

# Genetic Influences in Breast Cancer Drug Resistance

This article was published in the following Dove Press journal: Breast Cancer: Targets and Therapy

Adhitiya Daniyal<sup>1</sup>
Ivana Santoso<sup>1</sup>
Nadira Hasna Putri Gunawan<sup>1</sup>
Melisa Intan Barliana (p<sup>2,3</sup>
Rizky Abdulah (p<sup>1,2</sup>

<sup>1</sup>Department of Pharmacology and Clinical Pharmacy, Faculty of Pharmacy, Universitas Padjadjaran, Jatinangor, Indonesia; <sup>2</sup>Center of Excellence in Higher Education for Pharmaceutical Care Innovation, Universitas Padjadjaran, Jatinangor, Indonesia; <sup>3</sup>Department of Biological Pharmacy, Faculty of Pharmacy, Universitas Padjadjaran, Jatinangor, Indonesia Abstract: Breast cancer is the most common cancer in adult women aged 20 to 50 years. The therapeutic regimens that are commonly recommended to treat breast cancer are human epidermal growth factor receptor 2 (HER2) targeted therapy, endocrine therapy, and systemic chemotherapy. The selection of pharmacotherapy is based on the characteristics of the tumor and its hormone receptor status, specifically, the presence of HER2, progesterone receptors, and estrogen receptors. Breast cancer pharmacotherapy often gives different results in various populations, which may cause therapeutic failure. Different types of congenital drug resistance in individuals can cause this. Genetic polymorphism is a factor in the occurrence of congenital drug resistance. This review explores the relationship between genetic polymorphisms and resistance to breast cancer therapy. It considers studies published from 2010 to 2020 concerning the relationship of genetic polymorphisms and breast cancer therapy. Several gene polymorphisms are found to be related to longer overall survival, worse relapse-free survival, higher pathological complete response, and increased disease-free survival in breast cancer patients. The presence of these gene polymorphisms can be considered in the treatment of breast cancer in order to shape personalized therapy to yield better results.

**Keywords:** breast cancer, genetic polymorphisms, resistance therapy

## Introduction

Breast cancer is the most widespread cancer in women aged 20–50 years. Annually, approximately 2.1 million women are suffering from this disease, including those who have new diagnoses and received treatment. In 2018, a study estimated that 11.6% of cancer patients were classified as having breast cancer, with a mortality rate of 6.6% of all cancer-related deaths. Breast cancer has the highest rate of new cases among 154 countries and is the leading cause of mortality for 103 countries. It can be estimated that the incidence of breast cancer will increase by 26.1% by 2030, based on incident cases of the disease in 2018.

Several medications are widely available for treating breast cancer. Characteristics of the tumor and its hormone receptor (HR) status, such as estrogen receptor (ER), progesterone receptor, and human epidermal growth factor receptor 2 (HER2) in the tumor, determine the recommendations for more specific treatment choices, such as systemic chemotherapy, endocrine therapy, or HER2-targeted therapy, to yield a better disease prognosis.<sup>4</sup> According to the Clinical Practice Guidelines of Breast Cancer of the National Comprehensive Cancer Network, anthracycline and cyclophosphamide are usually chosen for a recommended chemotherapy regimen. The HER2-targeting

Correspondence: Melisa Intan Barliana Department of Biological Pharmacy, Faculty of Pharmacy, Universitas Padjadjaran, Jl. Raya Bandung Sumedang KM. 21, Jatinangor, 45363, Indonesia Tel +62284288812 Fax +62-22-84288896

Email melisa.barliana@unpad.ac.id

drug trastuzumab suppresses the mitogen activated protein kinase (MAPK) and phosphatidylinositol 3-kinase/protein kinase B (PI3K/AKT) pathways in cell cycle arrest<sup>5</sup> and is also considered as an addition to the main chemotherapy regimens<sup>6</sup> such as taxane,<sup>7–9</sup> and thus increases early-stage breast cancer patient survival rate. Tamoxifen is usually given as monotherapy for early-stage breast cancer<sup>10–12</sup> or as a replacement for an aromatase inhibitor (AI) regimen after 2 to 3 years.<sup>13,14</sup> The use of AIs such as anastrozole, letrozole, and exemestane demonstrated better efficacy in lowering the risk of recurrence of breast cancer when compared with tamoxifen in postmenopausal women with HER2-positive breast cancer.<sup>15–17</sup>

Despite improvements in disease prognosis and the overall benefits of using chemotherapy and adjuvants, therapy in breast cancer often produces different results in selected populations. Such differences are a result of innate resistance to some of the drugs employed. 18 Drug resistance is a major source of cancer therapy failure. 19 The drug response differs from person to person mainly because of mutations in DNA that can alter drug efficacy. 20 Resistance may be explained by different mechanisms, such as alteration of drug pharmacokinetics, 21,22 amplification or reduction in cell signaling, 23 changes in pharmacodynamicrelated receptor numbers,<sup>24</sup> and so on. It is highly relevant to explore further gene polymorphisms that may affect therapy responses in breast cancer, in order to identify drug resistance and provide information that enables development of personalized medicine.

## **Methodology**

For this review, the PubMed database was searched for relevant literature. The search terms were "polymorphism breast cancer therapy" with added filters specific to articles that were published during the 10 years from 2010 to 2020. The search was made in May 2020, and scrutiny of eligible articles was conducted manually by excluding non-English studies, reviews, and unrelated studies, such as those not discussing breast cancer pharmacotherapy outcome and genetic polymorphisms. A flowchart for the literature search procedure is presented in Figure 1.

From the 210 articles identified in May 2020, this review evaluates the results of 36 studies 18,25-60 that particularly focused on the pharmacogenetic influences in breast cancer drug resistance (Table 1). The data that discussed in this review article was extracted from each identified study. These studies reported an association with breast cancer drug resistance for several genes, including

210 articles identified through PubMed database search



#### Excluded:

Not related with genetic polymorphism and therapy response for breast cancer (169) Ongoing research (2) Article unavailable (2)



(37) articles included

Figure I Flowchart representing the literature search process.

ABCB1, BARD1, BRCA1, BRCA2, CD24, CYBA, CYP19A1, CYP2C19, CYP2C9, CYP2D6, CYP3A4, FCGR2A, FCGR2B, FCGR3A, FGFR4, GSTM1, GSTP1, GSTT1, HER2, HER3, IL12B, KDR, MDM2, MEG3, SLC, TGFBR2, TP53, UGT1A8, UGT2B15, and UGT2B7.

Clinical endpoints included in this article are BCSS, breast-cancer-specific survival; BCFI, breast-cancer-free interval; DFS, disease-free survival; DSS, disease-specific survival CR, complete response; EFS, event-free survival; OR, overall response; ORR, overall response rate; OS, overall survival; pCR, progression complete response; PFS, progression-free survival; PR, partial response; RFS, recurrence-free survival; SD, stable disease; TTF, time-to-treatment failure; and TTP, time to progression.

## **ABCBI**

ATP-binding cassette (ABC) is a transporter for various types of drug molecules, and among them are drugs for chemotherapy. By expending energy, this gene transporter helps drug molecules to pass through biological membranes. The subfamily of *ABC* is classified as *ABCB*, *ABCG*, *ABCD*, *ABCF*, *ABCCI*, and *ABCCII*. Polymorphism in the transporter gene can contribute to multidrug resistance because it may be responsible for changes that induce differences in therapy for different individuals.

 Table I Association Between Genetic Polymorphism and Therapy Response

| Genes        | Тһегару                                                                                                 | Study Population                      | Ethnic                                                                                                                                                        | Clinical<br>Setting | Method Used for<br>Genotyping                                                                                       | Results                                                                                             | References                         |
|--------------|---------------------------------------------------------------------------------------------------------|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|------------------------------------|
| ABCBI        |                                                                                                         |                                       |                                                                                                                                                               |                     |                                                                                                                     |                                                                                                     |                                    |
| rs2032582 GT | ÆC                                                                                                      | 991 breast cancer<br>patients         | 1                                                                                                                                                             | Belgium             | Sequenom MassARRAY                                                                                                  | Had a significant association with better BCSS HR 0.5, 95% CI 0.3–0.9, $p=0.02\mathrm{l}$           | Vulsteke<br>et al, 2014.           |
| 3435 C>T     | Anthracycline-based,<br>Anthracycline +<br>Paclitaxel, FAC, FEC                                         | 770 patients (9 studies)              | German (Caucasian), Indonesian<br>(Asian), Korean (Asian), Indian,<br>Slovak (Caucasian), Chinese<br>(Asian), Chinos (Asian), Indiann,<br>Spanish (Caucasian) |                     | PCR-SEQ, PCR-RFLP,<br>TAQMAN                                                                                        | Had no significant association with response to chemotherapy Dominant OR 0.888, 95% CI 0.558–1.413  | Madrid-<br>Paredes<br>et al, 2017  |
| 3435 C>T     | FEC/FAC                                                                                                 | 100 patients                          |                                                                                                                                                               | India               | PCR-RFLP                                                                                                            | Had no significant association with better treatment response $p=0.110$                             | Chaturvedi<br>et al, 2013.         |
| 1236 C>T     | Anthracycline-based,<br>FAC, FEC                                                                        | 566 patients (6 studies)              | Chinese (Asian), Chinos (Asian),<br>Arabic, Indian, Spanish (Caucasian)                                                                                       |                     | PCR-REP, TAQMAN                                                                                                     | No significant association with response to chemotherapy Dominant OR: 1.968, 95% CI 0.609–6.362     | Madrid-<br>Paredes<br>et al, 2017. |
| 1236 C>T     | FEC/FAC                                                                                                 | 100 patients                          |                                                                                                                                                               | India               | PCR-RFLP                                                                                                            | Better treatment response compared with TTOR: 5.17 95% CI 1.3–20.2, $p=0.018$                       | Chaturvedi<br>et al, 2013.         |
| 2677 G>T/A   | Anthracycline-based,<br>Anthracycline<br>+Paclitaxel                                                    | 367 patients (3 studies)              | Korean (Asian), Chinos (Asian),<br>Indian                                                                                                                     |                     | PCR-SEQ, PCR-RFLP                                                                                                   | Had no significant association with response to chemotherapy OR 0.854. 95% CI 0.418–1.744           | Madrid-<br>Paredes<br>et al, 2017. |
| 2677 G>T/A   | FEC/FAC                                                                                                 | 100 patients                          |                                                                                                                                                               | India               | PCR-RFLP                                                                                                            | Had no significant association with better treatment response $p=0.42I$                             | Chaturvedi<br>et al, 2013.         |
| rs I 045642  | Cyclophosphamide,<br>doxorubicin, TA/TAC,<br>FAC, Gemcitabine,<br>paclitaxel, Docetaxel,<br>doxorubicin | 684 patients (4 studies)              |                                                                                                                                                               | UK, China,<br>Korea | Genes were extracted<br>from 555,117 genotyped<br>SNPs in the Affymetrix<br>Genome-Wide Human<br>SNP array 6.0 chip | Had a significant association with worse<br>progression-free survival HR 1.33, 95% CI 1.07–<br>1.64 | Kim et al,<br>2018                 |
| BARDI        |                                                                                                         |                                       |                                                                                                                                                               |                     |                                                                                                                     |                                                                                                     |                                    |
| rs2070096    | тсн                                                                                                     | 157 primary breast<br>cancer patients |                                                                                                                                                               | Ireland             | Mass Spectrometry based<br>Genotyping                                                                               | Had a significant association with worse RFS $\mbox{\bf p}=0.05$                                    | Coté et al,<br>2018                |
| rs2229571    | тсн                                                                                                     | 157 primary breast<br>cancer patients |                                                                                                                                                               | Ireland             | Mass Spectrometry based<br>Genotyping                                                                               | Had no significant association with OS and RFS Carboplatin p = 0.04, cisplatin p = 0.02             | Coté et al,<br>2018                |
|              |                                                                                                         |                                       |                                                                                                                                                               | •                   |                                                                                                                     |                                                                                                     |                                    |

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 Table I (Continued).

| Genes             | Therapy             | Study Population              | Ethnic                                   | Clinical<br>Setting                                       | Method Used for<br>Genotyping          | Results                                                                                                                | References               |
|-------------------|---------------------|-------------------------------|------------------------------------------|-----------------------------------------------------------|----------------------------------------|------------------------------------------------------------------------------------------------------------------------|--------------------------|
| BRCAI & BRCA2     | 2                   |                               |                                          |                                                           |                                        |                                                                                                                        |                          |
| 90 SNPs           | Carboplatin         | 291 patients                  |                                          |                                                           | SNP Type Assay                         | Had no significant association in overall pCR and DFS OR 3.50, 95% Cl, 1.39–8.84, p = 0.008                            | Hahnen<br>et al, 2017.   |
| CD24              |                     |                               |                                          |                                                           |                                        |                                                                                                                        |                          |
| Ala/Val genotype  | Anthracycline       | 257 patients                  |                                          | Germany                                                   | TaqMan                                 | Had a significant association with better pCR OR 4.97, 95% CI 1.72–14.33, P = 0.003                                    | Marmé et al,<br>2010.    |
|                   | Taxane              | 257 Patients                  |                                          | Germany                                                   | TaqMan                                 | Had a significant association with better pCR OR 4.97, 95% CI 1.72–14.33, P = 0.003                                    | Marmé et al,<br>2010.    |
| СУВА              |                     |                               |                                          |                                                           |                                        |                                                                                                                        |                          |
| rs4673 CT         | ÆС                  | 991 breast cancer<br>patients |                                          | Belgium                                                   | Sequenom MassARRAY                     | Had a significant association with worse RFI HR<br>1.8, 95% CI 1.2–2.7; p = 0.006                                      | Vulsteke<br>et al, 2014. |
| CYP19A1           |                     |                               |                                          |                                                           |                                        |                                                                                                                        |                          |
| rs4646            | Aromatase Inhibitor | 2646 patients (12 studies)    | Caucasian, Asian, Black, Others          | Netherlands,<br>China, Italy,<br>USA, UK,<br>Korea, Spain |                                        | Had a significant association with increased TTP compared with wild type gene HR = 0.51, 95% CI = 0.33–0.78, p = 0.002 | Artigalás<br>et al, 2015 |
| CYP2C19           |                     |                               |                                          |                                                           |                                        |                                                                                                                        |                          |
| CYP2C19*2         | Tamoxifen           | 494 patients                  |                                          | Netherlands                                               | Taqman Allelic<br>Discrimination Assay | Had a significant association with better TTF HR 0.26, $p=0.001$                                                       | Beelen et al,<br>2013.   |
| CYP2C19*2 and *17 | Tamoxifen           | 787 patients (6 studies)      | Netherland, USA, Germany,<br>Switzerland | Caucasians                                                |                                        | Had a significant association with better survival of disease OR 0.46 95% CI 0.21–1.01, $p=0.233$                      | Bai et al,<br>2014       |
| CYP2C9            |                     |                               |                                          |                                                           |                                        |                                                                                                                        |                          |
| rs1057910         | ÆС                  | 991 breast cancer<br>patients |                                          | Belgium                                                   | Sequenom MassARRAY                     | Had a significant association with worse RFI HR<br>30.4, 95% CI 6.1–151.5, p<0.001                                     | Vulsteke<br>et al, 2014. |
| CYP2D6            |                     |                               |                                          |                                                           |                                        |                                                                                                                        |                          |

| CYP2D6*4                                                                                     | Tamoxífen   | 4861 postmenopausal<br>women with hormone<br>receptor and breast<br>cancer without<br>previous therapy |                      | Worldwide                | PCR-based GenomeLab<br>SNPstream Geontyping<br>System & 7900HT Fast<br>Real-Time PCR System | No significant association with BCFl p = 0.35                                                                                                                                                         | Regan et al,<br>2012.               |
|----------------------------------------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------------|----------------------|--------------------------|---------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
| 5 SNPs                                                                                       | Tamoxifen   | 731 patients                                                                                           | Dutch                |                          | TAQMAN, *3 with<br>pyrosequencing                                                           | No significant association in DFS compared with extensive metabolizers Unadjusted HR 1.33, 95% CI 0.52–3.43, p = 0.55                                                                                 | Dezentjé<br>et al, 2013.            |
| 12 SNPs                                                                                      | Tamoxifen   | 297 patients                                                                                           | Caucasian,           | Belgium &<br>Switzerland | Sequenom MassARRAY                                                                          | No significant association with endoxifen concentration and ORR and PFS $p=0.56$                                                                                                                      | Neven et al,<br>2018.               |
| CYP2D6*10                                                                                    | Tamoxifen   | 667 patients                                                                                           |                      | Belgium &<br>Netherlands | Amplichip CYP450 Test                                                                       | No significant association with endoxifen concentration and RFS HR 0.989, 95% CI 0.945–1.035, p = 0.627                                                                                               | Sanchez-<br>Spitman<br>et al, 2019. |
| Poor Metabolizers<br>(two inactive<br>alleles: *3.*8,<br>*11.*16, *19.*21,<br>*38, *40, *42) | Endoxífen   | 13,001 patients (29 studies)                                                                           | Y Y                  | NA                       | TAQMAN, Tag-It, Amplichip, SNaPshot, BioTools Taq, BeadChip SNP, 9700 Thermal Cycler        | Had a significant association with lower endoxifen concentration and/or clinical outcomes compared with extensive metabolizers Mean ± s.d endoxifen concentration 88±,7.2 versus 22.3±,11.8, p < 0.05 | Hwang,<br>et al, 2018.              |
| CYP2D6*1,*10,<br>*17,*41,*4, dan<br>*5                                                       | Tamoxífen   | 5183 patients (10 studies)                                                                             | Asian and Caucasians |                          | PCR-based method,<br>TAQMAN, PCR-RFLP                                                       | Had a significant association with increased risk of disease recurrence. HRs (95% Cls) were 1.44 (1.15–1.80) in the fixed effect model and 1.60 (1.04–2.47) in the random effect model                | Jung, et al,<br>2014                |
| CYP3A4                                                                                       |             |                                                                                                        |                      |                          |                                                                                             |                                                                                                                                                                                                       |                                     |
| *I <i>B*/</i> *IA                                                                            | CAF         | 350 patients                                                                                           | White, Black, Other  |                          | PyroSequencing &<br>TAQMAN Real-Time PCR                                                    | Had a significant association with worse DFS compared with *IA*IA' HR 2.44, 95% CI 1.52–5.14                                                                                                          | Gor, et al,<br>2010.                |
| FCGR                                                                                         |             |                                                                                                        |                      |                          |                                                                                             |                                                                                                                                                                                                       |                                     |
| FCGR2A 131H/<br>H                                                                            | Trastuzumab | 76 patients                                                                                            |                      |                          | Goldgate Genotyping                                                                         | Had a significant association with better PFS compared with 131R/R $p=0.034$                                                                                                                          | Tamura,<br>et al, 2011              |
| FCGR2A 131H/<br>H                                                                            | Trastuzumab | I189 patient with HER2-positive, invasive, high-risk, node-negative or node-positive adenocarcinoma    |                      | Worldwide                | Sanger sequencing and<br>Sequenom mass<br>spectrometry                                      | No significant association with DFS compared with 131R/R p = 0.76                                                                                                                                     | Hurvitz<br>et al, 2012              |

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Table I (Continued).

| Genes             | Therapy                   | Study Population                                                                                    | Ethnic | Clinical<br>Setting | Method Used for<br>Genotyping                    | Results                                                                                                               | References              |
|-------------------|---------------------------|-----------------------------------------------------------------------------------------------------|--------|---------------------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------|
| FCGR2A 131H/<br>H | Trastuzumab               | 1325 patients                                                                                       |        |                     | TaqMan Real-Time PCR                             | No significant association with DFS compared with 131R/R $p=0.64$                                                     | Norton<br>et al, 2014   |
| FCGR2A 131H/<br>H | Trastuzumab               | 1251 patients                                                                                       |        | United States       | iPLEX Pro Chemistry &<br>Mass Spectrometry       | Had a significant association with better DFS compared with 131R/R HR 0.31, 95% CI 0.19-0.49, P < 0.001               | Gavin et al,<br>2017.   |
| FCGR2A 131H/<br>H | Trastuzumab               | 132 patients                                                                                        |        |                     | Fluorogenic PCR with<br>GeneAmp                  | Had a significant association with better EFS compared with 131R/R $p=0.027$                                          | Roca et al,<br>2013.    |
| FCGR2B 232I/I     | Trastuzumab               | 1325 patients                                                                                       |        |                     | TaqMan Real-Time PCR                             | Had a significant association with better DFS compared with 232T/T $p=0.03$                                           | Norton<br>et al, 2014   |
| FCGR3A 158V/V     | Trastuzumab               | 76 patients                                                                                         |        |                     | Goldgate Genotyping                              | No significant association with PFS compared with ISBF/V and ISBF/F, but showed overall higher response rate p = 0.37 | Tamura,<br>et al, 2011. |
| FCGR3A I58V/V     | Trastuzumab               | 1189 patient with HER2-positive, invasive, high-risk, node-negative or node-positive adenocarcinoma |        | Worldwide           | Sanger sequencing and Sequenom mass spectrometry | No significant association with DFS compared with 158F/F $p=0.98$                                                     | Hurvitz<br>et al, 2012  |
| FCGR3A I58V/V     | Trastuzumab               | 1325 patients                                                                                       |        |                     | TaqMan Real-Time PCR                             | No significant association with DFS compared with 158F/F p = $0.77$                                                   | Norton<br>et al, 2014   |
| FCGR3A 158V/V     | Trastuzumab (ACT<br>Arm)  | 1251 patients                                                                                       |        | United States       | iPLEX Pro Chemistry & Mass Spectrometry          | Had a significant association with worse prognosis compared with 158 F/F HR 1.57, 95% CI 1.15—2.14, p = 0.005         | Gavin et al,<br>2017.   |
| FCGR3A 158V/V     | Trastuzumab (ACTH<br>Arm) | 1251 patients                                                                                       |        | United States       | iPLEX Pro Chemistry &<br>Mass Spectrometry       | Had a significant association with better prognosis compared with 158 F/F HR 0.68, 95% CI 0.48–0.96, p = 0.03         | Gavin et al,<br>2017.   |
| FCGR3A I58V/V     | Trastuzumab               | 132 patients                                                                                        |        |                     | Fluorogenic PCR with<br>GeneAmp                  | No significant association with EFS compared with 158F/F $_{\rm P} = 0.08$                                            | Roca et al,<br>2013.    |
| FGFR4             |                           |                                                                                                     |        |                     |                                                  |                                                                                                                       |                         |
| ar <b>g388</b>    | AC-Doc                    | 257 patients diagnosed<br>with T2-4, N0-2, M0<br>breast cancer                                      |        | Germany             | TaqMan Genotyping Assay                          | Had a significant association with better pCR rate OR 3.79, $p=0.03$                                                  | Marmé et al,<br>2010.   |
|                   |                           |                                                                                                     |        |                     |                                                  |                                                                                                                       |                         |

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| arg388                        | AP-Doc                                | 257 patients diagnosed with T2-4, N0-2, M0 breast cancer |                           | Germany                                                  | TaqMan Genotyping Assay                  | No significant association with pCR rate OR 3.18, p = 0.018                                                         | Marmé et al,<br>2010.    |
|-------------------------------|---------------------------------------|----------------------------------------------------------|---------------------------|----------------------------------------------------------|------------------------------------------|---------------------------------------------------------------------------------------------------------------------|--------------------------|
| GSTPI & GSTTI                 |                                       |                                                          |                           |                                                          |                                          |                                                                                                                     |                          |
| GSTP1<br>c.313A>G             | Doxorubicin                           | 159 patients                                             |                           | Spain                                                    | TaqMan                                   | Had an association with lower chemoresistance risk OR 0.106, C195% 0.012–0.898, p = 0.040                           | Romero<br>et al, 2012.   |
| GSTP1<br>c.313A>G             | Docetaxel                             | 159 patients                                             |                           | Spain                                                    | TaqMan                                   | No significant association with chemoresistance risk $p=0.016$                                                      | Romero<br>et al, 2012.   |
| GSTP1 105Val/<br>Val genotype | CTX                                   | 120 patients                                             |                           |                                                          | PCR-RFLP                                 | Had a significant association with worse DFS PR = 0.35, 95% CI = 0.13–0.78, p = 0.006                               | Zhang et al,<br>2011.    |
| GSTP1 GG<br>genotype          | Cyclophosphamide                      | 1332 patients                                            |                           | North<br>America                                         | MALDI-TOF                                | No significant association with treatment outcome p = 0.83                                                          | Yao et al,<br>2010.      |
| GSTT! null<br>genotype        | CAF                                   | 350 patients                                             | White, Black, Other       |                                                          | PyroSequencing &<br>TAQMAN Real-Time PCR | Had a significant association with better DFS and OS compared with other variant Adjusted DFS HR 1.95 $\rm p=0.053$ | Gor, et al,<br>2010.     |
| GSTM null<br>genotype         | Anthracycline based<br>chemotherapy   | 1468 patients (11 studies)                               | Asians, Caucasians, Mixed | Tunisia,<br>China, Brasil,<br>USA, Spain,<br>Iran, India | PCR-RE.P                                 | Had a significant association with worse responsiveness to chemotherapy OR 0.74, CI 0.60–0.92, p = 0.006            | Kong et al,<br>2016      |
| HER2                          |                                       |                                                          |                           |                                                          |                                          |                                                                                                                     |                          |
| -3444C>T                      | Trastuzumab-<br>Lapatinib-Bevacizumab | 94 metastatic breast cancer patients                     |                           | United States                                            | Sanger Sequencing                        | No significant association with SD≥6 months/PR/<br>CR rate and TTF p = 0.038                                        | Falchook<br>et al, 2015. |
| -1985G>T                      | Trastuzumab-<br>Lapatinib-Bevacizumab | 94 metastatic breast<br>cancer patients                  |                           | United States                                            | Sanger Sequencing                        | No significant association with SD≥6 months/PR/<br>CR rate and TTF p = 0.038                                        | Falchook<br>et al, 2015. |
| 1655A A>G                     | Trastuzumab-<br>Lapatinib-Bevacizumab | 94 metastatic breast<br>cancer patients                  |                           | United States                                            | Sanger Sequencing                        | No significant association with SD≥6 months/PR/<br>CR rate and TTF p = 0.038                                        | Falchook<br>et al, 2015. |
| P1170A C>G                    | Trastuzumab-<br>Lapatinib-Bevacizumab | 94 metastatic breast<br>cancer patients                  |                           | United States                                            | Sanger Sequencing                        | No significant association with SD≥6 months/PR/<br>CR rate and TTF p = 0.038                                        | Falchook<br>et al, 2015. |
| rs1810132 (STR<br>C>T)        | Trastuzumab-<br>Lapatinib-Bevacizumab | 94 metastatic breast cancer patients                     |                           | United States                                            | Sanger Sequencing                        | No significant association with SD≥6 months/PR/<br>CR rate and TTF p = 0.038                                        | Falchook<br>et al, 2015. |
| HER3                          |                                       |                                                          |                           |                                                          |                                          |                                                                                                                     |                          |

Table I (Continued).

| Genes                                | Therapy                     | Study Population                                   | Ethnic     | Clinical<br>Setting | Method Used for<br>Genotyping         | Results                                                                                              | References                 |
|--------------------------------------|-----------------------------|----------------------------------------------------|------------|---------------------|---------------------------------------|------------------------------------------------------------------------------------------------------|----------------------------|
| rs2229046                            | тсн                         | 157 primary breast<br>cancer patients              | Caucasian  | Ireland             | Mass spectrometry-based<br>genotyping | Had a significant association with worse RFS p = 1.51×10 <sup>-3</sup>                               | Coté et al,<br>2018        |
| rs77123                              | тсн                         | 157 primary breast<br>cancer patients              | Caucasian  | Ireland             | Mass spectrometry-based<br>genotyping | Had a significant association with worse RFS p = 0.05                                                | Coté et al,<br>2018        |
| KDR/VEGFR2                           |                             |                                                    |            |                     |                                       |                                                                                                      |                            |
| rs2071559 (A>G)                      | Bevacizumab                 | 113 HER2 positive patients                         | Caucasian  | Italia              | TaqMan                                | No significant association with PFS p=0.03                                                           | Allegrini<br>et al, 2014.  |
| rs2071559                            | Capecitabine                | 70 TN breast cancer<br>patient                     | Caucasian  | Rusia               | TaqMan                                | Had a significant association with better pCR rate p = 0.016                                         | Babyshkina<br>et al, 2018. |
| rs11133360<br>(T>C)                  | Bevacizumab                 | 113 HER2 positive<br>patients                      | Caucasian  | Italia              | TaqMan                                | Had a significant association with worse PFS and<br>OS for patients carrying SNP IL-8 rs4073 p=0.73  | Allegrini<br>et al, 2014.  |
| IL12B                                |                             |                                                    |            |                     |                                       |                                                                                                      |                            |
| rs2546892 (G><br>A)                  | Chemotherapy                | 499 patients                                       | Caucasians | Eropa               | icogs                                 | Had a significant association with worse OS HR<br>1.50 95% CI 1.21–1.86, p = 1.81 × 10 <sup>-4</sup> | Lei et al,<br>2015         |
| rs2853694 (A ><br>C)                 | Chemotherapy                | 499 patients                                       | Caucasians | Eropa               | icogs                                 | Had a significant association with better OS HR 0.73 95% CI 0.61–0.87, p = 3.67 × 10 <sup>-4</sup>   | Lei et al,<br>2015         |
| MDM2                                 |                             |                                                    |            |                     |                                       |                                                                                                      |                            |
| rs2279744 (309<br>T>G)               | Paclitaxel & Epirubicin     | 223 patients with primary stage III breast cancers | Caucasian  | Norwegian           | PCR                                   | No significant association with RFS p= 0.012                                                         | Chrisanthar<br>et al, 2011 |
| MEG3                                 |                             |                                                    |            |                     |                                       |                                                                                                      |                            |
| rs10132552 TT<br>genotype            | Cisplatin                   | 144 patients                                       |            |                     | Mass Array                            | Had a significant association with worse DFS HR = 0.257, 95% CI 0.069-0.951, p = 0.042               | Bayarmaa<br>et al, 2019.   |
| SLC                                  |                             |                                                    |            |                     |                                       |                                                                                                      |                            |
| rs7867504 (CC<br>and CT<br>genotype) | Paclitaxel &<br>Gemcitabine | 324 MBC Patients                                   | Asian      | Korea               | MassArray                             | Had a significant association with better OS HR<br>2.6, 95% CI 1.1–6.3, p = 0.027                    | Lee et al,<br>2014         |

| rs747199 and<br>rs760370 (GA<br>haplotype) | Paclitaxel &<br>Gemcitabine | 324 MBC paients                                    | Asian      | Korea      | MassArray          | Had a significant association with better OS p = 0.030, HR 3.391, 95% CI 1.13–10.19                       | Lee et al,<br>2014         |
|--------------------------------------------|-----------------------------|----------------------------------------------------|------------|------------|--------------------|-----------------------------------------------------------------------------------------------------------|----------------------------|
| rs4149056                                  | Aromatase Inhibitors        | 503 patients                                       |            | sn         | PCR                | Had a significant association with worse outcome<br>OR 1.84; 95% CI 1.08–2.14; p = 0.025)                 | Dempsey<br>et al, 2019.    |
| rs 1 084 1 75 3                            | Aromatase Inhibitors        | 503 patients                                       |            | SN         | PCR                | Had a significant association with lower risk of detectable estrone OR: 0.61, 95% CI 0.41–0.90, p = 0.013 | Dempsey<br>et al, 2019.    |
| TGFBR2                                     |                             |                                                    |            |            |                    |                                                                                                           |                            |
| rs1367610 (G ><br>C)                       | Chemotherapy                | 499 patients                                       | Caucasians | Eropa      | icogs              | Had a significant association with worse OS 95% CI 1.22–1.95, p = 3.08 × 10 <sup>-4</sup>                 | Lei et al,<br>2015         |
| TP53                                       |                             |                                                    |            |            |                    |                                                                                                           |                            |
|                                            | Paclitaxel & Epirubicin     | 223 patients with primary stage III breast cancers | Caucasian  | Norwegian  | PCR                | Had a significant association with worse RFS and DSS p= 0.007                                             | Chrisanthar<br>et al, 2011 |
| UGT                                        |                             |                                                    |            |            |                    |                                                                                                           |                            |
| UGT2B15*2                                  | Tamoxifen                   | 9799 postmenopause<br>early stage breat cancer     | Caucasian  | Netherland | Taqman             | May be associated with worse DFS 95% CI 0.25–0.89; p = 0.015)                                             | Dezentjé<br>et al, 2013.   |
| UGT2B15*2                                  | Tamoxifen                   | 541 breast cancer<br>recurrent cases               | Caucasian  | Denmark    | Taqman Kit         | Had no significant association with OR OR 1.0, 95% CI 0.70-1.5                                            | Ahern et al,<br>2011       |
| UGT2B7*2                                   | Tamoxifen                   | 541 breast cancer<br>recurrent cases               | Caucasian  | Denmark    | Taqman Kit         | Had no significant association with OR OR 0.96, 95% CI 0.65–1.4                                           | Ahern et al,<br>2011       |
| UGT2B7<br>rs3924194                        | FC                          | 991 breast cancer<br>patients                      | Caucasian  | Belgium    | Sequenom MassARRAY | Had an association with worse RFI HR 3.4, 95% CI I.2–9.7, $p=0.023$ .                                     | Vulsteke<br>et al, 2014.   |
| UGTIA8*3                                   | Tamoxifen                   | 541 breast cancer<br>recurrent cases               | Caucasian  | Denmark    | Taqman Kit         | Had no significant association with OR OR = 0.95, 95% CI, 0.49–1.9                                        | Ahern et al,<br>2011       |

Abbreviations: ACT, doxorubicin-cyclophosphamide-paclitaxel; AC-Doc, doxorubicin-cyclophosphamide-docetaxel; AP-Doc, doxorubicin-permetrexed-docetaxel; BCSs, breast cancer specific survival; BCF, disease specific survival; CR, complete response; EFS, event free survival; EC, fluorouracil; CTX, anthracycline-cyclophosphamide; DFS, disease-free survival; DFS, disease-free survival; CR, complete response; EFS, event free survival; EC, fluorouracil-epirubicin-cyclophosphamide; OR, overall response; ORR, overall response rate; OS, overall survival; DCR, progression complete response; PFS, progression-free survival; PR, partial response; RFS, recurrence-free survival; SD, stable disease; TCH, docetaxel, cisplatin, trastuzumab; TTF, time-to-treatment failure; TTP, time to progression.

The ABCB1 gene is located at chromosome 7 and expresses a 45-kB mRNA.<sup>62</sup> It encodes an active transporter of drugs involved in secreting cytotoxic agents from cells.<sup>25</sup> A study conducted by Vulsteke et al<sup>25</sup> suggests that the ABCB1 GT genotype gene polymorphism gave better therapeutic effects in patients with early breast cancer treated with 5-fluorouracil (FU), eirenicon, and cyclophosphamide (FEC), compared with patients who had the ABCB1 GG/GA genotype. Another study reported different results, such that polymorphisms in the ABCB1 2677 GG genotype demonstrated resistance to paclitaxel and anthracycline treatment. It is possible that in metastatic breast cancer treatment this gene polymorphism contributes to cross-resistance between paclitaxel and anthracycline. In addition, cases of resistance were found for the ABCB1 3435 CT genotype, which resulted in shorter overall survival (OS) and lower disease control rate when using anthracycline treatment. 63 Many studies had shown that the ABCB1 3435 C>T polymorphism is associated with anthracycline resistance, such as in a Chinese study where patients with the CT genotype were associated with poor prognosis<sup>64</sup> and patients with the TT genotype were associated with worse clinical response.<sup>65</sup>

In studies by Zhang et al<sup>60</sup> and Ji et al<sup>64</sup> polymorphism at ABCB1 1236 C>T showed association with poor response to anthracycline regiment chemotherapy, which is about dose delay in patients. In response evaluation, 1236C > T polymorphism was significantly associated with treatment response for CT genotype [OR = 5.17 (1.3-20.2), P = 0.018] and in dominant model (CC vs CT + TT) [OR = 4.63 (1.25–17.0), P = 0.021] and the T allele of 1236C>T was found to be associated with grade 2–4 toxicity [OR 1.48 (1.00–2.20), P = 0.049]. This may due to the variant allele in ABCB1 gene may lead to P-gp lower expression and resulted accumulation of drugs inside the cell, thus altering the distribution profile of the chemotherapeutic drugs inside cells. Therefore, ABCB1 polymorphisms do exert significant effects on breast cancer chemotherapy responses. 44 The meta-analysis results conducted by Kim et al<sup>45</sup> polymorphism ABCB1 in rs1045642 (C>T) was associated with poor progressionfree survival (PFS), especially in Asian patients (Hazard Ratio (HR) = 1.56, 95%, Confidence Interval (CI): 1.07-2.27). The association of rs1045642 with PFS was significant in observational studies (HR = 1.28, 95% CI: 1.05-1.56); however, this association was not significant in clinical trials (HR = 1.47, 95% CI: 0.96-2.27).

## BARDI

Several genes may encode proteins that can interact with breast cancer gene-1 (BRCA1) and breast cancer gene-2 (BRCA2), thus inducing DNA and tumor suppressor damage. One such gene is BRCA1-associated RING domain 1 (BARD1) gene. 66 The BARD1 gene produces a protein that is similar to the BRCA1 protein in terms of structure and function.<sup>67</sup> BRCA1 and BARD1 can be transformed into homodimer and heterodimer structures, where the former can be constructed through interaction with the really interesting new gene (RING) finger domain in the N-terminal portion, and the latter is made stable with bonds of 26-119 amino acid residues from BARD1 and 1-109 amino acid residues from BRCA1.68 These interactions have an important function in the manifestation of breast cancer tumor suppression.<sup>67</sup> Generally, BARD1 has a function of regulating the stability of genotype and phenotype, and also has a role in DNA repair and ubiquitination.

The gene *BARD1* is located at chromosome region 2q34-35 with a size of 80 kB. 66 Minor alleles of *BARD1* (rs2229571) exhibit higher sensitivity to platinum-based treatments, such as carboplatin and cisplatin, in HER2 breast cancer patients. A study found no significant relationship between the polymorphism of *BARD1* in rs2229571 and the response of patients using docetaxel, carboplatin, and trastuzumab (TCH) compared with non-TCH treatment, but it also proved that there is a significant association such that patients who carry SNP in *BARD1* rs2070096 with minor alleles had worse relapse-free survival compared to patients who received non-TCH treatment. This thus suggests possible chemoresistance. 18

## **BRCAI** and **BRCA2**

Mutation in the *BRCA* gene, which is classified as *BRCA1* and *BRCA2*, is related to 20% of breast cancer cases.<sup>69</sup> The main function of BRCA1 is to repair DNA through interaction with cell cycle regulators, tumor suppressors, and DNA repair proteins.<sup>70,71</sup> BRCA1 contains the domain of BRCA C-Terminal and RING, which are known to suppress the initiation of breast and ovarian cancer,<sup>72</sup> and therefore mutations at this domain are often observed in breast cancer patients. In contrast to BRCA1, BRCA2 has a major function in homologous recombination for repairing DNA damage.<sup>73</sup> BRCA2 is directly involved in the DNA repair process by involving RAD51, and RAD51 is carried by BRCA2 to sites of double-strand breaks.<sup>74</sup>

The BRCA1 gene is located at chromosome region 17q21.3,75 functioning as a tumor suppressor gene in terms of the appearance of wild-type alleles that are somatically mutated.<sup>76</sup> Mutations in this gene are more often found in triple-negative breast cancer (TNBC) patients.<sup>77</sup> The BRCA2 gene is located at chromosome region 13q12-13.<sup>78</sup> More than 1800 mutations are known in the *BRCA2* gene, including insertion, frameshift deletion, and nonsense mutation.<sup>79</sup> BRCA1 and BRCA2 have essential roles in the process of DNA repair in order to maintain genome integrity through the presence of homologous recombination. The presence of polymorphism in BRCA1 and BRCA2 genes can affect the efficacy of breast cancer treatment. It is known that TNBC patients with a variation in BRCA1 and BRCA2 genes did not show significant changes in overall part and disease-free survival (DFS) values between treatment regimens without carboplatin and with carboplatin. However, patients without a variation in BRCA1 and BRCA2 genes showed significant changes in the overall pathological complete response (pCR) value for treatment regimens without carboplatin compared to treatments with carboplatin. Therefore, patients with BRCA1 and BRCA2 gene mutations respond better if standard neoadjuvant therapy (paclitaxel, doxorubicin, and cyclophosphamide) is given.<sup>28</sup> The lack of BRCA1 and BRCA2 proteins is associated with high sensitivity to DNA-damaging agents, so those TNBC patients who exhibit variations in the BRCA1 and BRCA2 genes exhibit more sensitivity to standard chemotherapy agents. This also means that TNBC patients with variations in the BRCA1 and BRCA2 genes have higher immune cell activity.80

The poly ADP ribose polymerase (PARP) inhibitors may be candidates for use in treatment of BRCA-mutated cancer patients.<sup>81</sup> However, there have been therapeutic failures in clinical trials of the PARP inhibitor Iniparib. In TNBC patients, Iniparib failed to prolong survival in Phase III. This failure is known to be associated with a secondary BRCA2 mutation. 82 Secondary mutations in BRCA1 or BRCA2 may also play a role in drug resistance to platinum therapy. This is caused by prolonged drug exposure, which exerts selection pressure and may lead to PARP inhibitors, as well as to resistance to platinum drugs. Mutation in c.9106 C>T translates into the BRCA2 protein without the C-terminal OB-fold and thus may impair binding with single-stranded (ss) DNA, as well as nuclear localization sequences and the TR2 RAD51-binding domain.83

## CD24

The cluster of differentiation 24 (*CD24*) gene is located at chromosome region 6q21, encoding sialoglycoprotein, which can induce growth and signal differences in cells.<sup>84</sup> CD24 is a protein on the cell surface, providing linkage to the cell membrane via glycosylphosphatidylinositol.<sup>85</sup> Overexpressed CD24 protein has been found in cases of various cancers, including breast cancer. In breast cancer, CD24 expression was usually found in HER2-positive and luminal breast cancer cells.<sup>86</sup> Prognosis in breast cancer patients is related to CD24 expression, which can regulate tumor cell proliferation<sup>87</sup> and increase the likelihood of metastasis.<sup>88</sup>

So far, no convincing correlations have been found between differentiation of genotype and CD24 expression level. CD24 Val has been reported to be associated with higher susceptibility, more autoreactive immunity, and faster disease progression, and it contributes to chemotherapy response. In a previous study, CD24 Val demonstrated a high sensitivity to anthracycline-based and taxane-based therapy in primary breast cancer. The study showed that CD24 Ala/Val is the only single-factor predictor of pCR in breast cancer patients subsequent to neoadjuvant chemotherapy (NCT) treatment. CD24 Ala/Val may be able to modulate the antitumor immune response of the host so that this becomes more autoreactive. The response to NCT therapy is influenced by differences in the CD24 genotype, which was demonstrated by a significant relationship between CD24 Val/Val with intratumoral lymphocytic.<sup>37</sup> However, a study conducted by Zhou et al<sup>89</sup> showed that CD24 polymorphisms in rs3838646 and rs52812045 could not predict pCR in breast cancer patients who had received NCT treatment.

#### **CYBA**

The *CYBA* gene is used to produce cytochrome b-245 alpha chain (p22-phox), which is a subunit of proteins that can take part in constructing NADPH oxidase, an enzyme complex that had an essential role in the immune system, when bonded with a beta chain that is expressed by the *CYBB* gene. NADPH oxidase functions as a regulator of neutrophil activity, and its primary function in phagocytes is to produce reactive oxygen species. <sup>90</sup> In cancer therapy, the presence of CYBA may be related to anthracycline metabolism. <sup>25</sup>

In a study conducted by Vulsteke et al,<sup>25</sup> the T-allele carriers in rs4673 were significantly associated with a

shorter recurrence-free interval (RFI), but the results were not significant for homozygous C-allele carriers. In this case, resistance may be caused by a missense mutation of His72Tyr that could cause decreased activity of the enzyme due to a change in the heme-binding site that is essential for protein stability, with further impaired reactive oxygen species (ROS) defense capacity and thus an increased ROS level. In several studies, 92–94 the mutation was found to be caused by 242C>T. Hoffman et al 95 suggested that 640A>G reduced the enzyme activity, but a contrary study conducted by Schirmer et al 96 found an increase in nicotinamide adenine dinucleotide phosphate (NADPH) oxidase activity instead.

#### **CYP**

Cytochrome P450 (CYP450) is an enzyme that serves as a xenobiotic metabolizer by drawing the xenobiotic into an oxidation reaction that changes the drug into its metabolites. In breast cancer therapy, this process is important in treatments using drugs such as tamoxifen, where the drug must be subsequently converted into a more active metabolite such as 4-hydroxy-tamoxifen (4-HT) and endoxifen by CYP450 3A4 and CYP2D6, thus developing a higher binding affinity for the ER.<sup>97</sup>

In a study conducted by Artigalás et al<sup>46</sup> finds that rs4646 polymorphism in the CYP19A1 may be a predictive factor in aromatase inhibitor (AI) therapy. Among metastatic BC patients treated with AI, SNP rs4646 were associated with increased time to progression (TTP) compared with the wild-type gene (hazard ratio (HR) = 0.51 [95% confidence interval (CI), 0.33-0.78], P = 0.002). Furthermore, Liu et al<sup>98</sup> reported a statistically significant association between rs4646 T alleles (G/T or T/T) and increased OS in women with metastatic BC (HR, 2.37 [95% CI, 1.20–4.65], P = 0.001). However, Miron et al<sup>99</sup> did not find any significant association with OS in the same SNP. Henry et al<sup>100</sup> also did not find any statistically significant association between 127 SNPs in CYP19A1 related to estrogen metabolism and modulation of breast density. These data suggest that CYP19A1 genotypes may be associated with OS in BC patients treated with AIs. However, this association appears very variable between

Gor et al<sup>50</sup> conducted a retrospective cohort study to determine chemoresistance caused by CYP3A4 polymorphisms, and found that patients having at least of CYP3A4 \*1B variant allele had a significant association with worse disease-free survival (DFS) compared with

those having a wild-type \*1A/\*1A. The mechanism underlying this chemoresistance is that \*1B polymorphism leads to reduced Phase I enzyme activity and thus having suboptimal 4-hydroxy-cyclophosphamide concentration. Due to the nature of cyclophosphamide pharmacokinetics, it needs to be activated to 4-hydroxy-cyclophosphamide to be able to diffuse into cancer cells through Phase I metabolisms CYP enzymes and one of them is 3A4.

Previous studies by Beelen et al<sup>38</sup> showed a significant relation between CYP2C19 variant alleles and time to treatment failure (TTF) in patients using tamoxifen, where CYP2C19\*2 carriers were associated with longer TTF, and those who had the CYP2C19\*17 allele showed a shorter TTF, but not to a statistically significant degree. The inhibition of CYP2C19 effectively influences tamoxifen metabolism, where conversion to its active metabolites such as endoxifen is seen (shown later in Figure 2). The tamoxifen resistance mechanism may be related to lower concentrations of tamoxifen and trans-4-OH-tamoxifen that were triggered by isomerization to the cis isomer, and this isomerization may also occur for endoxifen. 101 Vulsteke et al<sup>25</sup> also suggested that resistance was caused by CYP2C9 rs1057910 polymorphism in his study, where there was a significantly worse RFI, but the C-allele variant carrier was only present in 3 subjects, and thus this suggestion needs further research.

Regan et al<sup>39</sup> did not find any association with tamoxifen therapy for differences in CYP2D6 phenotype metabolism. Endoxifen, a tamoxifen metabolite with higher affinity for ER, is suspected to be related to disease control, while the polymorphism of CYP2D6, an enzyme that could metabolize tamoxifen into its active metabolite, was hypothesized to be related to lower endoxifen concentrations and thus worse disease control and higher side effects. Regan et al<sup>39</sup> indicated that CYP2D6 metabolism phenotype failed to predict tamoxifen efficacy and that there was thus a need for further study regarding tamoxifen metabolism and its mechanism of disease control. Dezentjé et al<sup>40</sup> suspected incorrect interpretation in that study and replicated it while considering whether the loss of heterozygosity (LOH) could explain a Hardy-Weinberg equilibrium deviation that might exclude false genotype by LOH in tumor tissues. However, their study failed to find any association between CYP2D6 genotype differences and tamoxifen efficacy. Studies by Neven et al41 and Sanchez-Spitman et al<sup>42</sup> also support these findings, reporting that there were no associations for low-activity

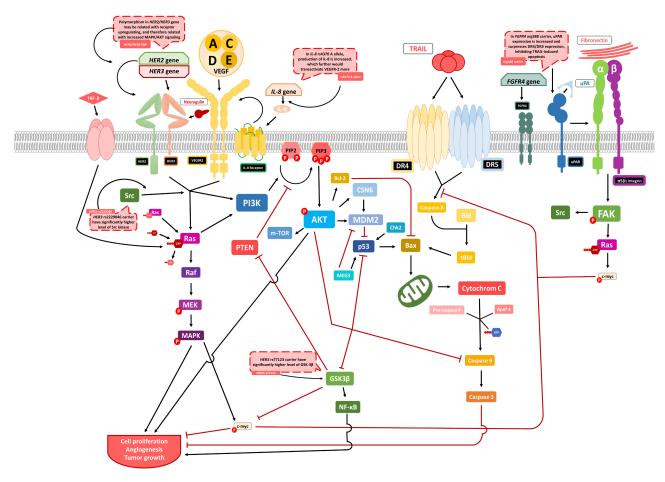


Figure 2 Influences of gene polymorphism with tamoxifen metabolism. Some of the chemoresistance mentioned in the paper are involving changes in drug metabolism and further decreased drug concentration in some individu. The decreased drug concentration may leads to suboptimal concentration needed to have therapeutic effect. CYP2C19 polymorphism may decrease CYP2C19 expression, which an enzyme that have a function in Phase I metabolism of tamoxifen needed to activate the substance into 4-hydroxy-tamoxifen and endoxifen, a more active metabolite in inhibiting estrogen-ER binding to halt tumor growth.

Notes:

Activates/transactivates/upregulates/expresses. Inhibits/downregulates. Converted into.

CYP2D6 genotypes and low concentrations of endoxifen with clinical outcomes.

Two meta-analysis related with the impact of *CYP2D6* polymorphisms on therapy effectiveness are included in this article. Hwang et al<sup>48</sup> found that poor endoxifen metabolizers, stated as having two inactive alleles of \*3-\*8, \*11-\*16, \*19-\*21, \*38, \*40, and \*42 was found to have a significant association with lower endoxifen concentration compared with those having extensive metabolizers (p < 0.05). Jung et al<sup>49</sup> also found that those having alleles of \*1, \*10, \*17, \*41, \*4, and \*5 had a significantly increased risk of disease recurrence. These studies suggest that endoxifen dose may need to be adjusted for those with poor metabolizer alleles to have optimal efficacy.

#### **FCGR**

One mechanism of action for trastuzumab in treating breast cancer cells is known as antibody-dependent cell-

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mediated cytotoxicity (ADCC) or antibody-dependent cellular phagocytosis (ADCP). Both mechanisms include the Fc fragment of IgG receptor (FCGR) on its process. In ADCC, the FCGR located on natural killer (NK) cells binds to the Fc part of trastuzumab and triggers release of a factor such as interferon- $\gamma$  (IFN- $\gamma$ ) or one of the perforins or granzymes, which could induce apoptosis of tumor cells, while ADCP is initiated when the FCGR on a macrophage binds instead with trastuzumab and induces phagocytosis of tumor cells.<sup>56</sup>

Gavin et al<sup>56</sup> found that patients who had the 158F/F genotype had better prognosis when treated with the doxorubicin–cyclophosphamide–paclitaxel (ACT) regimen and less benefit when trastuzumab was added, while patients with 158F/V or V/V gained more benefit from adding trastuzumab to ACT. These findings suggest that changes in ADCC mechanism may alter the efficacy of trastuzumab. Furthermore, *FCGR2A*-131 polymorphism

showed no evidence of differential trastuzumab treatment effects because of the lack of expression of FCGR2A on natural killer (NK) cells, which are the main effectors of ADCC. These findings may reflect the mechanism of *FCGR3A*-158V, not *FCGR3A*-158F, as *FCGR3A*-158V has been found to bind at low concentration to immunoglobulin (Ig) G1 immune complexes.<sup>102</sup>

Norton et al<sup>55</sup> found no association between the FCGR2A and FCGR3A genes in relation to DFS, but demonstrated that FCGR2B I/I patients had better DFS when trastuzumab was added in the therapy combination. The results differed for patients having FCGR2B with T alleles, as they did not show any improvement in DFS when adding trastuzumab. Immune response inhibition by FCGR2B may be reduced in the minor allele (232T) and increased in response to infection and autoimmunity; it is possible that the T-allele may be related to this escalation of immunity response to tumor mechanism like the one that was triggered by trastuzumab, and thus that the T-allele carrier may have better survival but less response to trastuzumab. Hurvitz et al<sup>47</sup> also did not find any significant correlation between FCGR3A and FCGR2A genotype differences with DFS.

In a study conducted by Tamura et al,<sup>36</sup> there was a significant association of the *FCGR2A*-131H/H genotype with greater tumor response and longer FPS, whereas the *FCGR3A*-158V/V genotype was usually correlated with tumor response after trastuzumab was given. The metastatic cancer patient's immune system is usually suppressed and therefore the trastuzumab-induced immune response was decreased in such patients. Roca et al<sup>57</sup> also found that in breast cancer patients treated with trastuzumab the *FCGR2A*-131R/R genotype is significantly associated with worse event-free survival (EFS), and found that considering *FCGR3A* genotype polymorphism yielded no predictive value toward clinical outcome.

The different outcomes in multiple studies may be influenced by distinctions in intrinsic to the populations or in the chemotherapy regimens conducted, by different levels of aggressiveness of the disease, and by different sample sizes, sampling bias, and methodologies. However, these lead to conclusions that substitution of valine into phenylalanine in FCGR3A at position 158 may amplify ADCC activity due to stronger  $IgG_1$  binding compared with the wild-type  $(F)^{103,104}$  and that the change from histidine to arginine in FCGR2A at position 131 causes less efficient binding to  $IgG_2$ , hence causing therapy resistance. <sup>105</sup>

### FGFR4

The fibroblast growth factor receptors (FGFRs) are classified as tyrosine kinase receptors, which are growth-stimulating transducers and play decisive roles in regulation of cell growth. The *FGFR* family consists of more than 20 ligands that are important in cell cycle processes such as cell migration, cell differentiation, and tumorigenesis. <sup>106</sup>

Normally, fibroblast growth factors (FGFs) signaling takes part in multiple biological processes such as angiogenesis, inflammation, and regeneration of cells. The release of FGFs in wound repair may be triggered during wound creation by endothelial cells in response to mechanical force as a stimulus. FGF-1 and FGF-4 stimulate the production of inflammatory regulators such as interleukin-2 (IL-2) and megakaryocyte progenitor cells. <sup>107</sup>

FGF may activate many transduction cascades, which could promote cell cycle progression and halt the cell death process. A disruption of any of this regulation process may result in uncontrollable cell growth. The exact tumor growth-promoting mechanism resulting from mutation in the gene expressing *FGFR4* is unknown. However, it may be related to autocrine FGF signaling, as FGF is usually observed alongside FGFR in FGFR overexpression. FGFs may be secreted by tumor cells or neighboring stromal cells and could act on either of these sources. <sup>107</sup>

An SNP, the transmembrane domain missense mutation from glycine to arginine at codon 388, is associated with breast cancer disease outcome. This polymorphism occurs in one of every two persons. There is speculation that the *FGFR4* Arg388 genotype is not involved in tumor induction, as *FGFR4* alleles are homogeneously distributed. *FGFR4* Arg388 is overexpressed in node-positive breast cancer patients, but there is no evidence of it being significantly associated with DFS. Another study confirmed that *FGFR4* Arg388 could be used as a disease progression predictor and suggests that it could also be used to predict chemotherapy resistance. <sup>24</sup>

In a study conducted by Marmé et al,<sup>58</sup> the *FGFR4* Gly388Arg polymorphism showed application as a specific predictive factor for therapy response to doxorubicin—cyclophosphamide—docetaxel (AC-Doc) as NCT with an odds ratio of 3.79, and there were no significant associations of pCR rates between patients with different HR status using AP-Doc treatment (42.9% versus 7.8% to 17.8% versus 15.6%). This thus suggests that regimens of drugs may affect two biological subgroups differently.

The study also showed that *FGFR4* Arg388 carriers have a higher risk of breast cancer involving the axillary lymph nodes, and thus supports a previous report linking the *FGFR4* Arg388 allele with worse disease progression but better responses to NCT.<sup>58</sup> The exact molecular mechanism that leads to *FGFR4* Arg388 being a more hostile phenotype is not yet clearly understood. There may be a linkage disequilibrium with other mutations that could affect breast cancer prognosis. There was no observation of elevated tyrosine phosphorylation in *FGFR4* Arg388 compared with Gly388 in tumor cells, which further indicated that any change in the kinase activity may be too minuscule to be detected.<sup>24</sup>

A contrary result was found in a study conducted by Thussbas et al.<sup>24</sup> who reported that the FGFR4 Arg388 allele is significantly associated with worse DFS and poorer overall survival (OS). Chemotherapy failure may result from tumor cells resisting the induction of apoptosis. Urokinase-type plasminogen activator-receptor (uPAR) downregulation increases the susceptibility of tumor cells in chemotherapy-induced apoptosis; thus, it could be that because uPAR expression is escalated in cells producing FGFR4 Arg388 allele compared with Gly388, this increases the release of anti-apoptotic factors or downregulates proapoptotic factors in cells expressing the Gly388 allele.<sup>24</sup> uPAR increases miR-17-5p/20a, a microRNA involved in inhibition of apoptosis by suppressing death receptor 4 (DR4) and death receptor 5 (DR5). If mechanism applies, upregulation of c-myc by uPAR may further increase miR-17-5p/20a expression. If c-myc is suppressed, uPAR concentration would decrease and the expression of DR4 and DR5 would be enhanced, activating TRAIL-induced apoptosis. These findings suggest that miR-17-5p/20a may offer a potential target therapy for breast cancer treatment and should be considered in preventing chemoresistance (Figure 3). 109

## **GST**

The glutathione S-transferases (GSTs) are a superfamily of dimeric Phase II metabolic enzymes. The family plays a vital role in cell defense by catalyzing the conjugation reaction of oncogenic substances with glutathione and thus preventing cellular damage.<sup>60</sup> Any mutation in a gene expressing this enzyme could change the catalysis process, which in turn could alter the bioavailability of the drug and may amplify or decrease drug efficacy and toxicity.<sup>60</sup>

Genetic variability in GSTP1 is significantly associated with therapy effectiveness. Zhang et al<sup>60</sup> conducted a study that revealed in patients with GSTP1 105Val/Val genotype a statistically significant relationship with resistance of breast cancer chemotherapy, especially epirubicin. This mutation is known to occur via an SNP in the coding sequence of GSTP1 (1578 A>G), which then gives rise to Ile105Val substitutions in the substrate-binding site of GSTP1. This was supported by a study that demonstrates that the 105Val variant carrier is correlated with more thermolabile and altered catalytic activity compared with those having 105Ile, and concludes that the homozygous isoleucine carrier is associated with the highest GSTP1 activity, with that activity decreasing as more valine was substituted. The reduced GSTP1 activity was also associated with increased toxicity from chemotherapy. As the chemotherapy mechanism needs to be activated by GST and other hepatic enzymes, the decreased GSTP1 activity may suggest an inefficient metabolism and less active metabolite concentration in such patients' bodies.<sup>60</sup>

Another study conducted by Romero et al<sup>59</sup> suggested that breast cancer patients treated with doxorubicin and carrying homologous G alleles in *GSTP1* had a lower risk of chemoresistance, shown with polymorphism *GSTP1* c.313A>G as a main cause, but no association was found between any *GST* genotype and the response outcome in patients treated with docetaxel. The different responses might suggest that there is specialization within GSTs activity in catalyzing the conjugation of reduced glutathione. This activity is related to how doxorubicin acts in cancer cells, where it can generate superoxide as a reactive oxygen species (ROS) when the semiquinolone in doxorubicin's active metabolite is converted into quinine. The ROS then forms propenal, which can be detoxified by GSTP1.<sup>59</sup>

In contrary to those findings, Yao et al<sup>26</sup> suggested that there were no associations between polymorphism in *GSTP1* genes and treatment outcomes in a patient who received cyclophosphamide. This may strengthen the hypothesis that the relation between polymorphism of *GSTP1* genes and breast cancer therapy is drug specific and may vary in terms of affinity and activity for different drugs.

In cyclophosphamide metabolism, GSTs had a role as inactivator. 4-hydroxy-cyclophosphamide are metabolized through Phase II metabolism to be conjugated with thiol or sulfate by GSTT. Gor et al<sup>50</sup> conducted a study to measure chemoresistance relationship with polymorphism of *GSTT1* and found that those with null genotype of *GSTT1* have significantly better DFS and OS compared with those

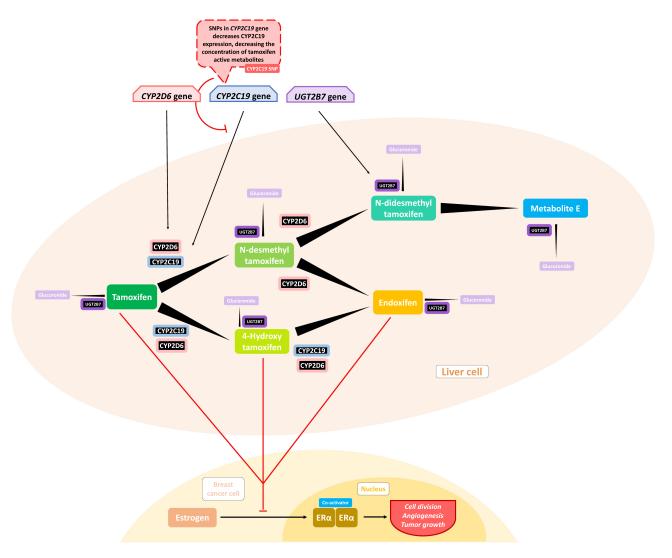


Figure 3 Possible mechanism of polymorphism influences related with MAPK and PI3K/AKT cell signaling. Drug resistance from some of the genes are resolved around MAPK and PI3K/AKT cell signaling. Polymorphisms in some genes mentioned may induce chemoresistance by disrupting the normal cell proliferation signaling and increase the aggressiveness of the tumor. The MAPK pathway are activated after Ras was phosphorylated and may induce cell proliferation, angiogenesis, and tumor growth. Ras may also activate PI3K, which further phosphorylating AKT that leads to activation of various signaling pathway leading to increase tumor growth rate. Genes that are hypothesized to disrupt these signaling are HER2, HER3, YEGFR2, and FGFR4. Polymorphism of HER2 and HER3 may increase receptor expression and this upregulation may increased MAPK/AKT signaling. In HER3 rs2229046 carrier, Src expression is increased and leads to increase MAPK/AKT signaling, and those with rs77123 had heightened concentration of GSK-3β, that may inhibit c-myc as tumor growth suppressor and is suggested as chemoresistance mechanism. In FGFR4 arg388 carrier, uPAR expression is increased and further inhibits TRAIL-induced apoptosis that leads to tumor cells resisting the induction of apoptosis.

Notes: Indicates phosphorylation process.

without due to having higher concentration of circulating active drug. Kong et al<sup>51</sup> also found similar results in *GSTM1* null genotype for patients using anthracycline-based therapy in his meta-analysis, strengthening the association between polymorphism of GST and chemoresistance.

## HER2 and HER3

Human epidermal growth factor receptor 2 (HER2), also known as erb-B2 receptor tyrosine kinase 2 (ERBB2), is one of the 20 known tyrosine kinase receptor families that are well known to be mutated in diseases that

involve uncontrolled proliferation. It is also known to be an oncogenic driver. The *HER2* gene is located at chromosome region 17q21 and can encode transmembrane tyrosine kinase GFR. It is usually expressed in the epithelial cells of breast tissue. HER2 may interact with tyrosine kinase binding partners even while not having any ligand. This is of concern, as when HER2 is overexpressed, it exists in open conformation that can interact freely with any available tyrosine kinase, and leads to dimerization and promotes neoplastic transformation of cells. Here

About one in five breast cancer patients have an overexpression of HER2, and it is also associated with worse disease prognosis. A monoclonal antibody, like trastuzumab, is used to directly target HER2 specifically. In 2015, Falchook et al<sup>27</sup> reported that with trastuzumab-lapatinib-bevacizumab combination therapy there are no associations between six SNPs in HER2 (rs1810132 STR C>T, -1985 G>T, -3444C>T, P1170A C>G, rs1810132 STR C>T, I655A A>G) and stable disease (SD)≥6 months/partial response (PR)/complete response (CR) rate, nor with TTF. This result contradicts previous findings that HER2 SNPs had an association with the risk of developing breast cancer. 112 Falchook et al<sup>27</sup> also found that an escalated concentration of circulating HER2 extracellular domain (ECD) in plasma was significantly associated with SD ≥6 months/PR rate and TTF, consistent with previous studies. 113

These results contradict a study conducted by Han et al<sup>114</sup> that found a resistance to trastuzumab accompanying Ile655Val HER2 polymorphism, where HER2-positive patients with the Val/Ile and Val/Val genotype had a significantly worse DFS score compared with those with the Ile/Ile genotype. This may be caused by a decrease in tyrosine kinase activity when Val is substituted into Ile at codon 655, 115 and the combination showed a lower apoptosis rate and higher growth capacity in an in vitro study. 116

Recently, data suggested that SNPs in epidermal growth factor genes may affect relapse-free survival or OS; this includes the *HER3* gene. Previous studies had shown that mutation in HER-family genes may activate the PI3K/AKT signaling pathway, and monoclonal antibody-based drugs are made to inhibit this activation by stopping downstream signaling of HER2 activation. 110

Coté et al<sup>18</sup> found that patients who are treated with TCH and who have the minor allele of the HER3 SNPs (rs2229046 and rs77123) had a higher risk of worse relapse-free survival compared with patients not using TCH. The data suggested that patients with SNP in rs2229046 had a heightened concentration of Src kinase, while those with rs77123 have significantly elevated glycogen synthase kinase-3 beta (GSK-3B) phosphorylation (Figure 3). The increase in PI3K/AKT signaling may potentially indicate unresponsiveness of the TCHbased regimen in selected patients. Despite not having a mechanism specific to cancer susceptibility, both of the SNPs have been related with alternative splicing, and there are not enough data to determine their specific action on signaling.<sup>23</sup>

#### IL12B

Interleukin 12 (IL12) is an immune modulator that has characteristics as a connector between acquired and innate

immune response. Produced by macrophages, dendritics and monocytes, IL-12 consists of two polypeptide chains that bind to disulfide p35 or p40 to encode the IL12A and IL12B genes, respectively. IL12A is located on chromosome 3p12-q13.2 and IL12B is located on chromosome 5q31-33.<sup>117</sup> IL12 is known to have antitumor activity because it can induce cytotoxic T cell (CTL) activation, NK cell activation and differentiation of naïve cluster of differentiation 4 (CD4+) cells into T helper 1 (Th1) cells so that it can increase cytotoxic T lymphocyte response.-118,119 This is supported by a study where the systemic administration of IL12 can prevent tumor growth in transgenic HER2/neu oncogene mice. 120 Therefore, giving IL12 could have potential in the treatment of breast cancer in humans. However, giving IL12 can also form autoimmunity. One example, excess production of IL12 is found in autoimmune diseases such as rheumatoid arthritis and type 1 diabetes mellitus. 121,122

IL12B encodes IL12 p40 which is a subunit of the IL12 and IL23 heterodimeric structures that have an important role in immune cytokines in cell-mediated immunity. IL12 and IL 23 have a mechanism to convert naïve T cells into Th1 and T-helper 17 (Th17) and maintain a balance between Treg cells and Th17 cells in maintaining a normal immune response. 123 IL12B plays a major role in the initiation of the IL-12 activation signaling cascade. 124 Polymorphisms that occur in the IL12A and IL12B genes are known to play a role in cancer development. Polymorphisms will change the expression of the IL12 gene and reduce IL12 protein synthesis so that it can lead to immune system dysfunction and the development of malignant tumors. 125

ER-negative breast cancer patients have a high number of lymphocytes infiltrating the tumor. Tumor infiltration by immune cells, such as Treg cells and myeloid-derived suppressor cells (MDSCs) is involved in the prognosis of cancer patients after chemotherapy. The presence of polymorphisms in genes involved in the immunosuppressive pathway is known to modulate the response to given therapy. 123 One of them is evidenced by studies that reported IL12B SNPs have a relationship with OS in ER-Negative breast cancer patients after chemotherapy. There are two results obtained, namely IL12B rs2546892 (G> A) had a significant association with poorer OS (HR 1.50 (95% CI 1.21 to 1.86),  $P = 1.81 \times 10-4$ ) and IL12B rs2853694 (A> C) had a significant association with improved OS (HR 0.73 (95% CI 0.61 to 0.87), P = 3.67 $\times 10-4$ ).<sup>53</sup>

## KDR/VEGFR2

Kinase insert domain receptor (KDR), also referred as vascular endothelial protein receptor 2 (VEGFR2), is a tyrosine kinase receptor that regulates growth, survival, and endothelial cell movement through paracrine signaling by producing autocrine signal and can be expressed in tumor cells. 126,127 It is located at chromosome region 4q11-q12.<sup>128</sup> Studies about KDR expression with prognostic implication in carcinoma demonstrated that SNPs on the receptor genes may affect the VEGF signaling, which in turn influences the carcinoma prognosis and the treatment response. However, this information remains controversial. 129-133

In 2018, Babyshkina et al<sup>30</sup> reported that the -604T>C (rs2071559) mutation may be a functional polymorphism within the KDR gene promoter region and may be able to change potential transcription of KDR, leading to reduced expression of KDR. 128 The value of pCR was higher in patients cyclophosphamide-doxorubicin-capecitabine using (CAX) regimen than in those who used the fluorouracil-doxorubicin-cyclophosphamide (FAC) chemotherapy regimen. Therapy for those younger than 50 years carrying the -604TT genotype of rs2071559 gave results significantly correlated with pCR within the CAX-treated patients. However, there was no clear confirmation that the pCR rate correlates with KDR rs2305948 within the two treatment groups. KDR expression and polymorphism of KDR gene usually act as additional predictive markers of pCR in breast cancer patients.

Allegrini et al<sup>29</sup> suggested that KDR gene interacts with the IL-8 gene and may affect the efficacy of bevacizumab therapy. In tumor progression, KDR has a significant role in promoting tumor angiogenesis. 134,135 The phosphorylation of KDR may be transactivated by IL-8 due to physical interactions between KDR and the IL-8 receptors, and it has been shown that this activity may occur in the presence of VEGF such as CBO-P-11 at the site (Figure 3). These findings may explain the failure of tumor angiogenesis inhibition when treating with drugs such as bevacizumab, as the overexpression of IL-8 in the presence of the SNP would lead to more transactivation of KDR. Added to the mutation of KDR, the upregulation of the receptor supports the angiogenic process. These findings were determined in a case-control study comparing patients treated with and without bevacizumab as a first-line chemotherapy; the study revealed decreased values of progression-free survival and OS in patients carrying SNP KDR rs11133360 and IL-8 rs4073, suggesting a resistance to bevacizumab therapy.

#### MDM2

The mouse double minute-2 (MDM2) homolog is a promoter that suppresses p53 transcriptional activity<sup>136</sup> through direct binding, ubiquitination, and degradation, <sup>137</sup> In previous studies, overexpression of MDM2 has been studied as another mechanism for suppressing protein p53 (Figure 3), and MDM2 protein levels in the body may also be interpreted as prognostic biomarker of human breast cancer. 52,138

Overexpression of MDM2 was suggested to be related to drug resistance in targeted cancer therapy, such as in chemotherapy and radiotherapy through the MDM2-p53 loop dependent pathway and epithelial-mesenchymal transition (EMT) pathway. In the EMT pathway, MDM2 overexpression induces the EMT process in tumor cells, resulting in resistance to the chemotherapeutic drug. 139 MDM2 overexpression was reported to inhibit the sensitivity to cisplatin, with potential for leading to cisplatinbased therapy resistance. 140 Also, overexpression of MDM2 was associated with resistance in trastuzumab regimens in HER2-positive breast cancer. 141

The MDM2 SNPs at T309G may decrease the activity of protein wild-type p53 and thus increase the chance of developing cancer cells. In a recent study, polymorphism in MDM2 (SNP309T>G, rs2279744) was associated with increased risk of various cancer development through its association with an increased MDM2 mRNA level. 142,143 The effect of SNP 309G aligns with the mechanism of MDM2 that suppresses p53 protein activity. 142,144,145 Polymorphism in the 309G allele enhances MDM2 activity, so it may substitute for TP53 mutation in similar patient cohorts, yet the importance of SNP309 in familial breast cancer remains unclear. 146 In a study conducted by Chrisanthar et al, 35 genotype differences of MDM2 showed no association with treatment response to epirubicin or paclitaxel, and there was no effect on relapse-free survival value. In multivariate analysis, SNP309 TG/GG persisted as a poor prognostic factor by excluding ER status from the analysis.

#### MEG3

The maternally expressed 3 (MEG3) gene is located at chromosome region 14q32.3 in humans 147 and is involved in growth and development of cell. Reexpression of MEG3 suppressed proliferation of tumor cells in vitro (Figure 3)<sup>148–151</sup> and reduced the growth of gliomas, tumor volume, and the expression of Ki67. 152

Cao et al<sup>153</sup> reported that SNP in *MEG3* can increase cancer development risk and toxicity of chemotherapy in other type of cancers. <sup>154</sup> Peng et al<sup>155</sup> reported that in ERpositive breast cancer, MEG3 was downregulated, which in turn inhibited cell growth and thus induced apoptosis through ER stress activation, nuclear factor  $\kappa B$  (NF- $\kappa B$ ), and p53 pathways. <sup>156</sup>

Polymorphism in *MEG3* was associated with regulation of cells in breast cancer. In 2019, Bayarmaa et al<sup>31</sup> showed that SNP in *MEG3* rs10132552 was significantly associated with response to cisplatin-containing chemotherapy in breast cancer patients, such that a patient carrying the rs10132552 TT genotype had significantly worse DFS, and there was a higher level of Ki67 in patients who had the T-allele in the rs10132552 phenotype.

## **SLC**

When chronically exposed to selective chemotherapy, cancer cells often regulate drug efflux transporters that may result in development of drug resistance. The change of transporter may be initiated when cancer cells demand more nutrients to support their rapid growth and gather these nutrients via plasma membrane transporters. The solute carrier (*SLC*) genes were classified into 65 subfamilies. The main function of *SLC* genes is to encode the transporters of endogenous and exogenous compounds. Most SLC transporters are equilibrative. This trait is beneficial in facilitating substrate uptake into the cell by regulating the electrochemical and concentration gradients. Polymorphisms in *SLC* genes have been affiliated with efficacy and toxicity outcomes of drugs. 157

A recent study conducted by Okazaki et al<sup>161</sup> reported that SLC28A3 rs7867504 polymorphism was significantly associated with toxicity in pancreatic cancer patients who received gemcitabine. SNPs in SLC28A3 (rs7867504) and SLC29A1 with the GA haplotype were associated with OS in metastatic breast cancer patients receiving a paclitaxel-gemcitabine combination. SLC29A1 (rs747199 and rs760370) with the GA haplotype resulted in a significantly shorter OS, while SLC28A3 (rs7867504) with the CC and CT genotypes was associated with a longer OS compared with the TT genotype. These findings suggested that the efficacy of paclitaxel-gemcitabine treatment may be influenced by the transport of gemcitabine.<sup>32</sup> Also, these results fall in line with earlier pharmacogenetic studies in other type of cancers that received gemcitabine as chemotherapy. SNPs in SLC29A1, SLC28A1, and SLC28A3 (rs7867504) were associated with gemcitabine metabolite clearance in solid tumors. 162

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The solute carrier organic anion transporter family member 1B1 (SLCO1B1) gene has a common polymorphism as SLCO1B1\*5 at rs4149056. Patients carrying this SNP had higher estrogen levels prior to treatment with AI163 and showed a higher exemestane level during treatment. 164 SLCO1B1 SNP rs10841753 carriers are also known to have decreased estrogens prior to AI treatment, as they increased expression of the organic anion-transporting polypeptide 1B1 (OATP1B1) transporter. 163 A study conducted by Dempsey et al<sup>33</sup> showed that patients carrying the SLCO1B1\*5 allele (rs4149056) may have had worse outcomes when receiving AI treatment because they were at higher risk for having a higher concentration of detectable estrone, yet patients with SNP rs10841753 had a lower concentration of estrone during the first 3 months from the initiation of AI treatment. Those who had SLCO1B1\*5 rs4149056 SNP were associated with increased levels of estrone sulfate during pretreatment of AI chemotherapy, while rs10841753 carriers were associated with lower levels instead. However, there is no direct evidence associating suppression in estrogen with treatment effectiveness. Estrone is the most abundant estrogen in postmenopausal women. 165 The lack of association of SLCO1B1\*5 or rs10841753 polymorphism with risk of breast cancer development in a large genome-wide association study suggested that estrone and estrone sulfate levels do not have any clinical consequence in predicting the effectiveness of breast cancer therapy. 166

#### TGFBR2

Transforming growth factor beta receptor II (TGFBR2) is an important cytokine in the tumor microenvironment and included as a ligand binding receptor for the TGF  $\beta$  family (TGF-1, -2, -3), this gene is located on chromosome 3 locus 3p22. 167 TGFBR2 encodes the TGF-β receptor II which is the transmembrane serine/threonine protein kinase receptor in the TGF-β signaling pathway. 168 After binding to the ligand, TGFBR2 will induce phosphorylation of solvated metal atom dispersion (SMAD) 2/3 through activation of TGFBR1. This SMAD 2/3 induction will form hetero-oligomers with SMAD 4 and accumulate in the nucleus. In addition, TGFBR2 can induce intracellular pathways with non-SMAD signaling pathways via Src, PI3K/AKT, p42/44 and p38 MAPK. 169,170 TGFB is known to have two roles depending upon the cellular context, namely as tumor suppression at the initial stage and invasion and metastatic tumors in later stage cancers, specifically TGFB as a stimulator in Treg cell proliferation and immune prevention.<sup>171</sup>

The presence of overexpression of TGFBR2 in ER-negative breast cancer can be a poor prognostic indicator of patient survival. 172 Excessive TGFBR2 expression is associated with an overactive PI3K/AKT signaling pathway. AKT activation will mediate FAF1 phosphorylation and activate pro-metastatic function in cancer cells because it increases the stability of TGFBR2 on the cell surface. 170 This is proven by the association of TGFB on lung metastases in patients with ER-negative breast cancer. 173 TGFBR2 gene polymorphisms may be a prognostic indicator and predictor of breast cancer therapy by looking inhibition of TGFβ signaling. This is shown by a study that found SNPs TGFBR2 rs1367610 (G>C) had a significant association with poorer OS in ER-negative patients who received chemotherapy (P =  $3.08 \times 10-4$ ).<sup>53</sup> In addition. another study showed a low number of TGFBR2 expression in ER-positive patients on tamoxifen therapy to have a significant association with shortened recurrence-free survival (RFS) (HR: 0.312, 95% CI, 0.131–0.742; P = 0.008). <sup>174</sup>

## TP53 and CHEK2

The tumor protein (*TP53*) gene is the most common mutated gene in human cancer. Its presence in more than 50% of the whole cancer patient cohort implies that the *TP53* gene has some action related to the formation of cancer. 175 p53 is involved in processes such as growth, DNA repair, and apoptosis of cells. 176 In DNA repair activity, p53 gave signals to halt the cell cycle and gave the cell time to repair, resulting in revived genome stability. Additionally, p53 is directly involved in the activity of various DNA repair systems. 177

The most common mutations in *TP53* are of the missense type, leading to diverse changes in amino acid positions.<sup>178</sup> Most of the time, mutations occurred more often in higher stages of cancers or in aggressive behavior subtypes such as triple-negative or HER2-related.<sup>179–181</sup> In patients with the wild-type of *TP53*, several tumors were confirmed to exhibit chemoresistance. Findings to date suggest that tumors may accommodate mutations in the checkpoint kinase 2 (*CHEK2*) gene, which expresses the Chk2 protein that phosphorylates p53.<sup>137</sup>

The *CHEK2* gene is located at chromosome region 22q12.1 and can be activated by Thr68 phosphorylation via ataxia-telangiectasia mutated. CHEK2 has a role in regulating the cell cycle. Mutation in the *CHEK2* gene will affect the function and expression of the Chk2 protein. In addition, mutation in the *CHEK2* gene can influence the activity of p53, as this may be phosphorylated by various type of kinases, including Chk2. This process is important in the

mechanism of antitumor agents when responding to DNA damage in breast cancer. The nonfunctional Chk2 protein can affect drug sensitivity by altering the p53 activation process. When mutations in *CHEK2* and *TP53* genes are compared, the role of Chk2 can be indirectly identified in chemoresistance (Figure 3). The process of the p54 activation process.

Previous studies reported that mutations within the *TP53* gene are related to resistance to anthracycline therapy in carcinoma patients. <sup>184,186,187</sup> In vitro studies showed that taxane sensitivity is related to p53 function. <sup>188,189</sup> However, a clinical study has shown that there is no correlation between *TP53* status and paclitaxel sensitivity. <sup>187</sup>

Chrisanthar et al<sup>184</sup> found that *TP53* and *CHEK2* mutations may predict resistance to paclitaxel treatment, but not in patients receiving epirubicin as first-line therapy. Mutations of *TP53* are related to poor prognosis in carcinoma patients who are not using any adjuvant therapy.<sup>190</sup> These effects probably are due to the inclusion of patients with paclitaxel as a second-line treatment. *CHEK2* nonsense mutations were previously shown to affect Chk2 activity and may be used to predict resistance to anthracycline treatment.<sup>184</sup>

## **UGT**

The uridine 5'diphospho-glucuronosyltransferase (UDP-glucuronosyltransferase, *UGT*) gene in mammals is known to have four families: *UGT1*, *UGT2*, *UGT3*, and *UGT8*.<sup>61</sup> This superfamily usually encodes enzymes that can place glycosyl groups on a lipophilic substrate.<sup>191</sup> The *UGT1* gene is located at chromosome region 2q37.<sup>192</sup> It is known to encode nine types of enzymes related with glucuronidation process. *UGT2* genes are classified further into two subfamilies, *UGT2A* and *UGT2B*. The latter are encoded by different genes such as *UGT2B4*, *UGT2B17*, *UGT2B10*, *UGT2B11*, *UGT2B15*, and *UGT2B17*.<sup>193</sup>

Various *UGT* gene isoforms exhibited different selectivity and sensitivity roles in every process of drug glucuronidation. Many types of drugs are metabolized by the *UGT* gene. Epirubicin is an anticancer drug in the anthracycline group. Like other anthracyclines, epirubicin undergoes metabolism in the liver by interacting with aldo-ketoreductase to form epirubicinol<sup>194</sup> or undergoes glucuronidation to form EPI-glucuronide.<sup>195</sup> Epirubicinol and EPI-glucuronide are inactive forms of epirubicin, and EPI-glucuronide had a faster excretion rate than epirubicinol and was noncardiotoxic.<sup>196</sup> The epirubicin glucuronidation process is carried out by UGT, specifically UGT2B7 in the liver.<sup>195</sup> *UGT2B7* gene is located at chromosome region 4q13.2.<sup>197</sup> The presence of polymorphisms in the *UGT2B7* gene may disrupt the inactivation process for

epirubicin. One study showed that breast cancer patients which carry the G-allele homozygous *UGT2B7* gene on rs3924194 experienced a worse recurrence-free interval (RFI) when treated with the fluorouracil, epirubicin, cyclophosphamide (FEC) based regimen.<sup>25</sup>

In addition to epirubicin, tamoxifen is also often used in breast cancer therapy as a selective ER modulator and goes through a metabolic process catalyzed by UGTs.<sup>34</sup> Tamoxifen that had passed through the metabolism stage, which is catalyzed by UGTs, was found to add glucuronide groups and to produce 4-HT and endoxifen, which may deactivate antiestrogenic effects (Figure 2).<sup>198</sup> Variants in the *UGT2B15*, *UGT2B7*, and *UGT1A8* genes are not correlated with breast cancer recurrence in tamoxifen treatment.<sup>34</sup> Dezentjé et al<sup>40</sup> found a contradictory result, reporting that *UGT2B15\*2* may be associated with worse DFS in his exploratory study, but this result requires further investigation.

## **Conclusion and Future Prospects**

After exploring through studies related with breast cancer chemore sistance caused by gene polymorphisms, we have reached a conclusion that some of the molecular changes that are caused by upregulation or downregulation due to different genetic activity, and some may lead to increase efficacy of the drug while the other halts the drug activity. Genes that suggesting chemoresistance due to having significant association with decreased drug efficacy and may be studied further to determine its exact mechanism are ABCB1 rs1045642, BARD1 rs2070096, CYBA rs4673 CT, CYP19A1 rs4646, CYP2C9 rs1057910, CYP2D6 poor metabolizers, CYP3A4 \*1B\*/\*1A, FCGR3A 158V/V, GSTP1 105Val/Val genotype, GSTM null genotype, HER3 rs2229046 and rs77123, KDR rs11133360 (T>C) for patients carrying SNP IL-8 rs4073, IL12B rs2546892 (G>A), MEG3 rs10132552 TT genotype, *SLC* rs4149056, *TGFBR2* rs1367610 (G>C), TP53, UGT2B15 \*2, and UGT2B7 rs3924194. While some studies, which are included in the study or not, may have conflicting results caused by different clinical setting or chemotherapy used and other factors, these studies strengthen the importance of exploring polymorphism and its impact on genes related with breast cancer.

Genetic polymorphisms in patients with breast cancer are related to variation in therapeutic responses in patients using the same drug. This review examines the relationship between genetic polymorphisms and breast cancer therapy resistance. There are several gene polymorphisms that produce differences in results in terms of OS, relapse-free survival, pathological CR, DFS, and other parameters. Moreover, many studies suggest that polymorphism in genes may be assessed as a

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predictive and prognostic biomarker for identifying breast cancer. Although conflicting results remain to be understood, in the future these polymorphisms may become considerations in developing personalized medicines that yield better results for each individual and in predicting the clinical outcome of breast cancer therapies.

#### **Author Contributions**

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work

#### **Disclosure**

All authors report no conflicts of interest in this work.

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