

#### ORIGINAL RESEARCH

# Mortality associated with bone fractures in **COPD** patients

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Background and objective: COPD is well known to frequently coexist with osteoporosis. Bone fractures often occur and may affect mortality in COPD patients. However, in-hospital mortality related to bone fractures in COPD patients has been poorly studied. This retrospective study investigated in-hospital mortality of COPD patients with bone fractures using a national inpatient database in Japan.

Methods: Data of COPD patients admitted with bone fractures, including hip, vertebra, shoulder, and forearm fractures to 1,165 hospitals in Japan between July 2010 and March 2013, were extracted from the Diagnosis Procedure Combination database. The clinical characteristics and mortalities of the patients were determined. Multivariable logistic regression analysis was also performed to determine the factors associated with in-hospital mortality of COPD patients

**Results:** Among 5,975 eligible patients, those with hip fractures (n=4,059) were older, had lower body mass index (BMI), and had poorer general condition than those with vertebral (n=1,477), shoulder (n=281), or forearm (n=158) fractures. In-hospital mortality was 7.4%, 5.2%, 3.9%, and 1.3%, respectively. Among the hip fracture group, surgical treatment was significantly associated with lower mortality (adjusted odds ratio, 0.43; 95% confidence interval, 0.32-0.56) after adjustment for patient backgrounds. Higher in-hospital mortality was associated with male sex, lower BMI, lower level of consciousness, and having several comorbidities, including pneumonia, lung cancer, congestive heart failure, chronic liver disease, and chronic renal failure.

Conclusion: COPD patients with hip fractures had higher mortality than COPD patients with other types of fracture. Surgery for hip fracture was associated with lower mortality than

**Keywords:** COPD, hip fractures, in-hospital mortality, surgical treatment

#### Introduction

COPD is the third leading cause of death worldwide. COPD is often accompanied by multiple comorbidities that are associated with systemic inflammation related to COPD and affect mortality in COPD patients.<sup>2</sup> Osteoporosis is one of the major comorbidities in COPD<sup>3,4</sup> and is reported to be associated with poor health status in COPD patients.<sup>2</sup> Osteoporosis leads to a greater risk of bone fractures, including hip, vertebral, shoulder, and forearm fractures. Hip fracture is the most common type of fracture in elderly patients<sup>5</sup> and is a well-known risk factor for increased mortality.<sup>6-9</sup> Previous studies have reported that hip fractures, as well as vertebral and shoulder fractures, were associated with increased mortality in the general population.<sup>7–10</sup>

COPD patients have bone fragility because of osteoporosis and weakness of skeletal muscle related to reduced physical activity. These patients have been encouraged to improve their physical activity,11,12 as physical activity has been reported to reduce

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all-cause mortality in COPD patients.<sup>13</sup> However, physical activities may increase the risk of falls and fall-related injuries. Several studies have also demonstrated an association between mortality and chronic respiratory disease in patients with hip fractures, <sup>14–16</sup> but previous studies investigating mortality related to hip fractures in COPD patients have been limited because of small sample sizes.<sup>17,18</sup>

To our knowledge, there have been no studies of mortality related to bone fractures, including hip, vertebral, shoulder, and forearm fractures, in COPD patients. This study investigated the clinical characteristics and mortality of COPD patients with bone fractures using a national inpatient database in Japan. In addition, the factors associated with in-hospital mortality of COPD patients with hip fractures were investigated.

#### **Methods**

#### **Database**

The Diagnosis Procedure Combination database, a national inpatient database in Japan, includes administrative claims data and hospital discharge data. <sup>19</sup> The Diagnosis Procedure Combination database includes data on the following:

primary diagnosis on admission; comorbidities present on admission; complications occurring during hospitalization, recorded with the *International Classification of Disease and Related Health Problems, 10th Revision (ICD-10)* codes accompanied by text data in Japanese; patient discharge status including outcomes; and operative procedures during hospitalization. The database also contains the following information on admission: patient age and sex; body height and weight; level of consciousness based on the Japan Coma Scale; and activities of daily life represented by the Barthel Index.

This study was approved by the Institutional Review Board of the University of Tokyo. The board waived the requirement for patients' informed consent because of the anonymous nature of the data.

#### Patient selection

We retrospectively collected data for patients aged 40 years and older who were admitted to hospital with bone fracture as the main diagnosis on admission, had a diagnosis of COPD, and were discharged between July 1, 2010 and March 31, 2013 (Figure 1). Bone fracture on admission was identified

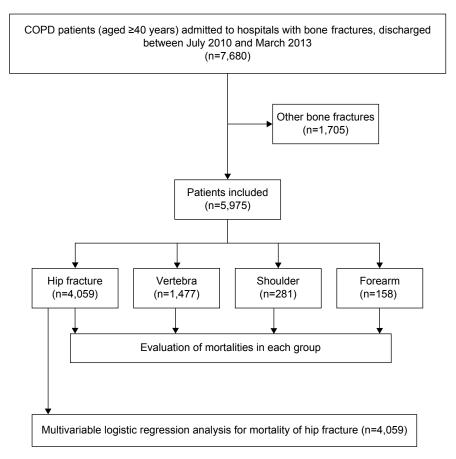


Figure 1 Flowchart showing the inclusion and exclusion criteria of this study.

with ICD-10 codes. Hip fracture included fracture of the proximal femur (S720, S721, and S722). Vertebral fracture included fracture of the thoracic and lumbar vertebra (S220, S221, and S320). Shoulder fracture included fracture of the clavicle, scapula, and proximal humerus (S420, S421, and S422). Forearm fracture included fracture of the distal forearm (S525 and S526). The diagnosis of COPD was based on physician-diagnosed COPD, and having COPD on admission was identified with ICD-10 codes (J41, J42, J43, and J44). Patients who were injured in traffic accidents were excluded (V01x–V99x).

#### **Outcomes**

The primary outcome of this study was all-cause in-hospital mortality.

# Statistical analysis

We used the  $\chi^2$  test to compare proportions, analyses of variance followed by a post hoc Bonferroni test to compare mean values, and the Kruskal–Wallis test to compare median values between groups. Multivariable logistic regression analysis was used to assess the association between patient-level factors and mortality after adjustment for within-hospital clustering by means of a generalized estimation equation. The threshold for significance was P < 0.05. Statistical analyses were performed using SPSS Statistics for windows, version 22.0 (IBM Corp., Armonk, NY, USA).

#### **Results**

#### Patient characteristics on admission

A total of 5,975 COPD patients (aged  $\geq$ 40 years) were admitted to the hospital with bone fractures, 4,059 (67.9%) with a hip fracture, 1,477 (24.7%) with a vertebral fracture, 281 (4.7%) with a shoulder fracture, and 158 (2.6%) with a forearm fracture. Patient characteristics are listed in Table 1. The mean age of the COPD patients with a bone fracture was 81.2 years, and mean body mass index (BMI) was 19.7 kg/m². Patients with hip fractures were significantly older (83.2 years), had lower BMI (19.3 kg/m²), and had poorer general condition than those with other types of fracture. Patients with a forearm fracture were significantly younger, had normal BMI, and were in better condition compared with the other groups.

Comorbidities on admission are listed in Table 2. Major comorbidities in COPD patients with bone fracture were pneumonia, asthma, congestive heart failure, ischemic heart disease, and cerebrovascular disease. The proportions of pneumonia, congestive heart failure, and cerebrovascular disease were higher in the hip fracture group than the other fracture groups.

The clinical course and outcomes are listed in Table 3. Mortality in the hip, vertebral, shoulder, and forearm groups was 7.4%, 5.2%, 3.9%, and 1.3%, respectively. Length of hospital stay in the hip fracture group was significantly longer than in the other fracture groups. In the hip fracture group,

Table I Clinical characteristics of COPD patients on admission

	Total		Hip		Vertebra		Shoulder		Forearm		P-value
	n=5,975	(%)	n=4,059	(%)	n=1,477	(%)	n=281	(%)	n=158	(%)	
Age (years) <sup>a</sup>	82.1 (8.2)		83.2 (7.9)		80.7 (7.5)		77.4 (10.2)		75.3 (9.0)		<0.001
40–79	1,874	(31.4)	1,069	(26.3)	567	(38.4)	136	(48.4)	102	(64.6)	
≥80	4,101	(68.6)	2,990	(73.7)	910	(61.6)	145	(51.6)	56	(35.4)	
Sex											< 0.001
Male	3,122	(52.3)	2,087	(51.4)	845	(57.2)	150	(53.4)	40	(25.3)	
Female	2,853	(47.7)	1,972	(48.6)	632	(42.8)	131	(46.6)	118	(74.7)	
BMI (kg/m²) <sup>a</sup>	19.7 (3.7)		19.3 (3.6)		20.2 (3.9)		20.7 (3.9)		22.0 (4.3)		< 0.001
<18.5	2,198	(36.8)	1,609	(39.6)	478	(32.4)	82	(29.2)	29	(18.4)	
18.5-24.9	2,753	(46.1)	1,814	(44.7)	701	(47.5)	145	(51.6)	93	(58.9)	
≥25.0	440	(7.4)	227	(5.6)	152	(10.3)	31	(11.0)	30	(19.0)	
Missing	584	(9.8)	409	(10.1)	146	(9.9)	23	(8.2)	6	(3.8)	
Level of consciousness											< 0.001
Clear	5,410	(90.6)	3,601	(88.7)	1,392	(94.2)	263	(93.6)	154	(97.5)	
Drowsy	533	(8.9)	431	(10.6)	81	(5.5)	17	(6.0)	4	(2.5)	
Coma	31	(0.5)	26	(0.6)	4	(0.3)	1	(0.4)	0	(0.0)	
Activities of daily living											< 0.001
Independent	1,067	(17.9)	406	(10.0)	383	(25.9)	162	(57.7)	116	(73.4)	
Dependent	3,654	(61.2)	2,762	(68.0)	796	(53.9)	73	(26.0)	23	(14.6)	
Missing	1,254	(21.0)	891	(22.0)	298	(20.2)	46	(16.4)	19	(12.0)	

Notes: \*Data expressed as mean (standard deviation). Missing refers to lack of data.

Abbreviation: BMI, body mass index.

Table 2 Patient comorbidities on admission

	Total		Hip		Vertebra		Shoulder		Forearm		P-value
	n=5,975	(%)	n=4,059	(%)	n=1,477	(%)	n=281	(%)	n=158	(%)	
Pneumonia	500	(8.4)	389	(9.6)	94	(6.4)	14	(5.0)	3	(1.9)	<0.001
Asthma	692	(11.6)	437	(10.8)	196	(13.3)	31	(11.0)	28	(17.7)	0.005
Interstitial pneumonia	97	(1.6)	56	(1.4)	38	(2.6)	3	(1.1)	0	(0.0)	0.005
Lung cancer	184	(3.1)	108	(2.7)	65	(4.4)	9	(3.2)	2	(1.3)	0.005
Congestive heart failure	674	(11.3)	496	(12.2)	151	(10.2)	19	(6.8)	8	(5.1)	0.001
Ischemic heart disease	605	(10.1)	411	(10.1)	143	(9.7)	27	(9.6)	24	(15.2)	0.183
Arrhythmia	302	(5.1)	223	(5.5)	62	(4.2)	10	(3.6)	7	(4.4)	0.149
Cerebrovascular disease	569	(9.5)	419	(10.3)	120	(8.1)	20	(7.1)	10	(6.3)	0.018
Chronic liver disease	88	(1.5)	61	(1.5)	24	(1.6)	0	(0.0)	3	(1.9)	0.199
Chronic renal failure	149	(2.5)	115	(2.8)	28	(1.9)	5	(1.8)	1	(0.6)	0.074

3,220 (79.3%) patients received surgical treatment. Mortality in the surgically treated hip fracture group was 5.3%, while that in the conservatively treated hip fracture group was 15.4%.

The results of the multivariable logistic regression analysis of factors associated with mortality in COPD patients with hip fractures are listed in Table 4. Higher mortality was associated with male sex, lower BMI, and lower level of consciousness on admission. Surgical treatment of hip fracture was associated with lower mortality compared with conservative treatment (adjusted odds ratio, 0.43; 95% confidence interval, 0.32–0.56; *P*<0.001). Comorbidities of pneumonia, interstitial pneumonia, lung cancer, congestive heart failure, chronic liver disease, and chronic renal failure were also associated with higher mortality in COPD patients with hip fracture.

### **Discussion**

This retrospective study, using data from a national inpatients database in Japan, established that COPD patients with hip fractures had higher in-hospital mortality than COPD patients with other types of bone fractures. Hip fracture patients were also older and had lower BMI than patients with other types of fracture. In the hip fracture group, patients who underwent

surgical treatment had significantly lower mortality than those who received conservative treatment. Higher mortality was associated with male sex, lower BMI, lower level of consciousness on admission, and several comorbidities.

Previous studies have shown that hip fractures were associated with increased mortality in elderly patients;<sup>5-8</sup> COPD was also reported to be associated with increased mortality in patients with hip fracture. 14-17 Thus, mortality associated with hip fractures in COPD patients was expected to be high, and this was confirmed in this study. In-hospital mortality of COPD patients with a vertebral fracture was also higher than in patients with shoulder or forearm fractures. Vertebral fractures have also been reported to be associated with increased mortality in the general population.9 A previous study demonstrated that long-term mortality in spine fracture patients was as high as that of patients with hip fractures. 10 Another recent study reported that vertebral fractures in COPD patients were associated with an increase in long-term mortality.<sup>20</sup> These findings suggest that COPD patients should take care to prevent osteoporotic fractures, particularly hip and vertebral fractures.

Our study demonstrated that the prevalence of hip fracture was more frequent in male COPD patients than female COPD patients. However, hip fracture is well known to be

Table 3 Clinical course and outcomes

	Total		Hip		Vertebra		Shoulder		Forearm		P-value
	n=5,975	(%)	n=4,059	(%)	n=1,477	(%)	n=281	(%)	n=158	(%)	
Death	389	(6.5)	299	(7.4)	77	(5.2)	11	(3.9)	2	(1.3)	<0.001
Length of stay, days <sup>a</sup>	31 (20-50)		34 (22-53)		29 (19–47)		23 (12–36)		12 (5–12)		< 0.001
With surgery	3,476	(58.2)	3,220	(79.3)	63	(4.3)	165	(58.7)	88	(55.7)	< 0.001
Death <sup>b</sup>	177	(5.1)	170	(5.3)	3	(4.8)	4	(2.4)	0	(0.0)	0.055
Without surgery	2,499	(41.8)	839	(20.7)	1,474	(95.7)	116	(41.3)	70	(44.3)	
Death <sup>c</sup>	212	(8.6)	129	(15.4)	74	(5.2)	7	(6.1)	2	(2.9)	< 0.001

Notes: <sup>a</sup>Median (interquartile range); <sup>b</sup>percentage among patients with surgery; <sup>c</sup>percentage among patients without surgery.

**Table 4** Multivariable logistic regression analysis of COPD patients with hip fractures (n=4,059)

	aOR	95% CI	P-value
Age (years)			
40–79	Ref		
≥80	1.21	0.89-1.66	0.229
Sex			
Male	Ref		
Female	0.42	0.32-0.56	< 0.001
BMI (kg/m <sup>2</sup> )			
<18.5	2.10	1.58-2.79	< 0.001
18.5-24.9	Ref		
≥25.0	0.63	0.27-1.45	0.276
Missing	2.47	1.64-3.70	< 0.001
Level of consciousness			
Clear	Ref		
Drowsy	1.36	0.91-2.03	0.137
Coma	3.70	1.36-10.07	0.010
Activity of daily living			
Independent	Ref		
Dependent	1.12	0.71-1.78	0.954
Missing	0.94	0.55-1.58	0.805
Surgery with anesthesia			
Yes	0.43	0.32-0.56	< 0.001
Comorbidities			
Pneumonia	4.65	3.47-6.23	< 0.001
Asthma	1.04	0.64-1.68	0.713
Interstitial pneumonia	4.47	2.12-9.41	< 0.001
Lung cancer	2.47	1.33-4.59	0.004
Congestive heart failure	1.95	1.40-2.72	< 0.001
Ischemic heart disease	1.41	0.95-2.09	0.090
Arrhythmia	1.45	0.89-2.38	0.140
Cerebrovascular disease	0.84	0.52-1.36	0.480
Chronic liver disease	2.60	1.17-5.77	0.019
Chronic renal failure	1.88	1.00-3.53	0.050

Note: Missing refers to lack of data.

**Abbreviations:** aOR, adjusted odds ratio; Cl, confidence interval; Ref, reference; BMI, body mass index.

more frequent in females than males in general population.<sup>5,21</sup> This discrepancy might be explained based on the frequency of male COPD patients and the existence of osteoporosis as one of the comorbidities of COPD. Our previous study demonstrated that males were four times more likely to suffer from COPD than females.<sup>22</sup> Furthermore, COPD frequently coexists with osteoporosis, which leads to bone fracture. Thus, hip fracture in male COPD patients might be more frequent than in female COPD patients.

This study demonstrated that mortality of hip fracture patients who underwent surgery was 5.3%. A previous study demonstrated that short-term mortality of surgically treated hip fracture patients in general populations was around 1%–2%,<sup>23</sup> whereas that in COPD patients was reported to be around 12%;<sup>18</sup> this indicates that surgical treatment for hip fracture in patients with COPD had more perioperative

complications and higher mortality.<sup>18</sup> The mortality in this study was higher than that reported in the general population, and compatible with previous findings.<sup>18</sup>

This study also demonstrated that mortality in COPD patients with hip fractures who underwent surgery was significantly lower than that of patients who underwent conservative treatment (15.4%), after adjustment for patient backgrounds. A previous report demonstrated that urgent scheduling of hip fracture surgery in COPD patients was associated with lower mortality, <sup>18</sup> and it was confirmed by this study. To the best of our knowledge, there are no previous reports regarding mortality in conservatively treated hip fractures in COPD patients. However, this study indicates that surgical treatment should be recommended for COPD patients with hip fractures, rather than conservative treatment.

Mortality in COPD patients with hip fractures was also found to be associated with several comorbidities, including pneumonia, interstitial pneumonia, lung cancer, congestive heart failure, and chronic liver disease. Previous studies demonstrated that congestive heart failure and poor renal function were associated with higher mortality in patients with hip fractures, <sup>16,18</sup> and these findings were consistent with this study. Therefore, treatments for comorbid diseases may be crucial for the management of COPD patients to reduce the risk of bone fractures in such patients.

#### Limitations

There are several limitations in this study. First, our data-base does not contain parameters of pulmonary function tests, which could evaluate airflow limitation and indicate the severity of COPD. Therefore, we could not evaluate the association between mortality and severity of COPD. Second, the database does not contain information related to osteoporosis, such as bone mineral density and treatment. Therefore, we could not investigate the association between mortality and osteoporosis in COPD.

#### Conclusion

In conclusion, mortality was highest in COPD patients with hip fractures, followed by those with vertebral fractures. Surgically treated hip fractures were associated with lower mortality in COPD patients, compared with conservative treatment. Pneumonia and congestive heart failure were also associated with higher mortality in COPD patients with hip fracture. The study indicates that COPD patients should take care to prevent bone fractures, especially hip and vertebral fractures; nevertheless, such patients are generally encouraged to maintain or increase physical activities to improve their prognosis.

# **Acknowledgments**

This work was supported in part by grants-in-aid for scientific research from the Ministry of Education, Science, Sports, Culture and Technology of Japan (YY, 15K09211; TN, 16H02653), Grant to the Respiratory Failure Research Group, and Grants for Research on Policy Planning and Evaluation from the Ministry of Health, Labor, and Welfare, Japan (grant numbers: H28-Policy-Designated-009 and H27-Policy-Strategy-011).

#### **Disclosure**

The authors report no conflicts of interest in this work.

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