate. Values expressed as a percentage.

patients who had been using inhaled corticosteroids (68.2%). Although there is growing consensus that eosinophilia in COPD is a useful biomarker to evaluate the control of risk of exacerbations<sup>43</sup> and response to inhaled corticosteroids, in the present study we did not observe an effective control in patients with elevated values of eosinophils. These controversial results related to this cluster can be related to a different mechanism associated to the response of the pharmacological treatment, that we cannot explain yet. We can speculate that those patients may respond better with antibody monoclonal treatments, such as anti-IL-5.<sup>43</sup> There is evidence that eosinophils play a role in host adaptive response to viral pathogens and it has been observed that increased sputum eosinophilia during COPD exacerbations is related to viral loads. This may suggest that the mechanisms of eosinophilic inflammation in COPD may be a response to mainly viral infection, recruited by RANTES and without IL-5 involvement.<sup>40,41,43</sup> Further studies need to evaluate the prevalence of this subgroup of COPD patients and the control of exacerbation rates.

The largest cluster in the present study was the cluster of patients that did not have any clinically important parameters to classify into cachexia, chronic respiratory failure, or eosinophilic. They presented lower impact on the BODE index, lower exacerbation, and lower mortality rate. Although these patients do not present differences in smoking, it is observed that they are active smokers because they do not have respiratory symptoms and they

have lung function to lose.<sup>44,45</sup> The literature shows that the evaluation of patients with mild COPD may detect different clusters, such as clusters with higher cigarette consumption and clusters with lower FEV1/FVC value. In this context, we can speculate that we can identify different clusters inside the largest cluster in our study, and the probability of random differences between the patient's characteristics of two centers included in this study. However, the outcomes of this cluster must be different to assess, like decline of lung function and cardiovascular outcomes. This cluster presented the higher proportion of metabolic comorbidities associated to COPD and can be related to higher risk of cardiovascular events.<sup>46,47</sup> In clinical practice, the identification of these patients should always be considered to receive appropriate treatment and better control of the disease.<sup>44,46,47</sup>

In the current study, cluster 3 identified high levels of CRP and neutrophils, with moderate airflow limitation, suggesting the association of the systemic inflammatory process and the systemic manifestation of disease (lower BMI values and lower physical performance).<sup>48,49</sup> The literature is consistent to demonstrate an increased risk of mortality in COPD patients with cachexia. Those patients present a cyclic manifestation of impairment of quality-of-life associated to increased respiratory symptoms, that directly impacts exercise capacity and lower food ingestion. Consequently, those patients suffer with lower BMI that is difficult to control and treat.<sup>48–50</sup>

Finally, cluster 4 presented higher COPD severity, a lower SpO<sub>2</sub> value, higher use of LTOT, higher BMI, and higher prevalence of associated OSAS. The severity of pulmonary obstruction associated with high BMI is related to worse quality-of-life and gas exchange. We also observed the higher proportion of mortality in this cluster associated to chronic respiratory failure. The literature is short with regard to the overlap syndrome, and the influence of different inflammatory markers in those patients is debated.<sup>8,10</sup> Despite the occurrence of overlapping COPD showing evidence of the prevalence of morbidity and mortality, the prognostic factors that result in risk for exacerbations, hospitalizations, and mortality are still not accurate.<sup>51,52</sup> Cell-mediated inflammation can trigger an important factor in the joint systemic inflammation pathways in COPD, OSAS, and cardiovascular diseases. The biological pathway is where neutrophils can influence the development of cardiovascular diseases and correlate with greater adhesion of platelets to subendothelial collagen, the release of leukotrienes, and increased oxidative

stress and inflammatory mediators.<sup>52,53</sup> The overlap syndrome with increased mortality rate can be related to mechanisms of chronic intermittent hypoxia that stimulates inflammatory cytokines and contributes to the increase in neutrophil overload, which can cause or worsen lung involvement in this patient<sup>53–56</sup> and increased risk of acute exacerbation.<sup>57,58</sup>

The present study has limitations that need to be clarified. We cannot affirm that the characteristics of the identified clusters will not change if the sample is bigger or if we modify the clinical inclusion variables in the cluster analysis. Second, we did not evaluate the variation of the clinical characteristics during the follow-up of the study. Third, we cannot affirm the reproducibility of our results in different sample of COPD patients. Therefore, further studies are needed to confirm the results.

## Conclusion

It was possible to identify four clinical different clusters in these COPD populations, that were related to different clinical manifestations, comorbidities, exacerbations, and mortality rate. Furthermore, a specific cluster with higher values of peripheral eosinophils was identified.

## Data Sharing Statement

The data used to support the findings of this study are included within the article. No additional data are available.

## Ethics Approval and Consent to Participate

This study protocol was approved by the Research Ethics Committee of the Clinical Hospital of Botucatu Medical School (approval number: 1399 669 and CAAE: 52515215.2.1001.5411) and approved by the Brazilian Registry of Clinical Trials (REBEC) under the registration RBR-5B6B6S.

Written informed consent was obtained from each patient. If patients were unable to provide consent due to disease severity or other reasons, informed consent was obtained from relatives or a legal guardian.

## Consent to Publish

All authors have read and approved the final manuscript.

## Author Contributions

All authors made substantial contributions to the conception and design, acquisition of data, or analysis and

interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

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