#### ORIGINAL RESEARCH

# Flow-Cytometry Intracellular Detection and Quantification of HIVI p24 Antigen and Immunocheckpoint Molecules in T Cells among HIV/AIDS Patients

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**Introduction:** HIV p24 antigen-positive T cells measured by flow cytometry (FCM) correlate directly with HIV viral load, inversely with  $CD_4^+$  T cells, and decrease with antiretroviral therapy (ART). However, the sensitivity of FCM assays depends on the protocol of intracellular staining. Therefore, this study aimed to evaluate the diagnostic performance of our FCM protocol for detection of HIV p24-positive T cells and measure the level of immunocheckpoint molecules (PD1 and TIM3) in T cells.

**Methods:** The study was conducted at the University of Leipzig hospital between January 2020 and November 2020. Viremic and ART-suppressed HIV-positive patients and negative controls were included in this study. HIV1 p24 KC57-, p24 28B7-, PD1-, and TIM3-positive  $CD_4$  and  $CD_3$  T cells were analyzed from whole blood using a BD FACS Canto II flow cytometer equipped with FACSDiva software. HIV1 p24 antigen FCM results were compared with HIV1 RNA viral load results measured by Alinity M assays on the fully automated random-access platform. We analyzed the data using SPSS 20.

**Results:** The absolute  $CD_4^+$  and  $CD_4^+$ : $CD_8^+$  T-cells ratio showed a significant inverse correlation with HIV1 viral load. Moreover, the absolute  $CD4^+$  T-cells count showed a significant inverse correlation with p24 KC57-positive  $CD_4$  T cells. The percentage of p24 KC57, p24 28B7, and double-positive  $CD_4$  T cells showed significant correlation with HIV1 viral load. PD1 expressing  $CD_4$  T cells were higher in ART-viremic cases than controls, while TIM3-expressing  $CD_4$  T cells were lower in ART-viremic cases than controls. Sensitivity, specificity, PPV, and NPV of p24 KC57-positive  $CD_4$  T cells were 64%, 82%, 78%, and 69%, respectively, for the diagnosis of HIV infection and 55%, 73%, 40%, and 83%, respectively, for treatment monitoring.

**Conclusion:** Our protocol showed moderate performance for the diagnosis of HIV infection and treatment monitoring. Therefore, the p24 KC57 but not the p24 28B7 clone could be considered as a simple alternative method for rapid diagnosis of HIV infections and treatment monitoring, particularly in low- and middle-income countries.

Keywords: human immunodeficiency virus, flow cytometry, HIV p24 KC57, HIV p24 28B7, PD1, TIM3, diagnosis, treatment monitoring

#### Introduction

According to a World Health Organization (WHO) report, an estimated 1.5 million people newly infected with HIV in 2020.<sup>1</sup> There has been significant progress globally toward the Fast-Track 90–90–90 targets of the HIV-testing, -treatment, and -suppression cascade. Based on the report, 84% of people living with HIV knew their HIV status at the end of 2020. Of the 37.7 million people living with HIV (PLWH), 73% were receiving antiretroviral therapy (ART). Around 66% globally had suppressed viral loads. Nevertheless, progress has been uneven, and coverage among key

365

populations is low. Children are not being adequately reached either, and the world has failed to diagnose and start treatment for almost half the children living with HIV. Only 54% of children (0–14 years old) were receiving ART.<sup>1</sup>

HIV infection may remain asymptomatic for many years or may progress rapidly to AIDS. The median interval from initial infection with HIV to development of AIDS may exceed 10 years or longer.<sup>2</sup> In general, the "window period", the time from the initial HIV infection until HIV-antibody detection in plasma ranges from a few weeks to several months.<sup>3–5</sup> During this period, plasma viremia and antigenemia occur,<sup>6–8</sup> and the number of HIV-infected cells may be as high as those found in patients with AIDS.<sup>8</sup>

A previous cohort study on HIV-exposed health-care workers (HCWs) showed that a majority of infected HCWs seroconvert within 2 months of exposure; however, 5% of infected HCWs were estimated to seroconvert after 6 months of exposure. In contrast, the period of viremia (detectable by p24 antigen or RNA assays) preceding antibody seroconversion is consistently 1–3 weeks.<sup>9</sup> Therefore, rapid antibody testing as a diagnostic option may require several months before the HIV antibodies can be detected; however, HIV p24-antigen tests provide an advantage for early HIV-antigen detection.

Improved testing methods, including early detection of infection, better test accuracy, increased self-testing, and robust linkage to care, have all been highlighted as key areas for improvement.<sup>10–12</sup> Early detection has been proven to allow for better patient outcomes and lower rates of transmission.<sup>13,14</sup> The use of dry blood spots to transfer blood samples to distant high-throughput laboratories and the urgent need for simple, more accessible, and inexpensive pathogen-based point of care (PoC) tests for early diagnosis of HIV and treatment monitoring, especially in resource-limited settings, remains challenging.<sup>15–17</sup>

Previous reports have shown that plasma HIV1 RNA measured by PCR increases with advanced disease stage and declines during ART. The HIV1 RNA level is also inversely related with absolute CD4 T-cell counts.<sup>18,19</sup> PCR is much more sensitive than plasma p24-antigen detection and end-point dilution culture, but it is laborious, time-consuming and expensive, especially for low- and middle-income countries. Methods that detect and quantify virus-infected T cells from whole blood or peripheral blood mononuclear cells may provide a more practical and rapid way to diagnose HIV infection, better predict disease progression, and monitor therapy. p24 antigen–positive T cells in HIV<sup>+</sup> patients by flow-cytometry assays<sup>20</sup> have confirmed the PCR results by demonstrating the direct correlation of intracellular p24 antigen with disease stage, inversely with CD4<sup>+</sup> T-cell counts, and its reduction during ART treatment.<sup>21</sup> However, the sensitivity of intracellular detection of p24 antigen in T cells is highly dependent on the intracellular staining protocol, including the method of fixation and permeabilisation.<sup>22</sup> Therefore, this study aimed to validate and evaluate the diagnostic performance of our own flow-cytometry assay protocol for intracellular detection and quantification of HIV-specific protein (gag p 24)–positive T cells.

In HIV infection, immunocheckpoint molecules (ICM) expression in CD4 T cells has been linked to HIV-reservoir measurements<sup>23</sup> and time to viral rebound following ART interruption.<sup>24</sup> In the presence of abundant data related to ICM expression in T cells, which are essential for reducing immune-cell activation, the expression patterns and distribution of ICM are critical to understanding adaptive cellular immunoresponses in PLWH. Studies have been conducted to define the interactions between HIV and ICMs. The ICMs PD1 and TIM3 among others have been involved in chronic viral persistence and are usually used as a marker to define exhausted T cells during HIV infection.<sup>23–29</sup> A recently published work showed that PDL1 expression on monocytes was significantly higher in tuberculosis, HIV, and tuberculosis–HIV coinfected patients than healthy controls.<sup>30</sup>

T-cell exhaustion markers, such as PD1, TIM3, and *LAG3*, measured prior to ART have been proposed to strongly predict time of viremia rebound.<sup>24</sup> However, reports on the relationship between HIV viral load and PD1 and TIM3 expression on the surface of T cells are conflicting. Therefore, this study was conducted to measure the relationship between HIV viral load and ICM (PD1 and TIM3) expression on the surface of T cells by flow-cytometry assays using an objective gating strategy in ART-viremic and ART-suppressed HIV-positive patients compared with HIV-uninfected controls.

# Methods

#### Study Design, Setting, and Period

This laboratory-based evaluation study was conducted at the Institute of Clinical Immunology and Institute of Virology, University of Leipzig Hospital, Leipzig, Germany between January 2020 and November 2020. PLWH who had laboratory investigations at the institute's laboratory for viral load determination and laboratory for immunostatus evaluation during the study period were included. PLWH on ART and prior to ART initiation were included. PLWH were grouped into two categories based on the HIV viral load results as ART-viremic (HIV viral load >50 copies/mL) and ART-suppressed (HIV viral load  $\leq$ 50 copies/mL). HIV-negative individuals screened for HIV during the study period were included as a negative control.

#### Ethics

The leftover blood samples after HIV viral load determination were anonymously used for this study in accordance with the ethics approval obtained from the Medical Faculty of the University of Leipzig ethics committee (351/17-ek). Consent was obtained from the Institute of Virology to use the leftover blood samples and viral load results, and from the Institute of Clinical Immunology to use absolute CD3, CD4, and CD8 T cells and CD:CD8 ratio data of study participants.

## Blood-Sample Collection

Venous blood samples collected in 5 mL vacutainer tubes with EDTA and sent to the laboratory for viral load determination were used for HIV p24 detection and ICM-expression measurement after plasma had been separated for viral load determination. The leftover concentrated blood samples were resuspended by adding PBS to compensate for the plasma removed from the whole blood. Then, the samples were processed according to our optimized intracellular staining protocol for detection and quantification of HIV p24-positive CD3<sup>+</sup> and CD4<sup>+</sup> T cells and for the measurement of ICM expression in CD3<sup>+</sup> and CD4<sup>+</sup> T cells.

#### Antibodies

In this study, we used three fluorochrome-labeled surface-marker antibodies: CD3 V500 (1,032,587) for CD3+ T cells, CD4 V450 (10,556,120) for CD4+ T cells, and CD8 PerCP (1,035,212) for CD8+ T cells from BD Bioscience. We also used two clones of HIV1 p24 monoclonal antibodies: p24 FITC (clone KC57) from Beckman Coulter (6,604,665) and p24 APC (clone 28B7) from MediMabs (MM-0289-APC). We also used antibodies of the two ICMs: PD1 PE (clone eBioJ105 (J105) from Invitrogen (2–2799-42), and TIM3 PE-cy7 (clone F38-2E2) from Thermo Fisher Scientific, eBioscience (15,518,536).

## Intracellular Staining

Our intracellular staining protocol comprised treating, permeabilizing, and staining the cells followed by flow-cytometry analysis. In brief, thewhole blood (200 µL) was used to lyse erythrocytes and fix leukocytes using prewarmed Lyse/Fix buffer (BD Bioscience,0356756) in a 37°C water bath. The cells were washed with PBS through centrifugation at 600 *g* for 6 minutes. Fixed cells were permeabilized by adding 1 mL cold Perm Buffer III (BD Bioscience, 1,029,766) on ice for 30 minutes. Cells washed with stain buffer (500 mL PBS + 2.5 mL FBS + 5 mL NaN<sub>3</sub>) via centrifugation at 600 *g* for 6 minutes. Permeabilized cell suspensions (100 µL) were transferred into  $12 \times 75$  mm tubes and stained using monoclonal antibodies for 1 hour at room temperature protected from light. The following amounts of antibodies were used for the staining of the cells: anti–surface marker — 2.5 µL CD3 V500 and 2.5 µL CD4 V450; anti-HIV p24 — 5 µL p24 FITC (clone KC57) and 5 µL p24 APC (clone 28B7, 1:800 diluted working solution); and anti-ICM — 5 µL PD1-PE (clone eBioJ105 [J105]) and 5 µL TIM3-PE-cy7 (clone F38-2E2). Stained cells were washed with stain buffer via centrifugation at 600 *g* for 6 minutes and measured by flow cytometry immediately.

#### Flow-Cytometry Assays

Stained cells were measured using a BD FACS Canto II flow cytometer equipped with FACSDiva 8.0 (BD Biosciences) until 30,000 CD3+ T cells were acquired. Stepwise gating and analysis of HIV p24 KC57- and p24 28B7-positive CD4 and CD3 T cells were done as shown in Figure 1.Gating and analysis of ICM (PD1 and TIM3)–positive CD3 and CD4 T-cell populations were performed using the objective gating strategy.<sup>31</sup> In brief, highly positive ICM CD4+ or CD3+ T cells were gated as follows. First, to remove the outliers that might skew the-positioning of the gate, the top 0.1% and the bottom 0.1% of positively stained cells in terms of fluorochrome brightness were excluded, leaving 99.8% of the total (the "trimmed" cell population; Figure 2: P7, P9, P11 and P13). Next, the brightest and dimmest remaining cells were individually gated and median fluorescence intensity (MFI) obtained for each. The dimmest MFI was subtracted from the brightest MFI to obtain the trimmed fluorescence range. Then, 90% of this fluorescence range was identified by multiplying it by 0.9, as shown in Figure 2: P8, P10, P12 and P14.

#### HIV Viral Load Determination

HIV viral load results of study participants were retrieved from the virology laboratory. HIV1 RNA extraction and viral quantification was done using HIV1 assays on the fully automated random-access platform Alinity M (Abbott Molecular, Des Plaines, IL, USA). The assays utilized dual-target and dual-probe design against highly conserved regions of the integrase and LTR gene with sensitivity of 14 copies/mL. Viral load assessment was done according to the European AIDS clinical society guidelines.<sup>32</sup> ART success is defined as the ability to achieve and maintain a viral load <50 copies/mL. A viral load  $\geq$ 50 copies/mL confirmed at two consecutive time points after complete suppression is considered a rebound and indicative of virological failure if therapy adherence is confirmed and therapy interactions excluded. In this study, patients with viral load <50 copies/mL were grouped as ART-suppressed, while patients with viral load  $\geq$ 50 copies/mL were grouped as ART-suppressed, while patients with viral load  $\geq$ 50 copies/mL were grouped as ART-suppressed, while patients with viral load  $\geq$ 50 copies/mL were grouped as ART-suppressed.



**Figure 1** Demonstration of the stepwise gating strategy for sorting and identification of HIV p24-positive **CD4**<sup>+</sup> T cells using an HIV-positive (HIV viremic) patient's blood (**A–E**) and HIV-negative control blood sample (**F–J**). (**A** and **F**) (P1) = total lymphocytes; (**B** and **G**) (CD3+) = CD<sub>3</sub>+ T cells; **C** and **H** (Q1) = CD<sub>3</sub>+ CD<sub>4</sub>. T cells; **C** and **H** (Q1-2) and I (Q1-3) = gates for HIV p24 (clone 28B7) positive CD<sub>4</sub>+ T cells; D (Q4-2) and I (Q4-3) = gates for HIV p24 (clone KC57) positive CD<sub>4</sub>+ T cells; D (Q2-2) and I (Q2-3) = gates for both HIV p24 28B7 and HIV p24 KC57 positive (double-stained) CD<sub>4</sub>+ T cells; F = analysis output of HIV-positive patient's blood that shows the percentage of HIV p24-positive CD<sub>3</sub>+ CD<sub>4</sub>- T cells (Q1-1 = p24 28B7, Q4-1 = p24 KC57, Q 2–1 = double-stained cells) and CD<sub>4</sub>+ T cells (Q1-2 = p24 28B7, Q4-2 = p24 KC57, Q 2–2 = double-stained cells); J = analysis output of HIV-negative person's blood that shows the percentage of HIV p24-costive CD<sub>3</sub>+CD<sub>4</sub>- T cells (Q1-1 = p24 28B7, Q4-1 = p24 28B7, Q4-3 = p24 KC57, Q2-3 = double-stained cells).



**Figure 2** Demonstration of the objective-based gating strategy for sorting and quantification of immunocheckpoint molecules (ICMs) (PD1 and TIM3)-positive CD<sub>3</sub>+ and CD<sub>4</sub>+ T cells from an HIV-positive ART-suppressed patient's whole blood. The objective gating and quantification of ICM-positive cells were done based on the fluorescence intensity of PD1 or TIM3-positive CD<sub>3</sub>+ and CD<sub>4</sub>+ T cells in a stepwise approach. P1 = total lymphocytes; CD3+ = CD<sub>3</sub>+ T cells; Q1 = CD<sub>3</sub>+ CD<sub>4</sub>- T cells; Q2 = CD<sub>4</sub>+ T cells; P3 = PD1+CD<sub>3</sub>+ T cells; P7 = the top 99.8% of PD1+CD<sub>3</sub>+ T cells after outliers removed (trimmed cells); P8 = the top 90% of the fluorescence range of PD1+CD<sub>3</sub>+ T cells if the trimmed cells. P4 = TIM3+ CD<sub>3</sub>+ T cells; P1 = the top 99.8% of PD1+CD<sub>4</sub>+ T cells after outliers removed; P12 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells; P1 = the top 99.8% of PD1+CD<sub>4</sub>+ T cells after outliers removed; P12 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells; P1 = the top 99.8% of PD1+CD<sub>4</sub>+ T cells after outliers removed; P12 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells. P14 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells. P14 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells. P14 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells. P14 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells. P14 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells. P14 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells. P14 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells. P14 = the top 90% of the fluorescence range of PD1+CD<sub>4</sub>+ T cells.

## Absolute CD<sub>3</sub>, CD<sub>4</sub>, and CD<sub>8</sub> counts and CD<sub>4</sub>:CD<sub>8</sub> T-Cell Ratio Determination

Absolute  $CD_3$ ,  $CD_4$ , and  $CD_8$  T-cell counts and  $CD_4$ : $CD_8$  T-cell ratios of study participants were retrieved from the immunology laboratory. For determination of absolute T-cell counts, the relative flow-cytometry T-cell counts following surface-marker staining using the  $CD_3$  V500 (2.5  $\mu$ L) for  $CD_3$ + T cells,  $CD_4$  V450 (2.5  $\mu$ L) for  $CD_4$ + T cells, and  $CD_8$ 

PerC (5  $\mu$ L) for CD<sub>8</sub>+ T cells analyzed with a BD FACS Canto II flow cytometer equipped with FACSDiva software were set in relation to absolute lymphocyte counts measured using a Sysmex XP-300 automated hematology analyzer (Sysmex, Bornbarch, Germany) following standard procedures.

#### Statistical Analysis

Data were analyzed using SPSS 20. The normal distribution of the data was checked with the Shapiro–Wilk test, kurtosis *Z*-values and skewness, and visual outputs, including box plots, histograms, and normal Q–Q plots. For abnormally distributed data, nonparametric tests were used, and medians and IQRs are presented. Correlations were determined using nonparametric Spearman's test. Receiver-operating characteristic (ROC) curves were generated to compute the area under the curve (AUC) and coordinates of the curve. Optimal cutoffs for HIV p24 KC57 and HIV p24 28B7 were determined based on the results of sensitivity and 1 – specificity of the coordinates of the ROC curve. Cross-tabulation was used to calculate sensitivity, specificity, positive predictive value (PPV), and negative predictive value (NPV) for HIV p24 KC57 and HIV p24 28B7 tests. *P*<0.05 was considered statistically significant.

#### Results

#### Characteristics of Study Participants

A total of 55 study participants were included in this study. Eleven were ART-viremic, 33 ART-suppressed, and 11 ageand sex-matched negative controls. A majority (49, 89%) were male. The median (IQR) age was 51 (34–61) years for ART-viremic cases, 48.5 (35.3–57.8), for ART-suppressed cases, and 50 (34.5–77.5) for controls. The median (IQR) HIV1 viral load among ART-viremic patients was 4,615 copies/mL (333.0–1,221,500.0 copies/mL). The median (IQR) HIV1 viral load among ART-suppressed cases was 0 copies/mL (0–10 copies/mL). The absolute CD4+ T-cell count and CD4:CD8 T-cell ratio showed statistically significant inverse correlations with HIV1 viral load (P=0.039 and 0.044, respectively). Absolute CD4<sup>+</sup> T-cell counts showed significant inverse correlations with p24 KC57-positive CD4 T cells (P=0.004, Table 1).

## Percentage of HIVI p24 Antigen-Positive $CD_4$ + and $CD_3$ + T cells

The median (IQR) percentage of p24 KC57-positive CD4+ T cells was 0.3 (0.1–0.8) among ART-viremic cases and 0.1 (0.1–0.3) among ART-suppressed patients. The median (IQR) percentage of p24 28B7 and double-stained (p24 KC57- and p24 28B7-positive) CD4+ T cells were low in both ART-viremic and ART-suppressed cases. The percentage of p24 KC57, p24 28B7, and double-stained CD4+ T cells showed significant correlations with HIV1 viral load (P=003, 0.014, and <0.001, respectively). The median (IQR) percentage of p24 KC57 antigen-positive CD3+ T cells was lower than that of CD4+ T cells in both ART-suppressed cases (Table 2).

#### Relationship Between Immunocheckpoint Molecules and HIV Viral Load

PD1 ICM–expressing CD<sub>4</sub>+ T cells were higher in ART-viremic cases (median 29) than controls (median 28). Similarly, PD1-expressing CD<sub>3</sub>+ T cells were higher in ART-viremic cases (median 52) than controls (median 51). However, the correlations between HIV1 viral load and PD1-expressing CD<sub>4</sub>+, and CD<sub>3</sub>+ T cells were not statistically significant (P=0.702 and 0.123, respectively). On the contrary, TIM3-expressing CD4+ T cells were lower in ART-viremic cases (median 50) than controls (median 65). TIM3-expressing CD<sub>3</sub>+ cells were also lower in ART-viremic cases (median 44) than controls (median 59). TIM3-expressing CD<sub>4</sub>+ and CD<sub>3</sub>+ T cells were not significantly correlated with HIV1 viral load (P=0.933 and 0.604, respectively, Table 3).

## ROC Curves of p24 KC57- and p24 28B7-Positive CD4+ T cells

ROC curves of p24 KC57- and p24 28B7-positive  $CD_4$ + T cells for HIV-positive cases and controls are shown in Figure 3. The AUC of p24 KC57-positive  $CD_4$ + T cells among ART-viremic cases versus controls was 0.84 (95% CI 0.67–1.00). This indicates the accuracy of p24 KC57-positive  $CD_4$ + T cells to correctly discriminate ART-viremic cases

	HIV status			Corr <sup>@</sup> viral load		Corr <sup>@</sup> p24 KC57+ CD4 T cells		
	Negative controls (n=11)	ART-suppressed (n= 33)	ART-viremic (n=11)	R <sup>2</sup>	P	R <sup>2</sup>	P	
Sex								
Male (n=49) Female (n=6)	10 1	29 4	10 1	NA	NA	NA	NA	
Age (years)								
Median IQR	50.0 34.5–77.5	48.5 35.3–57.8	51.0 34.0–61.0	NA	NA	NA	NA	
CD3 <sup>+</sup> T cells (c	ells/µL)							
Median IQR	725.0 619.0–1447.5	1374.5 970.0–1807.8	1326.0 688.0–1846.0	0.089	0.539	0.135	0.046	
CD4 <sup>+</sup> T cells (c	ells/µL)					·	•	
Median IQR	462.0 301.0–705.5	576.5 359.3–852.0	338.0 68.0–638.0	0.015	0.039	0.018	0.010	
CD8 <sup>+</sup> T cells (C	Cells/µL)							
Median IQR	290.0 190.0–620.0	676.0 543.8–921.0	608.0 504.0–1248.0	0.085	0.409	0.061	0.362	
CD4:CD8 <sup>+</sup> T-cell ratio								
Median IQR	1.2 1.1–2.1	0.7 0.6–1.1	0.4 0.2–0.8	0.002	0.044	0.445	0.091	
HIVI viral load	(copies/mL)							
Median IQR	NA NA	0 0–10	4,615 333.0–1, 221,500.0	NA	NA	NA	NA	

Table I	Characteristicsof study	participants by HI	/ status and correlations with the status and correlations with the state of the st	ith viral load and p24 KCS	7-positive CD4 T cells (n=55)
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Note: The closer the value is to I, the stronger the relationship between the predictor variable(s) and the response variable.

Abbreviations: ART, antiretroviral therapy; Corr<sup>@</sup>, correlation with HIVI viral load/HIVI p24 KC57-positive CD4<sup>+</sup> T cells; NA, not applicable.

from controls was good. The AUC of p24 KC57-positive  $CD_4$ + T cells among ART-suppressed cases versus controls was fair — 0.75 (0.58–0.92) — while that among ART-viremic versus ART suppresessed was poor: 0.63 (0.44–0.83).

The AUC of p24 28B7-positive  $CD_4$ + T cells was 0.62 (95% CI 0.38–86) among ART-viremic cases vs controls and 0.66 (0.45–87) among ART-viremic vs ART-suppressed cases. These indicate the accuracy of p24 28B7  $CD_4$  T cells to correctly discriminate ART-viremic cases from controls as well as ART-suppressed cases being poor. The AUCs of double-stained (p24 KC57 and p24 28B7)  $CD_4$ + T cells and PD1- and TIM3-expressing  $CD_4$ + T cells were <0.6, indicating failure of the tests to be used for diagnosis and treatment monitoring. Similarly, the AUC of p24 28B7-positive  $CD_4$ + T cells was 0.48 among ART-suppressed cases versus controls, indicating this test is worthless to use for detection of HIV among ART-suppressed patients (data not shown).

# Optimal Cutoffs and Diagnostic Performance of HIV p24 KC57- and p24 28B7-Positive CD4+ T cells

Optimal cutoffs, sensitivity, specificity, PPV, and NPV of p24 KC57- and p24 28B7-positive  $CD_4$ + T cells of ART-viremic versus controls, ART-suppressed versus controls, and ART-viremic versus ART-suppressed are presented in Table 4. Optimal cutoffs of p24 KC57 and p24 28B7 antigen–positive  $CD_4$ + T cells were identified based on the results

		Corr <sup>@</sup>			
	Negative control (n=11)	ART-suppressed (n= 33)	ART-viremic (n=11)	R <sup>2</sup>	Р
CD4 <sup>+</sup> T cells		•			
p24KC57					
Median	0	0.1	0.3	0.001	0.003
IQR	0–0.1	0.1-0.3	0.1-0.8		
p2428B7					
Median	0	0	0	0.001	0.014
IQR	0	0	0-0.1		
Double-stained <sup>#</sup>					
Median	0	0	0	0.004	<0.001
IQR	0	0	0-0.01		
CD3 <sup>+</sup> T cells					
p24KC57					
Median	0	0	0.1	0.004	0.736
IQR	0	0-0.1	0.1-0.4		
p2428B7					
Median	0	0	0	0.001	<0.001
IQR	0	0	0-0.1		
Double-stained <sup>#</sup>					
Median	0	0	0	_	—
IQR	0	0	0		

# **Table 2** Percentage of HIV1 p24-antigen-positive $CD_4$ + and $CD_3$ + T cells by HIV status and their correlation with HIV viral load (n=55)

**Note:** <sup>#</sup>Positive for both antigen clones (p24 KC57 and p24 28B7).  $R^2$  ranges between 0 and 1. The closer the value is to 1, the stronger the relationship between the predictor variable(s) and the response variable.

Abbreviation: Corr<sup>@</sup>, correlation with HIVI viral load.

ICM-expressing		Corr <sup>@</sup>							
T cells (%)	Negative control ART-suppressed (n=11) (n= 33)		ART-viremic (n=11)	R <sup>2</sup>	Р				
CD4 <sup>+</sup> T cells	CD4 <sup>+</sup> T cells								
PDI									
Median	28	25	29	0.001	0.702				
IQR	13–37	12–37	17-45						
TIM3									
Median	65	44	50	0.003	0.933				
IQR	30–66	22–60	17–66						
CD3 <sup>+</sup> T cells									
PDI									
Median	51	55	52	0.001	0.123				
IQR	32–64	43–63	42–59						
TIM3									
Median	59	40	44	0.005	0.604				
IQR	33–62	26–61	17–56						

Table 3 Percentage c	f immunocheckpoint	molecule-positive CD4	<sup>+</sup> and CD3 <sup>+</sup> T	cells by HIV status (r	า=55)
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Notes:  $R^2$  ranges between 0 and 1. The closer the value is to 1, the stronger the relationship between the predictor variable(s) and the response variable.

Abbreviations: ICM, immunocheckpoint molecule; Corr<sup>@</sup>, correlation with HIVI viral load.



**Figure 3** Receiver-operating characteristic (ROC) curves for HIVI p24 KC57- and p24 2887-positive CD4+ T cells drawn from sensitivity versus I – specificity using HIVpositive cases and controls showing the performance of a test at all classification thresholds. AUC = area under the ROC curve. The AUC provides an aggregate measure of performance of a test across all possible classification thresholds, and here indicates the accuracy of HIVI p24 KC57 and p24 2887 antigens to correctly classify ART-viremic and ART-suppressed HIV-positive cases from controls as well as ART-viremic from ART-suppressed HIV-positive cases. An AUC of I represents a perfect test; 0.9–I = excellent; 0.8–0.9 = good; 0.7–0.8 = fair; 0.6–0.7 = poor; 0.5–0.6= fail, and 0.5 represents a worthless test. **Abbreviation**: CL confidence interval.

of sensitivity and 1 – specificity of the coordinates of the ROC curve. The optimal cutoff of p24 KC57-positive  $CD_4$ + T cells among ART-viremic cases versus controls and ART-suppressed cases versus controls was 0.2%, that among ART-viremic versus ART-suppressed 0.3%, and that for p24 28B7-positive  $CD_4$ + T cells among ART-viremic versus controls and ART-viremic versus ART-suppressed cases was 0.1%.

**Table 4** Optimum cutoff, sensitivity, specificity,-positive predictive value, and negative predictive value of HIV1 p24 KC57 and p24 28B7 antigen-positive CD4<sup>+</sup> T cells

	Patient category	Cutoff (%)*	AUC (95% CI)	SN (%)	SP (%)	PPV (%)	NPV (%)
p24 KC57	ART-viremic vs controls	0.2	0.84 (0.67–1.00)	63.6	81.8	77.8	69.2
	ART-suppressed vs controls	0.2	0.75 (0.58–0.92)	45.5	81.8	88.2	33.3
	ART-viremic vs ART-suppressed	0.3	0.63 (0.44–0.83)	54.5	72.7	40.0	82.8
p24 28B7	ART-viremic vs controls	0.1	0.62 (0.38–86)	36.4	90.9	80.0	58.8
	ART-viremic vs ART-suppressed	0.1	0.66 (0.45–87)	36.4	93.9	66.7	81.6

Note: \*Cutoffs determined based on sensitivity and I – specificity of coordinates of ROC curve.

Abbreviations: AUC, area under the ROC curve; SN, sensitivity; SP, specificity; PPV,-positive predictive value; NPV, negative predictive value.

The sensitivity, specificity, PPV, and NPV of p24 KC57-positive  $CD_4$ + T cells for the diagnosis of HIV infection in ART-viremic cases versus controls were 64%, 82%, 78%, and 69%, respectively. Similarly, the sensitivity, specificity, PPV, and NPV of p24 KC57-positive  $CD_4$ + T cells for the diagnosis of HIV The sensitivity, specificity, PPV, and NPV of p24 KC57-positive  $CD_4$ + T cells for monitoring of treatment response of HIV/AIDS patients in ART-viremic versus ART-suppressed cases were 55%, 73%, 40%, and 83%, respectively. p24 28B7-positive  $CD_4$ + T cells showed low sensitivity (36%) and high specificity (>90%) for the diagnosis of HIV infection and monitoring of treatment response of HIV/AIDS patients.

#### Discussion

This study was conducted to validate and evaluate the performance of a simple and rapid flow-cytometry assay protocol for detection and quantification of intracellular HIV1 p24 antigen and ICMs in T cells from whole-blood samples of PLWH. We wanted to assess the capability of our protocol to improve the diagnosis of PLWH and monitor therapy response. Unlike previous flow-cytometry assays that examined peripheral blood mononuclear cells<sup>33</sup> or leukocytes separated from whole blood by dextran sedimentation,<sup>34</sup> we directly stained leukocytes in whole blood with monoclonal antibodies after lysing erythrocytes and fixing leukocytes and permeabilized the leukocytes. The use of directly conjugated antibodies and whole-blood samples in this study simplified the procedure and shortened assay turnaround.

The results of this study demonstrated that absolute CD4-T cell count and CD4:CD8 T-cell ratio had statistically significant inverse correlations with HIV viral load and p24 KC57-positive CD4 T cells. These findings indicate that absolute CD4+ T-cell numbers and CD4:CD8 T-cell ratio are important markers of disease progression and treatment response. Similarly, previous studies using cell sorting and PCR have demonstrated a strong inverse correlation between the number of CD4+ T cells and viral load in peripheral blood.<sup>18,35</sup> Other studies have also shown low numbers of CD4+ lymphocytes in the peripheral blood at later stages of the disease after dissemination of HIV<sup>+</sup> CD<sub>4</sub>+ T cells from the lymphoid organs.<sup>36–38</sup>

The percentage of p24 KC57, p24 28B7, and double-stained CD4+ T cells showed significant direct correlation with HIV1 viral load. The median percentage of p24 KC57 antigen when gated on CD4+ T cells was higher than the percentage when gated on CD3+ T cells in both ART-viremic and ART-suppressed cases. Previous studies using flow-cytometry assay have reported similar correlation of p24 antigen with HIV viral load and advanced stages of HIV/AIDS.<sup>33,39</sup> Disease progression in AIDS is characterized by decrease in antibodies to the p24 antigen and CD4-positive T cells, and increase in serum neopterin levels and p24 antigenemia.<sup>40</sup> It is thought by some that an increase in p24 antigenemia in serum or plasma has always been hindered by the presence of immunocomplexes consisting of antibodies to p24 and p24 antigen.<sup>41,43</sup> It has been demonstrated that these immunocomplexes form shortly after antibodies to HIV are made, usually 4–12 weeks after infection.<sup>44</sup> Therefore, over most of the course of the disease, the presence of antigenemia in plasma is masked by the presence of immunocomplexes, and the current modes of detection — antigen-capture ELISAs and PoC lateral flow tests — may underestimate the amount of antigen present in peripheral blood. In our Intracellular staining flow-cytometry assay protocol, the problem of immunocomplexes is avoided by removing antibodies, soluble antigens, and immunocomplexes through rigorous washing steps and focusing on the antigens present in the infected cells.

We measured the levels of PD1 and TIM3 expression on CD3 and CD4 T cells in ART-viremic and ART-suppressed HIV-infected cases compared with HIV-uninfected controls. ICMs contribute to the regulators of T-cell immunoresponses in response to invading pathogens by regulating the balance between costimulatory and inhibitory signals.<sup>45,46</sup> During chronic viral infections, where the immune system is consistently stimulated by antigens, ICMs can serve as a blockade to effective immunoresponses. In response to acute viral infection or recognition of antigens, ICMs are upregulated and expressed on the cell surface as a mechanism to shut down T-cell activation, thereby preventing unwanted results of chronic activation. Their expression on T cells has been implicated in dysfunctional immunoresponses against chronic diseases, such as HIV infection. Long-term suppression of HIV with ART leads to normalization of ICM expression on T cells.<sup>28,47–50</sup>

PD1-expressing  $CD_4$ + T cells were higher in ART-viremic and ART-suppressed cases than HIV uninfected controls. Similarly, PD1-expressing  $CD_3$ + T cells were higher in HIV-positive cases (ART-viremic and ART-suppressed) than controls. However, the correlations between HIV1 viral load and PD1-expressing  $CD_4$ + and  $CD_3$ + T cells were not statistically significant. In previous studies, PD1 showed significantly higher expression in CD4 and  $CD_8$  T cells of HIVpositive individuals compared to healthy controls.<sup>51</sup> PD1 expression has been associated with disease progression when expressed on HIV-specific T cells, as measured by CD4+ T-cell count and viral load, and might thus be used as a diseaseseverity marker.<sup>52,53</sup> Sustained HIV viremia and high antigen load might be responsible for the high levels of PD1 expression.<sup>54</sup> Both repeated antigen exposure and the accessory HIV protein Nef can elevate PD1 expression in vitro.<sup>55</sup>

On the contrary, TIM3-expressing CD4+ and CD3+ T cells were lower in ART-viremic cases than HIV-uninfected controls in our study. Nevertheless, TIM3-expressing  $CD_4$ + and  $CD_3$ + T cells were not significantly correlated with HIV1 viral load. Contradictory results have been reported for TIM3 expression on HIV-specific T cells. High TIM3 amounts were detected on HIV-specific CD4+ T cells,<sup>27</sup> whereas on HIV-specific CD8+ T cells, negligible expression was found.<sup>48</sup> However, Jones et al reported increased amounts of TIM3 on HIV-specific CD8+ T cells in progressive HIV infection, and those cells showed a lack of proliferation markers and cytokine production in response to HIV-specific antigens ex vivo. Addition of an antibody blocking TIM3 signaling restored the functionality of CD8+ T cells and improved their expansion.<sup>56</sup> Jones et al also reported a decline in TIM3 levels in PLWH on ART. Another study also reported that HIV had no effect on TIM3 expression in CD4 and CD8 T cells.<sup>51</sup>

The controversial reports on the level of TIM3 expression on T cells compared with HIV viral load might be due to differences in the intracellular staining protocols and gating strategies employed in the studies. The observed lower level of TIM3 expression in ART-viremic patients compared with controls in our study might be due to high expression of the HIV1 Vpu molecule, which downregulates the expression of TIM3 on the surface of HIV-infected CD4+ T cells. The molecular determinants of HIV1 that modulate cell-surface TIM3 levels have been reported by Prévost et al.<sup>57</sup> Their study showed that HIV1 Vpu downregulates TIM3 from infected primary CD4+ T-cell surfaces, thus reducing HIV1-induced upregulation of TIM3. The study also delivered evidence that Vpu is in close proximity to TIM3 and changes its subcellular localization by directing it to Rab5-positive vesicles and targeting it for sequestration inside the trans-Golgi network.

We demonstrated that the accuracy of p24 KC57-positive CD4+ T cells to correctly discriminate ART-viremic cases from controls was good. Sensitivity, specificity, PPV, and NPV for the diagnosis of HIV infection were 64%, 82%, 78%, and 69%, respectively. The accuracy of p24 KC57-positive CD4+ T cells to discriminate ART-viremic from ARTsuppressed was poor. Sensitivity, specificity, PPV, and NPV for monitoring of treatment response of HIV/AIDS patients were 55%, 73%, 40%, and 83%, respectively. The p24 28B7-positive CD4+ T cells showed poor accuracy to discriminate ART-viremic cases from controls and ART-suppressed cases. The p24 28B7-positive CD<sub>4</sub>+ T cells showed low sensitivity (36.4%) and high specificity (>90%) for diagnosis of HIV infection and monitoring of treatment response of PLWH. Similarly, a study by Pardons et al demonstrated that in untreated individuals, the frequency of p24-producing cells detected by flow-cytometry assays was strongly correlated with plasma viral load. In ART-suppressed individuals, p24-producing cells were only detected upon stimulation. They also showed that by combining two p24-antibody clones (p24 KC57 and p24 28B7) targeting the HIV capsid in a standard intracellular staining protocol, p24-producing cells can be detected with high specificity and sensitivity in the blood from PLWH.<sup>58</sup>

While laboratory-based nucleic acid–amplification tests and rapid antibody PoC tests for HIV dominate the diagnostics market, the viral capsid protein p24 is recognized as an alternative early virological biomarker of infection. Although there are a number of laboratory-based automated systems for detection of p24 antigen on the market, only one PoC assay in rapid diagnostic test (RDT) format (Alere Determine HIV1/2 Ag/Ab) exists for detection of the p24 antigen. Most of the current automated laboratory-based assays for detection of p24 show sensitivity and specificity approaching 100%. However, the automated laboratory tests are complex and automated equipment–intensive ELISAtype assays.

The Alere Determine HIV1/2 Ag/Ab has shown much more variable performance depending on the population groups tested. Previous studies have reported poor HIV1 p24 antigen sensitivity for the RDT, ranging from 10% to 65%.<sup>59–61</sup> Specificity is insufficient for this test to be useful as a screening test, with too many false positives generated,

particularly in low-prevalence settings.<sup>62–64</sup> The Alere Determine HIV1/2 Ag/Ab until recently was the sole "fourth generation" lateral flow PoC assay and has now been replaced by the Alere Determine HIV1/2 Ag/Ab Combo. Several studies have recently investigated the performance of the new RDT to detect infection in p24 antigen-positive serum samples and showed low sensitivity (<30%),<sup>65,66</sup> while other groups demonstrated higher sensitivity rates of >85%.<sup>67–69</sup>

In general, our flow-cytometry assay protocol showed moderate diagnostic performance that was lower than automated assays, but higher than the Alere Determine HIV1/2 Ag/Ab lateral flow PoC assays. Flow-cytometry assays allow detection and quantification of large numbers of HIV-infected T cells, making them a desirable tool for diagnosis of HIV infection and treatment-response monitoring. In our assay protocol, only 0.4 mL whole blood was required for the analysis, and the test result can be available in <3 hours. Therefore, we believe that our flow-cytometry protocol is a practically feasible, simple, and rapid test for diagnosis of HIV infections and treatment monitoring in newborn babies who have been delivered by mothers who are HIV-seropositive and in children and adults, especially in low- and middle-income countries, where laboratory-based automated assay systems are not accessible.

#### Limitations

We acknowledge several limitations of this study. First, the initial installation and maintenance of flow cytometry is costly. However, this technology is already employed in resource-limited settings for the purpose of  $CD_4$  T-cell counts for monitoring HIV-treatment response and to decide treatment initiation for PLWH. Moreover, some of the buffers, like the permeabilization buffer, can be made in-house to reduce the costs. Second, the small sample of HIV-positive individuals enrolled in this study my limit our conclusions. Third, we did not measure the changes in the number of p24 antigen-positive  $CD_4$ + T cells in ART-naïve and ART-viremic PLWH at different time interval after ART had started to evaluate its role in treatment-response monitoring.

#### Conclusion

This study demonstrated that absolute  $CD_4$ + T cell counts and  $CD_4$ : $CD_8$  T cell ratio are important markers of disease progression and treatment response. The percentage of p24 KC57, p24 28B7, and double antibody–stained T cells showed significant correlation with HIV1 viral load. PD1-expressing  $CD_4$ + and  $CD_3$ + T cells were higher in ARTviremic cases than HIV-uninfected controls. On the contrary, TIM3 expressing  $CD_4$ + and  $CD_3$ + T cells were lower in ART-viremic cases than controls. Nevertheless, both PD1- and TIM3-expressing  $CD_4$ + and  $CD_3$ + T cells were not significantly correlated with HIV1 viral load. Our flow-cytometry assay protocol showed higher diagnostic performance than the Alere Determine HIV1/2 Ag/Ab lateral flow PoC assays, but lower than automated assays. Our flow-cytometry intracellular staining protocol may be considered as a useful alternative, practically feasible, simple, and rapid test for diagnosis of HIV infection and monitoring therapy response in newborn babies, children, and adults, especially in lowand middle-income countries. We recommend future studies to determine whether various HIV clade–infected T cells can be recognized by these antibodies to detect HIV p24 antigen.

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#### Disclosure

The authors declare no conflicts of interest in this work.

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