

#### ORIGINAL RESEARCH

# Multifunctional Nanoparticles Codelivering Doxorubicin and Amorphous Calcium Carbonate Preloaded with Indocyanine Green for Enhanced Chemo-Photothermal Cancer Therapy

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Background: Multifunctional stimuli-responsive nanoparticles with photothermal-chemotherapy provided a powerful tool for improving the accuracy and efficiency in the treatment of malignant tumors.

Methods: Herein, photosensitizer indocyanine green (ICG)-loaded amorphous calcium-carbonate (ICG@) nanoparticle was prepared by a gas diffusion reaction. Doxorubicin (DOX) and ICG@ were simultaneously encapsulated into poly(lactic-co-glycolic acid)-sschondroitin sulfate A (PSC) nanoparticles by a film hydration method. The obtained PSC/ICG@+DOX hybrid nanoparticles were characterized and evaluated by Fourier transform infrared spectroscopy (FTIR), dynamic light scattering (DLS), transmission electron microscopy (TEM), and differential scanning calorimetry (DSC). The cellular uptake and cytotoxicity of PSC/ICG@+DOX nanoparticles were analyzed by confocal laser scanning microscopy (CLSM) and MTT assay in 4T1 cells. In vivo antitumor activity of the nanoparticles was evaluated in 4T1-bearing Balb/c mice.

Results: PSC/ICG@+DOX nanoparticles were nearly spherical in shape by TEM observation, and the diameter was 407 nm determined by DLS. Owing to calcium carbonate and disulfide bond linked copolymer, PSC/ICG@+DOX nanoparticles exhibited pH and reduction-sensitive drug release. Further, PSC/ICG@+DOX nanoparticles showed an effective photothermal effect under nearinfrared (NIR) laser irradiation, and improved cellular uptake and cytotoxicity in breast cancer 4T1 cells. Importantly, PSC/ICG@ +DOX nanoparticles demonstrated the most effective suppression of tumor growth in orthotopic 4T1-bearing mice among the treatment groups. In contrast with single chemotherapy or photothermal therapy, chemo-photothermal treatment by PSC/ICG@ +DOX nanoparticles synergistically inhibited the growth of 4T1 cells.

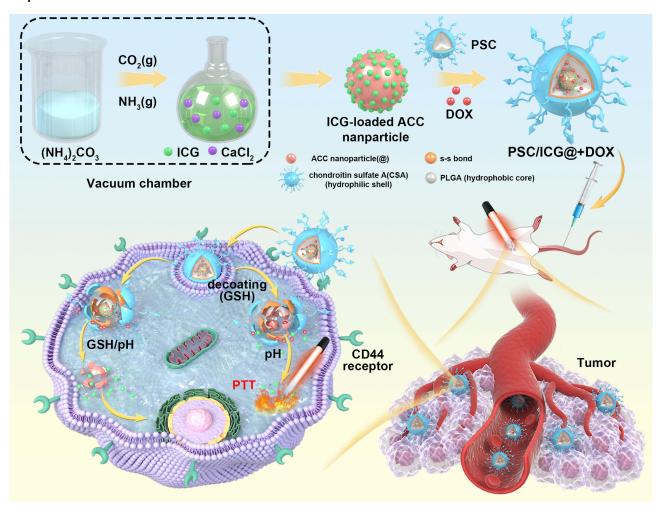
Conclusion: This study demonstrated that PSC/ICG@+DOX nanoparticles with active targeting and stimuli-sensitivity would be a promising strategy to enhance chemo-photothermal cancer therapy.

Keywords: chemo-photothermal treatment, amorphous calcium carbonate, nanoparticles, stimuli-sensitivity, drug delivery, cancer therapy

#### Introduction

Calcium carbonate (CaCO<sub>3</sub>), a nontoxic biomineral, was used as a potential carrier in biomedical applications, owing to its intrinsic advantages of degradability at acid pH conditions, biocompatibility, and easy production. 1-3 Especially, amorphous calcium carbonate (ACC) could be readily prepared in a nanoscaled size. 4,5 Some researchers have employed monodispersed ACC nanoparticles as a template to construct a drug-preloaded system.<sup>6</sup> However, ACC is insoluble in water and capable to release its payload in acid media. These obstacles limited its wide application in the medical field.

#### **Graphical Abstract**



To address the issue, hybrid nanoparticles have been fabricated to improve the stability of ACC nanoparticles and drug bioavailability. Liu et al developed phospholipid and CaCO<sub>3</sub> hybrid nanoparticles, which were coated with phospholipid and polyethylene glycol, leading to isolate the ACC nanoparticles from an aqueous medium. 8

In recent years, the amphiphilic copolymer has been utilized as a drug carrier, which is composed of hydrophilic and hydrophobic groups. <sup>9,10</sup> It can be self-assembled nanoparticles in an aqueous medium and form core-shell architecture. The inner core could encapsulate the hydrophobic antitumor drugs. These nanoparticles exhibit excellent characteristics, such as improved solubility of insoluble drugs and enrichment in tumor sites via enhanced permeation and retention (EPR) effect. <sup>11</sup> Inspired by the typical core-shell structure, we envisaged that polymeric nanoparticles with inner reservoirs were introduced for the protection of ACC. This system consists of a core with drug-preloaded ACC and a shell of polymeric coatings. In order to effectively control drug release, the shell materials should be degradable. Microenvironment-responsive nanocarrier provided a new approach, which could be disintegrated and accelerate drug release in response to certain stimuli, <sup>12</sup> particularly to intracellular low pH and high concentration of reduced glutathione (GSH). <sup>13,14</sup> Among these nanocarriers, redox-sensitive nanoparticles have received more attention for the triggered release of anticancer drug molecules in tumor sites. <sup>15,16</sup> Disulfide bonds could be highly stable in the extracellular milieu, while quickly disrupted by high GSH via thiol-disulfide exchange reaction in the cytoplasm of tumor cells. <sup>17,18</sup> Our

groups have synthesized reduction-sensitive PSC conjugate, <sup>19</sup> and DOX-containing PSC nanoparticles showed reduction-sensitive drug release behavior and enhanced the cytotoxicity of DOX against A549 cells.

Polymeric nanoparticles were usually applied for the delivery of a single chemotherapy agent.<sup>20,21</sup> Current cancer therapy has gradually changed from single therapy into combinational therapy, such as photothermal therapy (PTT), photodynamic therapy, immunotherapy and gene therapy.<sup>22–24</sup> It integrated the merits of various treatments. PTT utilizes photosensitizing agents to generate thermal energy, leading to the ablation of tumor cells.<sup>25</sup> This treatment has the advantages of non-invasiveness and safety. Indocyanine green (ICG) is a kind of near-infrared (NIR) absorbing dyes,<sup>26,27</sup> and is also an effective photosensitive drug for PTT.<sup>28,29</sup> However, free ICG cannot selectively distribute in tumor sites and exhibit a short half-life time.<sup>30</sup> It limited its wide application in medical fields.

In the present work, the aim is to design multifunctional nanoparticles as drug carriers for chemo-PTT tumor therapy. First, ICG was pre-loaded into amorphous calcium-carbonate (ICG@) nanoparticles. PSC polymeric nanoparticles entrapped both DOX and ICG@. The final PSC/ICG@+DOX nanoparticles were obtained and evaluated. In vitro drug release and cellular evaluation of PSC/ICG@+DOX nanoparticles were performed. Furthermore, in vivo tumor growth inhibition of the nanoparticles was carried out in orthotopic 4T1-bearing Balb/c mice.

#### **Materials and Methods**

#### **Materials**

Calcium chloride dihydrate and ammonium carbonate were provided by Sinopharm Chemical Reagent Co., Ltd (Shanghai, China). Cystamine dihydrochloride was purchased from Alfa Aesar Chemical Co., Ltd (Shanghai, China). Chondroitin sulfate A was obtained from Tokyo Chemical Industry Co., Ltd. (Tokyo, Japan). Poly(lactic-co-glycolic acid) (PLGA, 20 kDa) was provided by Jinan Daigang Biomaterial Co., Ltd. (Jinan, China). ICG, Doxorubicin (DOX), GSH, Hoechst 33342, *N*-(3-dimethylaminopropyl)-*N*'-ethylcarbodiimide hydrochloride (EDC) and 3-(4, 5-dimethyl-2-thiazolyl)-2,5-diphenyltetrazolium bromide (MTT) were purchased from Shanghai Aladdin Bio-Chem Technology Co., Ltd. (Shanghai, China). Doxorubicin hydrochloride (DOX·HCl) was provided by Beijing Huafeng United Technology Co., Ltd. (Beijing, China). RPMI 1640 medium and trypsin-EDTA were obtained from Zhejiang Ruisen Biotechnology Co., Ltd. (Huzhou, China). Fetal bovine serum was provided by Zhejiang Tianhang Biotechnology Co., Ltd. (Huzhou, China).

4T1 breast cancer cells were obtained from China Center for Type Culture Collection (Wuhan, China). The cells were cultured in RPMI-1640 media with the addition of 10% fetal bovine serum and 1% penicillin-streptomycin. The culture condition was in an atmosphere containing 5% CO<sub>2</sub> at 37°C. Female Balb/c mice and Kunming mice (4–6 weeks age) were provided by Hunan SLAC Jingda Laboratory Animal Co., Ltd (Changsha, China). All animal studies were approved by the Experimental Ethics Committee of Jiujiang University (Approval No. 2021-YX-015). All animal experiments followed the guidelines for Ethical Review of Laboratory Animal Welfare in China (GB/T35892-2018). The breeding conditions of laboratory animals were conducted in accordance with the requirements of environment and housing facilities in China (GB 14925-2010).

# Preparation of ICG@ Nanoparticles

ACC nanoparticles were prepared by a gas diffusion reaction. In brief,  $CaCl_2$  (1.0 g) was dissolved in 50 mL absolute ethanol. The solution was transferred into a flask, which was covered with aluminum foil and punctured with five pores. Then the flask and 66.5 mg (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> in a beaker were kept in a vacuum desiccator at 25°C. After 18 h reaction, ACC was collected by ultracentrifugation and rinsed with absolute ethanol. Subsequently, the ACC product was dried in a vacuum at room temperature. ICG@ nanoparticles were prepared in the same way except that 3.5 mg ICG was added into the ethanol solution containing CaCl2.

# Preparation of PSC/ICG@+DOX Nanoparticles

PSC conjugate was first synthesized.<sup>19</sup> In brief, 500mg PLGA and 25 mg EDC were dispersed in 26 mL dimethyl sulfoxide (DMSO). Cystamine dihydrochloride (17 mg) was added under magnetic stirring. After 24 h, the mixture was dialyzed (MWCO: 14 kDa) against deionized water. Further, the dialysis solution was freeze-dried. Subsequently, the

obtained cystamine-modified PLGA (500 mg) was dispersed in DMSO and deionized water. Chondroitin sulfate A (720 mg) and EDC (24 mg) were dispersed in deionized water (18.7 mL) and DMSO (125 mL), and introduced into the above solution. After stirring for 24 h, this solution was dialyzed and freeze-dried. Then, PSC copolymer was obtained.

Secondly, PSC (25 mg) was dispersed in 10 mL of mixed solution (water: acetone=1:4, v/v). ICG@ (25 mg) was redispersed in 100 mL of anhydrous ethanol. Then, DOX (5 mg) in 5 mL acetone was gently dropped into ICG@ suspension under stirring. Next, the PSC nanoparticles were slowly introduced into the above solution. After 6 h, the solution was transferred to rotary-vacuum evaporation and followed by vacuum drying. A dried film was formed and dissolved into 20 mL of distilled water under stirring followed by probe ultrasonication. The nanoparticle suspensions were transferred into a dialysis bag against water. Finally, the dialysis solution was lyophilized and the obtained PSC/ICG@+DOX product was stored at 4°C. In the same way, PSC/ICG@ nanoparticles were prepared without the addition of DOX.

### Characterization of PSC/ICG@+DOX Nanoparticles

The preparation of drug-containing nanoparticles was confirmed by FTIR (Bruker Tensor II, Germany). The drug state in the nanoparticles was measured by DSC (STA 8000, PerkinElmer, USA). The scanned temperatures were from 30°C to 300°C. The heating rate was 10°C/min. ACC or ICG@ nanoparticles were dispersed in anhydrous ethanol, while PSC/ ICG@+DOX or PSC/ICG@ nanoparticles were in the aqueous medium. The particle distribution and zeta potentials of different nanoparticles were investigated by a zeta and laser sizer (90Plus, Brookhaven Instruments Corp., USA). The shape of the nanoparticles was detected by TEM (JEM-1230, Jeol, Japan) at 80 kV.

The amounts of DOX or ICG in the nanoparticles were investigated by ultraviolet-visible spectrophotometer. The detected wavelengths of DOX or ICG were set at 480 or 784 nm, respectively. The loading contents (LC) were calculated from the weight of encapsulating drug (DOX or ICG) divided by the amount of total drug-containing nanoparticles.<sup>31</sup>

Free ICG, PSC/ICG@ or PSC/ICG@+DOX dispersed in water were irradiated using a semiconductor laser device (Changchun Leishi Photo-Electric Technology Co., Ltd., China) for 5 min at 808 nm (2.2 W/cm<sup>2</sup>). The final ICG concentration was 10 µg/mL. The temperature changes were detected by a thermocouple. Further, the thermal images were observed by an infrared imaging camera (Hikvision, H10, Hangzhou, China). The stability of ICG or ICG-loaded nanoparticles in aqueous media was further examined by quantifying the absorption value at 784 nm. The absorbance values were detected at scheduled time intervals.

# In vitro Drug Release

In vitro drug release rates from drug-containing nanoparticles were investigated by a dialysis method. The sample solution (1 mL) was placed in a dialysis bag (MWCO: 14 kDa) and transferred into a tube with 20 mL release media at 37°C. In order to imitate the body condition, the media solutions were phosphate buffer solution (PBS, pH 7.4, with or without 20 mM GSH), or PBS (pH 5.5, with or without 20 mM GSH). The tubes were fixed in an air-bath shaker at 160 rpm. At scheduled time intervals, the media were replaced by 20 mL of fresh media. The concentration of DOX released was analyzed by a fluorescence spectrophotometer (Hitachi F-7000, Japan). <sup>15</sup>

# In vitro Cellular Uptake

4T1 cells  $(1.0 \times 10^5)$  were seeded into a glass-bottom culture dish with a diameter of 35 mm. After the cells were attached to the dish, the culture medium was discarded. Then the cells were treated with DOX·HCl, DOX·HCl+ICG, or PSC/ICG@+DOX nanoparticle. The equivalent concentrations of DOX and ICG were made at 5.3 and 2.0 μg/mL, respectively. After 4 h, the drug-containing media were removed and fresh media were added. Some cells were irradiated by an 808 nm laser (2.0 W/cm<sup>2</sup>, 3 min). After 1 h incubation, the cells were washed with ice-cold PBS three times. Further, Hoechst 33342 (5 µg/mL) was added for 0.5 h in nuclei staining. The cells were fixed by 4% paraformaldehyde for another 0.5 h. Finally, the sample images were measured by confocal laser scanning microscope (CLSM, Leica SP8, Germany).

### In vitro Cytotoxicity

In vitro inhibition efficacy of PSC/ICG@+DOX nanoparticles against 4T1 cells under NIR laser irradiation was assessed by the MTT method. Typically, 4T1 cells were seeded at 6000 cells/well in 96-well plates (Costar, Corning, NY, USA). As the cells adhered to the well, the media was removed. 150 μL of cultured media were added, in which DOX·HCl, free ICG, DOX·HCl+ICG, PSC/ICG@ and PSC/ICG@+DOX nanoparticles were diluted to various concentrations. After 18 h, the media were discarded and fresh culture media were added. Some cells were illuminated by an 808 nm laser (2 W/cm²) for 3 min, and further incubated for 6 h. Then the medium was thrown away. 150 μL of MTT solution (500 μg/mL) was introduced and incubated for 4 h. Subsequently, the media were replaced by 150 μL DMSO. After 15 min, the absorbance value was detected at 490 nm by a microplate reader (Bio-Rad Laboratories, Inc., USA).

#### Biocompatibility Preliminary Evaluation

To assess the biocompatibility of the nanoparticles, female Kunming mice were randomly divided into 4 groups (n = 10): 1) 5% glucose; 2) PSC (50 mg/kg); 3) PSC/ICG@ (50 mg/kg); 4) PSC/ICG@+DOX (50 mg/kg). All mice received four doses on days 0, 3, 6 and 9 via tail vein injection. The body weight was measured every day. The experiment ended on day 10. The blood specimens were collected. Red blood cells (RBC), white blood cells (WBC), platelets, total bilirubin, blood urea nitrogen (BUN), creatinine levels, aspartate transaminase (AST) and alanine transaminase (ALT) were analyzed.<sup>32</sup>

#### In vivo Antitumor Effects

4T1 cells (2 × 10<sup>6</sup>/mouse) were subcutaneously injected into the mammary fat pad of female Balb/c mice to establish tumor-bearing mice model.<sup>33</sup> The mice can be used for the following experiments as the tumor volumes reached approximately 150 mm<sup>3</sup>. Nine groups of 4T1 tumor-bearing mice were randomly separated (n = 5). The administrations were as follows: 1) 5% glucose; 2) PSC (46.7 mg/kg, approximately equivalent to the content of polymers in PSC/ICG@+DOX nanoparticles); 3) DOX·HCl (3.2 mg/kg); 4) ICG (1.2 mg/kg), plus NIR irradiation; 5) DOX·HCl+ICG (1.2 mg/kg ICG, 3.2 mg/kg DOX), plus NIR irradiation; 6) PSC/ICG@ (1.2 mg/kg ICG); 7) PSC/ICG@ (1.2 mg/kg ICG), plus NIR irradiation; 8) PSC/ICG@+DOX (1.2 mg/kg ICG, 3.2 mg/kg DOX), plus NIR irradiation. The administration was via tail vein injection every 3 days for 4 times. Each NIR irradiation at the tumor point was applied at 24 h after drug injection. An 808 nm laser was illuminated at 2.0 W/cm<sup>2</sup> for 5 min. The first day of intravenous injection was named day 0. The body weight and tumor volume were measured. The tumor volumes were calculated by the formula: 0.5 × length × width<sup>2</sup>. After the 14th day, the mice were sacrificed. The tumor tissues were excised and fixed in 4% formalin. The tumor slices were subjected to hematoxylin and eosin (H&E), TdT-mediated dUTP nick end labeling (TUNEL) and Ki-67 analysis. The images were recorded by an optical microscope.

### Statistical Analysis

Data were expressed as mean  $\pm$  standard deviation. Statistical analysis was performed by one-way ANOVA. The difference P < 0.05 was considered significant, and P < 0.01 for highly significant.

#### **Results and Discussion**

# Preparation and Characterization of PSC/ICG@+DOX Nanoparticles

The PSC/ICG@+DOX hybrid nanoparticles were fabricated by the synthesis of ICG@ and PSC conjugate, and the preparation of drug-containing nanoparticles. ICG@ was first synthesized by a vapor-diffusion method. Amphiphilic PSC nanoparticles were used to encapsulate ICG@ nanoparticles and DOX. The resultant nanoparticles were expected to increase the stability of ACC-based nanoparticles in aqueous media, and exhibit dually pH/GSH-responsive drug release behavior in the tumor microenvironment. The preparation of PSC/ICG@+DOX was verified by FTIR. As shown in Figure 1, ACC nanoparticles exhibited characteristic absorption peaks at 702, 869, and 1426 cm<sup>-1</sup> (CO<sub>3</sub><sup>2-</sup> bending vibration). The low-intensity peak at 1633 cm<sup>-1</sup> was ascribed to the bending vibration of strongly adsorbed water. Compared with ACC, ICG@ appeared new peaks at 1084 and 1466 cm<sup>-1</sup>, and exhibited a stronger peak at 1426 cm<sup>-1</sup>. It verified that ICG had been loaded into ACC nanoparticles. As displayed in Figure 1D, the peaks of amide bands I and II

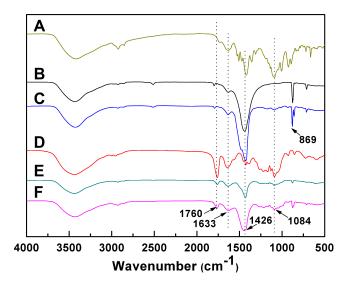


Figure I FTIR patterns of (A) ICG, (B) ACC, (C) ICG@, (D) PSC, (E) PSC/ICG@ and (F) PSC/ICG@+DOX nanoparticles.

in PSC conjugate were seen at 1633 and 1760 cm<sup>-1</sup>. In contrast with PSC, the peaks of PSC/ICG@ at 1426 cm<sup>-1</sup> significantly increased, which belonged to the peak of ICG@. This result indicated that the ICG@ was effectively loaded into PSC nanoparticles. Furthermore, the peaks at 869 and 1084 cm<sup>-1</sup> increased in PSC/ICG@+DOX. Therefore, the hybrid PSC/ICG@+DOX nanoparticles were successfully prepared.

DSC was adopted to analyze the thermal behavior of the drug in the nanoparticles. As presented in <u>Figure S1</u> (Supporting Information), DOX and ICG exhibited melting points at 194°C and 241°C, respectively. Since the melting point for different polymorphs of CaCO<sub>3</sub> ranged from 825°C to 1339°C.<sup>34</sup> ICG@ nanoparticles did not exhibit an obvious peak up to 300°C. Furthermore, no endothermic peaks were detected for PSC/ICG@ and PSC/ICG@+DOX. These results demonstrated that DOX and ICG were in an amorphous state in drug-containing nanoparticles.

As shown in Figures 2A and B, ACC and ICG@ nanoparticles were amorphous in shape. Due to the dried state of the sample, TEM images of ACC and ICG@ nanoparticles were aggregated. The particle size of ICG@ dispersed in ethanol was 221 nm by DLS, which was larger than that of ACC at 123 nm (Table 1). This indicated that ICG was incorporated into ACC nanoparticles. The ICG content in ICG@ was 12.43%. The zeta potentials of ACC and ICG@ were 20.99 and 30.34 mv, respectively.

PSC/ICG@+DOX nanoparticles were prepared by a film hydration method. PSC conjugate was composed of PLGA and chondroitin sulfate A with disulfide bond linkage, and exhibited biodegradability and reduction sensitivity. As shown in Table 1, ICG and DOX loading contents in PSC/ICG@+DOX nanoparticles were 2.57% and 6.79%, respectively. Similarly, PSC/ICG@ nanoparticles were obtained by using the same method. The size of PSC/ICG@ nanoparticles was 335 nm by DLS. In addition, the diameter of PSC/ICG@ was larger than that of ICG@. This is due to the coating and space occupation by PSC nanoparticles. Further, the diameter of PSC/ICG@ was smaller than that of PSC/ICG@+DOX. It was due to DOX encapsulation in PSC/ICG@+DOX nanoparticles. As shown in Figures 2C and D, the shapes of PSC/ICG@ and PSC/ICG@+DOX were nearly spherical by TEM observation. And some little particles were observed in the core of nanoparticles. The zeta potentials of PSC/ICG@ and PSC/ICG@+DOX nanoparticles were -25.21 and -20.76 mv, respectively. It was possible due to the shell of PSC with negative zeta potentials. Therefore, the hybrid PSC/ICG@+DOX nanoparticles were successfully prepared.

# Photothermal Performance and Stability

The photothermal effects of ICG formulations were evaluated by a NIR laser (808 nm). As presented in Figure 3A, the temperature of ICG-containing solutions obviously increased. The increased temperature of ICG-based formulations exhibited a time-dependent manner. After laser irradiation for 5 min, the temperature of ICG solution increased by approximately  $14.8^{\circ}$ C, while the temperature values of ICG@, PSC/ICG@ and PSC/ICG@+DOX increased by  $14.4^{\circ}$ C,  $12.6^{\circ}$ C and  $12.5^{\circ}$ C, respectively. Among the groups of free ICG, ICG@, PSC/ICG@, and PSC/ICG@+DOX nanoparticles, the increased temperature was not significantly different (P > 0.05). The result indicated that the PSC polymeric nanoparticles and

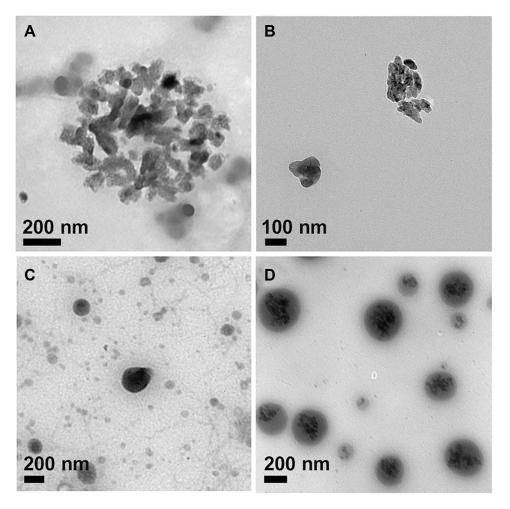


Figure 2 TEM images of (A) ACC, (B) ICG@, (C) PSC/ICG@ and (D) PSC/ICG@+DOX nanoparticles.

CaCO<sub>3</sub> nanoparticles could maintain ICG photothermal effect. In addition, PSC/ICG@ and PSC/ICG@+DOX nanoparticles exhibited slightly low temperatures than free ICG. This is due to the fact that the nanoparticles encapsulated ICG and a specific inner structure was formed through non-covalent bonds, such as hydrophobic interaction,  $\pi$ - $\pi$  stacking force, and van der Waals force. The increased temperature of distilled water was less than 2°C. The PSC had no thermal effect. As shown in Figure 3B, the NIR thermal images exhibited temperature changes in different samples. Therefore, it inferred that the nanoparticles could shield ICG and enhance its stability. ICG-containing nanoparticles exhibited an ideal photothermal effect.

As previously described, ICG was not stable in aqueous media. PSC/ICG@ and PSC/ICG@+DOX possessed 87.3% and 86.4% of absorption values after 72 h at room temperature (Figure 3C). However, free ICG was only 18.6% of its initial value at 72 h. These results demonstrated that the ICG stability was obviously improved after the protection of ACC and PSC nanoparticles. There, ICG was effectively protected from the aqueous medium by the nanoparticles.

Table I Physicochemical Properties of Drug-Free and Drug-Loaded Nanoparticles

Sample	Size (nm) <sup>a</sup>	PDI <sup>b</sup>	Zeta Potential (mv)	LC (%) <sup>c</sup>	LC (%) <sup>d</sup>
ACC	123±10.4	0.189±0.01	20.99±1.02	_	-
ICG@	221±12.8	0.102±0.02	30.34±1.52	12.43±0.95	-
PSC/ICG@	335±29.1	0.245±0.05	-25.21±0.66	6.39±0.84	-
PSC/ICG@+DOX	407±29.5	0.235±0.09	-20.76±0.65	2.57±0.41	6.79±0.38

**Notes**: <sup>a</sup>Measured by dynamic light scattering. <sup>b</sup>Polydispersity index. <sup>c</sup>Loading content of ICG. <sup>d</sup>Loading content of DOX. The results represent the mean ± SD (n=3).

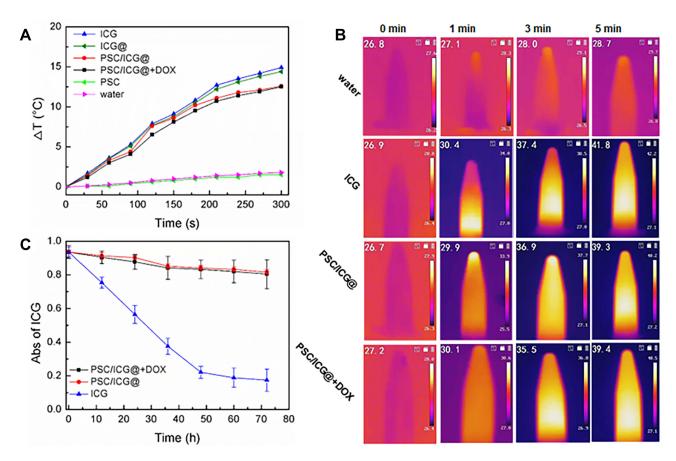


Figure 3 (A) Temperature changes of different formulations with the same ICG concentration (10 µg/mL) under NIR irradiation for 5 min. The temperature was determined by a thermocouple. (B) Infrared thermal images of water, ICG, PSC/ICG@ and PSC/ICG@+DOX nanoparticles under NIR irradiation for 5 min. (C) changes in absorption values of free ICG, PSC/ICG@ and PSC/ICG@+DOX nanoparticles in distilled water at 784 nm (n = 3).

# In vitro Drug Release

The release profiles of PSC/ICG@+DOX nanoparticles were investigated under a simulated body environment (pH 7.4 or pH 5.5, with or without 20 mM GSH). As shown in Figure 4, the DOX cumulative rate of the nanoparticles was 29.6% at PBS (pH 7.4) up to 96 h, which was less than that (43.8%) in pH 5.5. The increased release might be ascribed to the pH-dependent decomposition of ACC and CO<sub>2</sub> gas production, leading to the driving force. Notably, the total release rate from PSC/ICG@

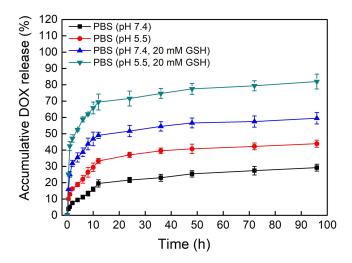


Figure 4 DOX release profiles of PSC/ICG@+DOX nanoparticles in PBS (pH 7.4, or pH 5.5) in the presence or absence of 20 mM GSH at 37°C.

+DOX nanoparticles was 82.3% in PBS (pH 5.5, 20 mM GSH), which was more than the released amount of 59.5% in PBS (pH 7.4, 20 mM GSH). Comparatively, DOX released faster from the nanoparticles under a reduction environment than that under non-reduction conditions. As previously reported, DOX-containing PSC nanoparticles showed reduction-sensitive DOX release. Hence, it inferred that PSC/ICG@+DOX exhibited the dually pH/reduction-responsive characteristics. The distinctive drug release properties of the nanoparticles were expected to improve the drug bioavailability.

### In vitro Cellular Uptake

The intracellular location of DOX·HCl or PSC/ICG@+DOX was investigated in 4T1 cells. As presented in Figure 5, DOX emitted red fluorescence, and Hoechst 33342 probe for nucleus staining exhibited blue fluorescence. DOX·HCl and

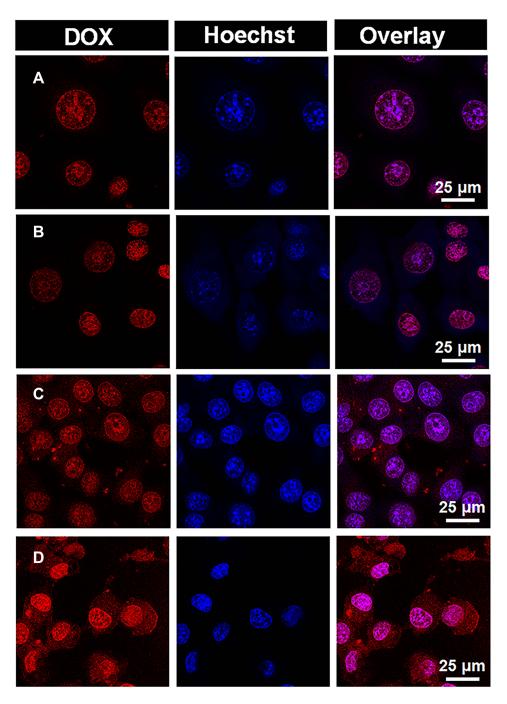


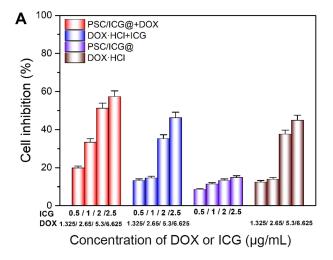
Figure 5 CLSM images of 4TI cells treated with (A) DOX HCI, (B) DOX HCI+ICG (NIR), (C) PSC/ICG@+DOX nanoparticles (no NIR), and (D) PSC/ICG@+DOX nanoparticles (NIR).

DOX·HCl+ICG (NIR) were mostly located in the nucleus, and their red fluorescence exhibited similarly strong intensity. It indicated that fluorescence intensity from DOX·HCl was not changed by the addition of laser irradiation for ICG photothermal effects. Interestingly, the red fluorescence from PSC/ICG@+DOX could be seen in the cytoplasm and mainly in the nucleus. Additionally, PSC/ICG@+DOX (NIR) exhibited the strongest red fluorescence among the DOX formulations. PSC/ICG@+DOX (no NIR) showed higher fluorescence intensities than DOX·HCl and DOX·HCl+ICG (NIR). This result is ascribed to the improved uptake by CD44 receptor-mediated endocytosis and accelerated DOX release from PSC/ICG@+DOX. As stated above, the nanoparticles exhibited the pH/GSH sensitive drug release under the tumor microenvironment. Furthermore, under laser irradiation, PSC/ICG@+DOX could be rapidly dissociated and resulted in a fast release of their payloads. This finding evidenced that PSC/ICG@+DOX@ had the effects of chemotherapy and PTT.

#### In vitro Cytotoxicity

The cytotoxicity was investigated in 4T1 cells by the MTT assay. To study the activity of chemo-PTT mediated PSC/ICG@+DOX nanoparticles, other groups were conducted which included free ICG, DOX·HCl, DOX·HCl+ICG, PSC/ICG@, PSC/ICG@+DOX. As shown in Figure 6A, without laser irradiation, all groups exhibited concentration-dependent cell inhibition. PSC/ICG@+DOX showed the strongest killing activity against 4T1 cells. It agreed with the results of CLSM observations. PSC/ICG@+DOX nanoparticles could enhance cellular uptake and exhibit intelligent DOX release with stimuli responsiveness. DOX and DOX·HCl+ICG demonstrated similar cytotoxic effects. This indicated that ICG had a negligible effect on cell killing. Compared with these above groups, PSC/ICG@ displayed the lowest cell inhibition (less than 15%) at the same concentrations. This result might be ascribed to the production of disruptive force and CO<sub>2</sub> bubbles from CaCO<sub>3</sub> nanoparticles under an acid environment.<sup>35</sup>

As displayed in Figure 6B, with NIR irradiation, the cell inhibition also was concentration dependent in these groups. The order of the cytotoxic activities among these groups was followed as: PSC/ICG@+DOX (NIR) > DOX·HCl+ICG (NIR) > PSC/ICG@ (NIR) > ICG (NIR). At the same concentration, PSC/ICG@+DOX, DOX·HCl+ICG and PSC/ICG@ exhibited the increased inhibition after NIR irradiation. These phenomena revealed the synergistic effect of PTT-chemotherapy in 4T1 cells. It also coincided with CLSM studies. Additionally, free ICG with laser irradiation showed toxic activity against 4T1 cells. Moreover, drug-free PSC nanoparticles were not cytotoxic in 4T1 cells at the equivalent polymer concentration of PSC/ICG@+DOX in the presence or absence of the NIR laser (data not shown). We inferred that PSC/ICG@+DOX would effectively deliver the drug into the tumor in vivo.



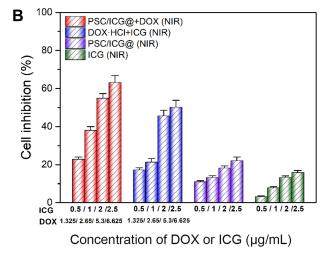


Figure 6 In vitro cytotoxicity in 4TI cells treated with DOX HCI, ICG, DOX HCI+ICG, PSC/ICG@ nanoparticles, PSC/ICG@+DOX nanoparticles in the absence (A) or presence (B) of 808 nm NIR irradiation. (n=4).

### Biocompatibility Preliminary Evaluation

To investigate the biocompatibility of nanoparticles, the hematological levels of RBC, WBC and platelet counts were measured. As presented in <u>Figure S2</u> (Supporting Information), no significant differences were observed in all parameters for these nanoparticle groups compared with 5% glucose group (P > 0.05). Additionally, the liver function markers (total bilirubin, AST, ALT) and kidney function indicators (BUN, creatinine levels) were also detected. The biochemical levels were in the normal range among all groups (<u>Figure S2</u>). Furthermore, the body weight increased in all groups (<u>Figure S3</u>, Supporting Information). These results convincingly confirmed that our designed nanoparticles had good biosafety for antitumor application in vivo.

#### In vivo Antitumor Effects

Encouraged by the high cellular uptake and cytotoxicity of PSC/ICG@+DOX in vitro, we further investigated the chemo-PTT efficacy in 4T1 tumor-bearing orthotopic mice model. The change rate of tumor volume, which was relative to the start point, is shown in Figure 7. Both 5% glucose and PSC groups showed almost similar phenomena with rapid tumor growth during the experiment. Free ICG (NIR) exhibited slight suppression compared with 5% glucose group (P > 0.05). PSC/ICG@ nanoparticles demonstrated more inhibition of tumor growth than ICG (NIR) group. This is partly due to the decomposition

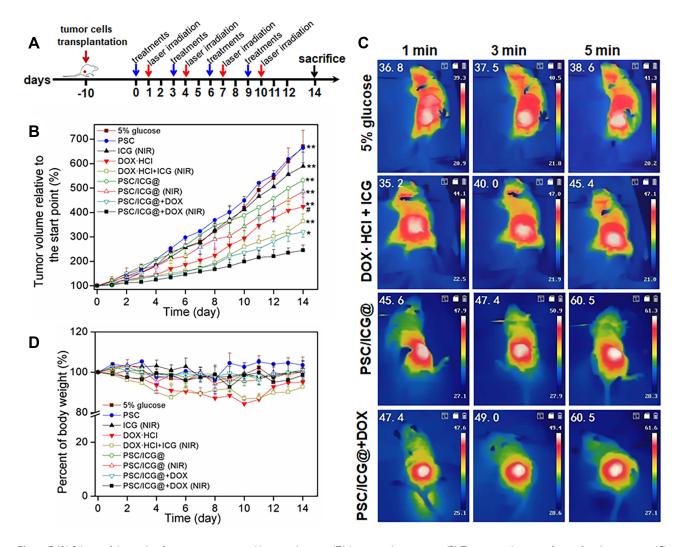


Figure 7 (A) Scheme of the timeline for in vivo treatment and laser irradiation in 4TI-bearing orthotopic mice. (B) Tumor growth curves of mice after the treatment. (C) The images of 4TI-bearing mice with the injection of 5% glucose, DOX HCI+ICG, PSC/ICG@ or PSC/ICG@+DOX nanoparticle after laser irradiation under the 808 nm laser irradiation  $(2.0 \text{ W/cm}^2)$  at 24 h post-injection. (D) Body weight change within I4 days. \*P < 0.05, PSC/ICG@+DOX (NIR) group vs PSC/ICG@+DOX (no NIR) group; \*P < 0.05, PSC/ICG@+DOX group (no NIR) vs DOX HCI group. (n=5).

of calcium carbonate and the generation of CO<sub>2</sub> bubbles under acid microenvironment, and the efficient retention of the nanoparticles by the EPR effect. 36,37 The thermal images of tumor sites are shown in Figure 7C. The tumor temperature in 5% glucose group was slightly increased after 5 min of laser irradiation. Free DOX+ICG (NIR) group exhibited the tumor temperature with an increase to 45.4°C. Notably, PSC/ICG@ and PSC/ICG@+DOX groups showed an increased temperature of 60.5°C after 5 min irradiation, which could effectively generate hyperthermia for PTT of malignant tumors.<sup>38</sup> Free DOX HCl showed moderately delayed growth. PSC/ICG@+DOX (no NIR) revealed stronger tumor suppression in contrast with DOX·HCl group. As previously reported, <sup>39,40</sup> polymeric nanoparticles could prolong blood circulation in vivo. Further, chondroitin sulfate and its derivatives were employed for targeting drug delivery on the CD44-overexpressed surface of cancer cells. 41-44 Therefore, PSC/ICG@+DOX nanoparticles could actively transport into CD44 receptor-positive 4T1 tumors. The pH/reduction-sensitive drug release from the nanoparticles could increase the concentration of drug molecules at the targeted tumor sites. Importantly, the tumor growth in mice treated by PSC/ICG@+DOX (NIR) group was the strongest inhibition among these groups, which was significantly different with PSC/ICG@+DOX (no NIR) (P < 0.05), and very significant with ICG +DOX group (plus NIR) (P < 0.01). It was due to the combined photothermal and chemotherapy. Hence, the result demonstrated the superior synergistic antitumor effects of PSC/ICG@+DOX nanoparticles. As presented in Figure 7D, both DOX HCl and DOX HCl+ICG groups showed body weight loss on some days. It inferred that free DOX molecule was distributed into normal cells, leading to side effects in tumor-bearing mice. 45 The average body weights in other groups showed no obvious decrease. These results demonstrated that DOX-loaded nanoparticles could increase DOX gathering in the tumor sites and avoid undesirable toxicities.

To further assess the antitumor activities in all treatments, HE and TUNEL assays were performed. As shown in Figure 8, HE images exhibited that larger degrees of cell morphological change and necrosis were observed in the tumor issue of PSC/ ICG@+DOX (NIR) group in comparison to other groups. It also revealed the synergistic chemotherapy and PTT effects. To further investigate the cell apoptosis in tumor tissues, TUNEL analysis was applied. As displayed in Figure S4 (Supporting

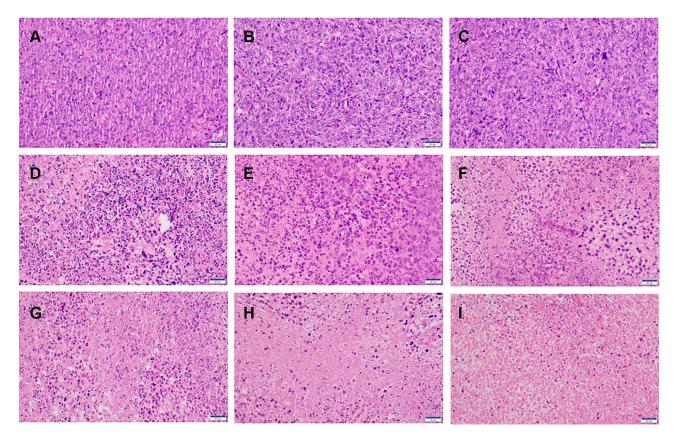


Figure 8 H&E staining images of the tumors with the treatments of (A) 5% glucose, (B) PSC, (C) ICG (NIR), (D) PSC/ICG@, (E) PSC/ICG@ (NIR), (F) DOX HCI, (G) DOX HCI+ICG (NIR), (H) PSC/ICG@+DOX, and (I) PSC/ICG@+DOX (NIR), respectively. Scale bar, 20 µm.

Information), the number of apoptotic cells in PSC/ICG@+DOX (NIR) group was more than those of other groups. It agreed with the result of tumor growth inhibition in vivo. The expression of Ki67 protein was further determined to the inhibition of proliferation in the tumors. In Figure S5 (Supporting Information), PSC/ICG@+DOX (NIR) group demonstrated the lowest expression of Ki67 among all groups. These results were in accordance with the tumor volume changes in the treatment groups. Therefore, the histological analysis evidenced the combined and effective therapeutic efficacy of PSC/ICG@+DOX nanoparticles.

#### **Conclusions**

In summary, a new class of tumor-targeted and dual stimuli-responsive nanoplatforms based on PSC-encapsulating ICG@ and DOX was successfully developed. The hybrid nanoparticles were evaluated for efficient loading of different types of chemotherapeutic and photothermal molecules. Our PSC/ICG@+DOX nanoparticles would be degraded and increased drug release under a slightly acidic solution and reduction environment. Additionally, PSC/ICG@+DOX exhibited an efficient photothermal effect in vitro, and enhanced cellular uptake and cytotoxicity in 4T1 cells. Importantly, the multifunctional drug-loaded nanoparticles demonstrated superior synergistic antitumor effects in 4T1-bearing mice, and the combined photothermal and chemotherapy were revealed. Therefore, this work presents that the tumor-pH-activated, reduction-sensitive, and actively targeted nanocarrier could be effectively used in future cancer treatment.

### **Supporting Information**

The DSC thermograms of PSC/ICG@ and PSC/ICG@+DOX nanoparticles, body weight changes, blood routine and biochemistry analysis, TUNEL and Ki67 staining of the tumors were presented in the Supporting Information (Figures S1–S5).

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#### Disclosure

The authors report no conflicts of interest in this work.

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