

12-month effects of incretins versus SGLT2-Inhibitors on cognitive performance and metabolic profile. A randomized clinical trial in the elderly with Type-2 diabetes mellitus

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Aim: The aim of the present study is to examine the effects on cognitive performance, anthropometric measures, and metabolic markers in 2 different treatments: Incretins vs sodium-glucose co-transporter-2 inhibitors (SGLT2-I).

Materials and methods: A randomized controlled clinical trial was carried out on 39 elderly subjects (23 men and 16 women) with type 2 diabetes mellitus, with a mean age of 77.21±8.07 years. Body mass index (BMI) of 29.92±4.31 kg/m² and a cognitive status measured by a Mini Mental State Examination (scores >27 points). The subjects were on a 3-month treatment with a maximal dose of metformin as a stable regime, with the addition of incretins (liraglutide at doses of up to 1.8 mg/d; vildagliptin at 100 mg/d; sitagliptin 100 mg/d; and linagliptin 5 mg/d), or SGLT2-I (canagliflozin 300 mg/d; empagliflozin 25 mg/d; and dapagliflozin 10 mg/d). Glucose control was monitored by fasting glucose and glycosylated hemoglobin. Cognitive performance (by way of Verbal Fluency Test, Attentive Matrices Test, and Babcock Story Recall Test), anthropometric measures, and plasma lipids were also evaluated.

Results: Cognitive status did not change significantly during the 12 months of treatment in either group: Verbal Fluency Test (SGLT2-I: $P=1.00$, incretins: $P=0.598$); Babcock Story Recall Test (SGLT2-I: $P=0.391$; incretins: $P=0.351$); and Attentive Matrices Test (SGLT2-I: $P=0.679$, incretins: $P=0.901$). SGLT2-I also resulted in a reduction in weight (-1.95 kg; $P<0.05$), in BMI (-0.69 kg/m²; $P<0.05$) and an increase in high-density lipoprotein cholesterol (+5.73 mg/dl; $P<0.01$).

Conclusion: Preliminary data show that patients treated with incretins and SGLT2-I have not suffered a reduction in cognitive performance during the 1 year of treatment. Metabolic outcome seemed to benefit, in particular, in patients who were treated with SGLT2-I.

Keywords: SGLT-2 inhibitors, incretins, cognitive performance, cognitive impairment, metabolic outcome

Introduction

Type 2 diabetes mellitus (T2DM) is a common and complex metabolic disease that can have devastating effects on multiple organs in the body. The deleterious effects of diabetes on the cardiovascular, renal, retinal, and peripheral nervous systems are widely acknowledged.^{1,2}

Less attention has been given to the effect of diabetes on cognitive function. Patients with diabetes mellitus have been found to have cognitive deficits that can be attributed to their disease, so cognitive decline and dementia are now recognized and investigated as diabetes-related complications.³⁻⁶

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The relation between diabetes and cognitive dysfunction in patients with diabetes has not been completely elucidated. Individuals with diabetes are ~1.5 times more likely to experience cognitive decline and frank dementia than individuals without diabetes.⁷

Many studies demonstrated that diabetic elders with normal cognition have poorer cognitive outcomes than non-diabetic elders and that diabetic elders receiving diabetes treatment demonstrate better outcomes than those not receiving treatment.⁸

According to Action to Control Cardiovascular Risk in Diabetes trial, higher HbA1c levels are associated with lower cognitive function in individuals with diabetes and an improved glycemic control in the elderly patient with diabetes may have beneficial effects on cognitive functions.^{9,10} Many hypotheses with supporting evidence exist, including potential causative roles for hyperglycemia, vascular disease, hypoglycemia, insulin resistance, inflammatory cytokines, and oxidative stress. The cause of cognitive dysfunction in patients with diabetes is likely a combination of these factors, depending on the patient's type of diabetes, comorbidities, age, and type of therapy.¹¹⁻¹³

Several diabetes therapies are currently available; among them, 2 new treatments have been recently developed for T2DM: Incretins and sodium-glucose co-transporter-2 inhibitors (SGLT2-I).

Incretin mimetics (Glucagone-like peptide-1 [GLP-1] analogs] and enhancers (dipeptidyl peptidase-4 [DPP-4] inhibitors) are 2 classes of therapeutic agents for the treatment of T2DM.

Incretins are a group of metabolic hormones released during meals from gut endocrine cells. They stimulate insulin secretion in a glucose-dependent manner, delay gastric emptying, and suppress appetite. Incretins hormones are rapidly inactivated by the enzyme DPP-4 produced in the intestines. The effect of incretins is severely reduced in patients with T2DM, therefore, 2 pharmacological approaches have been taken to enhance the incretin action. One approach is to administer GLP-1 analogs that are not broken down by DPP-4. The other one is to inhibit DPP-4 activity.¹⁴⁻¹⁶

Incretin-based therapy appears to be associated with a reduction in HbA1c, especially with long-acting GLP-1 receptor agonists, reduction in blood pressure, and improvement in lipid profile. Furthermore, incretins have shown a very low risk of hypoglycemia. The injectable GLP-1 receptor agonists also reduce body weight whereas the DPP-4 inhibi-

tors are weight neutral. Weight reduction mainly reflects loss of abdominal visceral fat.¹⁷⁻²⁰

Diabetes is a risk factor for worsening cognitive health. Studies that have investigated the effects of incretins on cognition have proved to be beneficial.

DPP-4 inhibitors administration in older patients with T2DM protects against worsening cognitive function.^{21,22}

Moreover, in animal studies, GLP-1 analogs have been shown to prevent cognitive impairment in T2DM models.²³⁻²⁵

Beyond incretins, SGLT2-I represent a newly developed class of oral anti-diabetic drugs with a unique mechanism of action.²⁶

SGLT2 is a glucose transporter located in the proximal tubule in the kidneys. It is responsible for 90% of glucose reabsorption. Inhibition of SGLT2 decreases blood glucose due to the increase in renal glucose excretion.^{27,28}

The efficacy and safety of SGLT2-I have been investigated in several trials showing improved glucose control with a decrease in HbA1c, a reduction in body weight and a reduction in systolic and diastolic blood pressure. Moreover, all SGLT2-I modestly increase high-density lipoprotein (HDL)-cholesterol levels and are effective in improving cardiometabolic markers.²⁹⁻³²

There are few studies in vivo that have evaluated the efficacy of SGLT2-I on cognitive status.

An experimental study was performed to investigate the effects of long-term empagliflozin treatment on cognitive dysfunction in mice. Empagliflozin significantly prevented the progression of cognitive impairment in diabetic mice. The improvement of cognitive function seems to be attributed to the attenuation of oxidative stress.³³

Given this background, the aim of the present study is to examine the effects of 2 different treatments: Incretins vs SGLT2-I on cognitive impairment and metabolic outcomes, such as glycemic and lipid profile, and anthropometric outcomes.

Materials and methods

Study participants

The study was performed following approval by the Ethics Committee of the Department of Internal Medicine and Medical Therapy of the University of Pavia (Reg. no. IT01001). Subjects gave their written informed consent to the study.

We evaluated elderly (>65 years of age) men and women with T2DM admitted to the outpatient setting of Azienda Servizi alla Persona, Istituto Santa Margherita Hospital in Pavia, between January 2016 and March 2017.

A detailed medical history of T2DM was obtained and included diabetes duration, current treatment for diabetes and any complications, family history of diabetes, comorbid diseases of the patient, and their treatment.

The inclusion criteria were as follows: 1) diabetic patients with healthy cognition, 2) absence of acute or chronic neurological disease, mental disorders, sensorial impairment, and alcoholism, and 3) age >65 years.

The exclusion criteria were as follows: 1) treatment with steroids or nonsteroidal anti-inflammatory drugs. 2) dementia or mild cognitive Impairment according to *Diagnostic and Statistical Manual of Mental Disorders*, Fifth Edition criteria.³⁴

In particular, we performed a mini mental state examination (MMSE) with a view to excluding subjects scoring <27 points.^{35,36} We excluded subjects with mild cognitive impairment because they should be evaluated by geriatric specialists and should take specific treatments (eg, citicoline), which can ameliorate cognitive performance.

Anthropometric measures

Body weight was measured to the nearest 0.1 kg by using a precision scale with the subjects wearing light clothing and without shoes, using a standardized technique. Body mass index (BMI) and waist circumference were also calculated.³⁷

Biochemical analyses

All the blood draws were obtained in the fasted state at baseline and after 12 months. The blood samples were taken and immediately cooled and centrifuged at 4°C, then stored at -80°C until analysis.

HbA1c was analyzed using a high-performance liquid chromatography, ion-exchange chromatography assay (HLC-723G7, TOSOH, Japan). Serum glucose, creatinine, and lipid profiles were determined by using the Hitachi 7070 automatic biochemical analyzer (Hitachi Ltd, Tokyo, Japan).

Cognitive performance

The neuropsychological assessment was performed with a previously established test battery consisting of 3 verbal and nonverbal tasks addressing various cognitive domains. The neuropsychological tests were performed in an outpatient setting in the morning and after breakfast to exclude hypoglycemia. The tests were administered in a fixed order, and the entire battery took about 25 minutes to complete. Recertification of test performance was repeated 12 months later.

The Attentive Matrices Test is a valid tool for measuring visual motor speed, selective and sustained attention.^{38,39} The Verbal Fluency Test (sometimes called category fluency or semantic fluency) requires subjects to generate as many words as possible in 2 minutes for a given category (colors, animals, fruits, and cities).⁴⁰ Finally, the Babcock Story Recall Test was a semantic verbal long-term memory measure during which a brief story was read to participants who were asked to provide immediate and delayed recall.⁴¹

Randomization

Randomization was performed after the baseline assessment; in addition, any variable that identified personal information was not included in the randomization process.

Computer-generated random numbers were assigned to 50 participants who were then sorted and divided equally into 2 groups. The groups were randomly assigned to 1 of the 2 intervention groups: Incretins (25 participants) and SGLT2-I (25 participants). All participants agreed with the group allocations mailed to them.

Intervention and duration

The trial was randomized using a 2 parallel group design. The participants were randomly assigned to 1 of the following 2 daily treatments for 52 weeks:

1. Incretins (liraglutide at doses up to 1.8 mg/day; vildagliptin at 100 mg/day; sitagliptin 100 mg/day; and linagliptin 5 mg/day);
2. SGLT2-I (canagliflozin 300 mg/day; empagliflozin 25 mg/day; and dapagliflozin 10 mg/day).

Sample size

Univariate one-factor repeated-measures ANOVA was used to examine significant differences in means at baseline and after 12 months. Intervention ($\alpha=0.05$ and power=0.80) with an effective size of 0.15 required a sample size of at least 40 participants in each group.

Statistical analysis

All analyses were performed using Statistical Package for the Social Sciences, version 22.0 (SPSS Inc., Chicago, IL, USA). Descriptive statistics representing raw data for each of the 3 categories and the full sample were provided, including means, SDs, and frequencies, where appropriate.

Following verification of the normal distribution of the continuous variables, the baseline data were analyzed and

statistically compared between groups using the one-way ANOVA. Variances were considered to be statistically significant for P -value <0.05 .

Data were analyzed with SPSS general linear modeling repeated measures to test the hypothesized effects of the intervention over time. In addition, we analyzed the groups using linear regression models adjusted for gender and age.

Results

Eleven subjects had MMSE <27 ; so, they were excluded because they have taken specific treatments (eg, citicoline) that can ameliorate cognitive performance. Only 39 subjects completed the study.

Table 1 shows baseline characteristics of 39 subjects (23 male, 16 female) at admission. The sample had a mean age of 77.21 ± 8.07 years, a mean BMI of 29.92 ± 4.31 kg/m² and was overweight or obese.

While the mean values of the lipid profile were within the normal range, the mean triglycerides was 122.11 ± 44.08 mg/dL and the mean total cholesterol was 150.42 ± 21.65 mg/dL.

Furthermore, the mean HDL-cholesterol was 43.79 ± 11.30 mg/dL and the mean low-density lipoprotein (LDL)-cholesterol was 83.26 ± 22.03 mg/dL.

The average value of HbA1c was $7.16\% \pm 1.18\%$ and stated that patients were classified as T2DM.

As regards differences between groups at baseline, differences in total and LDL-cholesterol were highlighted. No other statistically significant differences were assessed. Table 2 reports mean changes from baseline values, through intra- and between-groups analysis. Pre–post means differences in lipid, glycemic, and anthropometric profiles are also reported in Figures 1–3. A flow diagram of the study is reported in Figure 4.

In the intra-group analysis, we found statistically significant differences (pre–post) in the SGLT2-I group in terms of body weight (-1.95 kg; $P < 0.05$), BMI (-0.69 kg/m²; $P < 0.05$), and higher HDL cholesterol ($+5.73$ mg/dL; $P < 0.01$). No other statistically significant differences were found.

In the intra-group analysis of the incretins group, we did not find any significant differences (pre–post).

Table 1 Baseline characteristics of the sample

Variables	Total (39; women: 16; men: 23)	Incretins group (18; women: 8; men: 10)	SGLT2-I group (21; women: 8; men: 13)	Sig P -value Between groups at baseline
	mean \pm SD	mean \pm SD	mean \pm SD	
Age (years)	77.21 \pm 8.07	77.00 \pm 8.73	77.36 \pm 7.98	0.927
Anthropometric measures				
Body weight (kg)	85.50 \pm 16.15	82.19 \pm 20.92	87.91 \pm 12.17	0.503
BMI (kg/m ²)	29.92 \pm 4.31	29.47 \pm 6.36	30.25 \pm 2.21	0.749
Waist circumference (cm)	103.37 \pm 9.77	98.75 \pm 10.86	106.73 \pm 7.72	0.101
Serum creatinine (mg/dL)	0.92 \pm 0.23	0.92 \pm 0.21	0.92 \pm 0.26	0.994
Glucose control variables				
Duration of diabetes (years)	11.21 \pm 7.02	8.38 \pm 3.81	13.27 \pm 8.31	0.103
Blood glucose level (mg/dL)	129.26 \pm 30.17	115.50 \pm 18.68	139.27 \pm 33.66	0.067
HbA1c (%)	7.16 \pm 1.18	6.6 \pm 0.71	7.56 \pm 1.31	0.059
Lipid profile				
Triglycerides (mg/dL)	122.11 \pm 44.08	119.75 \pm 42.80	123.82 \pm 46.98	0.847
Cholesterol (mg/dL)	150.42 \pm 21.65	162.50 \pm 21.29	141.64 \pm 18.02	<0.05
HDL-C (mg/dL)	43.79 \pm 11.30	45.50 \pm 10.70	42.55 \pm 12.07	0.581
LDL-C (mg/dL)	83.26 \pm 22.03	95.96 \pm 23.11	74.02 \pm 16.63	<0.05
Cognitive variables				
Verbal fluency test (score)	3.26 \pm 1.05	3.25 \pm 1.04	3.27 \pm 1.10	0.964
Babcock Story Recall Test (score)	2.53 \pm 0.96	2.63 \pm 0.74	2.45 \pm 1.13	0.697
Babcock Story Recall Test (corrected score)	12.19 \pm 2.13	12.30 \pm 1.93	12.11 \pm 2.36	0.848
Attentive Matrices Test (score)	3.11 \pm 1.05	3.5 \pm 0.93	2.82 \pm 1.08	0.158

Note: P -values in bold are statistically significant.

Abbreviations: BMI, body mass index; HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; SGLT2-I, sodium-glucose co-transporter-2 inhibitors.

Table 2 Effects of the interventions intra, and between groups in metabolic and cognitive outcomes

Variables	SGLT2-I			Incretins			Between groups: SGLT2-I vs incretins		
	Mean changes from baseline (t_1-t_0)	P-value	95% CI	Mean changes from baseline (t_1-t_0)	P-value	95% CI	Mean changes Between groups (A-B)	P-value	95% CI
Anthropometric measures									
Body weight (kg)	-1.95	<0.05	(-3.73 to 0.17)	0.31	0.812	(-2.68 to 3.30)	-0.07	0.969	(-4.37 to 4.22)
BMI (kg/m ²)	-0.69	<0.05	(-1.33 to 0.05)	0.15	0.773	(-1.04 to 1.34)	-0.07	<0.05	(-1.63 to 1.48)
Waist circumference (cm)	-2.36	0.087	(-5.14 to 0.41)	-0.12	0.901	(-2.43 to 2.17)	-0.46	0.883	(-7.54 to 6.62)
Serum creatinine (mg/dL)	0.02	0.673	(-0.07 to 0.11)	0.05	0.241	(-0.04 to 0.14)	-0.248	0.050	(-0.50 to 0.00)
Glycemic profile									
Blood glucose level (mg/dL)	-0.91	0.955	(-36.03 to 34.21)	5.75	0.397	(-9.31 to 20.81)	-59.78	0.132	(-142.63 to 23.06)
HbA1c (%)	-0.17	0.229	(-0.47 to 0.13)	0.00	1.000	(-0.35 to 0.35)	-0.29	0.304	(-0.89 to 0.32)
Lipid profile									
Triglycerides* (mg/dL)	-11.27	0.204	(-29.76 to 7.21)	-10.00	0.501	(-43.30 to 23.30)	-18.43	0.230	(-52.99 to 15.10)
Cholesterol (mg/dL)	2.82	0.410	(-4.49 to 10.13)	0.62	0.943	(-19.15 to 20.40)	5.84	0.751	(-36.09 to 47.78)
HDL-C(mg/dL)	5.73	<0.01	(1.68 to 9.77)	4.25	0.215	(-3.13 to 11.63)	5.25	0.389	(-8.26 to 18.75)
LDL-C (mg/dL)	-0.17	0.960	(-7.67 to 7.32)	-4.62	0.434	(-17.81 to 8.56)	7.04	0.535	(-18.46 to 32.54)
Cognitive variables									
Verbal fluency test (score)	0.00	1.000	(-4.25 to 4.25)	-0.12	0.598	(-0.66 to 0.41)	-0.65	0.247	(-1.86 to 0.56)
Babcock Story Recall Test (score)	-0.27	0.391	(-0.95 to 0.40)	0.37	0.351	(-0.51 to 1.26)	-1.93	0.054	(-3.91 to 0.04)
Babcock Story Recall Test (corrected score)	-1.32	0.178	(-3.36 to 0.71)	0.42	0.674	(-1.84 to 2.67)	-3.57	0.151	(-8.80 to 1.66)
Attentive Matrices Test (score)	-0.09	0.676	(-0.56 to 0.38)	-0.42	0.901	(-4.33 to 5.47)	-0.34	0.553	(-1.64 to 0.96)

Notes: P-values in bold are statistically significant. *Mean changes intragroup and between were adjusted for sex and age.

Abbreviations: BMI, body mass index; HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; SGLT2-I, sodium-glucose co-transporter-2 inhibitors.

Cognitive status did not change significantly during the 12 months of treatment in either of the groups: Verbal Fluency Test: (SGLT2-I: $P=1.00$, incretins: $P=0.598$), Babcock Story Recall Test (SGLT2-I: $P=0.391$; incretins: $P=0.351$), and Attentive Matrices Test (SGLT2-I: $P=0.679$, incretins: $P=0.901$).

Between-groups analysis did not show any differences comparing SGLT2-I vs incretins.

Discussion

For the first time in literature, this study has compared 2 different anti-hyperglycemic agents for treatment of T2DM

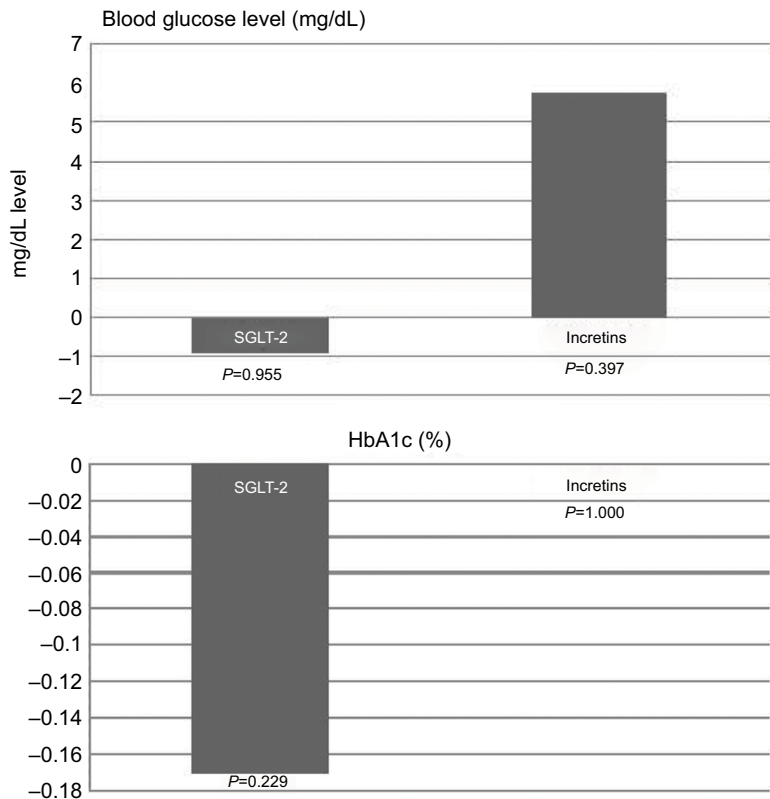


Figure 1 Pre-post means differences in glycemic profile.

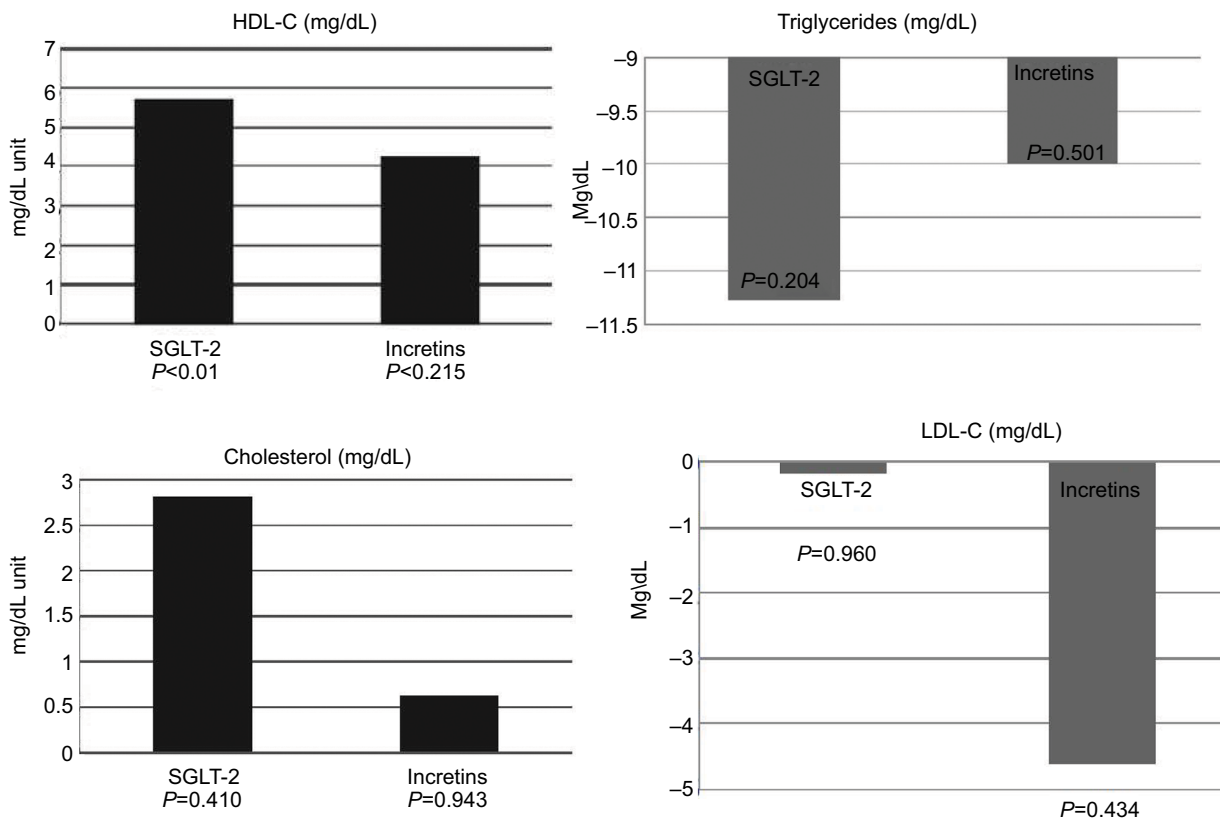


Figure 2 Pre-post means differences in lipid profile.

Abbreviations: BMI, body mass index; HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; SGLT2-i, sodium-glucose co-transporter-2 inhibitors.

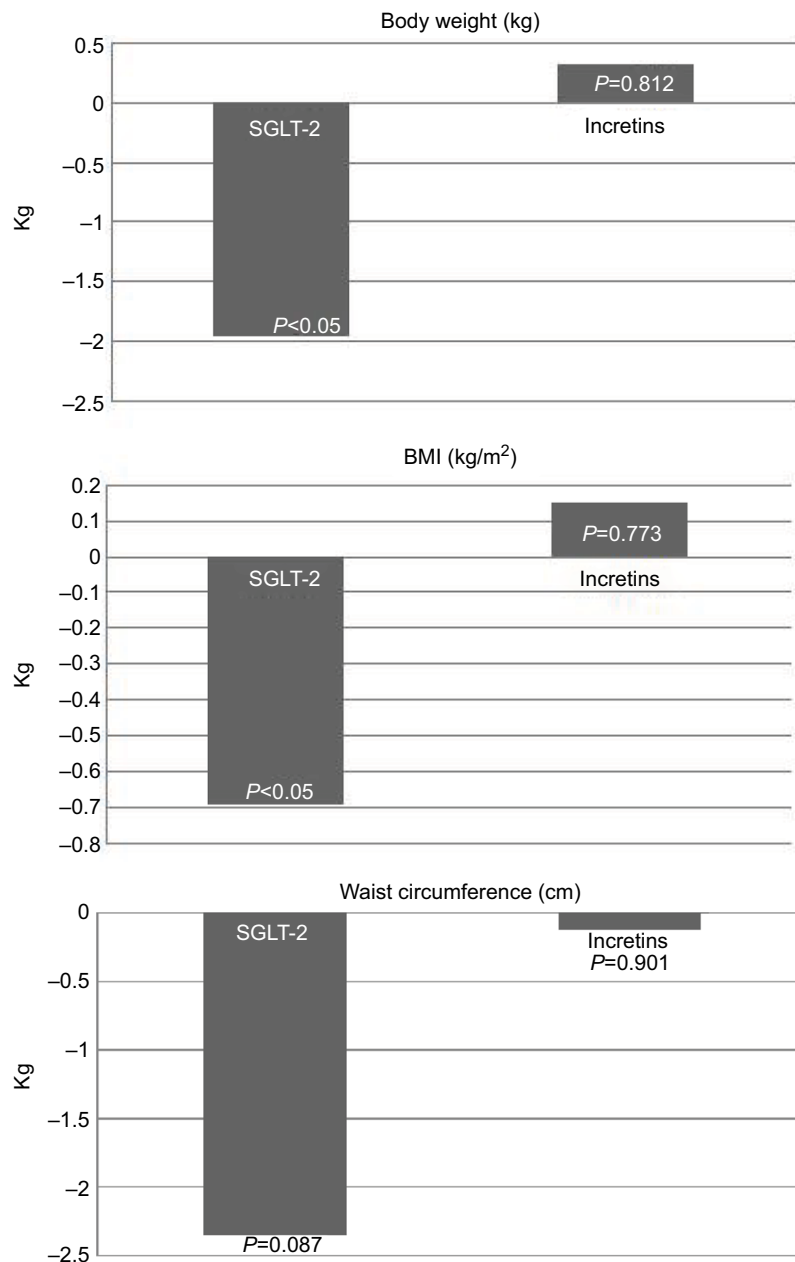


Figure 3 Pre–post intervention means differences in anthropometric measures.
Abbreviations: BMI, body mass index; SGLT2-I, sodium-glucose co-transporter-2 inhibitors.

(SGLT2-I and incretins, including DPP-4 inhibitors and GLP-1 receptor agonists). The primary outcome was to evaluate the protective effect of these 2 classes of drugs on cognitive function, under some cognitive domains in patients without cognitive impairment at baseline. We also evaluated secondary outcomes, such as anthropometric variables and metabolic profile (in particular, both lipidic and glucidic profiles). As demonstrated in many studies in murine mod-

els,^{42–52} and in less numerous studies in populations,^{21,53–55} in Italian elderly patients, treatment with SGLT2-I or incretins was accompanied by stable cognitive performance after 1 year of follow-up; in fact, the scores of all administered cognitive tests did not change significantly. Therefore, we might assume that the condition of euglycemia associated with the attenuation of oxidative stress and inflammatory reaction could preserve or even improve cognitive functions.

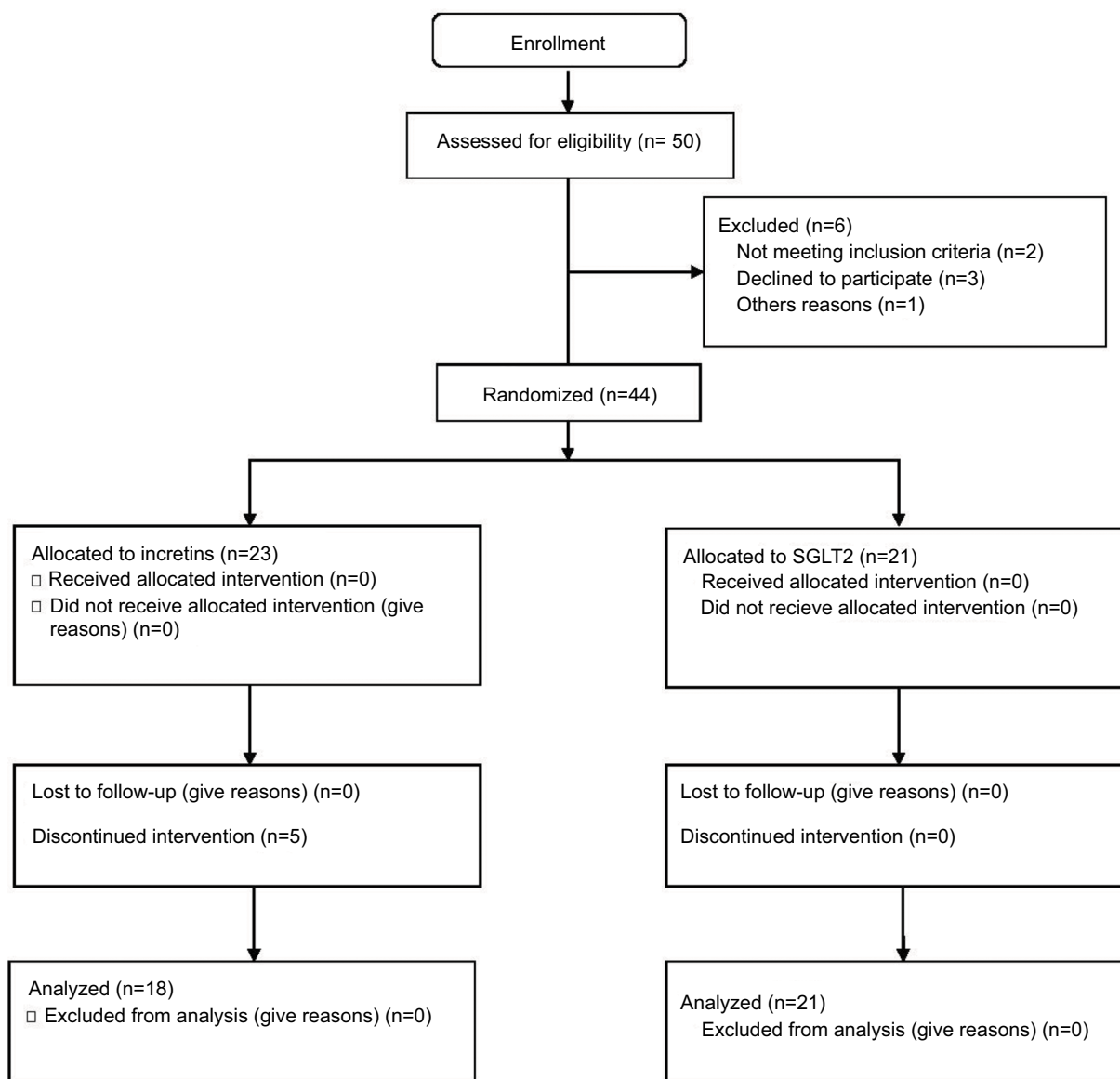


Figure 4 Flow diagram of the study.

Abbreviations: SGLT2-I, sodium-glucose co-transporter-2 inhibitors.

A limitation to our study was its duration: it lasted only 12 months. In this time period, we were not able to draw any definitive conclusion, but we can suppose that both SGLT2-I and incretins play a protective role for cognitive impairment in elderly patients with T2DM.

Another interesting finding relates to the variation of anthropometric measures and lipid profile in T2DM patients treated with SGLT2-I.

In these patients, we found a significant reduction in body weight (-1.95 kg) and BMI (-0.69 kg/m²) and an almost significant decrease in waist circumference. (-2.36 ; *P*-value

0.087). Moreover, considering the lipid profile, we found a significant increase in HDL-cholesterol levels ($+5.73$ mg/dL; *P*-value <0.01). One clinical trial that has investigated the efficacy of SGLT2-I compared with placebo or other glucose-lowering drugs showed similar results.³³ However, the increase in HDL-cholesterol found in this study ($+5.73$ mg/dL), compared with the other trials, is a better result. Even if the effects of SGLT2-I on the overall lipid profile should be further investigated; the increase in HDL-cholesterol levels, however, may explain a potential microvascular and cardiovascular benefit of these drugs. Conversely, in this

study, no evidence of such results was found in patients treated with incretins.

In terms of adverse events, SGLT2-I are generally well-tolerated. The most relevant undesirable effect is an increased risk of genitourinary infections as a direct effect of glycosuria. Infections of the upper urinary tract were not consistently increased by SGLT2-I in studies vs placebo, whereas all inhibitors significantly increased the risk of genital mycotic infections, especially in elderly women.^{29,56–59}

Regarding Incretin-based therapy, the most frequently observed adverse events with GLP-1 receptor agonists were gastrointestinal disorders, particularly nausea, vomiting, and diarrhea.^{60–62}

In rodent models, GLP-1 receptor agonists have been linked to the release of calcitonin, and the potential formation of thyroid tumors, especially medullary thyroid carcinoma.⁶³ However, there is no evidence of a causal relationship between GLP-1RAs and thyroid tumors in humans.⁶⁰

Another theoretical risk associated with incretin-based therapy is the risk of acute or chronic pancreatitis, which has been observed both for GLP-1 analogs and DPP-4 inhibitors.

A small number of cases with pancreatitis have been reported in patients treated with incretin-based drugs without, however, being able to establish if there is a causal relationship, as T2DM patients are predisposed to develop pancreatitis at a higher rate than the general population.^{20,64}

Conclusion

These preliminary data on incretins vs SGLT2-I show that patients treated with incretins and SGLT2-I did not have reduced cognitive performance during the 12 months of treatment. Metabolic outcome seemed to benefit in both treatments. Our study is still limited by a relatively small sample size. In addition, only a 12-month follow-up has been assessed and we considered this to be exploratory work.

Further studies are needed to evaluate the expected neuroprotective effects of these 2 classes of drugs since our study only included 50 patients and lasted only 12 months.

Acknowledgments

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional research committee of University of Pavia and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Disclosure

The authors report no conflicts of interest in this work.

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