

# Elevated SARS-CoV-2-Specific IgM Levels Indicate Clinically Unfavorable Outcomes in Patients with COVID-19: A Retrospective Cohort Study

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**Background:** COVID-19 outbreak began in Wuhan and pandemics occur. Although SARS-CoV-2-specific immunoglobulins have been detected in serum of COVID-19 patients, their dynamics and association with outcomes have not been fully characterized.

**Methods:** This retrospective cohort study investigated the association between SARS-CoV-2-specific immunoglobulins and clinical outcomes of COVID-19 patients. We recruited 137 participants who were diagnosed with COVID-19 in four wards of the Tongji Hospital in Wuhan, China. Among the 137 participants, 81 patients were recovered, 23 patients died, and 33 patients remained hospitalized by the end of the study. SARS-CoV-2-specific immunoglobulins were analyzed by chemiluminescence assays. Laboratory and radiological characteristics, and clinical outcomes were compared between the recovered group and the deceased group. Furthermore, a matched cohort study was conducted in which each non-survivor was matched to two recovered patients of similar age.

**Results:** SARS-CoV-2-specific IgM levels peaked in the fourth week after the onset of COVID-19, while serum IgG levels rose earlier and remained high up to the eighth week. In the age-matched cohort study, the serum IgM, but not IgG levels, were higher among the non-survivors than in the recovered group ( $P = 0.006$ ). The area under the ROC curve for the IgM and IgG levels was 0.702 (95% CI: 0.560–0.845,  $P = 0.006$ ) and 0.596 (95% confidence interval: 0.449–0.744,  $P = 0.194$ ), respectively. We also showed that patients with COVID-19 who had high IgM or IgG levels (stratified according to best cut-off) exhibited significantly lower overall survival (Kaplan–Meier survival curves,  $P < 0.05$ ).

**Discussion:** These results indicate the association between immunoglobulins and outcome in patients with COVID-19 and demonstrated that elevated serum IgM levels could indicate poor outcomes in patients with COVID-19. Further, the information about the profile of SARS-CoV-2-specific IgGs may be useful for the future epidemiological investigations of COVID-19 therapies.

**Keywords:** SARS-CoV-2, COVID-19, immunoglobulin, prognosis, antibodies, IgM, IgG, diagnosis

## Introduction

Coronavirus disease 2019 (COVID-19) has spread rapidly throughout the world since its discovery in December 2019.<sup>1,2</sup> As of October 16, 2020, more than 1,095,000 deaths attributable to the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection have been reported.<sup>3</sup> While effective strategies are urgently needed for an early control of the disease, to date, only a few drugs have shown efficacy for the treatment of COVID-19.<sup>4</sup>

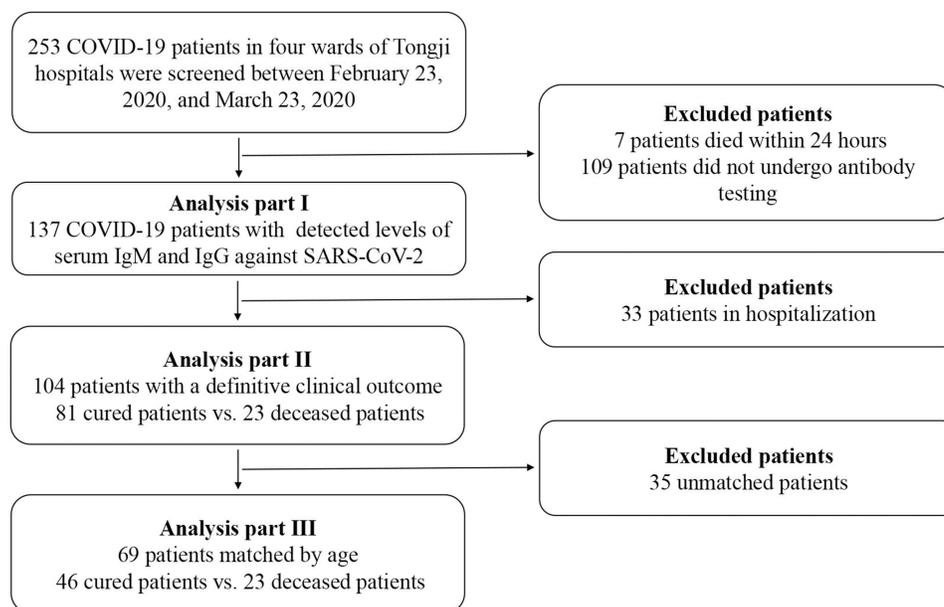
The pathogenesis and pathophysiology for COVID-19 are still unclear. Immune function may play a vital role in SARS-CoV-2 infection. Several researches have shown changes of cytokines, lymphocyte subsets, and the dysregulation of host immune response for patients with different severities of COVID-19. Antibodies are the key components in the immune response to viral infections<sup>5,6</sup> and serology testing has important implications for epidemiological assessments, serological therapies, vaccine development, and the possible use of what has been termed “immunity certificates”.<sup>7</sup> Previous studies have reported detections of elevated levels of SARS-CoV-2-specific immunoglobulin M (IgM) and immunoglobulin G (IgG) in the serum of patients with COVID-19.<sup>8</sup> Although, immunological studies have indicated simultaneous or earlier IgG than IgM seroconversion in most cases,<sup>9,10</sup> scant information is available about the profiles of IgG and IgM and their associations with the outcomes in patients with COVID-19. Therefore, the present study aimed to examine the relationship between IgG and IgM levels as an indicator of the clinical outcomes of patients with COVID-19.

## Materials and Methods

### Study Design and Participants

A total of 104 hospitalised COVID-19 patients with definitive clinical outcome and detectable levels of serum IgM and IgG against SARS-CoV-2 examined between

February 23, 2020, and March 23, 2020 in four wards of Tongji Hospital were retrospectively investigated. All adult patients who were diagnosed with COVID-19 in four wards of the Tongji Hospital (“The specific hospital for the treatment of severe patients with COVID-19 in Wuhan”, as designated by the Chinese government). Diagnoses were confirmed using SARS-CoV-2 real-time RT-PCR or serum IgM and IgG antibody testing according to the Seventh Revised Trial Version of the Novel Coronavirus Pneumonia Diagnosis and Treatment Guidance of China (available from <http://www.nhc.gov.cn/yzygj/s7653p/202003/46c9294a7dfe4cef80dc7f5912eb1989.shtml>). Data were collected between February 23, 2020, and March 23, 2020. Patients diagnosed with COVID-19 were enrolled with detected levels of serum IgM and IgG against SARS-CoV-2. This study was reviewed and approved by the Medical Ethics Committee of the Tongji Hospital of Huazhong University of Science and Technology (IRB ID: TJ- IRB20200343), and was exempted from the need to obtain informed consent due to the particular circumstances of the disease outbreak. Information was collected from the hospital’s electronic medical record system. Clinical, laboratory, and radiological parameters, as well as clinical outcomes were obtained using standardized forms completed by all participants involved in the study. Two researchers independently reviewed the data. The inclusion and exclusion criteria for the participants of the study are summarized in Figure 1.



**Figure 1** Study profile (inclusion and exclusion criteria).

## Antibody Assay

Serum IgM and IgG antibodies against SARS-CoV-2 spike protein S and nucleocapsid protein N were detected by a chemiluminescence kit (iFlash-SARS-CoV-2 IgM, iFlash-SARS-CoV-2 IgG) and an iFlash 3000 CLIA System supplied by Yhlo Biotech Co., Ltd. (Shenzhen, China). The chemiluminescence kit was certified by the EU CE. A threshold of 10 AU/mL was used for both IgM and IgG, as recommended by the manufacturer.

In order to quantify T lymphocyte subsets,  $1 \times 10^6$  PBMCs were stained with indicated antibodies (CD3-APC, CD4-PerCP, and CD8-FITC antibodies all from BD Biosciences) in the dark at room temperature for 20 min. After two washes, the cells were analyzed within 1 h. All samples were detected by BD FACS Canto II Flow Cytometry System and analyzed with the BD FACS Diva Software. In order to explore the influence of COVID-19 on the secretion of cytokines and receptors, chemiluminescence immunoassay (CLIA) was performed. Cytokines and receptors including interleukin 2 receptor (IL-2R), IL-6, IL-8, IL-10 and TNF- $\alpha$  were assessed using serum samples (Immulite 1000, DiaSorin Liaison, Italy; or Cobas e602, Roche Diagnostics, Germany). The testing kit was purchased from Roche Diagnostics.

## Statistical Analysis

The statistical software SPSS 23.0 (SPSS Inc., Chicago, IL, USA) was used to analyze the data in this study. Categorical variables are described as frequencies and percentages, and continuous variables are described using the means or medians with interquartile ranges (IQRs). Normally distributed continuous variables were analyzed using Student's *t*-test and non-normal data was analyzed using Mann-Whitney test. Proportions of categorical variables were compared using the  $\chi^2$  test. Receiver operating characteristic (ROC) curves were used to evaluate IgM and IgG as potential predictors of the clinical outcomes. Pearson correlation analysis was performed to assess association of IgM levels with clinical outcomes. All tests were 2-sided, and results with  $P < 0.05$  were considered statistically significant.

## Results

### Patient Characterization

The participants in this study comprised of 82 males and 55 females. Among the 137 participants, 81 patients were recovered, 23 patients died, and 33 patients remained hospitalized during the study period. Results from the antibody

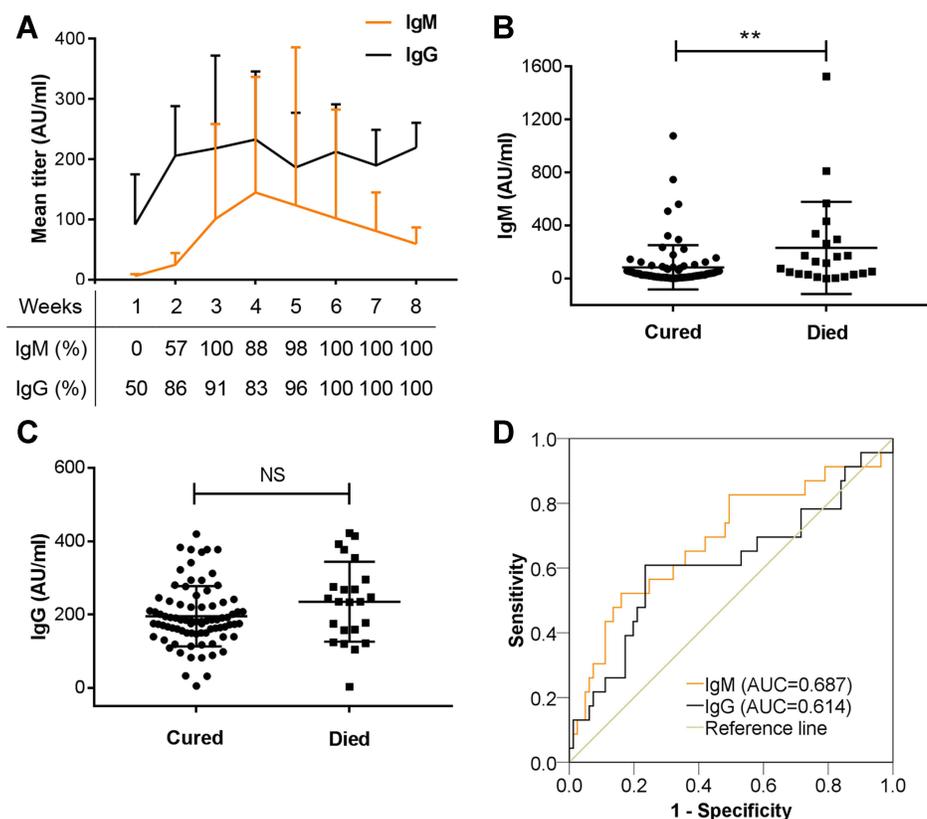
assays showed that SARS-CoV-2-specific IgM levels peaked during the fourth week following the onset of COVID-19 in the patients, while serum IgG levels rose quickly during the first two weeks following onset and remained high until the eighth week (Figure 2A). Moreover, seroconversion of IgG occurred simultaneous with or earlier than that of IgM during the early stages. Of the 104 patients in the recovered and deceased group, 66 (63%) had one or more comorbidities (Table 1); hypertension (39 [38%]), diabetes (21 [20%]), cardiovascular disease (11 [11%]), COPD (2 [2%]), malignancy (8 [8%]), and chronic kidney disease (4 [4%]) were the most common coexisting conditions. The chief complaints were fever (83 [80%]), cough (15 [14%]), and other uncommon symptoms (Table 1). The results also showed that 97 (93%) of the 104 patients presented bilateral lung involvement, as was shown by chest CT or X-ray images. The mean hospitalization duration was 22.8 (12.0–30.0) days. The patients who died were significantly older vs recovered (70.1 years [IQR 65.0–79.0] vs 59.5 years [IQR 50.0–69.0];  $P = 0.001$ ) and had a higher likelihood of comorbidities (22 [96%] vs 44 [54%];  $P < 0.001$ ).

### Laboratory Parameters in Recovered Patients and Non-Survivors

The blood counts of patients at the time of admission showed significant reductions in the lymphocyte counts; this was especially pronounced in the patients who died later (Table 2). Consistent with this observation, most subset counts of lymphocytes (T cells, Th cells, Ts cells, and NK cells) were significantly higher in the recovered patients than in non-survivors. The levels of all cytokines detected (IL2R, IL6, IL8, IL10, and TNF- $\alpha$ ) were significantly higher in non-survivors than in survivors. No significant difference was observed in the B cell counts between the non-survivors (143.4/ $\mu$ L [33.0–225.0]) and the recovered patients (166.1/ $\mu$ L [84.5–200.8],  $P = 0.569$ ).

### Association of IgM Levels with Clinical Outcomes

Serum IgM levels were higher in the non-survivors (IgM 231.6 AU/mL [30.4–296.2]) than in the recovered patients (IgM 84.8 AU/mL [12.4–74.4],  $P = 0.006$ ); however, this was not observed for IgG (234.8 AU/mL [IQR 157.3–295.5] in non-survivors vs 195.0 AU/mL [IQR 149.5–230.1] in recovered patients;  $P = 0.061$ ; Table 2, Figure 2B and C). The correlation analysis showed that serum IgM levels negatively correlated with clinical outcomes ( $r = -0.270$ ,  $P = 0.006$ ). Further



**Figure 2** Serum SARS-Cov-2-specific IgM and IgG antibodies in patients with COVID-19. **(A)** Changes in serum SARS-Cov-2-specific IgM and IgG titers and positive rates over time (n= 137 participants). **(B)** Serum IgM levels were significantly higher in the deceased group than in the recovered group. **\*\*P** < 0.01. **(C)** No difference was found in the IgG levels between the recovered group and the deceased group. **(D)** Accuracy of serum IgM levels and IgG levels in predicting the clinical outcomes of COVID-19 patients.

**Abbreviation:** NS, non-significant.

assessments of the predictive ability of the IgM and IgG levels with regard to the clinical outcomes were evaluated by ROC curve analysis. The area under the ROC curve (AUC) for IgM was determined to be 0.687 (95% confidence interval: 0.553–0.821,  $P = 0.006$ ), whereas the AUC for IgG was 0.614 (95% confidence interval: 0.469–0.759,  $P = 0.096$ ) (Figure 2D). There were no significant differences in the time interval between the disease onset and antibody detection between the non-survivors (29.7 days [24.0–35.0]) and the recovered patients (32.3 days [29.0–39.5],  $P = 0.232$ ) (Table 2). Furthermore, we found that the IgM level was positively correlated with the duration of positivity for viral RNA ( $P < 0.05$ ).

### Cohort Study Matching by Age

To minimize the confounding effects of age, a matched cohort study was performed. Each deceased patient was matched with two recovered patients of similar age (difference within four years). Consistent with the initial analysis, the leucocyte and neutrophil counts of patients were

significantly higher in the deceased group than in the recovered group, whereas the lymphocyte subset count and eosinophil count were significantly lower in the deceased group than in the recovered group (Table 3). As in the prior analysis, the levels of all cytokines detected (IL2R, IL6, IL8, IL10, and TNF- $\alpha$ ) were significantly higher in non-survivors than in survivors ( $P < 0.05$ ).

The serum IgM level was higher in the non-survivors (IgM 231.6 AU/mL [30.4–296.2]) than in the recovered patients (IgM 72.3 AU/mL [13.0–56.2],  $P = 0.006$ ); however, the IgG level was not (Table 3; Figure 3A and B). Moreover, the serum IgM levels negatively correlated with the clinical outcomes ( $r = -0.315$ ,  $P = 0.008$ ). The results from the ROC curve analysis (used to determine the ability of the IgM and IgG levels to predict the clinical outcomes) showed that the AUC for the IgM level was 0.702 (95% confidence interval: 0.560–0.845,  $P = 0.006$ ), whereas the AUC for the IgG level was 0.596 (95% confidence interval: 0.449–0.744,  $P = 0.194$ ) (Figure 3C). As shown by Kaplan-Meier survival curves, COVID-19 patients with

**Table 1** Baseline Characteristics of COVID-19 Patients with Definitive Clinical Outcomes

	Total (n=104)	Recovered (n=81)	Deceased (n=23)	P value
<b>Age, years</b>	62(51.0–71.0)	59.5(50.0–69.0)	70.1(65.0–79.0)	0.001
<b>Sex</b>				0.113
Male	62(60)	45(56)	17(74)	
Female	42(40)	36(44)	6(26)	
<b>Comorbidities</b>	66(63)	44(54)	22(96)	<0.001
Hypertension	39(38)	29(36)	10(43)	0.502
Diabetes	21(20)	17(21)	4(17)	0.705
Cardiovascular disease	11(11)	6(7)	5(22)	0.049
COPD	2(2)	1(1)	1(4)	0.337
Malignancy	8(8)	3(4)	5(22)	0.004
Chronic kidney disease	4(4)	3(4)	1(4)	0.887
Others	17(16)	9(11)	8(35)	0.007
<b>Chief complaint</b>				
Fever	83(80)	65(80)	18(78)	0.834
Cough	15(14)	10(12)	5(22)	0.258
Dyspnoea	2(2)	2(2)	0(0)	0.447
Others	4(4)	4(5)	0(0)	0.277
<b>SARS-CoV-2 RNA</b>				0.207
Positive	86(83)	69(85)	17(74)	
Negative	18(17)	12(15)	6(26)	
<b>Bilateral involvement</b>				0.605
Yes	97(93)	75(93)	22(96)	
No	7()	6(7)	1(4)	
<b>Hospital stay, days</b>	22.8 (12.0–30.0)	22.2 (11.0–30.0)	24.9 (21.0–32.0)	0.266

**Abbreviation:** COPD, chronic obstructive pulmonary disease.

high IgM or IgG (stratified according to best cut-off) exhibited significantly lower overall survival ( $P < 0.05$ ) (Figure 3D and E).

## Discussion

In this retrospective study, we analyzed profiles of the SARS-CoV-2-specific IgM and IgG antibodies among 137 hospitalized patients with confirmed COVID-19 diagnoses. We analyzed the clinical parameters of 104 hospitalized patients with definitive clinical outcomes (combined recovered and deceased). The results showed

that the distribution profiles of SARS-CoV-2-specific antibodies were similar to those against SARS-CoV-1.<sup>11</sup> Serum IgM levels were relatively higher in the deceased group, were negatively correlated with the clinical outcomes, and could be used to predict mortality in patients with COVID-19, thereby identifying SARS-CoV-2 IgM as a stable prognostic marker for COVID-19.

SARS-CoV-2 is a coronavirus with a higher transmissibility and weaker pathogenicity than SARS-CoV-1.<sup>6,12</sup> Most infected patients present mild symptoms, however, some die of fatal pneumonia. While the clinical characteristics, risk

**Table 2** Laboratory Findings of COVID-19 Patients with Definitive Clinical Outcomes

	Total (n=104*)	Recovered (n=81*)	Deceased (n=23*)	P value
Hemoglobin, g/L	127.1(117.0–138.0)	126.9(117.0–137.5)	128.2(114.0–141.0)	0.353
Alanine aminotransferase, U/L	29.0(16.0–36.8)	28.8(16.0–36.0)	30.0(18.0–39.0)	0.763
Aspartate aminotransferase, U/L	31.4(20.0–37.3)	29.4(19.5–33.5)	38.3 (24.0–53.0)	0.025
Albumin, g/L	35.7(31.5–40.4)	36.8(32.7–41.5)	31.7(27.9–35.0)	0.001
Total bilirubin, $\mu$ mol/L	11.4(6.4–14.6)	10.7(6.1–14.6)	14.0(9.2–17.3)	0.040
Lactate dehydrogenase, U/L	320.0(201.3–400.0)	291.9(193.0–315.5)	419.0(273.0–524.0)	0.006
Blood urea nitrogen, mmol/L	7.0(3.9–7.5)	5.5(3.8–6.5)	12.6(6.0–11.6)	<0.001
Creatinine, $\mu$ mol/L	96.3(61.0–92.8)	82.1(58.5–82.5)	146.4(74.0–122.0)	<0.001
Prothrombin time, seconds	14.4(13.3–14.6)	13.8(13.2–14.4)	16.5(14.6–16.6)	<0.001
D-dimer, $\mu$ g/mL	3.3(0.3–2.6)	2.2(0.3–1.9)	6.9(0.8–17.7)	0.001
IgM, AU/mL	117.3(13.3–104.2)	84.8(12.4–74.4)	231.6(30.4–296.2)	0.006
IgG, AU/mL	203.8(149.5–246.6)	195.0(149.5–230.1)	234.8(157.3–295.5)	0.061
Antibody detection after onset, days	31.7(28.0–38.0)	32.3(29.0–39.5)	29.7(24.0–35.0)	0.232
IL2R, U/mL	825.9(359.3–1105.5)	707.8(300.5–918.8)	1223.2(580.0–1780.8)	0.001
IL6, pg/mL	68.7(2.4–40.1)	23.7(1.7–17.5)	213.5(18.8–136.4)	<0.001
IL8, pg/mL	45.7(9.3–34.0)	30.5(7.9–24.2)	96.8(13.3–84.0)	0.004
IL10, pg/mL	4.8(0.0–7.1)	3.8(0.0–6.0)	8.3(0.0–11.4)	0.044
TNF- $\alpha$ , pg/mL	9.7(6.0–11.7)	8.4(5.7–10.7)	14.0(8.3–15.5)	0.004
Leucocytes, $\times 10^9$ / per L	7.4(4.9–8.4)	6.9(4.8–7.6)	9.4(7.3–11.5)	0.014
Neutrophils, $\times 10^9$ / per L	5.7(3.1–6.6)	5.0(2.9–5.5)	8.1(6.2–10.0)	0.002
Lymphocytes, $\times 10^9$ / per L	1.1(0.7–1.5)	1.3(0.8–1.6)	0.7(0.5–0.9)	0.002
Monocytes, $\times 10^9$ / per L	0.5(0.3–0.6)	0.5(0.4–0.6)	0.5(0.3–0.7)	0.376
Eosinophils, $\times 10^9$ / per L	0.1(0.1–0.1)	0.1(0.0–0.1)	0.0(0.0–0.0)	<0.001
Basophils, $\times 10^9$ / per L	0.0(0.0–0.0)	0.0(0.0–0.0)	0.0(0.0–0.0)	0.281
Platelets, $\times 10^9$ / per L	220.7(152.0–290.5)	228.7(169.5–298.5)	192.7(113.0–286.0)	0.023
T cells (CD3+CD19-) / $\mu$ L	786.8(317.5–1070.0)	1047.7(900.0–1196.0)	357.2(179.5–490.5)	<0.001
B cells (CD3-CD19+) / $\mu$ L	157.5(68.0–200.5)	166.1(84.5–200.8)	143.4(33.0–225.0)	0.569
Th cells (CD3+CD4+) / $\mu$ L	4977(200.0–691.0)	655.3(497.0–837.0)	238.1(107.5–288.5)	<0.001
Ts cells (CD3+CD8+) / $\mu$ L	259.9(89.5–346.5)	352.2(265.0–388.0)	107.9(55.5–150.0)	<0.001
NK cells (CD3-/CD16+CD56+) / $\mu$ L	163.2(54.0–211.5)	224.3(138.8–297.8)	62.5(15.5–113.0)	<0.001

**Notes:** \*Serum cytokine levels were tested in 96 patients (74 cases recovered, 22 cases died), while lymphocyte subgroups were tested in 45 patients (28 recovered, 17 died).

**Abbreviations:** IgM, immunoglobulin M; IgG, immunoglobulin G; IL, interleukin; TNF- $\alpha$ , tumor necrosis factor  $\alpha$ ; T cell, T lymphocyte; B cell, B lymphocyte; Th cell, helper T lymphocyte; Ts cell, suppressor T lymphocyte; NK cell, nature killer cell.

factors, and epidemiological history have been well studied, the distribution profile and role of SARS-Cov-2-specific immunoglobulins remain unclear.<sup>12–14</sup> Host immune

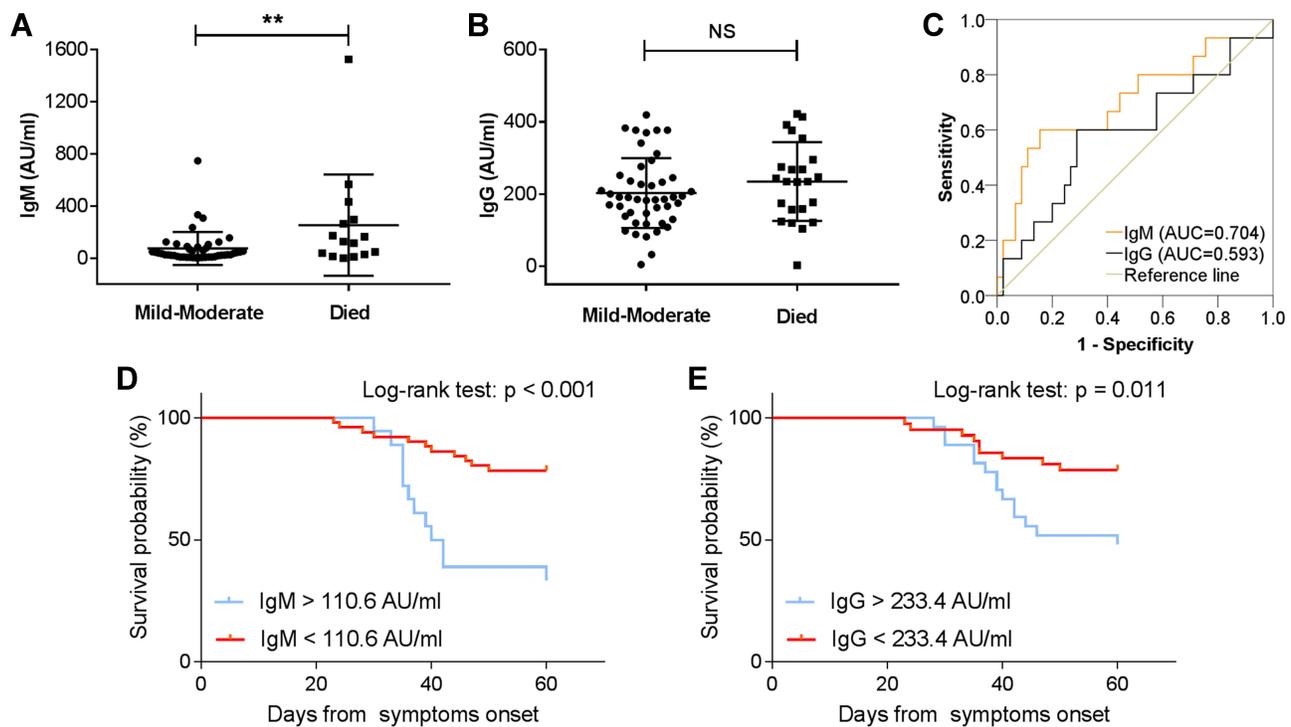
response plays a key role in virus elimination. Previous reports of histological examinations of the lungs of patients who died due to COVID-19 have revealed bilateral diffuse

**Table 3** Clinical Characteristics of Patients in the Matched Cohort Study

	Total (n=69*)	Recovered (n=46*)	Deceased (n=23*)	P value
Age, years	68.8(61.5–77.5)	68.2(61.0–75.8)	70.1(65.0–79.0)	0.465
Male	45(65)	28(61)	17(74)	0.284
Any comorbidities	54(78)	32(70)	22(96)	0.013
Positive SARS-CoV-2 RNA	55(80)	38(83)	17(74)	0.397
Hospital stay, days	24.4(13.0–31.5)	24.1(11.8–31.5)	24.9(21.0–32.0)	0.804
Hemoglobin, g/L	127.8(118.0–138.0)	127.6(118.5–137.3)	128.2(114.0–141.0)	0.397
Alanine aminotransferase	30.7(17.0–39.5)	31.1(16.0–41.0)	30.0(18.0–39.0)	0.803
Aspartate aminotransferase	34.1(21.0–42.5)	32.0(20.8–39.3)	38.3(24.0–53.0)	0.165
Albumin, g/L	34.8(29.9–38.6)	36.3(31.9–41.7)	31.7(27.9–35.0)	0.010
Total bilirubin, $\mu\text{mol/L}$	13.0(8.2–15.7)	12.6(7.4–15.0)	14.0(9.2–17.3)	0.456
Lactate dehydrogenase, U/L	354.1(215.5–458.5)	321.7(212.8–354.8)	419.0(273.0–524.0)	0.082
Blood urea nitrogen, mmol/L	8.0(4.5–8.5)	5.7(4.1–6.6)	12.6(6.0–11.6)	<0.001
Creatinine, $\mu\text{mol/L}$	102.0(65.5–98.0)	79.8(60.8–89.0)	146.4(74.0–122.0)	0.001
Prothrombin time, seconds	14.7(13.6–15.2)	13.9(13.1–14.6)	16.5(14.6–16.6)	0.010
D-dimer, $\mu\text{g/mL}$	4.1(0.4–3.7)	2.8(0.3–2.3)	6.9(0.8–17.7)	0.006
IgM, AU/mL	125.4(17.5–119.8)	72.3(13.0–56.2)	231.6(30.4–296.2)	0.006
IgG, AU/mL	213.6(142.7–217.8)	203.0(136.5–247.2)	234.8(157.3–295.5)	0.223
Antibody detection after onset, days	31.7(27.0–37.5)	32.7(28.8–40.0)	29.7(24.0–35.0)	0.130
IL2R, U/mL	882.9(393.0–1326.0)	708.8(358.0–1010.0)	1223.2(580.0–1780.8)	0.002
IL6, pg/mL	98.5(4.2–64.9)	37.0(2.9–40.5)	213.5(18.8–136.4)	<0.001
IL8, pg/mL	55.1(10.8–43.1)	33.8(10.3–34.1)	96.8(13.3–84.0)	0.044
IL10, pg/mL	5.2(0.0–7.5)	3.6(0.0–6.0)	8.3(0.0–11.4)	0.070
TNF- $\alpha$ , pg/mL	10.6(6.9–13.6)	8.8(6.0–13.0)	14.0(8.3–15.5)	0.025
Leucocytes, $\times 10^9/\text{per L}$	7.1 (4.7–8.4)	6.4 (4.5–7.1)	9.4 (7.3–11.5)	0.004
Neutrophils, $\times 10^9/\text{per L}$	5.5 (2.9–7.0)	4.6 (2.6–5.7)	8.2 (6.2–10.0)	<0.001
Lymphocytes, $\times 10^9/\text{per L}$	1.0 (0.6–1.4)	1.1 (0.7–1.5)	0.7 (0.5–0.9)	0.017
Monocytes, $\times 10^9/\text{per L}$	0.5 (0.4–0.6)	0.5 (0.5–0.6)	0.5 (0.3–0.7)	0.848
Eosinophils, $\times 10^9/\text{per L}$	0.1 (0.0–0.1)	0.1 (0.0–0.1)	0.0 (0.0–0.0)	0.007
Basophils, $\times 10^9/\text{per L}$	0.0 (0.0–0.0)	0.0 (0.0–0.0)	0.0 (0.0–0.0)	0.968
Platelets, $\times 10^9/\text{per L}$	222.3 (150.0–300.0)	225.4 (158.5–301.5)	212.9 (113.0–295.0)	0.267
T cells (CD3+CD19-) / $\mu\text{L}$	753.7(269.0–1071.0)	1128.2(933.0–1302.8)	357.2(179.5–490.5)	<0.001
B cells (CD3-CD19+) / $\mu\text{L}$	158.7(53.0–200.0)	173.2(72.0–200.3)	143.4(33.0–225.0)	0.531
Th cells (CD3+CD4+) / $\mu\text{L}$	482.2(170.0–802.0)	712.8(514.3–857.0)	238.1(107.5–288.5)	<0.001
Ts cells (CD3+CD8+) / $\mu\text{L}$	244.5(84.0–339.0)	373.4(265.5–396.3)	107.9(55.5–150.0)	<0.001
NK cells (CD3-/CD16+CD56+) / $\mu\text{L}$	152.9(28.0–211.0)	238.4(139.0–310.0)	62.5(15.5–113.0)	<0.001

**Notes:** \*Serum cytokine levels were tested in 65 patients (43 cases recovered, 22 cases died), while lymphocyte subgroups were tested in 35 patients (18 recovered, 17 died).

**Abbreviations:** IgM, immunoglobulin M; IgG, immunoglobulin G; IL, interleukin; TNF- $\alpha$ , tumor necrosis factor  $\alpha$ ; T cell, T lymphocyte; B cell, B lymphocyte; Th cell, helper T lymphocyte; Ts cell, suppressor T lymphocyte; NK cell, nature killer cell.



**Figure 3** Serum SARS-CoV-2-specific IgM and IgG antibodies in the age-matched cohort study. **(A)** Serum IgM levels were significantly higher in the deceased group in the cohort study.  $**P < 0.01$ . **(B)** No difference was found in the IgG levels between the recovered group and the deceased group. **(C)** Accuracy of serum IgM levels and IgG levels in predicting the clinical outcomes of COVID-19 patients. **(D)** Kaplan-Meier estimates for survival based on IgM (stratified according to best cut-off). **(E)** Kaplan-Meier estimates for survival based on IgG (stratified according to best cut-off). **Abbreviation:** NS, non-significant.

alveolar damage with cellular exudates, accompanied by interstitial mononuclear inflammatory infiltrates.<sup>15</sup> Peripheral T cell counts have been shown to be substantially reduced, although the present T cells were hyperactivated.<sup>15,16</sup> Elevated levels of SARS-CoV-2-specific antibodies have been previously detected in COVID-19 patients.<sup>8</sup> SARS-CoV-1-specific IgG antibodies persist for a relatively longer time (more than three months), although SARS-CoV-1-specific IgM peaks in the third week and remains measurable for a much shorter period.<sup>11</sup> Similarly, SARS-CoV-2-specific IgM levels peaked in the fourth week after the onset of COVID-19 and then decreased more slowly than SARS-CoV-1-specific IgM; in contrast, serum IgG levels rose quickly in the first two weeks and remained high for up to over 8 weeks. The relatively slow development but longer persistence of the immune response has been attributed to a relatively weaker pathogenicity and prolonged viral shedding.<sup>6,13</sup>

In our study, both the matched and unmatched analyses yielded similar results: serum IgM levels were higher in the non-survivors, serum IgM levels were negatively correlated with the clinical outcomes in the correlation analysis, and the IgM levels were predictive of the clinical outcomes

assessed with ROC curve analysis. Moreover, as shown by Kaplan-Meier survival curves, COVID-19 patients with high IgM or IgG (stratified according to the best cut-off) exhibited significantly lower overall survival. Therefore, we infer that elevated SARS-CoV-2-specific IgM levels indicate a poor outcome in patients with COVID-19. Similarly, another report indicated that critical patients showed significantly higher total antibody levels than noncritical cases at approximately 2 weeks after onset ( $P = 0.02$ ), but this association was not significant for either the IgM or IgG tests.<sup>17</sup> Possible reasons for these observations are as follows: first, IL-6, which plays a key role in the development of cytokine storm, efficiently augments antibody production,<sup>18</sup> second, the IgM level was positively correlated with the duration of viral RNA presence; older age correlated with a higher viral load,<sup>9</sup> thereby indicating that high immunoglobulin levels are associated with high viral loads, and is a prognostic factor for poor outcomes.<sup>19</sup> Although SARS-CoV-2-specific antibodies are associated with outcomes in COVID-19 patients, their role in virus elimination is not clear. For example, neutralizing antibodies bind to the surface spike protein of coronaviruses and mediate viral entry into IgG Fc receptor-expressing cells, thereby actively participating in

viral elimination.<sup>20</sup> Further studies are needed to ascertain the role of antibodies in the response to SARS-CoV-2 infection.

This study has some limitations. First, it is a single-center retrospective study with a limited number of cases. Second, since the majority of patients admitted to our hospital were critically ill, a population bias cannot be excluded from our study. Third, the viral load results were not available for our study group, because a qualitative method for the screening has been used.

## Conclusion

Collectively, our study shows that elevated serum IgM levels are indicative of clinically unfavorable outcomes in patients with COVID-19, and provides insight into the interaction between immunoglobulin and host systems. A better understanding of SARS-CoV-2-specific IgGs is imperative to enhance the diagnostics during the COVID-19 pandemic, our study outcomes might be helpful in designing future diagnostic assays and epidemiologic surveys.

## Abbreviations

AUC, area under the ROC curve; BUN, Blood urea nitrogen; CHD, Coronary heart disease; CKD, Chronic kidney disease; COPD, Chronic obstructive pulmonary disease; COVID-19, coronavirus disease 2019; IgM, Immunoglobulin M; IgG, Immunoglobulin G; IL6, Interleukin 6; IQR, interquartile range; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.

## Data Sharing Statement

The raw data supporting the conclusions of this article will be made available by the author Jingjing Li, without undue reservation.

## Compliance with Ethical Standards

All procedures performed in studies involving human participants were in accordance with the ethical standards of the Lifespan institutional research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

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## Disclosure

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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