

Afatinib treatment in advanced non-small cell lung cancer

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Abstract: Despite some recent advances in the management of advanced non-small cell lung cancer (NSCLC), prognosis for these patients remains poor. Small molecule epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs) have however provided a new therapeutic option in this disease setting and *EGFR* mutation testing is now routine practice for newly diagnosed NSCLC patients. A proportion of patients will not respond to first-generation EGFR-TKIs however, and those who do will ultimately develop resistance and disease relapse. Next-generation EGFR-TKIs which inhibit multiple members of the EGFR family are being developed in order to increase sensitivity and overcome resistance to existing agents. Afatinib (BIBW 2992) is an oral, irreversible inhibitor of EGFR and HER2 tyrosine kinases and is the most advanced of these agents in clinical development. Pre-clinical and early-phase clinical trials have demonstrated a favorable safety profile as a single agent and in combination with other anti-cancer agents, and provide evidence of clinical activity in advanced NSCLC. The LUX-Lung trials suggest that for selected patients, afatinib offers symptomatic improvement and prolonged progression-free survival, although this has not yet translated into improved overall survival. This article aims to review the use of EGFR-TKIs in the management of advanced NSCLC and the mechanisms underlying resistance to these agents. We will discuss the current pre-clinical and clinical data regarding afatinib, its potential to overcome resistance to first-generation TKIs, and its emerging role in advanced NSCLC treatment.

Keywords: EGFR, tyrosine kinase inhibitor, mutation, LUX-Lung

Introduction

Lung cancer is the most common cancer in the world. In the UK, there are around 40,000 new cases annually, and it is the leading cause of cancer-related death. Non-small cell lung cancer (NSCLC) accounts for 80%–85% of all lung cancer cases. The majority of patients present with advanced/metastatic (Stage IIIB or IV) disease and are therefore not suitable for radical/curative treatment. Treatment for this group is aimed at palliating symptoms, controlling disease, and prolonging survival. For many years, cytotoxic chemotherapy was the mainstay of treatment for advanced NSCLC and despite more recent advances allowing individualization of treatment based on histological differentiation, prognosis for these patients remains poor with a median overall survival (OS) of 8–10 months. The introduction of small molecule epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs) has however provided a new therapeutic option in this disease setting and *EGFR* mutation testing represents a unique development in terms of molecular biomarkers of drug sensitivity. Resistance to first-generation EGFR-TKIs is common and therefore new

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strategies are necessary to combat this. The development of next-generation EGFR-TKIs such as afatinib, which target multiple members of the EGFR family, is one such strategy. This article aims to review the current data regarding the use of afatinib, and discuss its potential role in the treatment of advanced NSCLC.

Current management of advanced NSCLC

First-line treatment

In the first-line setting, chemotherapy with platinum in combination with a third-generation agent (paclitaxel, gemcitabine, vinorelbine) remains the standard of care for the majority of patients and offers a modest survival benefit compared to best supportive care (BSC).¹⁻⁴ Recently an additional survival advantage for pemetrexed–cisplatin chemotherapy in non-squamous NSCLC was confirmed.⁵ Additionally, several targeted agents have now also been demonstrated to increase efficacy in first-line NSCLC treatment when added to a platinum doublet. Bevacizumab (Avastin[®], Roche, Basel, Switzerland), an anti-vascular endothelial growth factor (VEGF) monoclonal antibody, in combination with carboplatin–paclitaxel demonstrated significant improvements in median OS (12.3 months vs 10.3 months; HR: 0.79; $P = 0.003$), progression free survival (PFS) (6.2 months vs 4.5 months; HR: 0.66; $P < 0.001$), and response rates (RRs) (35% vs 15%; $P < 0.001$) compared to chemotherapy alone.⁶ This was most marked in patients with adenocarcinomas and has been approved by the FDA for first-line treatment of advanced non-squamous NSCLC. The Phase III First-Line Treatment for Patients with EGFR-EXpressing Advanced NSCLC (FLEX) trial evaluated cetuximab (Erbix[®], Bristol-Myers Squibb, Moreton, UK), a monoclonal antibody to EGFR, in combination with cisplatin–vinorelbine.⁷ Cetuximab demonstrated superior RRs (36.6% vs 29%) and median OS (11.3 vs 10.1 months; HR: 0.79, 95% CI: 0.762–0.996; $P = 0.044$) compared to chemotherapy alone. The European Medicines Agency (EMA) however have not yet extended cetuximab's license to cover NSCLC.

Sequential or maintenance therapy, post-first-line treatment for NSCLC patients who have not experienced disease progression, has also been investigated. Pemetrexed is the only agent that has been shown to significantly improve both PFS and OS as maintenance therapy, and in keeping with other studies using this agent, this was most apparent in patients with non-squamous histology.⁸

The above studies highlight the importance of histological sub-typing in the management of advanced NSCLC. Recently

however the introduction of *EGFR* mutation testing has provided a major step forward as a novel predictive marker of likely response to treatment and has allowed further individualization of therapy.

The EGFR and its associated tyrosine kinase signaling pathway are implicated in a range of malignancies, including NSCLC. The pathway is involved in carcinogenesis as a result of protein over-expression, gene amplification, or genetic mutations and has emerged as a leading target for NSCLC therapy.⁹ Erlotinib (Tarceva[®], OSI Pharmaceuticals, Ardsley, NY) and gefitinib (Iressa[™], Astra Zeneca Inc, London, UK) were developed as small molecule reversible EGFR-TKIs which act by competing with ATP at the intracellular catalytic domain of EGFR to prevent binding. This prevents receptor phosphorylation and subsequently inhibits downstream intracellular signaling. Subgroup analyses from the initial clinical trials of these agents showed that patients with certain clinical and histological characteristics (women, patients of East Asian descent, non-smokers, those with adenocarcinomas, and those with specific activating mutations of *EGFR*) who received erlotinib or gefitinib had higher rates of response and survival.

The Phase III IPASS study, which was conducted in Asia, randomized chemotherapy-naïve patients with adenocarcinoma and who were non-smokers or ex-light-smokers to receive gefitinib or carboplatin–paclitaxel as first-line treatment.¹⁰ This study reported an improved PFS at 1 year in the gefitinib group (29.7% vs 6.7%), and this was most pronounced in those patients harboring an activating *EGFR* mutation (HR: 0.48, 95% CI: 0.36–0.64; $P < 0.001$). In contrast, patients without an *EGFR* mutation had worse outcomes when treated with gefitinib compared to chemotherapy. In July 2010, gefitinib was approved by NICE as a first-line treatment option for patients with locally advanced or metastatic NSCLC with EGFR-TK mutation. The smaller NEJGSG, First-SIGNAL, and WJTOG3405 trials support the IPASS data, showing significant improvements in PFS for gefitinib compared to chemotherapy in patients with *EGFR* mutations.¹¹⁻¹³ In the OPTIMAL trial, chemo-naïve patients with advanced NSCLC with an activating *EGFR* mutation were randomized to receive erlotinib or carboplatin–gemcitabine. PFS was significantly improved in the erlotinib group although OS data is not available at present.¹⁴

Second-line treatment

Unfortunately the majority of patients with advanced NSCLC relapse or become refractory to first-line treatment. If performance status allows, they can be considered for second-line

therapy. In this setting, docetaxel produced a RR of 7.1%, (with a further 43% achieving stable disease [SD]) and significant improvements in time to progression (TTP) (10.6 vs 6.7 weeks; $P < 0.001$) and OS (7.0 vs 4.6 months; $P = 0.047$) in those patients receiving docetaxel plus BSC compared to BSC alone.¹⁵ Docetaxel has been subsequently compared to pemetrexed in patients previously treated with platinum-based chemotherapy, however there were no significant differences in RR, PFS, or OS between the two groups.¹⁶

Following the BR21 trial comparing erlotinib to BSC, erlotinib has also been licensed for the second-line treatment of NSCLC. Despite the modest RR of 9% (with SD in a further 38%), patients receiving erlotinib demonstrated a significantly improved OS (6.7 vs 4.7 months; HR: 0.70; $P < 0.001$), PFS (2.2 vs 1.8 months; $P < 0.001$), and QOL compared to BSC.¹⁷

The ISEL trial compared gefitinib to placebo and demonstrated a prolonged TTP (3.0 vs 2.6 months; $P < 0.001$) but not OS in NSCLC patients who had previously been treated with standard chemotherapy.¹⁸ Subsequently, the INTEREST trial which recruited patients with relapsed disease reported that gefitinib was not inferior in terms of OS compared to docetaxel, however is not currently licensed in this setting.¹⁹ *EGFR* mutation positive patients previously treated with gefitinib in the first-line setting would now typically receive a platinum-based doublet upon relapse.

Third-line treatment

No FDA (Food and Drug Administration) or NICE (National Institute for Health and Clinical Excellence) approved third-line treatment options exist and the evidence for clear clinical benefit in this setting is lacking at present. Few NSCLC patients who have relapsed after second-line treatment are of suitable performance status to consider further cytotoxic chemotherapy. The oral bioavailability and more favorable toxicity profile of targeted agents like EGFR-TKIs may represent a more promising strategy, however both the ISEL and BR21 trials included a small proportion of such patients and minimal clinical benefit was demonstrated.

Afatinib in the treatment of advanced NSCLC

EGFR mutation testing and resistance to first-generation EGFR-TKIs

As described above, the first-generation EGFR-TKIs have been incorporated into the treatment of advanced NSCLC and have been shown to be most effective in those patients with an activating mutation in the kinase domain of EGFR. In-frame

deletions at exon 19 that eliminate four amino acids (del19, 746–753, ELREA) and a missense mutation at exon 21 resulting in the substitution of arginine for leucine at position 858 (L858R)^{20,21} have been identified as the most common mutations (80%–85%) and are estimated to occur in 10%–40% of NSCLC patients worldwide.²² Variations in frequency of *EGFR* mutations are apparent between different ethnic groups (Caucasians ~15%, Asians ~35%–40%). Screening for these mutations in patients newly diagnosed with NSCLC is now routine practice in most centers, thus allowing treatment decisions based on individual phenotype.

Although response rates to first-generation TKIs are relatively higher in patients with these activating mutations, 20%–30% of patients will not respond to these agents, thus displaying primary or innate resistance.^{10,12,14,22} It has been suggested that activating *KRAS* mutations (which occur most frequently in codon 12 and 13 of exon 2 and are present in 15%–25% of lung adenocarcinomas)²³ may be implicated in primary resistance to erlotinib and gefitinib.²⁴ In a biomarker analysis from the BR21 trial, patients whose tumors had wild-type *KRAS* had a survival advantage with erlotinib compared to placebo (HR: 0.69, 95% CI: 0.49–0.97; $P = 0.03$) whereas those with mutant *KRAS* did not (HR: 1.67, 95% CI: 0.62–4.5; $P = 0.31$).²⁵ Upregulation of the VEGF and IGF-1 (insulin-like growth factor-1) signaling pathways have also been associated with EGFR-TKI resistance in pre-clinical studies.²⁶

Those patients initially responding to EGFR-TKIs will typically develop resistance leading to relapse of disease (median duration of response 14 months).²⁷ This is termed secondary or acquired resistance. Mutations in exon 20 of the EGFR kinase domain account for a significant proportion of these cases. Specifically, the T790M mutation (a substitution of methionine for threonine at position 790), is a common cause of acquired resistance and has been found in approximately 50% of patients who have relapsed after an initial response to first-generation EGFR-TKIs.^{26,28,29} This mutation has also been linked to primary resistance. *MET* (mesenchymal–epithelial transition factor) amplification is another possible mechanism causing EGFR-TKI resistance. In the study by Bean et al *MET* amplification was reported to be more common in *EGFR* mutant tumors of patients who have developed resistance to erlotinib or gefitinib compared to untreated patients (21% vs 3%; $P = 0.007$).³⁰ In a second study, *MET* amplification was seen in 22% of patients who had acquired resistance to gefitinib or erlotinib and in a gefitinib-sensitive cell line with acquired resistance.³¹ Figure 1 summarizes resistance mechanisms to first-generation TKIs.

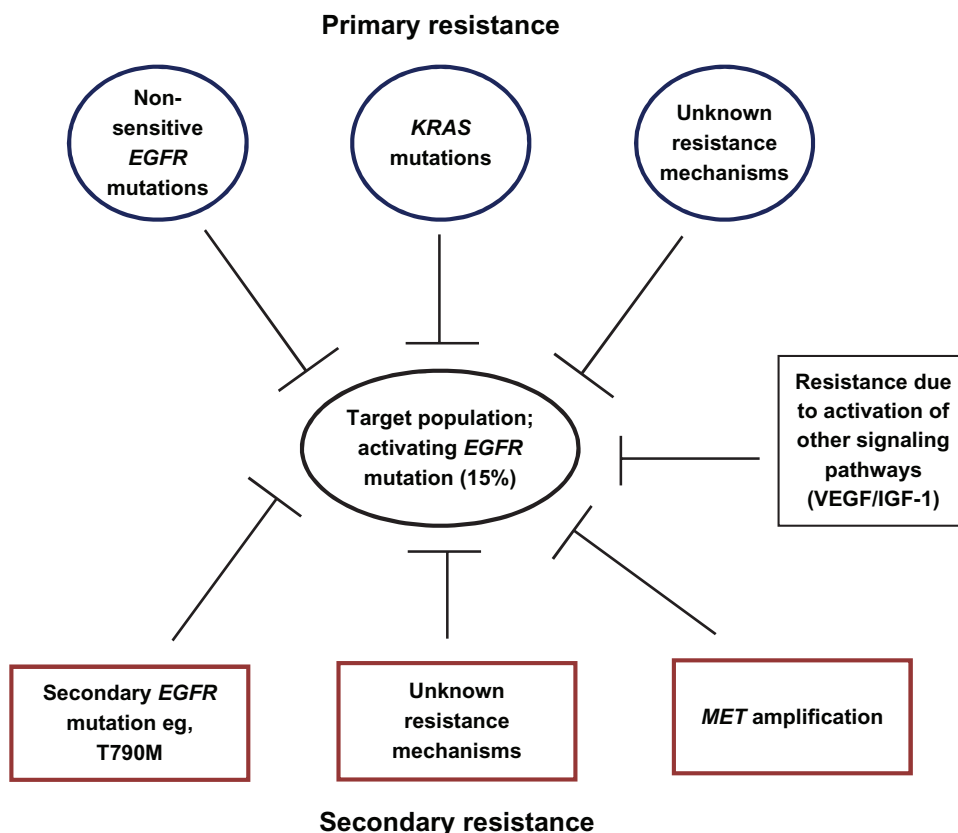


Figure 1 Summary of mechanisms underlying resistance to first-generation EGFR-TKIs.

To overcome resistance and improve on the modest survival benefit conferred by erlotinib and gefitinib, second-generation TKIs are being developed. These include agents which can form irreversible covalent bonds to the EGFR TK domain (in contrast to first-generation TKIs which act via competitive binding with ATP to produce reversible inhibition), therefore theoretically prolonging inhibition of signaling. Many of the new agents are also designed to block multiple EGFR family members, resulting in inhibition of parallel signaling pathways which may be implicated in resistance. EKB-569 is an irreversible EGFR inhibitor which has been investigated in a Phase II study in NSCLC.³² HKI-272 is another irreversible inhibitor targeting EGFR and HER2 and CI-1033 is a pan-HER inhibitor (blocking EGFR, HER2, and HER4). Both agents have been evaluated in Phase II trials in advanced NSCLC but demonstrated minimal efficacy.^{33,34} PF-00299804 also irreversibly inhibits EGFR, HER2, and HER4 and has shown moderate activity in advanced NSCLC.³⁵ Afatinib (BIBW 2992, Tomtovok™, previously Tovok™, Boehringer Ingelheim, Ingelheim, Germany), an irreversible inhibitor of both EGFR and HER2 is the most advanced of the second-generation TKIs in clinical development and pre-clinical and clinical studies have confirmed activity in advanced NSCLC.

Pharmacology and pharmacokinetics of afatinib (BIBW 2992)

Afatinib, an anilino-quinazoline derivative, is a highly selective, potent, and irreversible inhibitor of both EGFR (IC₅₀ 0.5 nM) and HER2 (IC₅₀ 14 nM) tyrosine kinases.³⁶ The chemical structure of afatinib is shown in Figure 2. It has been shown to inhibit EGFR and HER2 phosphorylation and subsequent kinase activity in vitro, in both EGFR mutants resistant to first-generation TKIs and wild-type EGFR and HER2 cell lines. Increased cell death in NSCLC cell lines and tumor regression

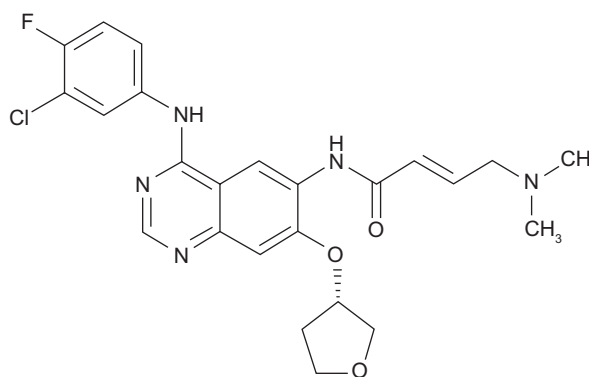


Figure 2 Chemical structure of BIBW 2992 (afatinib).

in mouse xenograft models has also been demonstrated at lower concentrations than erlotinib or gefitinib.^{37,38} Of note, afatinib has been demonstrated to exhibit *in vivo* and *in vitro* activity in the presence of the L858R/T790M double mutation, which models the acquisition of resistance in patients with NSCLC previously responding to TKIs.³⁷

A healthy volunteer study primarily examined the safety and pharmacology of [¹⁴C]-radiolabelled BIBW 2992. This Phase I open-label, single-dose study recruited eight healthy males. Each received a single dose of BIBW 2992 containing 2.25 MBq of [¹⁴C]-radiolabeled BIBW 2992.³⁹ This was well tolerated. Maximum mean plasma concentration (C_{max}) was recorded at 6 hours post-dose and terminal half-life ($t_{1/2}$) at 33.9 hours. The major route of elimination of BIBW 2992 was via the feces and a relatively high apparent total body clearance was determined. These data also suggest the presence of one or more metabolites of BIBW 2992 in plasma and in whole blood with a longer $t_{1/2}$ than BIBW 2992.

In the dose-escalation Phase I studies, patients with advanced solid tumors expressing EGFR and/or HER2 received oral afatinib according to a variety of dosing schedules.^{36,40–42} Pharmacokinetic (PK) evaluation revealed oral bioavailability and moderately fast absorption with t_{max} occurring 1–4 hours post dose.³⁶ Drug absorption was however reduced after food intake, suggesting that afatinib is best administered under fasting conditions.

C_{max} and exposure increased linearly with dose. Steady state was generally achieved following 7–8 days of continuous dosing.^{36,41} All PK parameters displayed moderate-to-high variability within the expected range for orally administered EGFR-TKIs. $t_{1/2}$ fell between 21–43 hours, therefore making afatinib suitable for once-daily dosing. Furthermore, drug clearance parameters were not clearly associated with weight and surface area, thus supporting fixed drug dose administration.

Phase I clinical studies

Initial Phase I studies determined the safety of BIBW 2992 and optimal dosing schedule in advanced solid tumors.

A 14 days on/14 days off, once daily dosing regimen, escalating from 10 mg to 100 mg was investigated by Eskens et al.³⁶ Thirty-eight patients (performance status [PS]: 0–2) with tumors historically considered to express EGFR and/or HER2, refractory to standard therapy were enrolled. This included three patients with NSCLC. Dose limiting toxicity (DLT) included rash, diarrhea, and elevated ALT, and the subsequent recommended dose for future Phase II studies using this schedule was 70 mg per day. The median number of cycles

delivered was 6 (range 5–9). No CR (complete response) or PR (partial response) to treatment was documented yet seven patients achieved SD lasting \geq four cycles.

Two Phase I studies assessed continuous daily dosing with afatinib. Both trials recruited patients with malignancies associated with overexpression of EGFR and or HER2. In the UK study, 53 patients, including 16 with NSCLC were treated with escalating doses of afatinib.⁴¹ DLTs at the 50 mg/day dose included common toxicity criteria (CTC) grade 3 pneumonitis (reversible) and rash and this was subsequently recommended as the Phase II dose. Thirty-four of the patients were evaluable for response. Five achieved a PR; of note, four of these were patients with NSCLC (although in one case the PR was unconfirmed). Of the three confirmed responses, the PR was durable, with patients remaining on treatment for between 18 and 34 months. Furthermore, two of the confirmed PR patients were female ex-smokers, and their tumors were found later to have activating in-frame deletion mutations in exon 19 of the EGFR domain. A further 22 patients were recruited by Agus et al.⁴⁰ At the 60 mg dose, two-thirds of patients treated developed diarrhea, which was considered the DLT. In view of this, the cohort receiving the dose level below (40 mg) was expanded to include a total of 18 patients. Drug pharmacokinetics at interim analysis (nine patients) were comparable to previous trials. No response data are available.

Lewis et al also recommended the 40 mg dose level in a confirmatory Phase I dose escalation study involving 43 patients with advanced refractory disease.⁴² Patients received afatinib on a 21 days on/7 days off schedule, with dose levels between 10 and 65 mg/day. In the expanded 55 mg dose cohort, seven out of 20 patients experienced a DLT. Only two patients enrolled had NSCLC. No PR was detected in the 35 evaluable patients, however 15 (43%) achieved SD and remained on treatment for more than 3 months. Preliminary PK data concur with previous studies.

Combining afatinib with chemotherapeutic agents has also been assessed in the setting of refractory malignancy. Specifically the safety and efficacy of afatinib in combination with cetuximab has also recently been investigated in patients with advanced NSCLC and acquired resistance to EGFR-TKIs.⁴³ Acquired resistance was defined by previous EGFR-TKI therapy and the presence of an *EGFR* sensitizing mutation and/or objective response to this EGFR-TKI treatment, followed by systemic progression of disease whilst receiving EGFR-TKI treatment within the last 30 days, and no intervening systemic therapy between the cessation of EGFR-TKI and initiation of new therapy.⁴⁴ This unique patient cohort

had previously been on treatment with either gefitinib or erlotinib for a median time of 2.4 years prior to trial entry. Patients received 40 mg afatinib daily and an escalating dose of bi-weekly cetuximab at either 250 or 500 mg/m². Patients established on the maximum pre-defined doses of afatinib (40 mg daily) and cetuximab (500 mg/m²) were assessed for response. Disease control was achieved in all of the 22 evaluable patients. Confirmed PR was validated in eight of the 22 patients (PR: 36%, CI: 0.17–0.59). Furthermore of those patients harboring the T790M mutation (n = 13), four PRs (29%) were recorded.

Afatinib has also been investigated in combination with weekly paclitaxel, 3-weekly docetaxel, vinorelbine, cisplatin–paclitaxel, and cisplatin-5FU in advanced solid malignancies. These studies have demonstrated tolerable toxicity and some evidence of clinical efficacy.^{45–48} Phase I combination studies are summarized in Table 1.

Phase II/III clinical studies in NSCLC

The LUX trials are a program of clinical studies investigating afatinib in a range of solid tumor types, with a particular focus on NSCLC.

The LUX-Lung 1 trial was a multicenter Phase IIb/III study comparing afatinib 50 mg orally once daily plus BSC to placebo plus BSC in patients with advanced NSCLC (adenocarcinoma only). Patients who had received prior chemotherapy and at least 12 weeks of erlotinib or gefitinib with a PS 0-1 were deemed eligible.⁴⁹ Five hundred and

eighty-five patients were recruited and randomized 2:1 in favor of the study drug. More than 50% of patients were of Asian origin and approximately two-thirds were never smokers. The primary endpoint of the trial was OS, with secondary endpoints of PFS, RR and QOL.

The median duration of therapy was 10 months and toxicity was manageable with supportive treatments or dose reduction. Side effects reported included diarrhea (all CTC grades in 87%, CTC grade 3 in 17%) and rash (all CTC grades in 79%, CTC grade 3 in 14%). An independently confirmed overall response rate (ORR) of just 7% was reported; however, a significantly higher disease control rate (SD or PR) for afatinib compared to placebo (58% vs 19%; $P < 0.0001$) was demonstrated at 8 weeks. Furthermore a significant improvement in the secondary endpoint of PFS in favor of afatinib was reported (3.3 vs 1.1 months; hazard ratio [HR]: 0.38; $P < 0.0001$).

Importantly, in the management of advanced lung cancer, significant improvements in symptoms of cough, dyspnea, and pain were observed in the afatinib group (11%–20%). All patient outcome scores were estimated from the European Organization for Research and Treatment of Cancer (EORTC) Quality of Life Questionnaire (QLQ)-LC13 and EORTC QLQ-C30. Improvement was defined as symptom scores falling by 10 points below baseline at any time during the study. EORTC cough, dyspnea, and pain endpoints were as pre-specified in the trial protocol.

There was however no improvement in OS between the two arms (10.7 vs 11.9 months; HR: 1.08, 95% CI: 0.86–1.35;

Table 1 Phase I trials combining afatinib with other anti-cancer agents

Study agents	Patients	n (NSCLC)	DLTs	Phase II afatinib dose	Efficacy results
Afatinib 40 mg daily + cetuximab 250–500 mg/m ² bi-weekly ⁴³	NSCLC with acquired resistance to EGFR-TKI	26	Nil		In 22 patients receiving afatinib 40 mg + cetuximab 500 mg/m ² ; SD 22/22 PR 8/22
Afatinib 20–50 mg daily + paclitaxel 80 mg/m ² D1, 8, 15 q28 ⁴⁵	Advanced solid tumors expressing EGFR. PS 0-1	16	Mucositis, fatigue	40 mg	PR = 6 (3 NSCLC) SD = 8
Afatinib 10–160 mg D2–4 + docetaxel 75 mg/m ² q21 ⁴⁶	Advanced solid tumors	40	Neutropenia, nausea, diarrhea	90 mg	PR = 4 CR = 1 SD = 10
Afatinib 20–50 mg daily + vinorelbine 25 mg/m ² D1, 8, 15, 22 q28 ⁴⁷	Advanced solid tumors, PS 0-1	15 (4)	Mucositis, febrile neutropenia, diarrhea	40 mg	n/a
Afatinib 20–50mg daily + A: cisplatin/paclitaxel q21 B: cisplatin/5FU q21 ⁴⁸	Advanced solid tumors	47	Neutropenic sepsis, elevated AST/ALT, asthenia, mucositis, diarrhea	A; 40 mg B; 30 mg	A; PR = 4 SD = 11 B; CR = 1 PR = 3 SD = 8

Abbreviations: DLT, dose-limiting toxicity; SD, stable disease; PR, partial response; CR, complete response; PS, performance status.

$P = 0.74$). As patients frequently went on to receive further systemic anti-cancer therapies when disease progression was confirmed within the trial, it is possible that further therapeutic intervention may have confounded OS results.

The selection criterion for the LUX-1 trial was such that a high proportion of *EGFR* mutation-positive patients were likely to be included. Sub-group analysis identifying this group as those achieving CR/PR on prior EGFR-TKI therapy and/or ≥ 48 weeks on treatment with EGFR-TKI (67% of patients) reported a PFS and OS for those receiving placebo of 1 month and 11.2 months, respectively. Those receiving afatinib however had a significantly prolonged PFS of 4.4 months (HR: 0.28, 95% CI: 0.210–0.362). OS was 11.8 months (HR: 0.90, 95% CI: 0.686–1.176), suggesting only a possible trend towards improved survival.⁵⁰

It should be noted that the relatively high survival in both arms of this study despite the heavily pre-treated nature of the study population, likely reflects the fact that the majority of patients were of good performance status (0–1) and had received benefit from first generation EGFR TKI prior to entry into the trial, as it is recognized that the *EGFR* mutation population tends to have better survival generally.

The Phase III LUX-Lung 2 trial evaluated patients with *EGFR* mutation-positive stage IIIb/IV NSCLC (adenocarcinoma).⁵¹ Patients of PS 0-2 from Taiwan and the US received afatinib, 40 or 50 mg orally once daily until disease progression. In this two stage trial design, only patients having progressed on standard chemotherapy were recruited initially. At a planned interim analysis, 21 of the first 38 patients had a confirmed response after 28 days of treatment and therefore the trial was expanded to also include chemotherapy-naïve patients. One hundred and twenty-nine patients were recruited in total. The ORR, the primary endpoint of the study, was 57%. Disease-control was confirmed in 86% of patients. Median PFS and OS were 14 and 24 months, respectively. Afatinib was generally well tolerated with CTC grade 3 diarrhea and rash being the most serious toxicities reported.

The majority of patients had del19 or L858R mutations (82%) and clinical outcomes were similar for both these groups. In a subgroup analysis of 23 (17.8%) patients who had less common *EGFR* mutations, an ORR of 70% and disease stabilization rate of 90% was reported, suggesting that this group is also sensitive to afatinib. Patients with exon 20 insertions had shorter PFS and OS compared to those with other less common mutations (13.7 vs 2.8 months and 22 vs 9.2 months, respectively). The one patient with an L858R/T790M mutation did not respond.⁵²

A further Japanese Phase II study recently reported encouraging response rates to afatinib therapy in patients with heavily pre-treated stage IIIb/IV NSCLC.⁵³ For inclusion, patients were PS 0-1 and could have received 1–2 lines of prior chemotherapy in addition to receiving more than 12 weeks of EGFR-TKI therapy. The primary endpoint was ORR. Sixty-two patients, of whom 73% were *EGFR* mutation positive received afatinib 50 mg daily. Disease control for more than 8 weeks was confirmed in 67%, with 8.2% achieving a PR. The median PFS was 4.6 months. Overall 82% of these patients met the definition of “acquired EGFR-TKI resistance” and in this subset a 5.9% PR was demonstrated, with a disease control rate (DCR) of 69% and median PFS of 4.4 months. Afatinib was well tolerated and demonstrated possible efficacy in increasingly resistant disease.

Other global clinical trials currently evaluating afatinib's role in the management of advanced NSCLC are listed in Table 2.

Safety and tolerability of afatinib

The clinical studies to date indicate that afatinib is well tolerated with most adverse events (AEs) reported as mild-to-moderate (NCI-CTC grade 1 or 2). Gastrointestinal toxicity (most commonly diarrhea, but also nausea, vomiting, and mucositis) and fatigue were observed to be similar to those seen with other TKIs and were generally self-limiting or adequately controlled by appropriate medication. Specifically, diarrhea was reported in 87% and 95% of patients in the LUX-Lung 1 and 2 trials.^{49,51} Cutaneous toxicity including rash, dry skin, acne, and folliculitis, was common but again generally mild and self-limiting. Rash/acne was observed in 78% and 91% of patients in the LUX-Lung 1 and 2 trials, respectively; however, grade 3 skin toxicity only affected fewer than 20% of patients.^{49,51} Occasional liver enzyme elevation was noted and appeared to be dose-dependent.³⁶ DLTs in the Phase I trials included grade 3 rash, diarrhea, and elevation of alanine transaminase (ALT).

Because of the known expression of HER2 on cardiac myocytes, normal cardiac function was required for inclusion in the Phase I studies and was subsequently monitored throughout; in the first Phase I trial two patients had asymptomatic reduction in LVEF,³⁶ but this was not demonstrated in subsequent trials and no causal link has been established. Additionally, no drug-induced QTc prolongation has been observed compared to baseline in a recent Phase II open-label study of 60 patients (35% NSCLC) treated with afatinib 50 mg daily.⁵⁴ Similarly, patients with pre-existing interstitial lung disease were excluded from these trials and only one case of pneumonitis which was reversible was reported.

Table 2 Summary of current NCI trials evaluating the role of afatinib in NSCLC³²

Trial	Agents	Location	Design	Participants/schedule	I° endpoint	2° endpoint
LUX-Lung 3 NCT00949650	Afatinib vs cisplatin/pemetrexed as 1st line treatment for lung adenocarcinoma with <i>EGFR</i> mutation	EU, USA, Canada	Randomised Phase III	Stage IIIB/IV adenocarcinoma, <i>EGFR</i> mutation, chemotherapy and <i>EGFR</i> TKI naïve	PFS	RR, OS, HRQOL, safety
LUX-Lung 5 NCT01085136	Afatinib + weekly paclitaxel vs investigator's choice chemotherapy, following progression on afatinib	Global	Randomised Phase III	Stage IIIB/IV NSCLC, failed <i>EGFR</i> TKI and ≥ 1 line of chemotherapy	OS	RR, PFS, HRQOL, safety
LUX-Lung 6 NCT01121393	Afatinib vs cisplatin/gemcitabine as 1st line treatment for lung adenocarcinoma with <i>EGFR</i> mutation	China, Korea	Randomised Phase III	Stage IIIB/IV, adenocarcinoma, <i>EGFR</i> mutation, chemotherapy and <i>EGFR</i> TKI naïve	PFS	RR, OS, HRQOL, safety
NCT01003899	Afatinib as 3rd line treatment for lung adenocarcinoma with wild-type <i>EGFR</i>	Korea	Phase II	Stage IIIB/IV, adenocarcinoma, wild-type <i>EGFR</i> , progressed on ≥ 2 prior chemotherapies	RR	PFS, safety Exploratory biomarkers
NCT00993499	Afatinib + sirolimus in NSCLC with <i>EGFR</i> mutation and/or prior response to erlotinib	Spain	Phase I	Stage IIIB/IV NSCLC with <i>EGFR</i> mutation and/or previous response to erlotinib, failed ≥ 1 prior chemotherapies	MTD and Phase II dose	Safety, PK analysis, RR
NCT01156545	Afatinib + simvastatin vs afatinib alone for non-adenocarcinoma NSCLC	Korea	Randomised Phase II	Stage IIIB/IV non-adenomatous NSCLC, progressed ≥ 1 prior chemotherapies	RR	PFS, OS, safety, biomarker analysis

Abbreviations: PFS, progression-free survival; OS, overall survival; RR, response rate; HRQOL, health related quality of life; MTD, maximum tolerated dose.

Patient perspectives

Despite afatinib's relatively early stage in drug development, trials demonstrate activity in advanced NSCLC, especially for those patients likely to be harboring sensitizing *EGFR* mutations. The LUX-Lung 1 trial demonstrated significant improvements in QOL and PFS in patients with advanced NSCLC though this has not yet translated into improved OS. Maintaining QOL and improving respiratory symptoms is the cornerstone of palliative treatment in advanced disease and therefore afatinib may represent a novel treatment strategy in this setting with a clear underlying rationale at the molecular level.

Targeted systemic therapies in general are perceived as less toxic than standard chemotherapy and therefore more acceptable. Furthermore as an oral agent, compliance with drug treatment is likely to be high. Afatinib AEs are generally predictable and there is significant overlap in side effects and their management with the first-generation *EGFR*-TKIs. In the LUX-Lung 2 trial however, 42.9% patients required a dose reduction to 40 mg, 11% to 30 mg and one discontinued due to severe AEs. These data suggest regular toxicity assessment and aggressive management of AEs is required for patients to ensure maximal benefit from therapy.

In the LUX-Lung 1 trial, the majority of patients had good performance status (PS 0-1), and therefore may not be truly representative of the population of advanced lung cancer patients where third-line therapy is frequently considered inappropriate. Currently there are no FDA-approved therapies for patients with advanced NSCLC who progress following chemotherapy and become refractory to first-generation *EGFR*-TKIs. Afatinib may provide a therapeutic option for this selected population. As more trials report, it will become clear which patient subgroups will benefit most and the optimal timing for afatinib therapy in the evolving treatment algorithm for advanced NSCLC.

Afatinib is currently unlicensed; however, a number of registration trials are ongoing. Outside clinical trials, afatinib is available as part of an expanded access scheme, allowing compassionate use of the drug in patients of PS 0-2, having previously received both a standard platinum-containing chemotherapy regimen and a first generation *EGFR*-TKI.

Conclusion

Advanced NSCLC is an aggressive disease with limited therapeutic options. Recent advances however have highlighted the importance of considering tumor histology in the

treatment decision-making algorithm. In the first-line setting, not only does the adenocarcinoma subgroup have improved OS with pemetrexed-based doublet chemotherapy, but those with proven *EGFR* mutations have superior outcomes with *EGFR*-TKI therapy. Targeting *EGFR* mutant positive patients has been a paradigm shift in patient management improving response and PFS using *EGFR*-TKIs compared to standard chemotherapy. *EGFR* testing has now become routine for non-squamous advanced NSCLC. Despite these successes, a proportion of patients remain refractory to therapy, and those that do respond, ultimately develop resistance and disease relapse. For these patients, therapeutic options to date, especially in the third-line setting offer little additional benefit above BSC.

Drug development to overcome these mechanisms of resistance has resulted in the development of a number of novel agents. Afatinib as an irreversible dual kinase inhibitor presents a potential molecular solution which is currently being evaluated clinically.

Pre-clinical/early phase trials provide evidence of disease activity and tolerability (with toxicity due to inhibition of wild-type *EGFR* reported as mild-to-moderate and similar to first-generation *EGFR*-TKIs), though data as yet is limited. The LUX-Lung trials suggest that afatinib may be able to overcome acquired resistance to reversible *EGFR*-TKIs and that it may be potentially as effective as erlotinib or gefitinib as first-line treatment for patients with *EGFR* mutation. For selected patients, afatinib offers symptomatic improvement and prolonged PFS, though this has not yet translated into improved OS.

For those patients considered fit enough for third-line therapy, especially those with proven *EGFR* sensitizing mutations, afatinib as part of an expanded access scheme is now available. The drug however remains unlicensed. Future studies assessing the combination of afatinib plus chemotherapy or afatinib plus additional targeted therapy may increase efficacy. The portfolio of LUX trials will determine the optimal timing for treatment with afatinib in advanced NSCLC and identify those who will benefit most.

In this evolving era of personalized medicine, overcoming resistance using more sophisticated targeted agents will hopefully lead to eventual significant improvements in not only quality of life and PFS but also OS in advanced NSCLC.

Disclosure

The authors report no conflicts of interest in this work.

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