

# Optimal Cut-Off Points of Sagittal Spinopelvic Parameters as a Morphological Parameter to Predict Efficiency in Nerve Block and Pulsed Radiofrequency for Lumbar Facet Joint Pain: A Retrospective Study

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**Background:** After proper patient selection, anatomically correct pulsed radiofrequency of the lumbar facet joints provide long-term pain relief in a routine clinical setting. In the study, we performed an analysis of clinical and radiological predictive factors and provide the scientific basis for this promising modality.

**Methods:** The study included 198 patients with lower back pain due to lumbar facet joint disease who underwent medial branch block and pulsed radiofrequency during the period 2015–2019. According to the improvement in pain score, the patients were divided into good and poor outcome groups. Clinical and radiological data were collected and analyzed.

**Results:** The multivariable analysis revealed the predictive factors, including lumbar lordosis, lower lumbar lordosis, pelvic tilt, the number of facet joints, old compression fracture with/without vertebroplasty, and post lumbar fusion procedures.

**Conclusion:** With the results of this study, we demonstrated that the improved outcome after the surgery was related to lumbar lordosis, lower lumbar lordosis, pelvic tilt, the number of facet joints, old compression fracture with/without vertebroplasty, and the lumbar fusion procedures. Old compression fractures and lumbar fusion would change the radiological factors and cause refractory lumbar facet joint pain.

**Keywords:** lumbar facet joint pain, pulsed radiofrequency, lumbar lordosis, lower lumbar lordosis, pelvic tilt angle, lumbar fusion

## Introduction

Chronic lower back pain (CLBP) is a cause of disability and mainly caused by abnormalities in the lumbar intervertebral disc, facet joints, and sacroiliac joints.<sup>1,2</sup> The clinical characteristics of lumbar facet pain are that it eases with light flexion in the lower back and worsens with weight on the facet and extension of the lower back, accompanied by paraspinal tenderness, and is exacerbated by extension/rotation (facet loading) but not with flexed leg lifting or coughing.<sup>3,4</sup> Lumbar facet joint disease is diagnosed on the basis of a combination of patient history, physical activity, and diagnostic imaging, frequently including computed tomography (CT) and magnetic resonance imaging (MRI).<sup>5,6</sup>

The only way to confirm facet pain is pain relief by diagnostic block.<sup>7</sup> The prevalence of lumbar facet joint pain in CLBP ranges from 15% to 45%.<sup>8,9</sup> Repetitive mechanical stress on the lumbar facet joints may cause osteoarthritis

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and can cause inflammation and narrowing of the capsule, resulting in axial CLBP.<sup>10</sup>

Several treatment procedures are used in the management of lumbar facet joint-related CLBP. Intra-articular injections, facet joint nerve blocks, and pulsed radiofrequency (PRF) have been shown to be effective. However, RF treatment has been shown to be superior to injection therapy in well-designed studies.<sup>11–13</sup> Proper patient selection and correct anatomical placement of the electrodes for PRF have been described as important outcomes.<sup>14</sup> In the patient selection, the main focus was on diagnostic medial branch block before performing PRF.<sup>14,15</sup> Clinical and radiological factors are rarely discussed with the prognosis after PRF for lumbar facet joint pain. In the present study, we performed nerve block and PRF in patients with lumbar facet joint pain and investigated the predictive factors.

## Methods and Materials

### Patient Selection

Our institutional review board approved this retrospective study (EMRP-109-073, Institutional Review Boards of E-Da Hospital), and the source populations for the study were all consecutive patients who underwent lumbar facet medial branch block and PRF in the lumbar facet joint for lower back pain between January 2015 and December 2019. Written informed consent was obtained from all patients in E-Da cancer hospital and this study was ongoing in accordance with the ethical standards set out in the Declaration of Helsinki. The inclusion criteria were age  $\geq 18$  years, predominantly axial low back pain for  $\geq 3$  months, mean back pain score  $\geq 3$  of 10 over the past week on a numerical rating scale, failure to respond to more-conservative therapies (eg, physical therapy, integrative therapy, and pharmacotherapy), and presence of paraspinal tenderness. All the patients accepted conventional lying down X-rays, and MRI examinations before the procedures. Excluded from participation were patients with a known, specific etiology of low back pain (eg, significant spinal stenosis, grade II or III spondylolisthesis, cancer, or spinal scoliosis according to the findings on MRI); focal neurological signs or symptoms; pain related to sacroiliac joint degeneration; previous facet interventions; unilateral facet joint pain; pain associated with disc degeneration, the paraspinal muscles, and repeated lumbar spinal surgery; long-term medication with opioid drugs; and concomitant medical (eg, unstable angina) or psychiatric conditions that were likely to undermine the diagnostic

workup or treatment response. The manuscript adheres to the applicable CONSORT guidelines.

### Medial Branch Block

Medial branch blocks were performed in accordance with the previously published standards and techniques.<sup>16</sup> Lumbar 5 dorsal rami blocks were performed by placing a 22-gauge needle in the groove between the sacral ala and articular process, while higher-level lumbar medial branch blocks were performed by inserting 22-gauge needles in an oblique trajectory at a point several millimeters below the junction of the upper transverse and superior articular processes. After confirmation of the needle placement in the anteroposterior and lateral views, a contrast medium was injected to ascertain the appropriate spread and absence of intravascular uptake. When needle placement was deemed appropriate, 0.5 mL of a solution containing 0.25 mL of 0.5% bupivacaine mixed with 0.25 mL of 40 mg/mL depomethylprednisolone was administered.

### PRF Procedure

The radiofrequency procedures were performed whenever possible after 2 weeks of the first follow-up visit. At that time, better pain control was observed after the medial branch block. The radiofrequency procedures were performed in accordance with the previously published standards and techniques, at the spinal levels targeted for the diagnostic injections.<sup>15,16</sup> To alleviate the procedure-related pain, superficial anesthesia was administered, along with light sedation as needed. With the image intensifier positioned in an ipsilateral oblique and sharp caudad-cephalad direction to maximize the lesion size in an orientation parallel to the course of the target nerve, 18-gauge curved radiofrequency needles with 10-mm active tips (RF Straight Cannula, Abbott, USA) were inserted in coaxial views until bone was contacted between the superomedial border of the transverse and superior articular processes, and with the inferior portion of the lateral neck of the superior articular process, with the convex surface apposed to the bone. For Lumbar 5 dorsal rami lesioning, the cannula was positioned in the crevice between the lateral aspect of the Sacral 1 articular process and the sacral ala. For each nerve, needles were adjusted to optimize the sensory and motor stimulations. For each nerve lesion, electrodes were inserted and adjusted until correct placement was confirmed by electrostimulation at 50 Hz, with the goal being concordant sensation at  $\leq 0.5$  V. Before denervation, multifidus stimulation and the absence of leg contractions were verified with

electrostimulation at 2 Hz. After the optimal electrode placement was ascertained, 1 mL of 2% lidocaine was injected to reduce the procedure-related pain and enhance the lesion size. Ablation was then commenced at 42°C for 120 s with a radiofrequency generator (NT 1100™ Radiofrequency Generator Abbott Medical USA). At the completion of the lesioning, 10 mg of depomethylprednisolone mixed with saline (total, 0.5 mL) was administered at each site to reduce the risk of neuritis.<sup>17</sup>

## Treatment Course and Follow-Up

For this study, 198 patients were selected. After medical branch block, the first follow-up was conducted 2 weeks later. Fifty patients received only a medial branch block, and 148 patients received a medial branch block and PRF according to the pain improvement. A positive block was defined as  $\geq 50\%$  pain relief sustained for at least 12 h. A good outcome was predesignated as a decrease of at least 50% in average back pain.<sup>15</sup> The patients who did not have a good outcome after nerve block were not arranged for further PRF. The follow-up period was 1 year. The follow-up time points were 2 weeks after medial branch block, 1 month after PRF, 3 months after PRF, and 12 months after PRF. In the study, only 50 patients received a nerve block. In this group, a good outcome was defined as a decrease of at least 80% in back pain, and the patients were satisfied with the nerve block and continuously observed for further follow-up. During the follow-up, PRF was not needed. In the other patients in this group, nerve block showed no effect. The visual analog scale (VAS) score for pain was used in this study during the follow-ups. Health-related quality of life was assessed using Short form 36 (SF-36) before treatment and 1 year after treatment.

## Clinical and Radiological Predictive Factors

The clinical and radiological predictive factors included age, sex, upper lumbar lordosis(ULL)(the angle between Thoracic 12 lower end plate and lumbar 2 lower end plate), middle lumbar lordosis(MLL)(the angle between Lumbar 2 lower end plate and Lumbar 4 upper end plate), lower lumbar lordosis(LL)(the angle between Lumbar 4 upper end plate and Sacral 1 upper end plate), lumbar lordosis(LL)(the angle between Thoracic 12 lower end plate and Sacral 1 upper end plate), pelvic tilt(PT)(the angle subtended by a vertical line through the femoral heads axis and a line drawn from the midpoint of the

sacral endplate to the femoral heads axis), pelvic incidence(PI)(an angle subtended by a line drawn from the femoral heads axis to the midpoint of the sacral endplate and a line perpendicular to the sacral endplate), sacral slope(SS)(the angle subtended by a horizontal reference line and the sacral endplate), number of involved facet joints, old compression fracture with/without vertebroplasty, lumbar laminectomy, lumbar discectomy, lumbar fusion, and adjacent facet joint pain after lumbar fusion.<sup>16</sup>

## Statistical Analyses

The clinical characteristics of the patients with a good outcome and those with a poor outcome were compared using the independent sample *t*-test for continuous variable or the Fisher's exact test for categorical variables. The variables whose significance was  $< 0.2$  were introduced into a multivariable logistic regression model with a backward elimination procedure. As the lumbar fusion and adjacent facet joint pain due to the lumbar fusion had high multicollinearity, we used two multivariable models that included the two variables separately. The aforementioned analyses were repeated with stratification of the receiving block only or both blocks and RF surgery. Further, the optimal degree to discriminate a poor outcome was assessed using the receiver-operating characteristic curve analysis. At last, the improvement of visual analog scale (VAS) and quality of life (SF-36) from baseline to follow ups between the good outcome and poor outcome groups was compared using the generalized estimating equation (GEE). A significant interaction term of "time by group" (good outcome and poor outcome) indicated a significant difference of the change value between the good outcome and poor outcome groups. All the tests were two-tailed, and a P value  $< 0.05$  was considered statistically significant. Data analyses were conducted using SPSS 25 (IBM SPSS Inc, Chicago, Illinois).

## Results

A total of 198 patients were included in the study, of whom 50 received nerve block only and 148 received a subsequent PRF. Of the patients, the men were less predominant (30%), and the mean age was 55.9 years (standard deviation: 15.7 years). The patients were divided into two groups as follows: those with good outcomes and those with poor outcomes. The patients with poor outcomes were older; had less lower lumbar lordosis, less lumbar lordosis, and sacral slope (SS); had more pelvic tilt and more involved facet joints; were more likely to

have an old compression fracture with/without vertebroplasty; and had lumbar fusion and adjacent facet joint pain due to lumbar fusion. The patients with poor outcomes were more likely to receive nerve block only (36.9% vs 19.5%,  $P = 0.014$ ; Table 1). The multivariable logistic model showed that a less lower lumbar lordosis, a higher number of involved facet joints, and both lumbar fusion and adjacent facet joint pain due to lumbar fusion were associated with the risk of poor outcome (Table 2).

Of the patients with nerve block only, 52% (26/50) had good outcomes and 48% (24/50) had poor outcomes. The patients with poor outcomes tended to have less lower lumbar lordosis and less lumbar lordosis, more pelvic tilt, and more involved facet joints (Table 3). The multivariable logistic model demonstrated that a less lumbar lordosis and more pelvic tilt were associated with the risk of poor outcomes (Table 4).

In the patients who received a subsequent PRF, 72% (107/148) had good outcomes and 28% (41/148) had poor outcomes. The patients with poor outcomes tended to be older, were more likely to have an old compression fracture with/without vertebroplasty, and had lumbar fusion and adjacent facet joint pain due to lumbar fusion (Table 3). The multivariable logistic model suggested that a higher number of involved facet joints and both lumbar fusion and adjacent facet joint pain due to lumbar fusion were associated with the risk of poor outcome (Table 4).

For the patients with nerve block only, the discrimination ability of lumbar lordosis was 68.2% (95% confidence interval [CI], 53.2%–83.2%), with  $\leq 45^\circ$  as the optimal cutoff. By contrast, the discrimination ability of pelvic tilt was 70.4% (95% CI, 55.3–85.4), with  $>23.5^\circ$  as the optimal cutoff. For the patients who received a subsequent RF surgery, the discrimination ability of lower lumbar lordosis was 61.0% (95% CI, 52.7%–69.3%), with  $\leq 29^\circ$  as the optimal cutoff (Table 5).

We collected the VAS during the 1st, 3rd, 6th and 12th month. The result showed that patients who had a poor outcome did not have an improvement across the follow ups in either the nerve block only group or both surgery group (Figure 1A and B). We also collected the quality of life scale (SF-36) before the surgery and the 12th month after surgery. The result demonstrated that patients who had a poor outcome had few improvement at the 12th month after surgery in either groups (Figure 1C and D).

## Discussion

Minimally invasive techniques have proven their effectiveness and safety in the relief of lower back pain caused by lumbar facet joint diseases. Pulsed radiofrequency in the medial branches is currently the best treatment option for managing the pain of facet joint origin, and excellent improvements in pain control and functional outcomes have been reported.<sup>13,17–20</sup> Appropriate patient selection

**Table 1** The Clinical Characteristic of Patient with Good Outcome versus with Poor Outcome

Variable	Total (n = 198)	Good Outcome (n = 133)	Poor Outcome (n = 65)	P
Male sex	60 (30.3)	43 (32.3)	17 (26.2)	0.414
Age, years	55.9 ± 15.7	53.8 ± 15.2	60.3 ± 15.9	0.006
Upper lumbar lordosis, °	5.3 ± 5.3	5.1 ± 5.5	5.8 ± 5.0	0.393
Middle lumbar lordosis, °	12.2 ± 6.9	12.5 ± 6.7	11.4 ± 7.5	0.278
Lower lumbar lordosis, °	26.9 ± 10.1	28.3 ± 10.0	24.2 ± 9.8	0.007
Lumbar lordosis, °	38.1 ± 15.6	39.9 ± 15.7	34.4 ± 15.0	0.019
Pelvic tilt, °	20.4 ± 10.4	19.3 ± 10.8	22.7 ± 9.4	0.031
Pelvic incidence °	49.4 ± 10.4	49.4 ± 9.9	49.4 ± 11.5	0.986
Sacral slope °	28.9 ± 10.4	30.0 ± 10.5	26.7 ± 10.0	0.033
Numbers of involved facet joints	3.4 ± 1.1	3.2 ± 1.1	3.7 ± 1.1	0.008
Old compression fracture with/without vertebroplasty	31 (15.7)	14 (10.5)	17 (26.2)	0.007
Laminectomy/discectomy	17 (8.6)	12 (9.0)	5 (7.7)	1.000
Lumbar fusion	49 (24.7)	19 (14.3)	30 (46.2)	<0.001
Adjacent facet joint pain due to lumbar fusion	51 (25.8)	22 (16.5)	29 (44.6)	<0.001
Type of surgery				0.014
Block and RF	148 (74.7)	107 (80.5)	41 (63.1)	
Block only	50 (25.3)	26 (19.5)	24 (36.9)	

Note: ° was the degree of angle.

**Table 2** Univariate and Multivariable Analysis for Factor Associated with the Risk of Poor Outcome

Variable	Univariate Analysis		Multivariable Analysis	
	OR (95% CI)	P	OR (95% CI)	P
Age, years	1.03 (1.01–1.05)	0.007		
Lower lumbar lordosis, °	0.96 (0.93–0.99)	0.008	0.968 (0.935–1.002)	0.062
Lumbar lordosis, °	0.977 (0.958–0.997)	0.021		
Pelvic tilt, °	1.033 (1.003–1.063)	0.033		
Sacral slope °	0.968 (0.940–0.998)	0.035		
Numbers of involved facet joints	1.45 (1.09–1.92)	0.010	1.49 (1.08–2.06)	0.015
Old compression fracture with/without vertebroplasty	3.01 (1.38–6.59)	0.006	3.97 (1.63–9.66)	0.002
Lumbar fusion	5.14 (2.58–10.24)	<0.001	6.40 (2.96–13.80)	<0.001
Adjacent facet joint pain due to lumbar fusion	4.06 (2.08–7.94)	<0.001	–	–
Type of surgery				
Block and RF	0.42 (0.21–0.80)	0.009	0.38 (0.17–0.81)	0.012
Block only	Reference		Reference	

**Note:** °Was the degree of angle.

**Abbreviations:** OR, odds ratio; CI, confidence interval.

**Table 3** The Clinical Characteristic of Patient with Good Outcome versus with Poor Outcome Stratified by Receiving Block Only or Both Block and PRF

Variable	Total (n = 198)	Block Only			Block and RF		
		Good Outcome (n = 26)	Poor Outcome (n = 24)	P	Good Outcome (n = 107)	Poor Outcome (n = 41)	P
Male sex	60 (30.3)	5 (19.2)	7 (29.2)	0.514	38 (35.5)	10 (24.4)	0.241
Age, years	55.9 ± 15.7	55.2 ± 13.5	62.0 ± 14.4	0.094	53.4 ± 15.6	59.4 ± 16.8	0.044
Upper lumbar lordosis, °	5.3 ± 5.3	5.2 ± 6.6	6.4 ± 5.6	0.501	5.0 ± 5.2	5.4 ± 4.6	0.710
Middle lumbar lordosis, °	12.2 ± 6.9	13.7 ± 4.6	12.2 ± 6.5	0.353	12.3 ± 7.1	11.0 ± 8.0	0.328
Lower lumbar lordosis, °	26.9 ± 10.1	29.7 ± 9.6	23.8 ± 8.6	0.028	27.9 ± 10.1	24.4 ± 10.6	0.061
Lumbar lordosis, °	38.1 ± 15.6	43.0 ± 14.6	33.8 ± 13.9	0.027	39.2 ± 15.9	34.8 ± 15.9	0.131
Pelvic tilt, °	20.4 ± 10.4	17.0 ± 9.9	23.2 ± 9.3	0.026	19.9 ± 10.9	22.4 ± 9.5	0.192
Pelvic incidence °	49.4 ± 10.4	47.3 ± 9.0	48.7 ± 11.1	0.622	49.9 ± 10.1	49.8 ± 11.8	0.969
Sacral slope °	28.9 ± 10.4	30.3 ± 11.4	25.5 ± 9.4	0.113	30.0 ± 10.3	27.3 ± 10.5	0.169
Numbers of involved facet joints	3.4 ± 1.1	3.2 ± 1.0	3.8 ± 1.1	0.048	3.2 ± 1.1	3.6 ± 1.2	0.069
Old compression fracture with/without vertebroplasty	31 (15.7)	3 (11.5)	6 (25.0)	0.281	11 (10.3)	11 (26.8)	0.019
Laminectomy/discectomy	17 (8.6)	1 (3.8)	2 (8.3)	0.602	11 (10.3)	3 (7.3)	0.758
Lumbar fusion	49 (24.7)	4 (15.4)	9 (37.5)	0.109	15 (14.0)	21 (51.2)	<0.001
Adjacent facet joint pain due to lumbar fusion	51 (25.8)	4 (15.4)	9 (37.5)	0.109	18 (16.8)	20 (48.8)	<0.001

**Note:** °Was the degree of angle.

and the correct anatomical placement of electrodes for PRF have been described as important outcomes. In recent years, there have been several studies on the sagittal balance and clinical outcomes in the treatment of degenerative lumbar diseases.<sup>21–23</sup> However, no study has investigated the predictive factors for performing such a treatment from the perspective of the clinical and radiological conditions.

From our results, we found that lower lumbar lordosis, lumbar lordosis, and pelvic tilt were predictive factors.

Other studies showed that increased pelvic incidence may lead to facet joint arthritis at the lower lumbar spine, and the pelvic incidence (PI) may increase to compensate for a decrease in the lumbar lordosis.<sup>24–26</sup> Facet joint pain may arise due to several misbalanced forces such as increased lumbar lordosis, which leads to higher forces on the facet joints, and lumbar lordosis showed a significant linear association with facet joint arthritis.<sup>27–29</sup> Hence, both decreased and increased lumbar lordosis could cause facet joint pain. The normal average lordosis was reported to be

**Table 4** Univariate and Multivariable Analysis for Factor Associated with the Risk of Poor Outcome Stratified by Receiving Block Only or Both Block and PRF

Population/Variable	Univariate Analysis		Multivariable Analysis	
	OR (95% CI)	P	OR (95% CI)	P
<b>Block only</b>				
Age, years	1.04 (0.99–1.08)	0.101		
Lower lumbar lordosis, °	0.93 (0.87–0.99)	0.034		
Lumbar lordosis, °	0.955 (0.914–0.997)	0.035	0.91 (0.84–0.99)	0.033
Pelvic tilt, °	1.07 (1.01–1.14)	0.034	1.10 (1.01–1.21)	0.038
Sacral slope °	0.96 (0.90–1.01)	0.117	1.11 (0.98–1.25)	0.107
Numbers of involved facet joints	1.77 (0.99–3.16)	0.055	1.76 (0.92–3.38)	0.089
Lumbar fusion	3.30 (0.86–12.71)	0.083	–	–
Adjacent facet joint pain due to lumbar fusion	3.30 (0.86–12.71)	0.083	–	–
<b>Block and RF</b>				
Age, years	1.0241 (1.0004–1.0484)	0.046		
Lower lumbar lordosis, °	0.967 (0.933–1.002)	0.063		
Lumbar lordosis, °	0.98 (0.96–1.01)	0.132		
Pelvic tilt, °	1.02 (0.99–1.06)	0.192		
Sacral slope °	0.98 (0.94–1.01)	0.170		
Numbers of involved facet joints	1.35 (0.97–1.88)	0.072	1.50 (1.03–2.20)	0.035
Old compression fracture with/without vertebroplasty	3.20 (1.26–8.12)	0.014	5.21 (1.82–14.95)	0.002
Lumbar fusion	6.44 (2.84–14.63)	<0.001	8.77 (3.54–21.69)	<0.001
Adjacent facet joint pain due to lumbar fusion	4.71 (2.13–10.42)	<0.001	–	–

Note: ° was the degree of angle.

Abbreviations: OR, odds ratio; CI, confidence interval.

**Table 5** Discrimination Property of the Degrees in Patients Who Receiving Block Only and Both Block and PRF

Population/Variable	AUC, % (95% CI)	P	Cutoff*	Sensitivity, % (95% CI)	Specificity, % (95% CI)
<b>Block only</b>					
Lumbar lordosis, °	68.2 (53.2–83.2)	0.018	≤45	83.3 (62.6–95.3)	50.0 (29.9–70.1)
Pelvic tilt, °	70.4 (55.3–85.4)	0.008	>23.5	54.2 (32.8–74.4)	84.6 (65.1–95.6)
<b>Block and PRF</b>					
Lower lumbar lordosis, °	61.0 (52.7–69.3)	0.010	≤29	70.8 (58.2–81.4)	45.9 (37.2–54.7)

Notes: \* According to the Youden index. ° was the degree of angle.

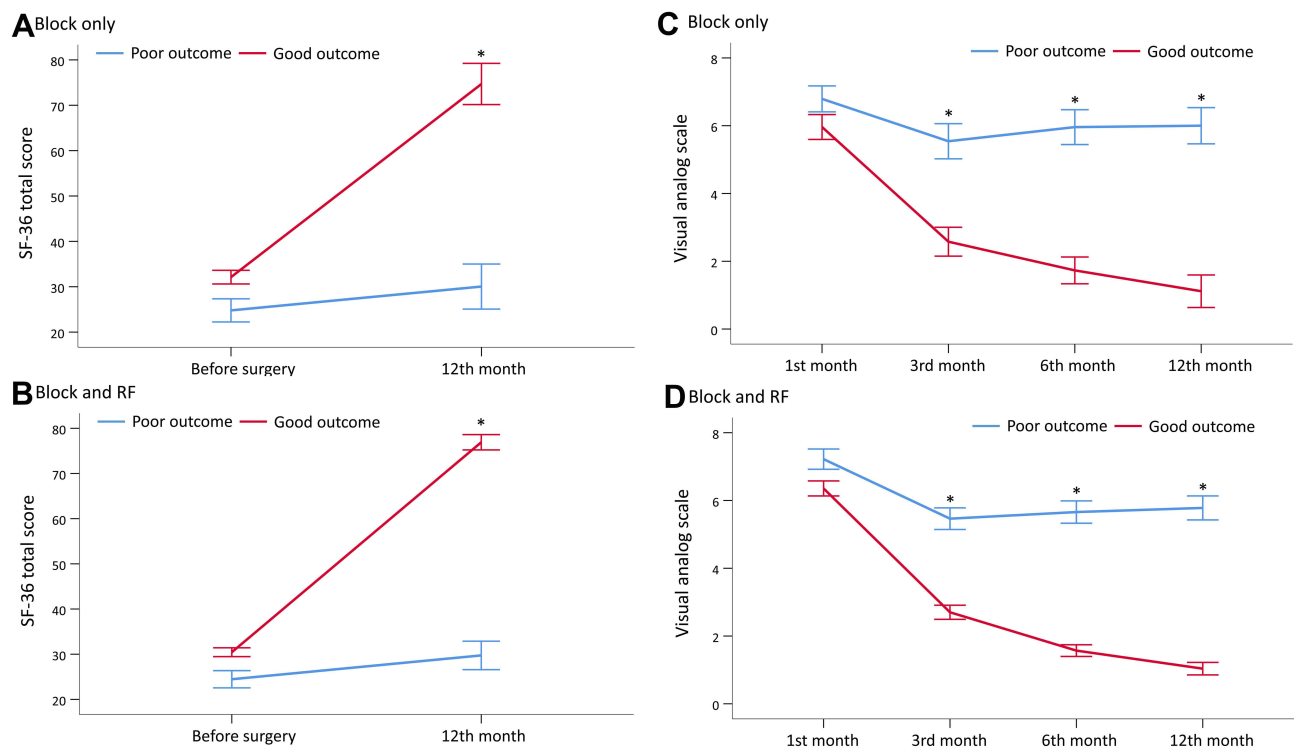
Abbreviations: AUC, area under curve; CI, confidence interval.

approximately 55° (range, 35°–80°) from the T12 up to the S1 spine levels in the “Essentials of spinal deformities”.<sup>30</sup> Several studies showed no significant correlation between the degree of lumbar lordosis and facet joint degeneration.<sup>31–33</sup> Only one study has been conducted to investigate lower back pain and spinopelvic radiological parameters, and it showed that increased pelvic tilt and decreased lumbar lordosis were associated with lower back pain.<sup>34</sup> However, the good outcome group in our study had greater lumbar lordosis with a cut-off point of 45°, and this could be explained by the clinical conditions. The clinical predictive factors were old compression

fracture and lumbar fusion with adjacent facet joint pain. The presence of an old compression fracture and lumbar fusion decreased the degree of lumbar lordosis. Of the patients with poor outcomes, > 50% had an old compression fracture and lumbar fusion.

Zhu et al reported an average pelvic tilt of 11.2° in asymptomatic individuals. Compared with this value, the average pelvic tilt was relatively high in our patients with facet joint pain.<sup>30,35</sup> The result was similar to those reported in other studies.<sup>36,37</sup> In our study, the cut-off point was 23.5°.

Our results showed that lower lumbar lordosis was a predictor, and it has not yet been discussed. From our



**Figure 1** The mean and 95% confidence interval of VAS of the good outcome and poor outcome groups across the measurements in patients who received block only (A) or both block and PRF (B). The asterisk “\*” indicates a significant interaction between the two outcome groups. The mean and 95% confidence interval of SF-36 of the good outcome and poor outcome groups across the measurements in patients who received block only (C) or both block and PRF (D). The asterisk “\*” indicates a significant interaction between the two outcome groups.

data, 177 of the 198 patients had facet joint pain below the L3 level, and facet joint pain in the lower lumbar spine comprised the majority of cases. The lower lumbar spine, defined as the spine from the upper endplate of the fourth lumbar vertebra to the sacrum, is the most important part for determining lumbar lordosis.<sup>38,39</sup> In addition, it is the most common surgical site in degenerative spinal diseases.<sup>40</sup> The good outcome group had more lower lumbar lordosis with a cut-off point of 29°.

Percutaneous vertebroplasty (PV) has proven to be an effective treatment for back pain caused by vertebral compression fractures. However, some patients continue to experience substantial back pain even after PV. Subsequent or persistent back pain may be due to a failed procedure, a new compression fracture other than the one at the treated vertebral level, or another new or old pain generator such as the sacroiliac or facet joints;<sup>38–41</sup> in our study, we excluded persistent back pain after a compression fracture and the sacroiliac joint, disc degeneration, and muscles as pain sources. We investigated the predictive factors for subsequent facet joint pain after a compression fracture, and the pain is associated with recurrent pain after a compression fracture and PV.<sup>39–42</sup>

A literature review revealed different results in pain relief after facet joint injection. In our study, 16 of 31 patients underwent vertebroplasty, and only three patients had facet joint pain at the compression sites. This could be explained by the fact that anatomical changes associated with the fracture deformity may adversely affect the facet joints. Radiological analysis of the anatomical changes and sagittal alignment of the spine revealed that these caused less severe lower lumbar lordosis, lumbar lordosis, and increased pelvic tilt.

Facet joints may be associated with pain after spinal surgery in several ways. These joints may continue to degenerate after a surgical procedure for the treatment of a herniated disc or spondylolisthesis at the same spinal level. Surgery may change the loading or movement patterns of these joints, leading to degeneration and pain. Our results showed that only the lumbar fusion procedure was associated with the outcome. After spinal fusion at one spinal level, the motion of the adjacent level(s) may be altered to compensate for the changes caused by the fusion. This change in motion pattern may cause facets at the adjacent segment(s) to degenerate and become painful.<sup>43</sup> From our results, 30 of 65 patients with a poor

outcome received lumbar fusion, and the radiological data showed less severe lumbar lordosis and lower lumbar lordosis in the poor outcome group. Hence, the radiological analysis revealed that the fusion procedures caused less severe lumbar lordosis, lower lumbar lordosis, and increased pelvic tilt. In our study, we also recorded the adjacent joint pain, and all patients who underwent fusion had adjacent facet joint pain. Adjacent degeneration was a possible complication of fusion procedures.

However, the results showed that discectomy and laminectomy were not risk factors. These procedures may not have changed the sagittal alignment of the spine. We evaluated the quality of life of patients after treatment using the SF-36 questionnaire (functional capacity, physical aspects, pain, general health status, vitality, social aspects, emotional aspects, and mental health). The SF-36 data were essentially identical, meaning that poor outcomes would cause extensive effects on these aspects, including the functional capacity, physical aspects, general health status, vitality, social aspects, emotional aspects, and mental health, in addition to pain.<sup>44</sup>

## Conclusion

This study provides a scientific basis for the use of PRF in the treatment of lumbar facet joint pain. Our study results, particularly those of the analysis, showed that the clinical predictive factors were the number of facet joints, compression fractures, and lumbar fusion, and the radiological factors were more lower lumbar lordosis ( $> 29^\circ$ ), more lumbar lordosis ( $> 45^\circ$ ), and less pelvic tilt ( $< 23.5^\circ$ ).

## Abbreviations

CLBP, chronic lower back pain; CT, computed tomography; MRI, magnetic resonance imaging; PRF, pulsed radiofrequency; VAS, visual analog scale; SF-36, Short form 36; ULL, upper lumbar lordosis; MLL, middle lumbar lordosis; LLL, lower lumbar lordosis; LL, lumbar lordosis; PT, pelvic tilt; PI, pelvic incidence; SS, sacral slope; PV, Percutaneous vertebroplasty.

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## Author Contributions

All authors made a significant contribution to the work reported, whether that is in the conception, study design, execution, acquisition of data, analysis and interpretation, or in all these areas; took part in drafting, revising or

critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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The authors report no conflicts of interest in this work.

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