

# A novel theranostic gold nanorods- and Adriamycin-loaded micelle for EpCAM targeting, laser ablation, and photoacoustic imaging of cancer stem cells in hepatocellular carcinoma

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Erica Locatelli<sup>1,\*</sup>  
Yan Li<sup>2,\*</sup>  
Ilaria Monaco<sup>1</sup>  
Wei Guo<sup>3</sup>  
Mirko Maturi<sup>1</sup>  
Luca Menichetti<sup>4</sup>  
Paolo Armanetti<sup>4</sup>  
Robert C Martin<sup>2</sup>  
Mauro Comes Franchini<sup>1</sup>

<sup>1</sup>Department of Industrial Chemistry “Toso Montanari”, Bologna, Italy;

<sup>2</sup>Department of Surgery, School of Medicine, University of Louisville, Louisville, KY, USA; <sup>3</sup>Department of Hematology, The First Hospital of Jilin University, Changchun, China;

<sup>4</sup>Institute of Clinical Physiology, National Research Council (CNR), Pisa, Italy

\*These authors contributed equally to this work

**Introduction and purpose:** Cancer stem cells (CSCs) present a higher capacity to evade being killed by cancer agents and developing chemoresistance, thus leading to failure of conventional anticancer therapeutics. Nanomaterials specifically designed for targeting and treating not only tumor cells, but also CSCs, may encompass therapeutic and diagnostic tools, thus successfully eradicating the tumor.

**Materials and methods:** Polymeric micelles simultaneously loaded with gold nanorods (GNRs) and Adriamycin were prepared and used as a novel therapeutic and diagnostic weapon. Epithelial cell adhesion molecule (EpCAM) is an important CSC surface marker and has been exploited in this work as an active targeting agent. Photoacoustic imaging was applied for GNR individuation and tissue recognition.

**Results:** The nanosystem was demonstrated to be able to elicit effective targeting of cancer cells and cause their killing, in particular under laser ablation. Moreover, ex vivo photoacoustic imaging is able to clearly identify tumor regions thanks to GNR's contrast.

**Conclusion:** The nanosystem can be considered a powerful and promising theranostic weapon for hepatocellular carcinoma treatment.

**Keywords:** gold nanorods, drug delivery, photoacoustic imaging, photothermal therapy, EpCAM, cancer stem cells

## Introduction

About 90% of therapeutic failures in cancer patients are attributed to chemoresistance. Conventional therapeutic strategies, such as chemo-agents killing rapidly growing cancer cells, may exhibit initial success, but the eventual relapse of the tumor, due to the greater resistance of some cells is a critical point. A certain type of cancer cells, such as progenitor cells/cancer stem cells (CSCs), renders the capability to this subset of cancer cells to evade slaughter from a variety of structural and functional chemo-agents, thus accounting for nearly all therapeutic failures. However, most chemotherapeutic agents aim to destroy rapidly dividing cancer cells other than undifferentiated CSCs. New types of therapeutic strategies for targeted drug delivery to cancer cells, especially to the CSCs, could be promising as a radical treatment for cancer. Finding the agents for CSC killing could be the key to eliminate these cancer-initiator/progenitor cell CSCs, thereby radically abolishing the tumors. Unlike the cancer cells that were rapidly dividing and differentiating, CSCs underwent continuous self-renewal to avoid insult and chemo-killing. Targeting the CSCs would provide a novel therapeutic strategy, a shift away

Correspondence: Mauro Comes Franchini  
Department of Industrial Chemistry “Toso Montanari”, Viale Risorgimento 4, 40136 Bologna, Italy  
Tel +39 51 209 3626  
Email mauro.comesfranchini@unibo.it

Robert C Martin  
Department of Surgery, School of Medicine, University of Louisville, E. Broadway 315, Louisville, KY 40202, USA  
Email robert.martin@louisville.edu

from the traditional chemotherapy. To test this hypothesis, we first performed an *in vitro* study using a sphere-formation assay to enrich the CSCs. This sphere-formation assay was originally established as an *in vitro* culture system to enrich nervous stem cells<sup>1</sup> and was used latterly to enrich CSCs.<sup>2</sup> In this *in vitro* culture system, two important growth factors used were the epidermal growth factor (EGF) and basic fibroblast growth factor (bFGF). The use of bFGF was critical to regulate the proliferative fate of unipotent and bipotent EGF-generated progenitor cells.<sup>3</sup>

Hepatocellular carcinoma (HCC) is the fifth most common cancer and the third leading cause of cancer-related deaths worldwide.<sup>4</sup> HCC is often resistant to chemotherapeutic drugs, with only a few drugs eliciting a therapeutic effect in the HCC patients.<sup>5</sup>

The treatment results obtained with chemotherapeutic agents in advanced HCC have been disappointing. Adriamycin (Adr) has been commonly used for the comprehensive treatment of various cancers including HCC. Adr was one of the first chemotherapeutic drugs used for HCC and showed interesting results;<sup>6</sup> however, the chemoresistance that developed greatly hindered its efficacy, with a marginal role in the treatment of HCC patients. The interest on Adr is growing again due to the technological advance that now allows targeted release of the drug. In our previous study,<sup>7</sup> we demonstrated that Adr can decrease the expression of epithelial cell adhesion molecule (EpCAM). Recent finding demonstrated that EpCAM, an important CSCs surface marker, is overexpressed in the cancer cells contributing to chemoresistance.<sup>5</sup> Therefore, a targeted release of Adr could be a potential strategy to improve its chemotherapeutic efficacy.

Gold nanorods (GNRs) have showed promising applications in imaging, therapy, and biological sensing, thanks to their peculiar geometry.<sup>8</sup> Significant biomedical applications based on targeted heat delivery, such as killing localized cancer cells by hyperthermia after optical excitation, become possible when GNR resonance is tuned to near-infrared (NIR), rays to which tissues are relatively transparent. In addition, under nanosecond-pulsed NIR laser irradiation, GNRs act as perfect contrast agent for photoacoustic (PA) imaging, a powerful diagnostic, noninvasive technique, which could be used for early cancer diagnosis.<sup>9,10</sup> Moreover, by employing continuous wave NIR laser tuned in the region of GNR plasmonic absorption, they can increase the temperature of their surroundings up to several tens of degrees, enabling their employment for photothermal therapy (PTT) of cancer.<sup>11,12</sup> Many other nanostructured materials have been

recently developed to efficiently convert NIR light into heat, but GNRs display higher photothermal conversion efficiencies and improved biocompatibility.<sup>13,14</sup>

Recently, a simultaneous loading of lipophilic GNRs and curcumin into polymeric nanomicelles (GNRs-1/curc@PMs) made of biocompatible PLGA-b-PEG copolymer has been developed and used for *in vivo* treatment of premalignant esophageal adenocarcinoma.<sup>15</sup>

A similar strategy is exploited in the present work to create a drug delivery carrier able to host two different therapeutic agents, Adr and GNRs, simultaneously and to deliver them to the site of action, thanks to decoration with the EpCAM antibody on the surface of the nanosystem. When decorated with EpCAM antibodies, Adr/GNRs@PMs-antiEpCAM could specifically target CSCs and enhance the concentration of drugs in the tumor site, thereby killing, especially under laser irradiation, the CSCs completely.<sup>16</sup> Moreover, the enhanced localization of GNRs on the tumor region could be efficiently detected by using the photoacoustic imaging, thus allowing diagnosis and therapy by using a single drug.

## Experimental section

All chemicals were purchased from Sigma-Aldrich Co. (St Louis, MO, USA) and used as received. Poly(D,L-lactide-co-glycolide) (50/50) with carboxylic acid end group (PLGA-COOH, inherent viscosity 0.12 dL/g, MW ~7 kDa) was purchased from Lakeshore Biomaterials (Birmingham, AL, USA). Polyethylene glycol with amino and carboxylic acid end groups (NH<sub>2</sub>-PEG-COOH, MW ~3 kDa) was purchased from Rapp Polymere GmbH (Tübingen, Germany). All aqueous solutions were prepared with deionized water obtained using an ultrafiltration system (Milli-Q; EMD Millipore, Billerica, MA, USA) with a measured resistivity above 18 MΩ/cm. CH<sub>2</sub>Cl<sub>2</sub> was passed through basic alumina prior to use. Dynamic light scattering measurements were performed on a Malvern Zetasizer-nano-S working with a 532 nm laser beam. ζ potential measurements were conducted in DTS1060C-Clear disposable zeta cells at 25°C. SpectraAA 100 Varian was used for atomic absorption spectroscopy analysis. Final concentration of the suspensions was determined by gravimetric analysis by drying 100 μL of solution at 120°C for 24 hours then accurately weighting the residual dry matter amount. Amount of Adr entrapped in the polymeric micelles was determined by fluorescence analysis with an Edibur FLSP920 spectrofluorimeter equipped with a 450 W Xenon arc lamp.

## Synthesis of Adr/GNRs@PMs-antiEpCAM-(binary bomb-EpCAM)

In a common procedure, 40 mL of 1.25 mM solution of GNRs coated with cetyltrimethyl ammonium bromide, obtained as previously reported,<sup>17</sup> were added dropwise to a solution composed of 140 mg of ethyl 11-(4-mercaptobenzoyl) undecanoate (Ligand **1**) in 40 mL of warm ethanol. Ligand exchange reaction was allowed to take place by shaking overnight. Lipophilic GNRs-**1** were precipitated by centrifugation (10 minutes, 6 krpm) and washed twice with ethanol. The final pellet was then collected in 18 mL of dichloromethane (DCM). Successively, Adr/GNRs@PMs were prepared by water-in-oil-in-water (w/o/w) double emulsion solvent evaporation method. First, 2 mL of Adr 1 mg mL<sup>-1</sup> in water were emulsified by tip-probe sonication with 18 mL of GNRs-**1** in DCM in which 100 mg of PLGA-b-PEG-COOH and 100 mg of PLGA-b-PEG-NH<sub>2</sub> have been dissolved. Then, the obtained emulsion was further emulsified with 80 mL of water in which 1 g of sodium cholate hydrate had been dissolved as stabilizer. The organic solvent was evaporated in vacuum and PMs were purified on Amicon Ultra centrifugal filters (MWCO 300 kDa) and finally collected in 10 mL. Afterward, 5 mL of Adr/GNRs@PMs underwent antibody conjugation. First, 12 µg of anti-EpCAM were added to 5 mL of a solution containing 1 mg of N-(3-dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride (1-ethyl-3-(3-dimethylaminopropyl) carbodiimide [EDC]) and 1 mg of N-hydroxysulfosuccinimide sodium salt (sulfo-NHS). After 1 hour under stirring conditions, 5 mL of Adr/GNRs@PMs was added dropwise, and conjugation was allowed to happen by stirring overnight. Then, Adr/GNRs@PMs-antiEpCAM (also called binary bomb-EpCAM) were purified on Amicon Ultra centrifugal filters (MWCO 300 kDa) and finally collected in 4 mL of water.

## Identification of binary bomb-EpCAM in Hepa1-6 cell spheroids

Hepa1-6 cell line (CRL-1830) was purchased from American Type Culture Collection (Manassas, VA, USA).

For sphere formation assay, Hepa1-6 cells were seeded in an eight-well chamber slide at a density of 10<sup>6</sup> cells per well. When cells reached ~90% confluence, serum-free DMEM/F12 medium supplied with EGF and bFGF was used as the standard spheroid condition to enrich CSCs, which was a well-established assay.<sup>18</sup> The cells were then treated with 100 µg of binary bomb-EpCAM for 12 hours. To identify binding of binary bomb-EpCAM to Hepa1-6 cell

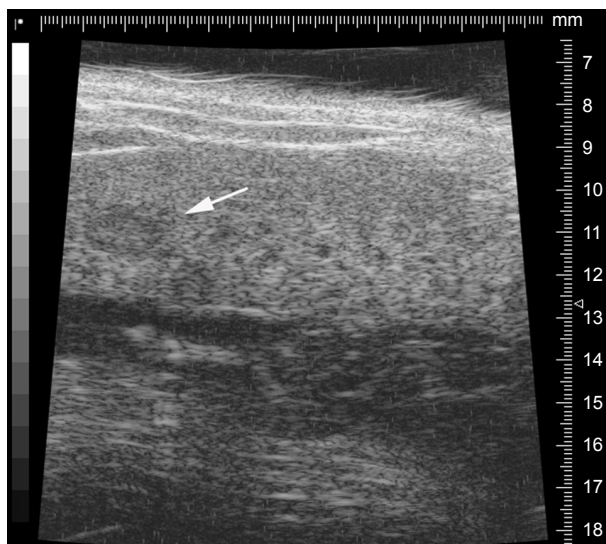
spheroids, the chamber was removed from the slide. The slide was washed and fixed with cold acetone (-20°C) for 2 minutes. After fixing, the slides were washed with PBS-T, and blocked with 10% FBS for 30 minutes at room temperature. The slide was then exposed to 1:100 dilution of donkey anti-mouse IgG1-PE-conjugated antibody for 1 hour at room temperature. The positive PE staining was examined under a fluorescence microscope at 200× magnification.

## Cell viability

For non-spheroid assay, Hepa1-6 cells were seeded in 96-well plates at a density of 2×10<sup>5</sup> cells per well. Three days after cell seeding, the cells reached ~80% confluence and were followed by serum-free medium for 12 hours. For sphere formation assay, Hepa1-6 cells were seeded in 96-well plates at a density of 10<sup>6</sup> cells per well. When cells reached ~90% confluence, serum-free DMEM/F12 medium supplied with EGF and bFGF was used as a standard spheroid condition to enrich CSCs. Both non-spheroids and spheroids were treated with binary bomb-EpCAM at the concentrations of 12.5, 25, 50, and 100 µg for 12 hours. After the treatment, cells were then exposed to NIR laser light at a wavelength of 808 nm using a diode-pumped solid-state laser (DPSSL) system. The power density of exposure was 1 minute at 3 W/cm<sup>2</sup> as previously reported.<sup>15</sup> Cell viability was evaluated by MTT reduction assay. The growth inhibition was calculated based on mean optical density values from triplicate wells for each treatment to determine cell viability. The MTT assay was repeated three times for each measurement.

## Orthotropic tumor model

To establish a xenograft HCC model, male C57L/J mice (Jackson Laboratory, Bar Harbor, ME, USA) at 8 weeks old and a mouse hepatoma cell line, hepa1-6, were used. C57L/J mouse is syngeneic with BW7756 mouse, while the hepa1-6 cell line is a derivative of the BW7756 mouse hepatoma and shows a reliable tumor growth in the syngeneic host C57L/J mouse. Laparotomy was performed, and hepa1-6 cells were inoculated at 10<sup>6</sup> cells into the right liver lobe of C57L/J mouse. The tumor growth was monitored weekly by resolution ultrasound (US) (Vevo 770™-120 image system; Visualsonics Inc., Toronto, ON, Canada). The representative image is showed in Figure 1. Animal procedures were approved by the Institutional Animal Care and Use Committee of University of Louisville, which is certified by the American Association for Accreditation of Laboratory Animal Care.



**Figure 1** The representative image of ultrasound detected the tumor growth in liver.  
**Notes:** Arrow indicates the tumor; length, 2.2 mm; width, 1.5 mm.

### Binary bomb-EpCAM (Adr/GNRs@PMs-antiEpCAM) administration and NIR light exposure

When the tumor growth reached 1.5–2.5 mm<sup>2</sup> by the US measurement, laparotomy was performed in the animals again, and the animals were injected intra-tumorally with 100 µL Adr/GNRs@PMs-antiEpCAM at a concentration of 100 µg in saline or with the same amount of saline solution for the control group. Twelve hours later, the mice were anesthetized and exposed to an 808 nm light produced using a DPSSL system. Three days after the treatment, the animals were sacrificed and required tissues fixed in 10% buffered formalin for histological examination. Tumor tissues were also frozen in liquid nitrogen for immunofluorescence examination.

### Identification of Adr/GNRs@PMs-antiEpCAM in HCC tissues

The frozen tissues were sectioned, and the slides were fixed in cold acetone (−20°C) for 2 minutes. After fixing, the slides were washed with PBS-T and blocked with 10% FBS for 30 minutes at room temperature. DAPI was used for staining at 1:1,000 as a counter stain for the nuclei. The slides were washed again and exposed to the antibody at 1:100 dilution of anti-mouse IgG1-fluorescein isothiocyanate (FITC)-conjugated antibody to identify the location of Adr/GNRs@PMs-antiEpCAM within the cancerous tissues. FITC-positive staining was examined under a fluorescence microscope at 200× magnification.

### Histopathology

The formalin-fixed tumor tissues were embedded in paraffin. Serial sections of 5 mm were mounted onto glass slides

for histopathological analysis. H&E-stained slides were obtained for each sample for the histological study.

### Quantification of HCC cell killing by silver staining

The formalin-fixed tumor tissues were embedded in paraffin. Serial sections of 5 mm were mounted onto glass slides for histopathological analysis. Silver staining was obtained for each sample for the histological study quantification of HCC cell killing in cancerous tissue treatments of Adr/GNRs@PMs-antiEpCAM and Adr/GNRs@PMs before and after laser exposure. The cell numbers were counted per high power in the digital images acquired with the Olympus 1×51 microscope at 20× magnification using the Olympus DP72 digital camera via the cellSens Dimension imaging system (Olympus Corporation, Tokyo, Japan).

### Immunofluorescence examination of CSC surface marker

The frozen tissues were sectioned, and slides were fixed in cold acetone (−20°C) for 2 minutes. After fixing, the slides were washed with PBS-T, and blocked with 10% FBS for 30 minutes at room temperature. The slides were washed again and exposed to 1:200 dilution of FITC-conjugated antibodies (anti-EpCAM and anti-CD133) to identify the expression of EpCAM and CD133 within the cancerous tissue treatments of Adr/GNRs@PMs-antiEpCAM and Adr/GNRs@PMs before and after laser exposure. All digital images were acquired with the Olympus 1×51 microscope at 40× magnification using the Olympus DP72 digital camera via the cellSens Dimension imaging system (Olympus Corporation) and stored as JPG data files, with fixed resolutions of 200 pixels/inch. FITC-positive staining was examined under a fluorescence microscope at 200× magnification. A computer image analysis was performed to quantify the expressions of EpCAM and CD133 in Adr/GNRs@PMs-antiEpCAM and Adr/GNRs@PMs before and after laser. The acquired color images from the immunohistochemical staining were defined at a standard threshold according to the software specification. The computer program then quantified the threshold area represented by color images. CD133 and EpCAM protein expressions were defined by the percentages of threshold area in acquired color images.

### CSC surface marker EpCAM identified by Western blot

Hepa1-6 cells were seeded in 100 mm plates at a density of 2×10<sup>5</sup> cells per well. When cells reached ~90% confluence, the cells were treated with Adr, Adr/GNRs@PMs-antiEpCAM and Adr/GNRs@PMs for 12 hours. After the treatment, cells

were then exposed to NIR laser light at a wavelength of 808 nm using a DPSSL system. Protein levels for EpCAM were semi-quantified by Western blot analysis as described previously. Electrophoresis was performed on 12% SDS-PAGE gel and the proteins were transferred to nitrocellulose membranes. Membranes were incubated with primary antibody of EpCAM overnight at 4°C and with secondary antibody for 1 hour at room temperature. The antigen-antibody complexes were then visualized using ECL (Amersham, Piscataway, NJ, USA). The protein bands were quantified by densitometry analysis, and the protein expression was presented in a pixel ratio of target protein vs endogenous reference,  $\beta$ -actin.

## Ex vivo PA evaluation

The PA acquisitions were made by Vevo LAZR 2100 by FUJIFILM VisualSonics Inc. This multimodal imaging platform provides PA images co-registered with B-mode images of the surrounding structures. PA signals were excited by laser pulses of 6–8 ns pulse width generated at a rate of 20 Hz by a Nd:YAG laser with an optical parametric oscillator that makes it tunable in the optical window from 680 to 970 nm. The PA spectra of GNRs have been characterized by evaluating the spectral response on tissue mimicking agar phantoms, and we compared the PA response generated from the injection treated and healthy livers. The PA spectral response of healthy liver was calculated as an average of PA signals produced from 36 regions of interest (ROIs, area  $\sim 0.334 \text{ mm}^2$ ), randomly placed on liver tissue regions acquired from two different slices. The PA images have been processed by spectral unmixing techniques<sup>19,20</sup> by using a tool provided by the software of VevoLAZR 2100 imaging. The pattern of distribution of targeted nanomaterials has been assessed ex vivo in two samples EpCAM1 and EpCAM2 (as described in the previous section). The PA signal from the targeted-GNRs has been recorded, together with the endogenous oxy/deoxy-hemoglobin distribution. We acquired the whole liver images at fixed wavelength (840 nm), prescribing single step of 150  $\mu\text{m}$ , in order to obtain the 3D PA-US renders. We evaluated the total averaged PA signal on the whole sample of explanted livers. The PA records were compared with the relative inductively coupled plasma mass spectrometry (ICP-MS) data. In addition, to find a potential correlation between PA imaging and micro-US records in healthy and non-healthy liver tissues, the US-acquired slices have been analyzed by a texture algorithm based on the evaluation of 122 statistical parameters as described.<sup>21</sup>

## Statistical analysis

Data were collected from repeated experiments and were presented as mean  $\pm$  SD. ANOVA was used to determine if

differences exist. If so, a post hoc Tukey's test was used for analysis of the difference between groups using SigmaPlot analysis and graphing software. *P*-values  $< 0.05$  were considered statistically significant.

## Results and discussion

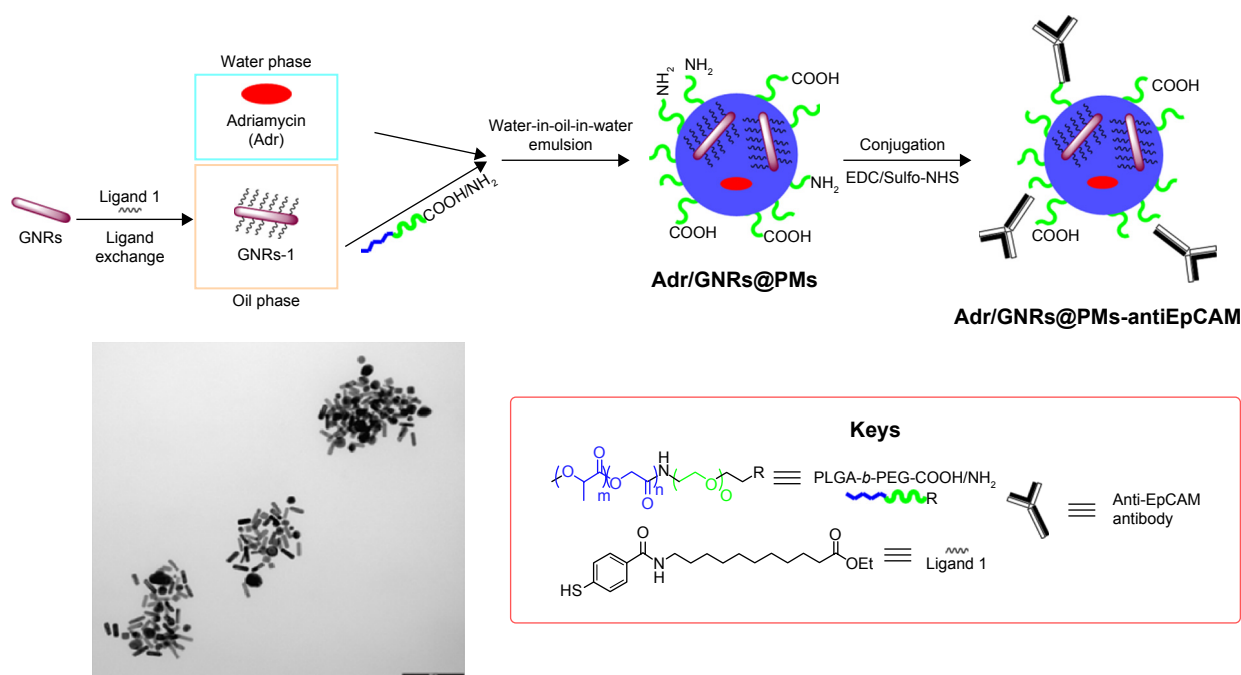
### Synthesis of Adr/GNRs@PMs-antiEpCAM

GNRs were coated on their surface with ethyl 11-mercaptopoundecanoate **1**, obtained as previously reported<sup>22</sup> in order to make them lipophilic and stable in organic solvents, thus allowing their entrapment into the polymeric micelles. After incubation of GNRs coated with cetyltrimethyl ammonium bromide with ligand **1**, lipophilic GNRs-**1** were easily redispersed in DCM. Next, GNRs-**1** were entrapped along with Adr into polymeric nanomicelles (PMs) using water-in-oil-in-water (w/o/w) double emulsion solvent evaporation technique: the amphiphilic PLGA-*b*-PEG-COOH and PLGA-*b*-PEG-NH<sub>2</sub> copolymers were selected to create biocompatible, biodegradable, and water-soluble micelles able to circulate for long periods of time in the bloodstream.<sup>23</sup> Therefore, the organic solution composed of GNRs-**1** and copolymers was emulsified with a small amount of water phase containing Adr and the so-obtained pre-micelles were stabilized by a second emulsification with a larger amount of aqueous solution containing sodium cholate hydrate as stabilizing agent. The resulting Adr/GNRs@PMs were partially kept as negative control and partially conjugated with the targeting agent, antiEpCAM antibody. The conjugation was achieved through amide bond formation between the carboxylic acid on the antibody fragment and the free amine groups on the surface of the micelles using the classical EDC chemistry. The entire procedure is summarized in Scheme 1.

A complete characterization of the final micelles, before and after conjugation with antiEpCAM antibody, was carried out and reported in Table 1. Micelles showed a suitable size and polydispersity index for in vivo applications and excellent stability even after the conjugation procedure. Similarly, GNRs remained unaltered during the entire process as demonstrated by the maintenance of  $\lambda_{\text{max}}$ .

### Identification of binary bomb-EpCAM in Hepa I-6 cell spheroids

To determine if the synthesized Adr/GNRs@PMs-antiEpCAM can bind to HCC cell spheres, immunofluorescence staining was performed to identify the antiEpCAM in the micelles using donkey anti-mouse IgG1 PE-conjugated antibody. Positive staining (red spots in Figure 2) was found in the Adr/GNRs@PM-antiEpCAM-treated Hepa I-6 cell spheroids but not with Adr/GNRs@PMs-treated Hepa I-6 cell spheroids,



**Scheme 1** Representative procedure for the synthesis of Adr/GNRs@PMs-antiEpCAM and TEM image of the final nanosystem.

**Abbreviations:** EDC, 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide; EpCAM, epithelial cell adhesion molecule; GNR, gold nanorod; NHS, N-hydroxysulfosuccinimide sodium; PM, polymeric nanomicelles; TEM, transmission electron microscopy.

thus confirming the importance of the targeting EpCAM on the surface of micelles to increase their binding affinity for the CSCs which are enriched in the Hepa1-6 cell spheroids.

## Cell viability

Significant cell viability reduction was found in both cells and spheroids treated with Adr/GNRs@PMs-antiEpCAM. In particular, laser exposure produces a drastic decrease in viability of cancer cells treated with the final nanosystems, thus demonstrating the advantages in terms of the binary bomb for releasing Adr in addition to thermal ablation properties derived from GNR particles (Figure 2). To determine whether the photothermal effect of the GNRs could affect cell viability, the temperature was determined in the Hepa1-6 cells with

treatments of three GNR-based micelles (GNRs@PM, GNRs@PMs-antiEpCAM, and Adr/GNRs@PMs-antiEpCAM). The temperature was significantly increased with laser exposure at 40 and 60 seconds, compared to the 0 second laser exposure. The cytotoxicity of GNRs@PMs and GNRs@PM-antiEpCAM was also determined by MTT assay. The result indicated that about 10% growth inhibition of Hepa1-6 cells was induced by the two micelles (GNRs@PMs and GNRs@PMs-antiEpCAM) with laser exposure, indicating that GNR-derived thermal effect could also contribute to the cell death which was consistent with our previous report (Figure 3).<sup>16</sup>

## Orthotropic tumor model

An orthotropic tumor model was established for further study. The animal surgery procedure was reported by our group previously.<sup>24</sup> Ultrasound imaging was performed weekly to measure the tumor size. A representative US image is shown in Figure 1. The tumor size was measured as 2.2 mm in length and 1.5 mm in width. Then the animals were further treated with Adr/GNRs@PM-antiEpCAM.

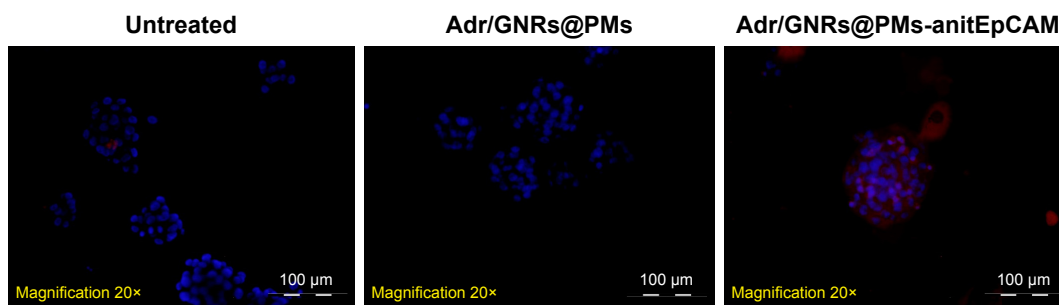
## Identification of Adr/GNRs@PM-antiEpCAM in HCC tissues

As described in the Experimental section, the tumor burden in the animals were treated with Adr/GNRs@PMs-antiEpCAM and laser. Three days after the treatments, the animals were

**Table 1** Characterization of Adr/GNRs@PMs and Adr/GNRs@PMs-antiEpCAM

	Adr/GNRs@PMs	Adr/GNRs@PMs-antiEpCAM
Size, nm, mean $\pm$ SD	110.0 $\pm$ 0.7	117.1 $\pm$ 1.3
PDI, mean $\pm$ SD	0.258 $\pm$ 0.04	0.270 $\pm$ 0.03
Z <sub>pot</sub> , mV	-30.8	-27.4
[Au], mM	1.2	1.1
$\lambda_{\text{max}}$ , nm	820	820
[Adr], $\mu\text{g mL}^{-1}$	62	55
[anti-EpCAM], $\mu\text{g mL}^{-1}$	–	4

**Abbreviations:**  $\lambda_{\text{max}}$ , maximum absorption wavelength; Adr, Adriamycin; EpCAM, epithelial cell adhesion molecule; GNR, gold nanorod; PDI, polydispersity index; PM, polymeric nanomicelles; Z<sub>pot</sub>, Zeta-potential.



**Figure 2** Identification of Adr/GNRs@PM-antiEpCAM in Hepa1-6 cell spheroids.

**Notes:** Blue: DAPI positive staining of nuclei in the spheroid. Red: positive staining of antiEpCAM which were labeled Adr/GNRs@PMs.

**Abbreviations:** Adr, Adriamycin; EpCAM, epithelial cell adhesion molecule; GNR, gold nanorod; PM, polymeric nanomicelles.

sacrificed and the tumor tissues were harvested. In the frozen tissue, Adr/GNRs@PMs-antiEpCAM binding to the tumor tissue was determined using immunofluorescence staining. Positive staining (green spots in Figure 4) identified antiEpCAM in the micelles using anti-mouse IgG1 FITC-conjugated antibody. This result provided evidence that Adr/GNRs@PMs-antiEpCAM also had high binding affinity with tumor tissue *in vivo*.

## Histopathology

Histology showed that the inoculated HCC tumor grew and invaded into the hepatic parenchyma. Three days after treatments, it was observed that Adr/GNRs@PMs-antiEpCAM treatment caused tumor cell death and lymphocyte infiltration as well as hemorrhage in local regions. However, Adr/GNRs@PMs-antiEpCAM treatment with laser exposure caused extensive tumor cell death, implying that most tumor cells were killed by the binary bomb by release of Adr in addition to thermal ablation properties deriving from GNRs (Figure 5).

## Evaluation of HCC cell killing and CSC surface markers

To evaluate the tumor cell-killing effect *in vivo*, a silver staining assay was performed to determine the tumor cell-killing efficacy of Adr/GNRs@PMs-antiEpCAM and Adr/GNRs@PMs. As shown in Figure 6, both of the two micelles showed excellent tumor cell-killing effects after laser exposure. Adr/GNRs@PMs-antiEpCAM with laser exposure showed a significant effect of tumor cell-killing compared with Adr/GNRs@PMs. To evaluate whether the binary bomb targeted the CSC, two CSC markers were determined in the tumor tissues. Both the micelles showed significant effect for destroying the CSC markers in the tumor tissues.

## PA results

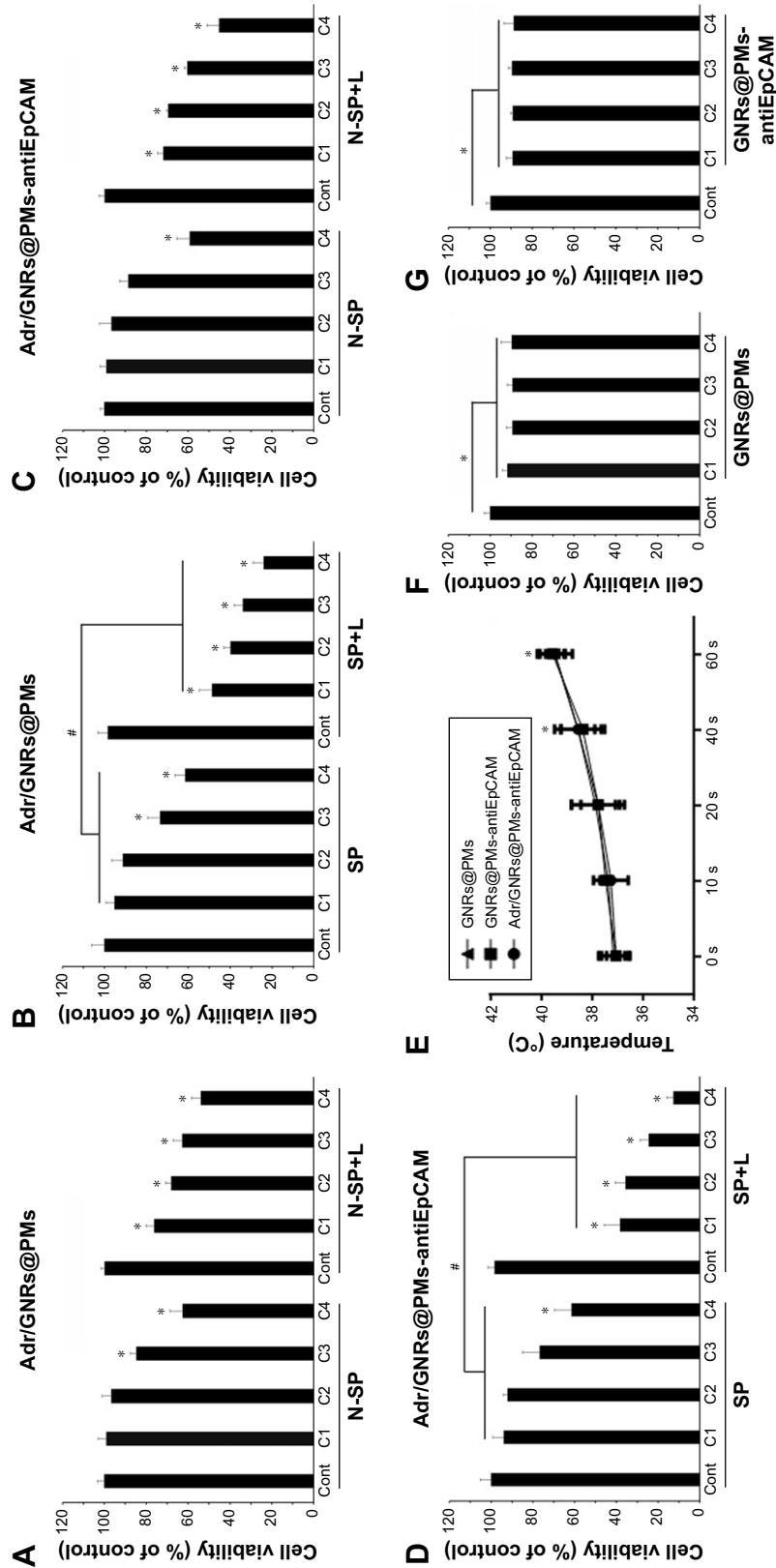
We report the patterns and the PA images acquired on different slices in Figure 7A–C. The typical PA spectra show

two peaks around 700 and 800 nm over an increasing trend (PA intensity was between 0.05 and 0.15 a.u.) with respect to the increase of the wavelengths. 3D PA-US reconstruction of the whole healthy liver is shown in Figure 7D.

The assessment of PA readouts in different slices of liver samples with tumor, injected with the functionalized nanoparticles, showed a different PA spectral patterns and intensity, compared with healthy liver tissues. As shown in Figure S1, the mean PA spectrum calculated on the EpCAM samples presented a Gaussian trend with peak around 860 nm. The plot also reported the mean normalized signal of GNRs (non-functionalized nanoparticles) found in *in vitro* characterization. The spectral shift in corresponding phantoms (test object been used) could be explained by the interaction of the functionalized GNRs and the biological environment in which the nanoparticles are distributed after the injection, which modifies the original GNR spectrum in terms of peak shift and shape (width).

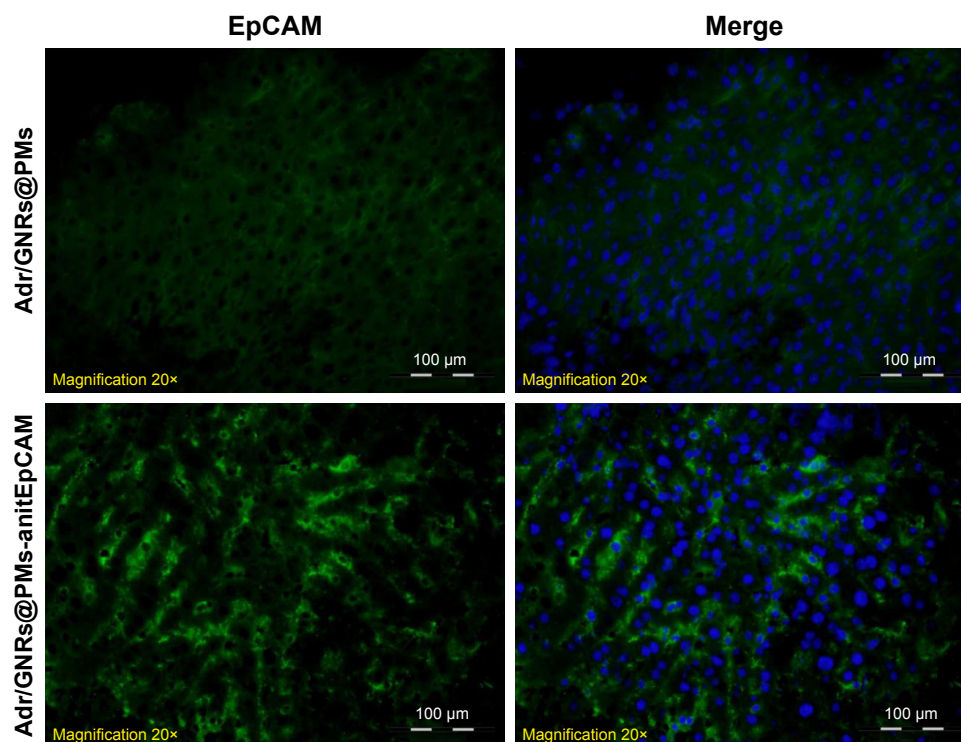
To analyze and evaluate the different contributions of the liver tissues, endogenous chromophores, and potential physiological interactions in the PA US images of the EpCAM liver samples, we applied a commercial unmixing tool, available in the Vevo LAZR analysis software. As shown in Figure S2, we processed the PA images using three different spectra: the oxy- and deoxy- hemoglobin spectra and the PA spectrum of GNRs, extrapolated from previous *in vitro* tests.

By *unmixing* processing, we obtained a spatial distribution map of different spectral distributions that revealed the presence of PA signal of nanoparticles in the livers analyzed. Figure 8A–H reports two PA-US acquisition series of EpCAM1 (A–D) and EpCAM2 (E–H) liver samples in which the PA signal distribution (A and E) and their related result after spectral *unmixing* processing (B and F) were shown. The PA unmixed images (Figure 8B and F) showed the PA spectral contribution of deoxy-hemoglobin in blue color, the PA spectral contribution of oxy-hemoglobin in red color and



**Figure 3** Cell viability by MTT assay. **Notes:** (A, B) Adr/GNRs@PMs-treated Hepa I-6 cells and Hepa I-6 spheroids. (C, D) Adr/GNRs@PMs-antiEpCAM-treated Hepa I-6 cells and Hepa I-6 spheroids. (E) Temperature determined in the medium of Hepa I-6 cells with the treatments of three micelles and laser exposure. (F) Cell viability of GNRs@PMs at 4 different concentrations. (G) Cell viability of GNRs@PMs-antiEpCAM at 4 different concentrations. \* $P < 0.05$  vs control, # $P < 0.05$ . **Abbreviations:** Adr, Adriamycin; C1, concentrations of 12.5  $\mu\text{g}$ ; C2, concentrations of 25  $\mu\text{g}$ ; C3, concentrations of 50  $\mu\text{g}$ ; C4, concentrations of 100  $\mu\text{g}$ ; Cont, untreated control; EpCAM, epithelial cell adhesion molecule; GNR, gold nanorod; L, laser exposure; N-SP, non-spheroids; PV1, polymeric nanomicelles; s, second; SP, spheroids.





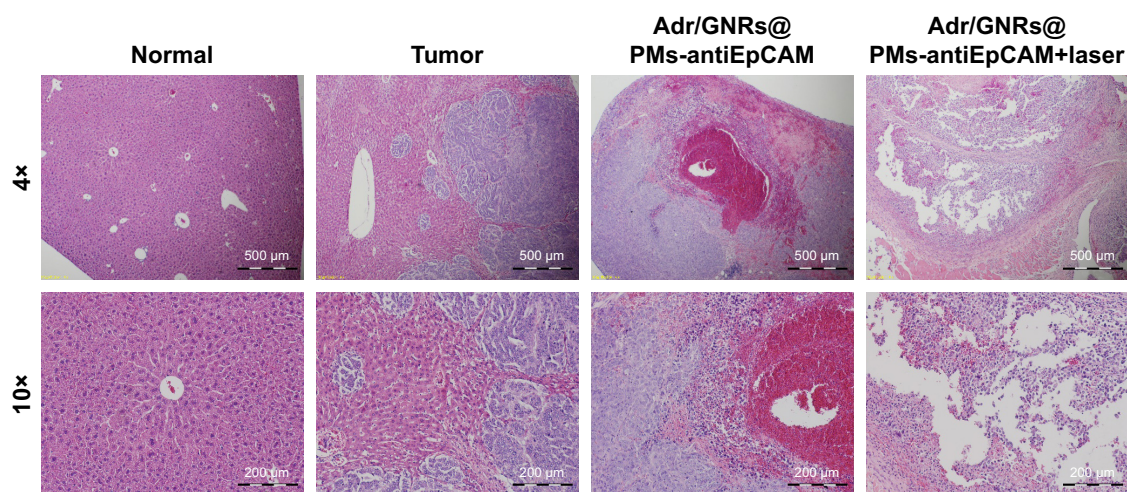
**Figure 4** Identification of binary bomb-EpCAM tumor tissues of mice.

**Notes:** Blue: DAPI-positive staining of nuclei in the spheroid. Green: positive staining of antiEpCAM which were labeled Adr/GNRs@PMs.

**Abbreviations:** Adr, Adriamycin; EpCAM, epithelial cell adhesion molecule; GNR, gold nanorod; PM, polymeric nanomicelles.

the PA distribution due to the nanoparticles in green color. The 3D PA-US renders of whole liver sample (Figure 8D and G) were reported so as to better clarify the PA distribution inside the liver lobes. Figure 8D and H show the photos of liver samples, where the yellow circle represents the region of PA and US acquisition.

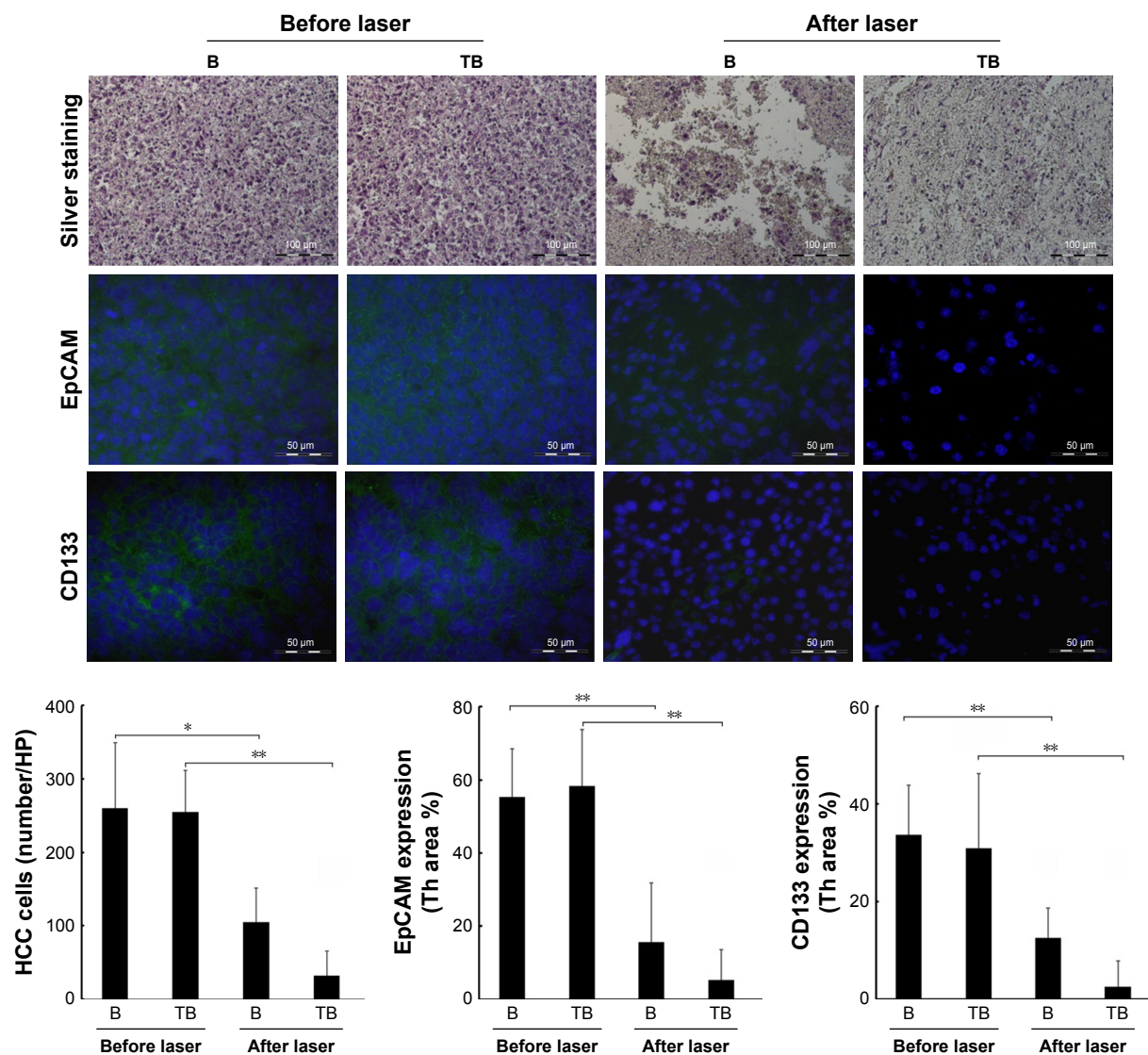
Representative PA images (Figure S3A) and their unmixed processing (Figure S3B) of another slice of liver lobe of EpCAM 2 were reported in which we evaluated the PA spectral trend by covering the whole width of the liver lobe with nine ROIs placed from its upper side to its lower side (Figure S3C). The plots of PA signals (Figure S3C)



**Figure 5** Histology of Adr/GNRs@PMs-antiEpCAM treatment and Adr/GNRs@PMs-antiEpCAM treatment with laser exposure.

**Notes:** Left: normal liver tissue and HCC cell inoculation; Right: Adr/GNRs@PMs-antiEpCAM treatment only and Adr/GNRs@PMs-antiEpCAM treatment after laser exposure. Adr/GNRs@PMs-antiEpCAM with laser exposure caused significantly cell death in the tumor tissue.

**Abbreviations:** Adr, Adriamycin; EpCAM, epithelial cell adhesion molecule; GNR, gold nanorod; PM, polymeric nanomicelles.



**Figure 6** Upper: Quantification of HCC cell-killing in the tumor tissue by silver staining.

**Notes:** Middle and lower: Identification and quantification of cancer stem cell surface markers EpCAM and CD133 by immunofluorescence staining. Blue: DAPI-positive staining of nuclei in the tumor tissue. Green: positive staining of EpCAM and CD133. B (bomb): Adr/GNRs@PMs; TB (targeting bomb): Adr/GNRs@PMs-antiEpCAM. \* $P < 0.05$ . \*\* $P < 0.01$ .

**Abbreviations:** Adr, Adriamycin; EpCAM, epithelial cell adhesion molecule; GNR, gold nanorod; HCC, hepatocellular carcinoma; PM, polymeric nanomicelles.

confirmed that the nanoparticles were mainly distributed from the central region to the outside part of the liver lobe.

The major PA response was acquired from the EpCAM 2 sample in which the ICP-MS analysis found a higher concentration of gold equal to  $9.42 \mu\text{g/g}$  vs  $3.12 \mu\text{g/g}$  found in EpCAM 1 sample (Figure S4).

We examined the whole 3D PA-US acquisitions (Figure 9A and B): the PA signal average on a 3D volume representation of EpCAM 1 and EpCAM 2 found to be 0.132 and 0.534 au confirmed a similar trend with the ratio of the equivalent Au content found by ICP-MS assay.

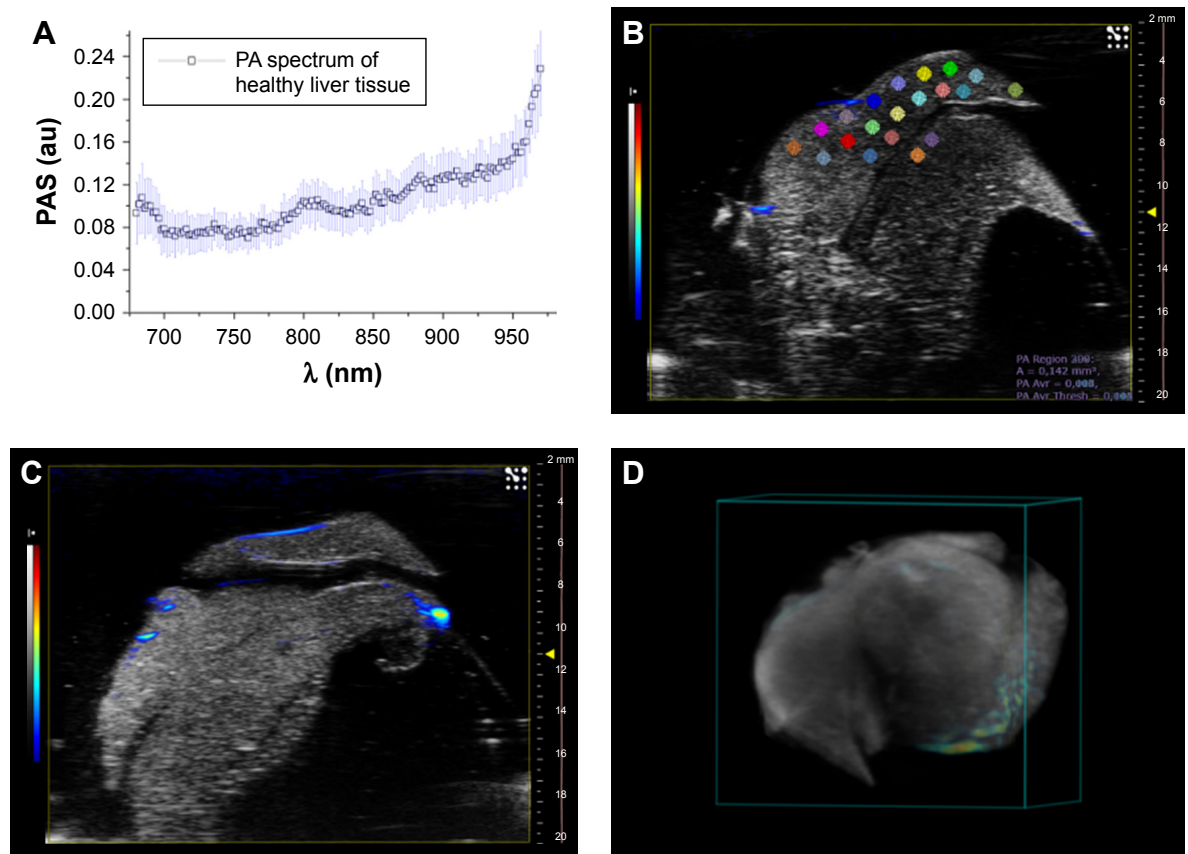
Although the number of samples was low, we tried to analyze the texture of the images to find a parameter that

could describe the pattern of tumor tissue. The texture analysis, already tested in our previous work, was based on the study of 122 parameters. In this work, we applied the analysis on ten different slices of healthy, EpCAM 1, and EpCAM 2 liver samples.

Differences between the mean values of skewness evaluation (with maximum difference in the map3) of healthy liver sample in respect to the tumors were detected.

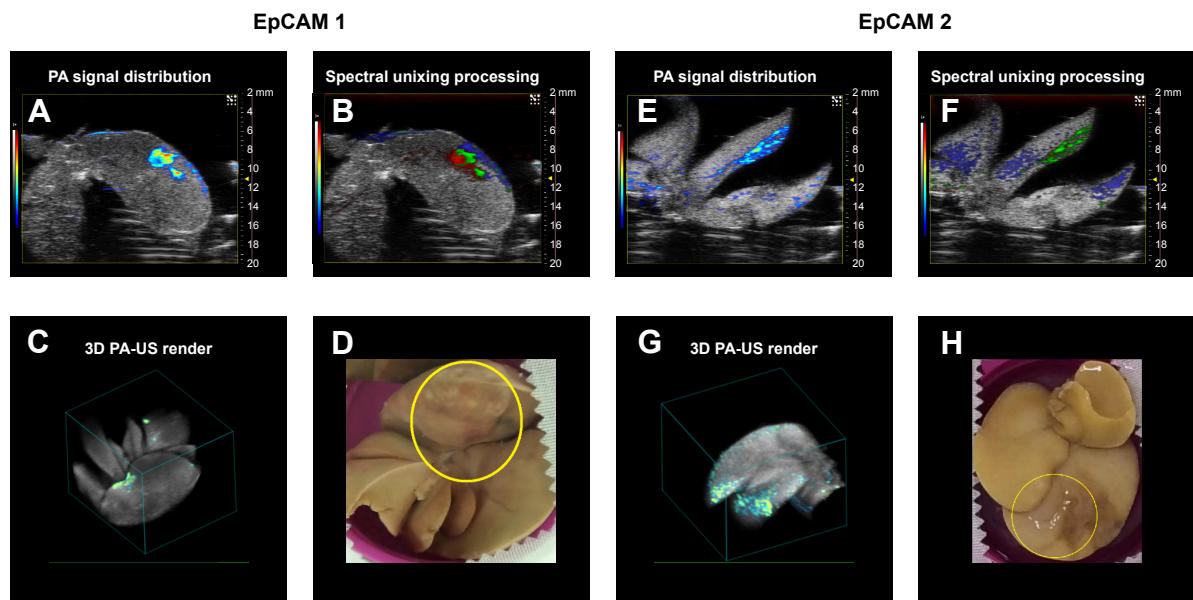
## Discussion

An ideal chemo-drug would not be toxic to normal cells but able to kill the cancer cells at the local tumor site. In this study, the Adr/GNRs@PMs-antiEpCAM was designed to



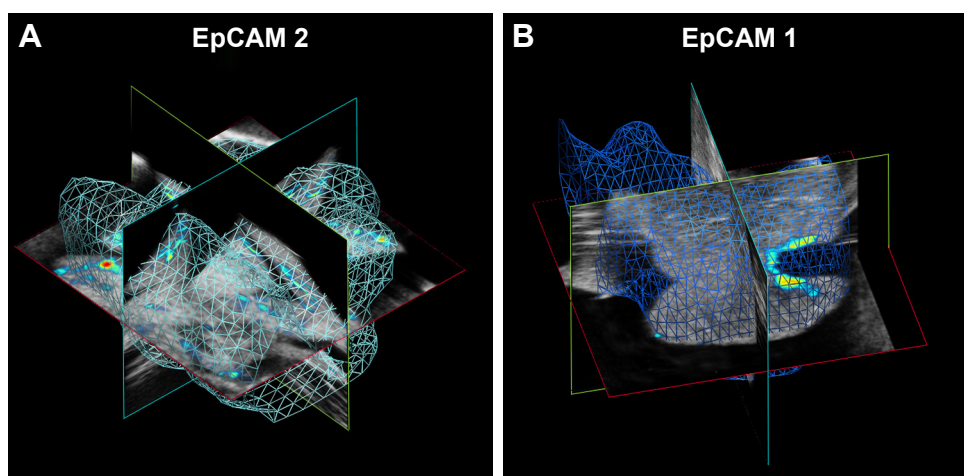
**Figure 7** (A) PA spectrum of healthy liver tissue; (B and D) two different PA-US slice images acquired from healthy liver, where some ROIs (0.334 mm<sup>2</sup>) in which the PA signal was calculated are shown in (B); (C) the 3D PA-US render of healthy liver reconstructed from 176 slices of around 150  $\mu\text{m}$ , the intensity of ultrasound signal in grayscale and PA records in colored bars.

**Abbreviations:** PA, photoacoustic; PAS, photoacoustic signal; ROI, region of interest; US, ultrasound.



**Figure 8** PA signal distribution (A, E), spectral unmixing algorithm processing (B, F), and 3D PA-US renders reconstructed by 145 slices of 150  $\mu\text{m}$  thickness (C, G), and the photos of samples (D, H), for EpCAM 1 and EpCAM 2, respectively. The yellow circles were placed on the tumor regions where signal was acquired. In the PA unmixed images (B, F), the spatial distribution of nanoparticles is represented in green color, deoxy-hemoglobin chromophores in blue color, and oxy-hemoglobin chromophores in red color; gray scalebar for ultrasound signal intensity, colored scalebar for PA signal intensity.

**Abbreviations:** EpCAM, epithelial cell adhesion molecule; PA, photoacoustic; US, ultrasound.



**Figure 9** Volumes of calculation of averaged PA signal in (A) EpCAM 2 and (B) EpCAM 1 liver samples.  
**Abbreviations:** EpCAM, epithelial cell adhesion molecule; PA, photoacoustic.

release the Adr drug and GNRs under the control of NIR light exposure.

In the literature, a number of studies describe the photo thermal effect generated by the light irradiation of gold nanoparticles in tumors.<sup>25–27</sup> Indeed, production of plasmonic resonances can be stimulated and used to increase the local temperature drastically to provide heating capabilities to the nanoparticles to kill the cancer cells, without damage to the healthy tissue. This effect was extensively studied and demonstrated in different ways. In particular, Norouzi et al<sup>28</sup> in 2018 reviewed the past studies of PTT using gold nanoparticles, underlining the efficacy of the methodology and how it is possible to apply PTT by manipulating the physical characteristics of gold nanoparticles, in terms of size, synthesis, and other reported parameters. The GNRs used in the present work, that is, Adr/GNRs@PM-antiEpCAM, have similar physical–chemical characteristics and optimized aspect ratio to those reported in literature, leading to a comparable heating performances vs quantum yield.

The important features of this nanosystem were biocompatibility and controlled release of drug on to target CSCs leading to their elimination. Our in vitro data indicated that Adr/GNRs@PMs-antiEpCAM was effective to kill the CSCs which were enriched by the spheroid assay. When administrated locally into the tumor, this Adr/GNRs@PMs-antiEpCAM also showed a tumor-killing effect, evidenced by extensive cell death in the tumor. Adr/GNRs@PMs-antiEpCAM could be more efficient to kill the CSCs via antiEpCAM targeting because EpCAM has been identified as a surface marker, which is associated with aggressive clinicopathological features and indicates poor prognosis in patients with HCC.<sup>29</sup> Furthermore, the increase of local

concentration of Adr by Adr/GNRs@PMs-antiEpCAM would increase the efficacy in tumor cell-killing. Unlike traditional administration of chemo-agents, the unique aspect of Adr/GNRs@PM-antiEpCAM could be the reduced toxicity to benign cells because it is targeted to the EpCAM-positive tumor cells, other than those EpCAM-negative hepatocytes.<sup>30</sup> In addition, the GNRs in Adr/GNRs@PMs-antiEpCAM coupled with NIR light exposure could enable thermal-based ablation targeted specifically at the tumor site. Evidence has shown that cancer cells are more vulnerable to elevated temperatures (42°C–49°C) compared with healthy cells, due to the hypoxic environment and higher metabolic rates, which is supported by previous report.<sup>31,32</sup> Taken together, our study shows that combination of Adr/GNRs@PMs-antiEpCAM with NIR exposure to treat liver cancer is technically feasible. Drug targeting using gold nanoparticles exhibit potential for use in the HCC patients who are not candidates for surgery and considerable study on this strategy remains to be carried out in the future.

## Conclusion

In this work we prepared and characterized for the first time a binary nanostructured agent, containing both the Adr drug and GNRs, into a biocompatible nanocarrier further decorated with the targeting anti-EpCAM antibody, which was therefore effective in recognizing and killing CSCs.

The efficacy of the novel therapeutic agent Adr/GNRs@PMs-antiEpCAM was demonstrated both in vitro and in vivo. In the in vitro study, we performed combined PTT and laser-triggered drug (Adr) release. Upon laser exposure, GNRs generate hyperthermia leading both to cell porosity in combination with Adr-selective release from the micelles

to the tumor site. This demonstrated that ADR/GNRs@PMs-antiEpCAM had a greater degree of tumor cell cytotoxicity.

The in vivo results also demonstrated that the ADR/GNRs@PMs-antiEpCAM preferentially bind to the HCC cells compared with nontarget ADR/GNRs@PMs, which lead to a higher cytotoxicity. After laser exposure, in vivo results confirmed that the possible reason for the extensive tumor destruction in ADR/GNRs@PMs-antiEpCAM treatment could be the synergistic effect of GNR thermal delivery and ADR cell cytotoxicity.

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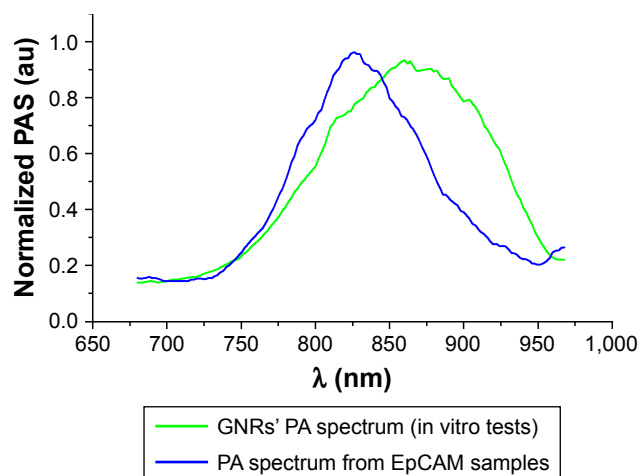
## Disclosure

The authors report no conflicts of interest in this work.

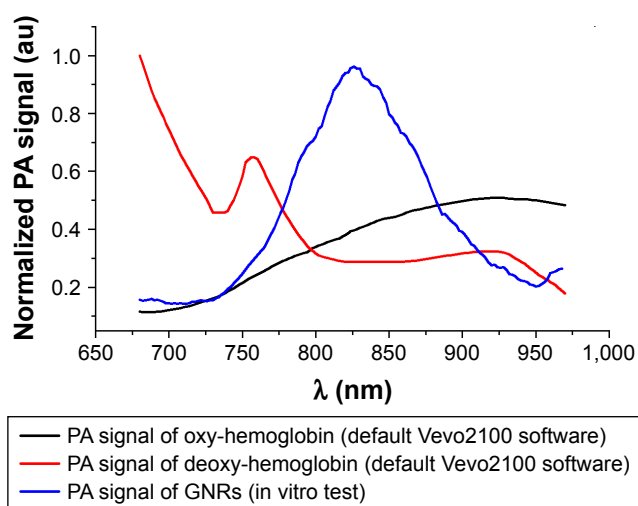
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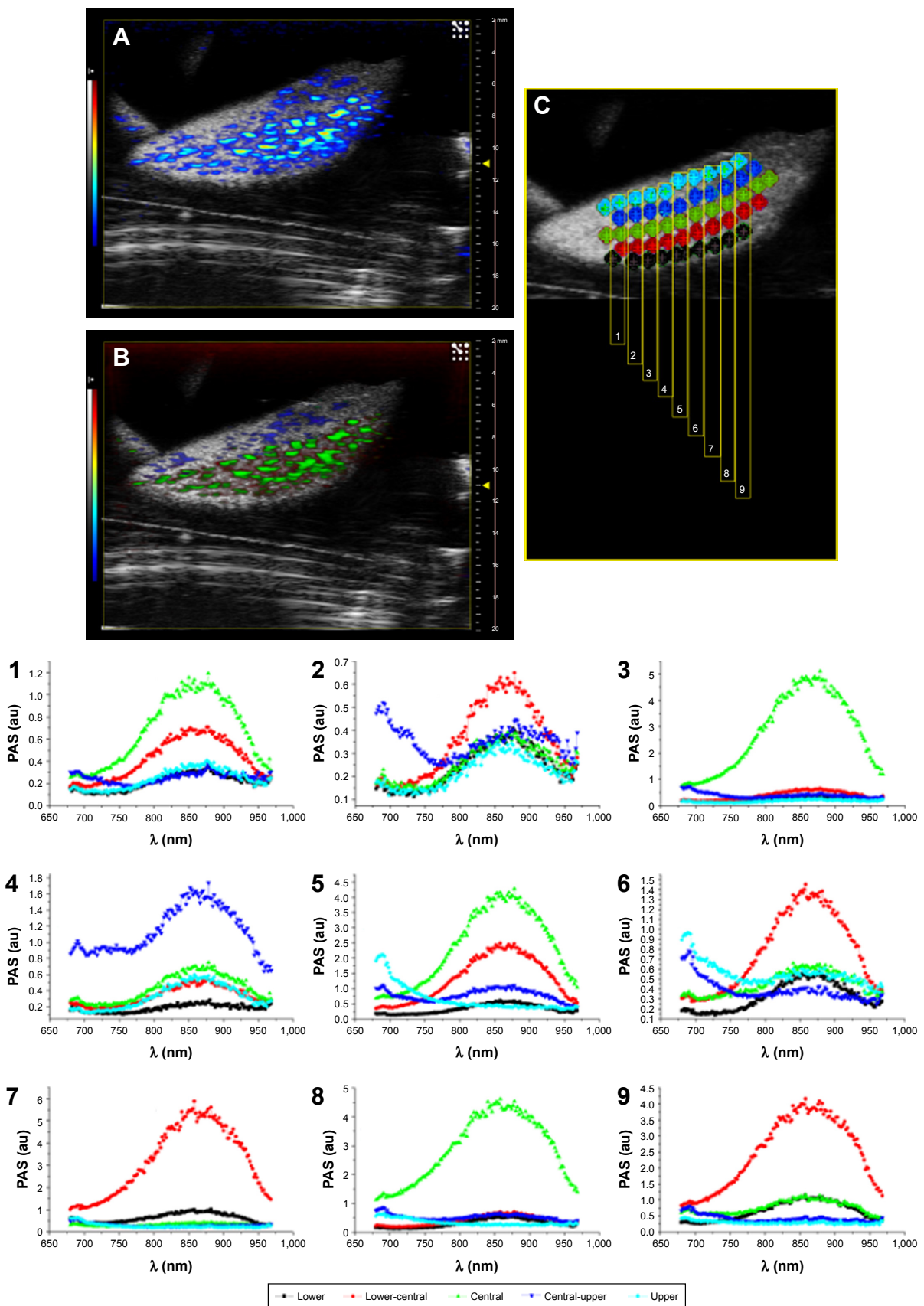
## Supplementary materials



**Figure S1** PA mean spectra of GNRs and that of treated liver tissue after the injection of the functionalized nanoparticles.  
**Abbreviations:** EpCAM, epithelial cell adhesion molecule; GNR, gold nanorod; PA, photoacoustic; PAS, photoacoustic signal.

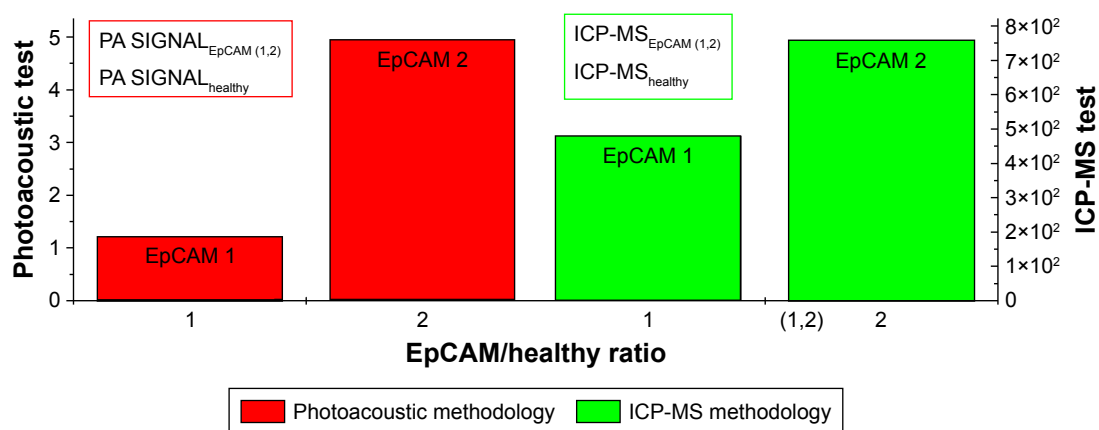


**Figure S2** Spectra used for spectral unmixing processing.  
**Notes:** The oxy- and deoxy-hemoglobin spectra had provided from default by Vevo software, and the PA spectrum of nanoparticles was calculated from in vitro tests.  
**Abbreviations:** GNR, gold nanorod; PA, photoacoustic.



**Figure S3** (A) PA-US image of a slice of liver lobe of EpCAM 2. (B) Slice of liver lobe of EpCAM 2 shown in image A, but processed with spectral unmixing. (C) The schematic of ROIs placed along 9 sections (numbered from 1 to 9) and their respective plots (also numbered from 1 to 9 and reported below) of PA signal acquired at different heights from the upper side to lower side of liver lobe.

**Abbreviations:** EpCAM, epithelial cell adhesion molecule; PA, photoacoustic; PAS, photoacoustic signal; ROI, region of interest; US, ultrasound.



**Figure S4** PA vs ICP-MS analysis: we calculated the PA signal ratio, as reported in the panel figure of the two analyzed samples (EpCAM 1 and EpCAM 2).

**Abbreviations:** EpCAM, epithelial cell adhesion molecule; ICP-MS, inductively coupled plasma mass spectrometry; PA, photoacoustic.

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