

# O/W Nanoemulsion as an Adjuvant for an Inactivated H3N2 Influenza Vaccine: Based on Particle Properties and Mode of Carrying

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**Background and Purpose:** Adjuvant can reduce vaccine dosage and acquire better immune protection to the body, which helps to deal with the frequent outbreaks of influenza. Nanoemulsion adjuvants have been proved efficient, but the relationship between their key properties and the controlled release which greatly affects immune response is still unclear. The present work explores the role of factors such as particle size, the polydispersity index (PDI), stability and the safety of nanoemulsions by optimizing the water concentration, oil phase and modes of carrying, to explain the impact of those key factors above on adjuvant effect.

**Methods:** Isopropyl myristate (IPM), white oil, soybean oil, and grape-kernel oil were chosen as the oil phase to explore their roles in emulsion characteristics and the adjuvant effect. ICR mice were immunized with an emulsion-inactivated H3N2 split influenza vaccine mixture, to compare the nanoemulsion's adjuvant with traditional aluminium hydroxide or complete Freund's adjuvant.

**Results:** Particle size of all the nanoemulsion formed in our experiment ranged from 20 nm to 200 nm and did not change much when diluted with water, while the PDI decreased obviously, indicating that the particles tended to become more dispersive. Formulas with 80% or 85.6% water concentration showed significant higher HAI titer than aluminium hydroxide or complete Freund's adjuvant, and adsorption rather than capsule mode showed higher antigen delivery efficiency. As mentioned about oil phase, G (IPM), F (white oil), H (soybean oil), and I (grape-kernel oil) showed a decreasing trend in their adjuvant efficiency, and nanoemulsion G was the best adjuvant with smaller and uniform particle size.

**Conclusion:** Emulsions with a smaller, uniform particle size had a better adjuvant effect, and the adsorption mode was generally more efficient than the capsule mode. The potential adjuvant order of the different oils was as follows: IPM > white oil > soybean oil > grape-kernel oil.

**Keywords:** nanoemulsion, influenza vaccine, particle properties, mode of carrying, oil phase

## Introduction

Influenza is an acute respiratory infection disease around the globe. The US Centers for Disease Control and Prevention (CDC) estimates that seasonal influenza is responsible for an average of more than 20,000 deaths annually.<sup>1</sup> Mortality is highest in infants and the elderly. The 2012–2013 influenza season was notable for widespread disease and a higher death rate than those reported in previous years. In addition, the predominant influenza virus subtype was H3N2, in contrast to dominance by H1N1 subtypes in recent years.<sup>2</sup>

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Vaccination remains the primary cost-effective method for the prevention and control of influenza. Among healthy young adults, vaccination can prevent 70–90% of influenza-specific illness. Nevertheless, administration of the usual dose of influenza vaccine can provide only limited protection for persons aged 65 years, due to age-related degeneration of the immunologic system.<sup>3</sup>

Adjuvants play an important role in enhancing the immunogenicity of vaccines.<sup>4</sup> Nanoemulsion is a kind of steady system that is usually composed of an emulsifier, co-emulsifier, oil phase and aqueous phase. As a vaccine adjuvant, this system is efficient, and many nanoemulsions have been proven safe. Humenza<sup>®</sup>, an Influenza H1N1 vaccine from Sanofi Pasteurand, which combined with a new O/W type emulsion AF03, was approved by EMA-CHMP.<sup>5,6</sup> Also, the MF59 adjuvanted subunit influenza vaccine (Fluad) provides an improved immune response for those aged 65 years and older.<sup>7</sup> In addition, nanoemulsion adjuvant such as MF59 and AS03 have been proven to enhance the adaptive response by activating the innate immune system locally and increasing antigen uptake and presentation in draining lymph nodes.<sup>8–10</sup> However, Pandemrix which contains AS03 has been associated with an increased risk of narcolepsy in adolescent recipients in the 2009 H1N1 pandemic.<sup>11</sup> A possible mechanism underlying the association of the H1N1 adjuvant vaccine with narcolepsy might be molecular mimicry involving cross-reactivity of H1N1-specific T cells and hypocretin-producing neurons,<sup>12</sup> predicting the potential risk of AS03; therefore, a growing need has arisen for new adjuvants with improved safety. Currently, there are still many nanoemulsion adjuvants under basic research on animals only, and some are in preclinical stage.

As a vaccine adjuvant, nanoemulsions present advantages such as antigen protection.<sup>13</sup> Increasing the surface area of an antigen, which is favourable for antigen presentation, slow release of the antigen,<sup>14</sup> uniform dispersion and good stability. However, the relationship between its physicochemical properties and the immune response mechanism is not clear,<sup>15</sup> further research on safety, and formula optimization should be considered.<sup>16</sup>

Particle size, the polydispersity index (PDI) and carrying mode are considered to be key factors that influence the nanoemulsion adjuvant effect.<sup>17–19</sup> Additionally, the aqueous phase and oil phase play an important role in nanoemulsion formation.<sup>20–22</sup> In our research, different NEs are mixed with an H3N2 vaccine, and their adjuvant effects in a mouse model are considered, to explore how

these parameters change the immune response intensity, aiming to choose the best formulation for further application.

## Materials and Methods

### Materials

Cremophor EL was purchased from Acros (Belgium). Tween80 and Tween20 were purchased from Shanghai Sangon Biological (Shanghai, China). Span80 was purchased from the Tianjin Guangfu Chemical Industry Institute (Tianjin, China). 1,2-Propylene glycol was purchased from Xilong Chemical Co. LTD (Guangzhou, China). 7# white oil was obtained from Taizhou Mingxin Petrochemical LTD (Taizhou, China). Grape seed oil was procured from Wuhan Hezhong Biochemical Production (Wuhan, China). Complete Freund's adjuvant and soybean oil was purchased from Sigma–Aldrich (St. Louis, MO). Isopropyl myristate (IPM) was purchased from Tokyo Chemical Co.LTD (Tokyo, Japan). Aluminium hydroxide was provided by Institute of Medical Biology, Chinese Academy of Medical Science (Kumming, China). Female ICR mice (Qualified number: SCXK (Yunnan), 2010-0003), which was certificated by the Ethics Committee of Peking Union Medical College, and the inactivated split influenza vaccine (B/Brisbane/60/2008 virus) were provided by the Institute of Medical Biology, Chinese Academy of Medical Science (Kumming, China). H3N2 Influenza strain (2009NYMC, X-187Victoria) was provided by Chinese CDC (Beijing, China), Dialysis membranes (MD44 8000-14000D, Solarbio, Beijing, China), ELISA kits were obtained from Yaanda biotechnology co. LTD (Beijing, China). This study was approved by the animal ethics committee of Institute of Medical Biology, Chinese Academy of Medical Science. All of the procedures were performed in accordance with relevant policies in China (Laboratory Animal Management Regulations).

### Nanoemulsion Formulations

We used the self-emulsifying method, stirred the ingredients at low speed, and finally added water to milk. To select the proper formula with a high water dosage, the pseudo-ternary phase diagram was graphed according to the ratios of different components, and we prepared nanoemulsion adjuvant by adding the constituents as Tables 1–3, except the aqueous phase, to obtain a total weight of 2g, followed by mixing with a magnetic stirrer (Wiggins,

**Table 1** Ratios of Various Components in Nanoemulsions A1-A4 (W%)

	Emulsifier1: Span 80	Emulsifier2: Tween 80	Co-Emulsifier: 1,2-Propanediol	Oil Phase: White Oil	Water	Carrying Mode
A1	6.7%	6.7%	13.2%	6.7%	66.7%	Adsorption
A2	4.0%	4.0%	8.0%	4.0%	80%	Adsorption
A3	2.9%	2.9%	5.7%	2.9%	85.6%	Adsorption
A4	4.0%	4.0%	8.0%	4.0%	80%	Capsule

**Table 2** Ratios of Various Components in Nanoemulsions D1-D4 (W%)

	Emulsifier1: Tween 20	Emulsifier2: Span 80	Co-Emulsifier: 1,2-Propanediol	Oil Phase: White Oil	Water	Carrying Mode
D1	6.7%	6.7%	13.3%	6.7%	66.7%	Adsorption
D2	4%	4%	8%	4%	80%	Adsorption
D3	2.9%	2.9%	5.7%	2.9%	85.6%	Adsorption
D4	4%	4%	8%	4%	80%	Capsule

**Table 3** Ratios of Various Components in Nanoemulsion F, G, H, and I (W%)

NE	Emulsifier1:EL (W%)	Emulsifier2:Span 80	Co-Emulsifier: 1,2-Propanediol	Oil Phase: Different Oil	Water
F	2.9%	2.9%	5.7%	White Oil:2.9%	85.6%
G	2.9%	2.9%	5.7%	IPM:2.9%	85.6%
H	2.9%	2.9%	5.8%	Soybean oil:1.2%	87.2%
I	2.9%	2.9%	5.7%	Grape-kernel oil:2.9%	85.6%

Germany) at 700rpm for 5 min to form coarse emulsion. Water was added slowly (200  $\mu$ L every 5 mins), keep stirring until the system became clearer and transparent, this was the coarse emulsion. Then, speed to 500  $\mu$ L/min until the setting concentration. The viscosity of the nanoemulsion decreased significantly, the color became lighter, and the transparency slightly decreased, but it would not break and delaminate even standing for a long time. Finally, the emulsion was filtered through membrane filters (0.45 mm) to remove coarse globules and debris.

Nanoemulsion preparation for different vaccine delivery modes was different in vaccine addition. Component ratio of A4 was completely the same as that of A2, including the antigen concentration. The difference between A4 and A2 concerned the process of water addition. Set A2, for example, here, normal saline (NS) was first added to an 80% concentration, and stirring was continued. Then, 290  $\mu$ L of inactivated H3N2 vaccine (320  $\mu$ g/mL) was slowly added to make the total weight 10,290  $\mu$ g, followed by mixing for 5 min. Thus, the antigen was uniformly distributed on the nanoemulsion surface, which we referred to as the adsorption mode. However, for A4, which we referred to as the capsule mode, the emulsion was prepared as follows: 290  $\mu$ L of inactivated H3N2 vaccine (320  $\mu$ g/mL) was first added to form a coarse emulsion, after which NS was added dropwise

to a certain concentration, and the antigen was thereby encapsulated inside the particles. Equal volume of Freund's adjuvant and vaccine solution were mixed and completely emulsified by syringe. Aluminium hydroxide, the original concentration 16.31 mg/mL, was configured to 1 mg/mL when injected to animals.

## Adjuvant Characterization

The particle size and PDI of the O/W emulsion were determined via dynamic light scattering (DLS) with a Zetasizer Nano-ZS 90 instrument (Malvern Instruments Corp, UK). In general, each emulsion sample was diluted 1:5000 with filtered distilled water and separated via ultrasonication at 50 watts before measurement at 25°C. All the measurements were performed in duplicate.

The dynamic viscosity of the O/W emulsion was measured at 25°C by simply aspirating 1 mL of the emulsion in a sucker. Then, the time at which 0.4 mL of the emulsion had flowed out was recorded. The pH value was tested with a pH meter, and all measurements were performed in triplicate.

The micro-structure and morphology of the nanoemulsion particles were observed with an H-7650 TEM (Hitachi LTD., Tokyo, Japan). Samples were diluted with PBS, and a few drops of glycerine were added, after which the

samples were completely dispersed through the application of 50W ultrasound for 5 min. A 10  $\mu$ L aliquot of the sample was placed on the copper grid (400 mesh) surface, allowed to stand for 10 min to dry naturally, and finally stained with 2% phosphotungstic acid for 5 min. Finally, the sample was observed under an appropriate magnification.

## Physical and Chemical Stability

Nanoemulsion samples were stored at 4°C, room temperature and 37°C for 3 months. Stability was analysed on the day of manufacture and after 2, 4, 6, 8, 10 and 12 weeks. The stability parameters included the pH value and particle size, also phase separation and agglomeration were observed by the naked eye after 10,000 r/min centrifugation. In addition, nanoemulsion's clarity was observed after sterilized by autoclaving at 115°C for 15 min, to ensure stability.

Type identification was carried out by dyeing with methylene blue and Sudan red G. Two Schering bottles were filled with the nanoemulsion, and methylene blue or Sudan red G was then added along the bottle wall. The spreading process of the two dyestuffs was observed over 10 min.

## Protein Release Assay

To study the protein release rate, 4 mL of nanoemulsion containing 200 mg of OVA was placed in a Dialysis bag and

dialysis performed against 120 mL PBS. The dialysis appliance was placed in a 37 °C incubator. Fifty microliters dialysate were collected on 0.5, 1, 2, 4, 6, 18, 24, 48, 72 hr, and equivalent PBS was replaced in. OVA concentration was measured by Ultraviolet-visible spectrophotometer (DeNovix DS-11, DeNovix, USA).

## Immunization and Serum Collection

Female ICR mice aged 6–8 weeks were provided by the Animal Experiment Centre of the Institute of Medical Biology, Chinese Academy of Medical Science. Mice (5 mice per group in the water concentration or different carrying mode tests, 8 mice per group in different oil phase tests) were immunized with the vaccine or with the vaccine mixed with NE via intramuscular injection in thigh gastrocnemius muscle (both legs, 200  $\mu$ L in each). Each mouse was injected with adjuvant+ vaccine (Table 4). For the control group, mice were given NS, aluminium hydroxide adjuvant or CFA. All the mice were immunized at 0, 1 and 3 weeks. Sera were collected at 1–9 weeks after the first immunization through the caudal vein and were then stored at –20°C.

## Immunogenicity Assessments

To determine the haemagglutination-inhibition (HAI) titre, sera were treated with a receptor-destroying enzyme by

**Table 4** Grouping Details of Nanoemulsion Adjuvant Immunized Mouse

Group	Adjuvant	Vaccine Dosage	Water Concentration	Carrying Mode
Water concentration and Carrying mode animal experiment	Saline	None	–	–
	None	1.8 $\mu$ g	–	–
	Al (OH) <sub>3</sub>	1.8 $\mu$ g	–	–
	A1	1.8 $\mu$ g	66.7%	Adsorption
	A2	1.8 $\mu$ g	80%	Adsorption
	A3	1.8 $\mu$ g	85.6%	Adsorption
	A4	1.8 $\mu$ g	80%	Capsule
	D1	1.8 $\mu$ g	66.7%	Adsorption
	D2	1.8 $\mu$ g	80%	Adsorption
	D3	1.8 $\mu$ g	85.6%	Adsorption
D4	1.8 $\mu$ g	80%	Capsule	
Oil phase animal experiment	Saline	None	–	Adsorption
	None	0.9 $\mu$ g	–	Adsorption
	CFA	0.9 $\mu$ g	–	Adsorption
	F	0.9 $\mu$ g	80%	Adsorption
	G	0.9 $\mu$ g	80%	Adsorption
	H	0.9 $\mu$ g	80%	Adsorption
	I	0.9 $\mu$ g	80%	Adsorption

incubation overnight at 37°C to eliminate non-specific haemagglutination inhibitors, followed by heating at 56°C for 50 min to deactivate the receptor-destroying enzyme. Serum samples were serially (1:2) diluted using NS and mixed with 50 µL of eight HA units of influenza virus, then incubated for 30 min at room temperature to neutralize the corresponding antibody. Finally, 1% chicken red blood cells were added, and haemagglutination was observed. The HAI titres were defined as the highest serum dilution that completely inhibited haemagglutination.

Influenza virus-specific antibodies (IgG, IgG1, IgG2a, IFN-γ, IL-4) were quantified with a competitive inhibition enzyme-linked immunosorbent assay (ELISA) kit. Incubated plates were stored at -20°C before opening when 10 µL serum (all sera were collected at 5 weeks after first immunization) samples and 40 µL of diluents were added to each test well. At the same time, 50 µL of HRP-conjugated goat-anti-mouse IgG was added, followed by incubation for 1 h at 37°C, and washing 5 times. For the chromogenic reaction, tetramethyl benzidine solution was added, followed by incubation at 37°C for 15 min. The reaction was stopped by the addition of 0.1 mL of 2 M H<sub>2</sub>SO<sub>4</sub>. Finally, OD values were read at 450 nm within 15 min.

## Safety Evaluation

A preliminary experiment was performed before the real test. Mice were randomly grouped, with 20 mice in each group. After fasted for 12 h, each mouse was given 0.25 mL/10g NE via intraperitoneal injection, and the survival condition of the mice was observed for 30 days. Anatomical observations of the major organs (heart, liver, spleen, lung, kidney, etc.) were performed. If no death was observed under the maximum dosage, the maximal tolerance dose (MTD) was greater than 0.25 mL/10 g, and we judged the emulsions to show good safety. If there was any death of mice, the Karber method was used to test the LD50 and 95% confidence limit for further evaluation.

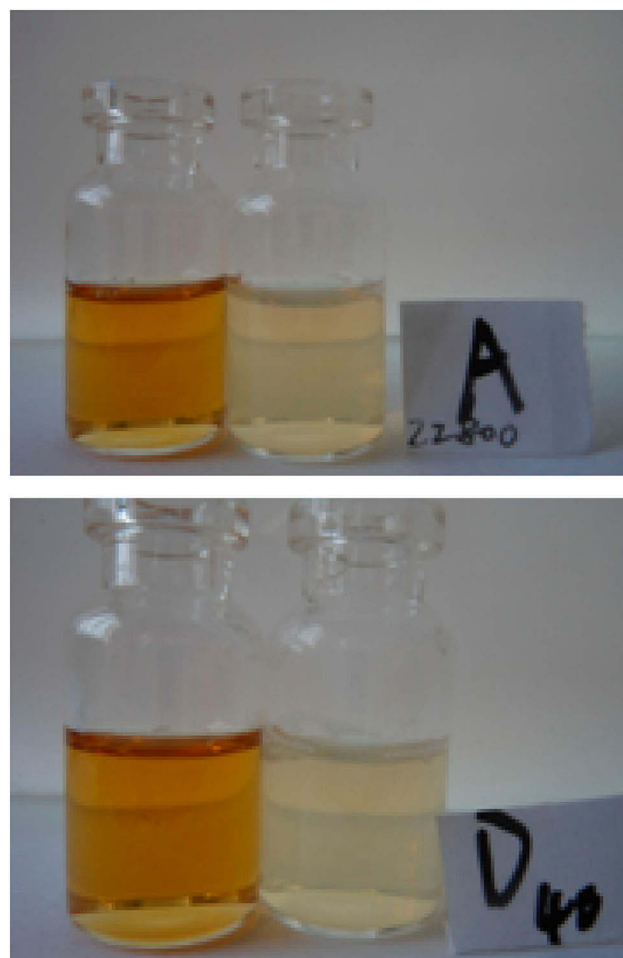
## Statistical Analysis

Arithmetic mean titres (AMT) were calculated to analyse antibody titres and HAI titres, which were used to draw a line chart. Each group was compared through descriptive statistical and repeated measures ANOVA. The significance level for evaluation of the research results was set at  $p \leq 0.05$ .

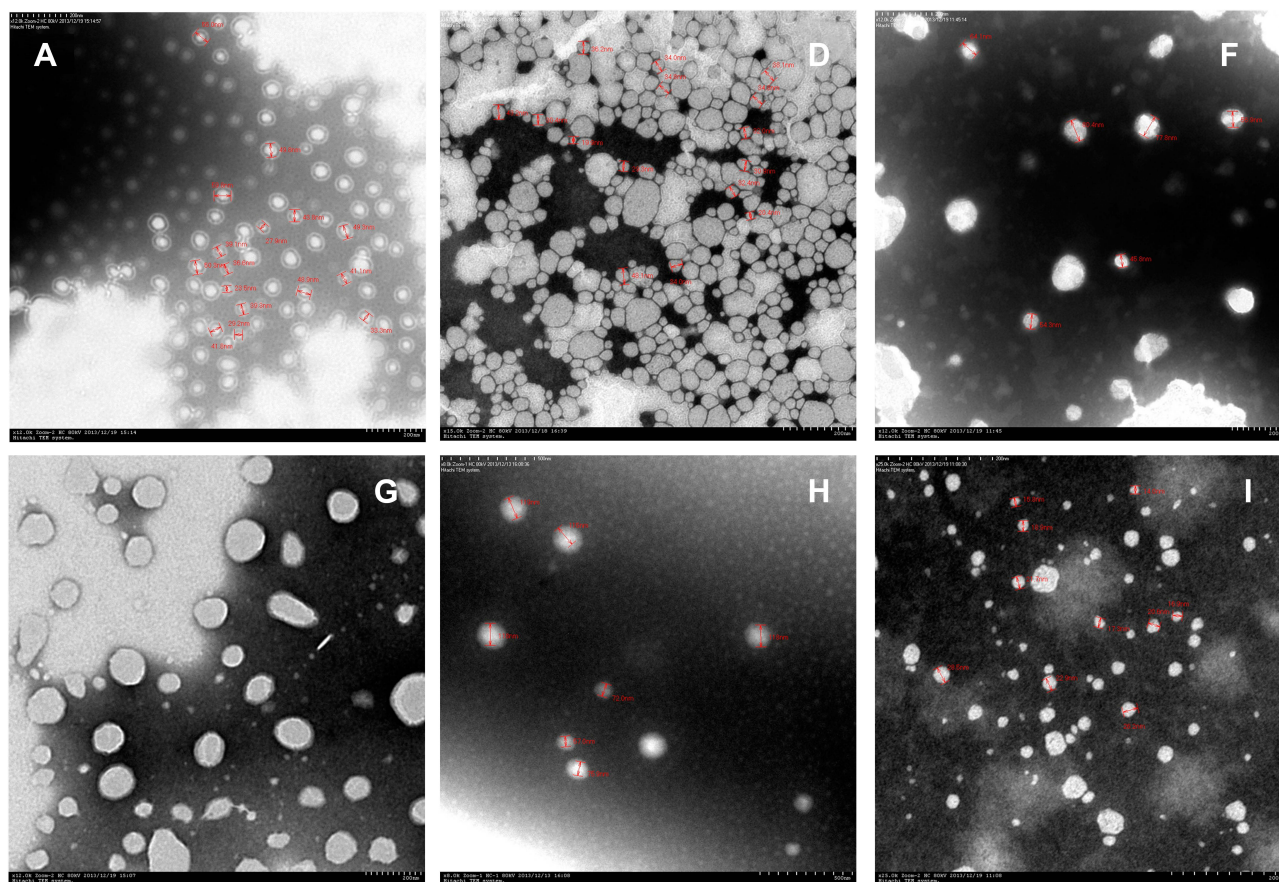
## Results

### Characterization of NE

It can be seen that the initial NE was a transparent yellow liquid. After dilution, A and D became nearly colourless and semitransparent (Figure 1), the other NEs exhibited the same change when diluted. Under TEM viewing, the nanoparticles were nearly round in shape. Most of the A particles were approximately 40 nm and of uniform size. Most of the D particles were approximately 30 nm (Figure 2, Table 5). According to zetasizer measurements, the sizes of A1 to A3 ranged from 39 nm to 40 nm, and the sizes of D1 to D3 ranged from 21 nm to 31 nm, indicating that the particle size increased slightly with an increase in the water concentration, and particles in the whole nanoemulsion system tended to be more uniform. NEs such as G were nearly 40–50 nm. For G, the PDI was 0.109, indicating good uniformity and good stability. For other NEs, such as H and I, the particle



**Figure 1** State of nanoemulsions A and D (The bottle showed a brownish-yellow color was the initially formed nanoemulsion, and the bottle showed a milky white color was nanoemulsion diluted with water to 80%).



**Figure 2** Electron microscopy of nanoemulsions (Each nanoemulsion sample was diluted with an appropriate amount of PBS, added with glycerin and ultrasonically dispersed, added to a copper mesh to be dried, and stained with phosphotungstate). Magnification: (A×12,000; D×15,000; F×12,000; G×12,000; H×8000; I×25,000).

size was greater than 100 nm, along with a greater PDI, and the particle density was less than A, D, and G.

### Stability of Nanoemulsions

To investigate the stability of the NEs at different storage temperatures, the viscosity and pH were monitored under different storage conditions and sterilization processes. At 4°C and room temperature, the pH value and viscosity remained largely stable, and no stratification was observed during the 6-month period. At 37°C, there were no changes

in appearance or viscosity, but the pH value had slightly decreased by the end of the 6-month period (Table 6).

Upon autoclaving at 115°C for 15min, the properties of the emulsion adjuvant changed. The results showed that A, D and I became cloudy, but could return to a uniform, transparent state after several days of standing. However, other NEs became cloudier. In addition, after the NEs were centrifuged for 30 min at a speed of 10000 r/min, no delamination phenomenon of demulsification was observed (Table 7). The results showed that the nanoemulsions were stable and exhibited good resilience in practical use.

Overall, the physico-chemical stability data demonstrated good stability of the emulsion adjuvant, and autoclave sterilization can be performed before clinical application.

**Table 5** Particles Size and Polydispersity Index of Nanoemulsions

NE	Particle Size (nm)	PDI	NE	Particle Size (nm)	PDI
A1	39	0.170	F	175	0.320
A2	40	0.169	G	51	0.109
A3	40	0.168	H	173	0.404
D1	21	0.239	I	120	0.295
D2	27	0.241			
D3	31	0.178			

### Release Rate of Different Carrying Mode in Nanoemulsions A and D

The capsule mode represented by A4 led the fastest protein release, with 100% protein released in 72 hrs, and the release rate became dramatically fast especially after 6 hrs, while the release of A2, D2, D4 in our 72 h test was significantly lower

**Table 6** Change in the pH of NEs

NE	Initial pH	6 Months pH			NE	Initial pH	6 Months pH		
		4°C	RT	37°C			4°C	RT	37°C
A1	6.54	6.54	6.54	6.26	D1	6.58	6.56	6.56	6.35
A2	6.54	6.54	6.54	6.26	D2	6.58	6.58	6.56	6.48
A3	6.56	6.54	6.54	6.22	D3	6.58	6.58	6.56	6.39
F	6.46	6.46	6.35	6.38	H	6.36	6.45	6.45	6.40
G	6.54	6.58	6.56	6.53	I	6.32	6.32	6.45	6.38

**Table 7** Clarity of NEs After Sterilization and Centrifugation

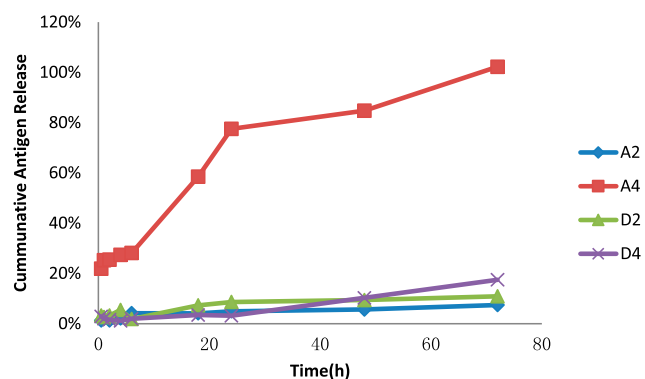
NE	Initial	Sterilized	Centrifuged	NE	Initial	Sterilized	Centrifuged
A1	+++++	++++	+++++	D1	+++++	++++	+++++
A2	++++	+++	++++	D2	++++	+++	++++
A3	++++	+++	++++	D3	++++	+++	++++
F	+++++	+++	+++++	H	++++	++	++++
G	+++++	+++	+++++	I	+++++	+++	+++++

**Notes:** +++++ Indicates completely transparent; +++ Indicates semitransparent; + Indicates cloudy; ++++ Indicates status between +++++ and +++; ++ Indicates status between +++ and +, observed by naked eyes.

than A4, all less than 20%, showing great control release. When compare D2 and D4, the two show a slight difference, D4 show gentle release initially and became quicker after 48 h, finally reached 17% on 72 h, slightly faster than D2 (Figure 3), overall, the adsorption mode showed a better control release effect than the capsule mode.

## HAI Response to Different Water Dosages and Carrying Modes

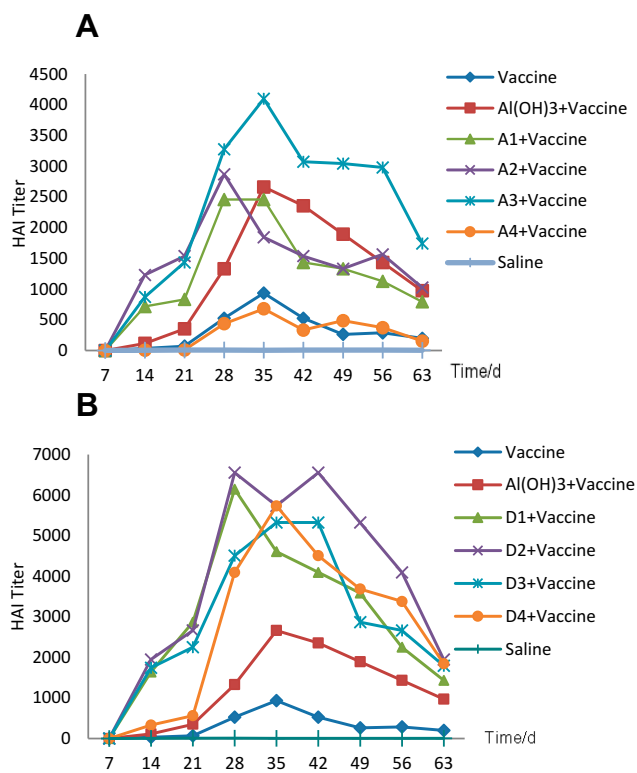
To evaluate the immune response to the vaccine and different adjuvants, we tested HAI titres by haemagglutination inhibition. Repeated measures ANOVA was used to



**Figure 3** Release rate of nanoemulsions A and D. (A2 and D2 represented adsorption mode, and A4 and D4 represented capsule mode. Four-milliliter nanoemulsion contained 250 mg OVA was wrapped in dialysis bag a, with 120 mL PBS as release medium, the dialysate was collected periodically for protein assay on 0.5, 1, 2, 4, 6, 18, 24, 48, 72 hrs).

compare HAI titres. The results showed that the HAI titre differed significantly as time changed ( $F=48.28$ ,  $p<0.05$ ). The line chart (Figure 4A, Table 8) shows that all the mice reached the antibody titre peak at 28 or 35 days. Compared with the vaccine group, the NE adjuvant groups exhibited significantly higher titres over the entire test cycle, and A1 and A2 almost reached the same level as the aluminium hydroxide adjuvant group. Among these groups, A3 stimulated approximately 4 times the HAI antibody production as the single vaccine group, indicating that the three NE adjuvant groups showed a good adjuvant effect. For the D emulsion groups, all three emulsions contributed to higher production of HAI antibodies by the mice compared with the single vaccine group or aluminium hydroxide adjuvant group (Figure 4B, Table 9); the peak level was almost 2.5 times as high as in the aluminium hydroxide adjuvant group and 6 times as high as in the single vaccine group. Additionally, repeated measures ANOVA was used to compare the differences between various nanoemulsions and the control at different times, and the differences between the groups were statistically significant ( $F=10.91$ ,  $p<0.05$ ). Overall, emulsion D performed better than A at the same time after immunization.

When different carrying modes were compared, the results became more complicated. For emulsion A, the A4 group manifested a much lower antibody level than A2. Repeated measures analysis was used to compare the difference between A2 and A4 at different times. The



**Figure 4** HAI antibodies produced by different adjuvants with different water dosages or under different vaccine-carrying modes. (ICR mice were immunized with 1.8 μg inactivated split-virus influenza vaccine mixed with 200 μL adjuvant on days 0, 7, and 21 by intramuscular injection, and sera collected each week were tested by haemagglutination inhibition). The results are shown as the arithmetic mean endpoint, (A) showed nanoemulsion A prepared by different water concentration (A1, A2, A3) and different carrying modes (A2 and A4) each group contained 5 mice. And (B) showed nanoemulsion D.

results showed that the differences were statistically significant ( $F=22.86, p<0.05$ ), almost the same as the single vaccine group ( $F=0.417, p=0.536, p>0.001$ ) (Figure 4A, Table 8). However, for nanoemulsion D, the D4 group showed an obviously worse adjuvant effect than D2 during the first 3 weeks after 3 weeks of stagnation, D4 unexpectedly produced 2 times the HAI antibodies compared to the aluminium hydroxide adjuvant group in the next 5 weeks (Figure 4B, Table 9), indicating its significant adjuvant

**Table 8** HAI Titer of Nanoemulsion A (Mean±SD)

Group	7	14	21	28	35	42	49	56	63
Saline	0±0	5±2	8±5	7±5	5±2	6±2	6±5	6±5	4±0
Vaccine	0±0	33±28	70±53	525±340	934±535	525±340	262±170	288±219	198±195
Al(OH) <sub>3</sub> +vaccine	0±0	115±129	352±290	1331±687	2662±3123	2355±2220	1894±1444	1433±561	973±687
A1+vaccine	0±0	717±280	832±760	2457±916	2457±916	1433±561	1331±687	1126±561	794±262
A2+vaccine	0±0	1228±776	1536±724	2867±1122	1843±857	1536±512	1331±1561	1564±1437	1024±57
A3+vaccine	0±0	870±715	1433±561	3276±1122	4096±2508	3072±2896	3042±2154	2979±3239	1740±1430
A4+vaccine	0±0	5±2	10±6	437±537	678±867	332±245	486±343	372±415	154±97

effect. Repeated measures analysis showed that there was no obvious difference between D2 and D4 during this period ( $F=2.56, p=0.148, p>0.05$ ).

Formula A's particle size remained constant when diluted (Table 5); its capsule effect was more intense. Therefore, the adsorption mode showed a far stronger adjuvant effect than the capsule mode within the body. In contrast, formula D's particle size increased gradually, and the antigens transferred from bound water to bulk water as dilution, and finally adsorb to the particle surface; therefore, its capsule mode also showed adjuvant effects. Generally, the adsorption mode was better than the capsule mode, and the following experiments were, therefore, all carried out in the adsorption carrying mode.

### Immune Responses to NEs with Different Water Dosages

Influenza virus-specific antibodies (IgG, IgG1, IgG2a) were determined with ELISA kits showed that there were no significant differences between the A groups and the single vaccine group (Figure 5A–C). However, the D2 groups showed significantly more IgG and IgG2a than single vaccine group (Figure 5A and C:  $F_1=44.7, p_1<0.05$ ;  $F_2=8.648, p_2<0.05$ ), but no difference in IgG1 (Figure 4B:  $F=2.037, p>0.05$ ). The D3 groups showed significantly more IgG than single vaccine group (Figure 4A and C;  $F=1028.8, p<0.05$ ), but no difference in IgG1 and IgG2a (Figure 5B and C).

To further analyse immune types, IL-4 and IFN-γ were detected via the ELISA method. The results showed that there were no differences between the single vaccine group and the D group (Figure 6A and B), which indicated that the NE adjuvant exhibited no relationship with cellular immunity; instead, the stimulation of the body's protection relied only on the humoral immunity to stimulate the body's protection.



**Table 9** HAI Titer of Nanoemulsion D (Mean± SD)

Group	7	14	21	28	35	42	49	56	63
Saline	0±0	5±2	8±5	7±5	5±2	6±2	6±5	6±5	4±0
Vaccine	0±0	33±28	70±53	525±340	934±535	525±340	262±170	288±219	198±195
Al(OH) <sub>3</sub> +Vaccine	0±0	115±129	352±290	1331±687	2662±3123	2355±2220	1894±1444	1433±561	973±687
D1+Vaccine	0±0	1638±561	2867±1122	6144±2896	4608±3510	4096±2508	3584±1145	2252±1122	1433±561
D2+Vaccine	0±0	1945±1374	2662±1374	6553±2243	5734±2243	6553±2243	5324±2748	4096±0	1945±1275
D3+Vaccine	0±0	1740±1430	2252±1122	4505±2243	5324±2748	5324±2748	2867±1122	2662±916	1792±572
D4+Vaccine	0±0	333±172	563±280	4096±2401	5734±2243	4506±2243	3686±2670	3379±3063	1843±1384

## Immune Response to Different Oil Phases

To explore the roles of different ingredients in the emulsions, different oils were tested to form relatively clear and transparent NEs. When the co-emulsifier and water phase exhibited the same composition and the same ratio (Table 3), the mice were immunized with F, G, H, and I, and HAI was detected in serum. The immunity test showed that the formula (EL=2.9%; Span 80=2.9%; PEG=5.4%; oil=2.9%; water=85.75%) with G was much better at stimulating HAI antibody production than that with F (Figure 7, Table 10); ie, IPM was more effective than white oil in this formula. Moreover, H and I, in which soybean oil or grape-kernel oil was used as the oil phase, had nearly no effect on the vaccine adjuvant. Generally, the adjuvant potential order was as follows: IPM> white oil> soybean oil >grape-kernel oil.

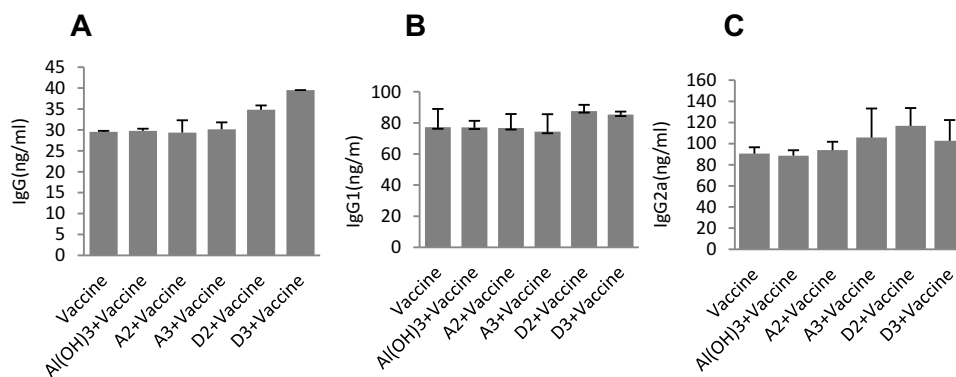
## Safety Evaluation

To preliminary evaluate the safety of the emulsions, a maximum dose test was conducted. Mice were given 0.25 mL/10g of emulsions A, D, F, G, H, and I. No reduction of activity or ingestion was observed, and no death appeared

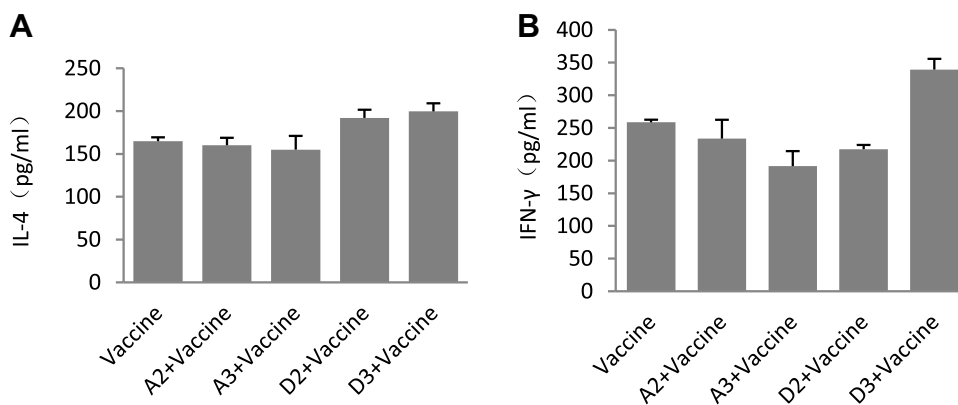
over the next 30 days after single intraperitoneal injection (Table 11). No obvious histologic changes were found in the heart, liver, spleen, lung or kidney. The MTD roughly proved that these nanoemulsions have the potential to be applied to the body.

## Discussion

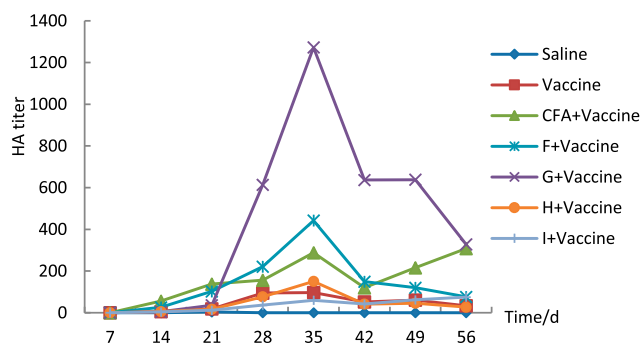
Water is the main ingredient of nanoemulsions, and it may play two different roles in the formulation of a nanoemulsion. Some portion of the water exists in the surroundings of the oil phase as the bound water, while the excess water exists around the nanoparticle as a continuous phase, namely bulk water, which plays a diluent role.<sup>23</sup> Our research showed that adding water diluted the nanoparticles in formula A, which was an O/W type emulsion, and may decrease the particle density. The difference in the intensity of the immune response induced by different amounts of water is probably due to the differences in the density of nanoparticles.<sup>2,4</sup> Because nanoparticles form stable nanoemulsion particles before water dilution, the subsequently added antigen mainly exists in the bulk water, and can, therefore, be freely transported and adsorbed on the surface of nanoparticles, which



**Figure 5** Effect of adjuvant formulations on humoral immune responses induced by different adjuvants. (ICR mice were immunized with 1.8 μg inactivated split-virus influenza vaccine mixed with 200 μL adjuvant on days 0, 7, and 21 by intramuscular injection). Antigen-specific IgG (A), IgG1 (B) and IgG2a (C) were determined by indirect ELISA. The serum 35 days after first immunization was added as a primary antibody to the empty plate (has been coated with the capture antigen, and HRP-labeled human anti-mouse IgG, IgG1, IgG2a as secondary antibodies). The results were shown as the arithmetic mean, n = 5 per group.



**Figure 6** Cellular immune response induced by different adjuvants. ICR mice were immunized with the inactivated split-virus influenza vaccine mixed with adjuvant. (ICR mice were immunized with 1.8 μg inactivated split-virus influenza vaccine mixed with 200 μL adjuvant on days 0, 7, and 21 by intramuscular injection. The serum 35 days after first immunization was detected. IL-4 (A)) and IFN-γ (B) were determined by indirect ELISA. The results were shown as the arithmetic mean, n = 5 per group.



**Figure 7** HAI antibodies produced by different oil phases (Tween 80=2.9%; Span 80=2.9%; PEG=5.4%; oil=2.9%; water=85.75%). Mice were immunized with 0.9 μg. Inactivated H3N2split-virus influenza vaccine was mixed with 200 μL adjuvant on days 0, 7, and 21 by intramuscular injection, and sera collected each week were tested by haemagglutination inhibition. And sera were tested by Haemagglutination inhibition. The results were shown as the arithmetic mean, n=8 per group.

can easily be identified by antigen-presenting cells, thus helping to stimulate antibody production.<sup>25,26</sup> In the capsule mode, however, the antigen solution is firstly added to form a coarse emulsion. And finally, be exposed to the bulk water and never adsorbed to the nanoparticle surface, so it causes nearly the same level of the immune response as none adjuvant group. Thus, nanoemulsions should have a proper particle density to optimize their adjuvant effect; for different

formulas, the optimal water concentration can be different.<sup>27</sup> Another point is that hydrated ions formed in nanoemulsion system play a significant role in interaction between droplet and hydrophilic substance, which decide the adsorption rate of protein. Importantly, hydrated ions yield increases and its lifetime decreases with the increase of water content,<sup>28</sup> so we suppose that the water concentration that affects adsorption rate might be because of hydrated ions, and finally affect antigen presentation and immune response.

Additionally, pH has a major impact on the physical stability of the emulsions, causing droplet aggregation at Ph 4–5, and the alkaline environment is conducive to stabilize the nanoemulsion droplets,<sup>29,30</sup> since the pH value and body liquids complex in intracorporeal environment, stability of the nanoemulsions directly affects its stability and antigen releasing, even its immune enhancement.

Emulsifiers and oil play a major role in the formation of nanoemulsions and mainly decide their physicochemical properties. With an increase in the emulsifier concentration, the particle size, polydispersity index and the surface tension decrease, and the surface area of the droplets increases. Particle size depends mainly on the proportion of surfactants and oil. A high concentration of surfactants can cause the oil

**Table 10** HAI Titer of Different Oil Phase Nanoemulsion (Mean± SD)

Group	7	14	21	28	35	42	49	56
NS	0±0	0±0	4±0	0±0	0±0	0±0	0±0	0±0
Vaccine	0±0	6±1	18±7	94±25	97±25	52±12	61±13	34±8
CFA+Vaccine	0±0	56±16	138±28	156±22	288±40	120±16	216±52	308±120
F+Vaccine	0±0	26±6	103±21	221±50	442±78	149±25	120±18	76±12
G+Vaccine	0±0	5±1	36±7	614±263	1273±701	637±350	638±350	328±175
H+Vaccine	0±0	6±1	17±5	77±44	151±88	42±22	46±21	27±10
I+Vaccine	0±0	4±0	12±5	37±12	60±23	42±13	62±21	75±15

**Table 11** Maximum Tolerated Dose of Each Formulation

NE	Safety Evaluation	NE	Safety Evaluation
A	MTD>0.25 mL/10 g	G	MTD >0.25 mL/10 g
D	MTD>0.25 mL/10 g	H	MTD >0.25 mL/10 g
F	MTD >0.25 mL/10 g	I	MTD >0.25 mL/10 g

phase at the emulsion phase inversion point to undergo complete solubilization, which will increase the likelihood of forming small particles. In contrast, low concentration of surfactant cannot cause the oil phase to undergo complete solubilization, thus resulting in the formation of larger emulsion droplets.<sup>29</sup> Only when surfactants and oil reach a certain proportion can layered structure be formed at the phase transition point, which also determines other physicochemical properties, such as stability, and the polydispersity index, thus greatly affecting the adjuvant effect.<sup>30</sup> Herein, we have placed a strong emphasis on the study of the oil phase, and the results showed that IPM was the most efficient oil phase.

In order to explore the reasons in depth, particle size and the polydispersity index are considered as associated factors of the adjuvant effect.<sup>31,32</sup> Kanchan and Panda found that 200–600 nm particles can be easily recognized by lymph node antigen-presenting cells (APCs), causing Th1-type cellular immunity, and 2–8  $\mu\text{m}$  particles are presented via macrophage phagocytosis or pinocytosis to the lymph nodes, stimulating humoral immunity.<sup>33</sup> Oyewumi proposed 500 nm as a watershed because particles larger than 500 nm and those smaller than 500 nm stimulate different immune responses.<sup>34</sup> AF03 and AF04, which are produced by Sanofi Pasteur, exhibit a particle size of less than 100nm and can act synergistically to augment specific antibody and Th-1 cellular immune responses in mice.<sup>35</sup> In fact, it is difficult to pinpoint the upper limit of particle size in relation to the adjuvant effect because a nanoemulsion is not an absolutely uniform system in terms of particle size.<sup>36</sup> Instead, the effective particle size is within a certain range, and particles in this range are easier for the immune system to identify and present. A nanoemulsion system with an appropriate particle size and effective particle uniformity guarantees the stimulation of a strong immune response. So, as it can be seen from Figure 6 and Table 5, the nanoemulsion G involved in IPM has a much better adjuvant effect than other formulations, and it also has smaller particle size and the most uniform particles.

Additionally, appropriate interfacial membrane strength and mutual repulsion between emulsion drops are the main

reasons for emulsion stability.<sup>37</sup> Some studies suggest that when the size distribution of nanoparticles is not uniform, particles with a large difference in volume tend to collide; thus, small particles can easily merge with large particles.<sup>38</sup> Therefore, the polydispersity index is another important factor for judging stability and adjuvant effectiveness.<sup>39</sup> Herein, our research also showed that emulsions H and I, with a larger PDI, had no adjuvant effect. In a nutshell, emulsions with a smaller size and uniformly dispersed particles exert a better adjuvant effect than those with larger particles and large differences in size.

The effect of mode of carrying on the effects of adjuvants is also considered in this research. Adjuvant effects for different formulations vary depending on the mode of carrying. The adsorption mode showed a better control release effect than the capsule mode in the protein release assay, when associated with its adjuvant effect, A4 stimulated the lowest serum antibody, which was close to the vaccine group, while A2, D2, and D4 all showed strong adjuvant effects. Immunostimulation of nanoemulsions was related to protein release,<sup>40</sup> which is consistent with the results reported in many works of literature. It is contrary to our previous conjectures that the nanoemulsion particle wraps the protein if the protein solution is directly added as the water phase to form the coarse nanoemulsion, the result turns out that capsule mode (namely protein is added first) accelerates its release, and the adsorption mode is unexpectedly conducive to slow release of the vaccine and showed an ideal adjuvant effect. However, the reason why the different sequence of vaccine additions leads to completely different protein release and finally cause different immune effect is still uncertain. Generally, the adsorption mode was better than the capsule mode as an adjuvant.

More in-depth and comprehensive research will focus on the antigen dose sparing and immunoprotective properties, we plan to perform challenge studies and other immune response factors research to further explain adjuvant mechanism of nanoemulsion.

Safety is also an important factor in clinical use. The toxicity of a formula comes mainly from non-ionic surfactants such as EL and IPM. EL can readily cause allergic reactions, and IPM is also toxic.<sup>41,42</sup> Therefore, choosing biosafe components is also a key aspect to consider in our future research. Chickens and pigs will be considered as animal models in our upcoming research for further study of nanoemulsion safety, to explore the immuno-enhancing effects of nanoemulsion adjuvants in the prevention of avian and swine flu before clinical use.

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## Disclosure

The authors report no conflicts of interest in this work.

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