

# Impact of parental presence during induction of anesthesia on anxiety level among pediatric patients and their parents: a randomized clinical trial

Afsaneh Sadeghi  
Ahmad Khaleghnejad Tabari  
Alireza Mahdavi  
Sara Salarian  
Seyed Sajjad Razavi

Department of Anesthesiology,  
Pediatric Surgery Research Center,  
Shahid Beheshti University of Medical  
Sciences, Tehran, Iran

**Introduction:** Anesthesia induction is a stressful event for children and their parents, and may have potentially harmful consequences on the patient's physiological and mental situation. Stressful anesthesia induction has psychological adverse effects that recur with repeated anesthesia, can lead to increased pediatric discomfort during the recovery period, and may even induce reactionary postoperative behavior. A randomized controlled trial was performed to assess the impact of parental presence during induction of anesthesia (PPIA) on preoperative anxiety of pediatric patients and their parents at three different times, cooperation of child with anesthesiologist at induction of anesthesia, and parental satisfaction.

**Patients and methods:** A total of 96 pediatric patients undergoing elective minor surgery (ASA 1–2) were randomly divided into two groups. Both groups received oral midazolam (0.5 mg/kg) at least 20 minutes before surgery, but in the PPIA group, the parents were also present in the operating room until loss of consciousness of child at anesthesia induction. Anxiety in the patients (as measured by the modified Yale Preoperative Anxiety Scale [mYPAS]) and parents (as measured by the State and Trait Anxiety Inventory [STAI]), the Induction Compliance Checklist (ICC), and parental satisfaction (as measured by visual analog scale) were assessed.

**Results:** There was no significant difference in the mean anxiety scores (mYPAS) of participants in the control and PPIA groups at ward T0 and upon arrival to operating room T1 ( $P > 0.05$ ). However, between the PPIA and control groups, mean mYPAS score was different at the time of induction of anesthesia T2 ( $35.5 \pm 16.6$  vs  $59.8 \pm 22.4$ ;  $P < 0.001$ ). The ICC scores showed that perfect score was significantly different in the PPIA and control groups (66.6% vs 6.3%;  $P < 0.01$ ). The STAI scores of the parents in the two groups did not differ in T0, T1, and T2. The mean parental satisfaction score was higher in the PPIA group than in the control group ( $7.6 \pm 7.0$  vs  $5.8 \pm 6.1$ ;  $P < 0.01$ ).

**Conclusion:** PPIA may reduce preoperative state anxiety of pediatric patients and improve quality of anesthesia induction based on ICC scores and higher parental satisfaction, but it does not impact on parental state anxiety.

**Keywords:** anxiety, anesthesia induction, parents, children

Correspondence: Seyed Sajjad Razavi  
Department of Anesthesiology, Pediatric  
Surgery Research Center, Shahid  
Beheshti University of Medical Sciences,  
Tehran, Iran  
Tel +98 21 9477 1479  
Fax +98 21 3365 4177  
Email s.razavi@sbm.ac.ir

## Introduction

Anesthesia induction is a stressful part of surgery for pediatric patients and their parents.<sup>1</sup> Children may undergo physical, mental, and physiological distress due to anxiety at the time of induction.<sup>2</sup> Stressful anesthesia induction has consequent adverse

psychological impacts, can cause discomfort during recovery, and may lead to regressive behavioral disorders. Nightmares, separation anxiety, eating disorders, and bedwetting have been reported as confirmed outcomes of anxiety among children. Children with high anxiety levels have a higher risk of expressing negative behaviors after surgery, and higher parental anxiety levels are directly associated with children's preoperative anxiety levels.<sup>2</sup>

Preoperative sedative drugs are routinely recommended to manage anxiety of pediatric patients during surgeries. Several studies have suggested the use of oral midazolam for anxiety control in pediatric surgical patients.<sup>3</sup> Other studies have reported that parental presence during induction of anesthesia (PPIA) not only has the same effect but also has considerably better impact on pediatric anxiety control compared to oral midazolam.<sup>4,5</sup> However, some studies have shown that PPIA does not have a positive effect on anxiety levels of children.<sup>6-8</sup> A recent Cochrane review reported that PPIA has no effect on children's anxiety and cooperation during anesthesia induction, parental satisfaction, time needed for induction, or child recovery quality.<sup>9</sup> We identified a few studies that included a formal intervention to prepare parents to be present during anesthesia induction and reduce their children's preoperative anxiety.<sup>6,10</sup> The aim of the present study was to determine the effect of PPIA, in addition to administration of oral midazolam, on preoperative anxiety of pediatric patients as primary outcome and cooperation of the child to accept anesthesia mask, state and trait anxiety, and satisfaction of parents as secondary outcomes.

## Patients and methods

This randomized clinical trial was conducted in pediatric patients aged 4–10 years who underwent minor surgery at Mofid Children's Hospital (Tehran, Iran). The clinical trial was registered at the Iranian Registry of Clinical Trials: IRCT (IRCT2017011931497N4). The study protocol was approved by the Research Ethics Committee of Shahid Beheshti University of Medical Sciences, and all of the parents provided informed consent. The study sample size was calculated based on the results of a pilot study on 30 patients, similar studies, and a sample size formula ( $\alpha=0.05$ ,  $P=0.5$ ,  $d=0.1$ ), which indicated that at least 96 pediatric patients were needed. The inclusion criteria were as follows: 1) pediatric patients aged 4–10 years with ASA 1 or 2 undergoing an elective minor surgery such as inguinal or umbilical hernias and undescended testes and 2) surgeries less than 1-hour duration with no significant changes in the patient's hemodynamic parameters. An anesthesiologist visited the selected patients and provided information to parents regarding the

study protocol the night before surgery. All patients who had inclusion of criteria were selected using a none-randomized method.

A randomization block was used to allocate the selected participants into PPIA or control groups. Patients in the control group received 0.5 mg/kg oral midazolam at least 20 minutes before surgery, and patients in the PPIA group received 0.5 mg/kg oral midazolam and PPIA (ie, one parent in the operating room until anesthesia induction and loss of consciousness of the child and then parents went out of the operating room). The primary outcome of the trial was preoperative anxiety in the pediatric patients. The secondary outcomes were the child's cooperation with anesthesiologist in acceptance of anesthesia mask, state anxiety, and satisfaction of parents.

Anxiety in the children was assessed using the modified Yale Preoperative Anxiety Scale (mYPAS), which includes 22 expressions in five categories (activity, vocalization, use of parents, state of arousal, and emotional expressivity), and is a standard tool for assessing preoperative anxiety in children.<sup>11</sup>

The mYPAS tool was translated into Persian and back-translated into English by the psychologist involved in the study, and the content validity was assessed in a pilot study of 30 children. Cooperation with the anesthesiologist was assessed using Induction Compliance Checklist (ICC) checklist with a score of 0–9, where 0 indicates perfect, 1–3 moderate, and >4 weak cooperation with anesthesiologist and acceptance of anesthesia mask.<sup>12</sup> Parental satisfaction was assessed using a visual analog scale (VAS) scale, and was scored from 0 to 10.

Parental anxiety among parents was assessed using the State and Trait Anxiety Inventory (STAI) tool. STAI is a self-administered questionnaire with 20 expressions that assess basic and situational anxiety.<sup>13</sup> It was filled by parents at T0 (at ward), T1 (arrival to operating room), and T2 (time of induction of anesthesia) in the PPIA group and at T0 and T1 in the control group. Psychologist was present in the ward and operating room and assessed the anxiety level of patients at T0, T1, and T2 and scored ICC at T2. Demographic data including age and sex; types of surgery; PPIA or control group; anxiety level of patients and parents at T0, T1, and T2; acceptance of anesthesia induction; and parental satisfaction were collected via observation and forms. Anesthesia was performed by a team of three anesthesiologists involving the research.

## Statistical analysis

The data were analyzed using SPSS Version 18.0. Quantitative variables were analyzed by mean and standard deviation and qualitative variables by frequency and percentages.

Mann–Whitney and independent sample *t*-tests were used to compare the means of quantitative variables between the PPIA and control groups. Fisher's exact *t*-test was used to compare qualitative variables between the groups. The level of confidence in the present study was 95%, and *P*-values less than 0.05 were considered to be significant.

## Results

The mean age of the patients in the PPIA group (4.6±01.7, range: 2–10 years) and the control group (5.5±8.1, range: 3–9 years) was not significantly different (*P*=0.09). There was a significant difference in the sex frequency of the participants in the two study groups (*P*=0.03) (Table 1).

In both the groups, only one patient had a chronic or mental disorder. One patient in the control group and eight patients in the PPIA group had been born prematurely (*P*=0.03). In both the groups, 12 patients had history of previous hospitalization. The frequency of the operation types for these pediatric patients is presented in Table 2.

According to mYPAS, there was no significant difference in mean state anxiety scores between the PPIA and control groups at T0 (33.4±13.6 vs 37.9±17.4; *P*=0.162) and T1 (41.01±18.5 vs 44.2±17.4; *P*=0.412). Although it was significantly different at T2 (35.5±16.6 vs 59.8±22; *P*<0.001) (Table 3). There was no significant difference in the number of anxious (mYPAS >40) patients in the PPIA and control groups at T0 (14 vs 18; *P*=0.52) and T1 (27 vs 33; *P*=0.29), but in the PPIA group it was significantly lower than in the control group (18 vs 40; *P*<0.001).

The regression analysis showed that age group (*P*=0.03) and sex (*P*=0.020) were significantly associated with anxiety score at T2. The frequency of patients with high anxiety scores (mYPAS >40) was significantly associated with the age and sex of the patients (*P*<0.001) (Table 4).

The ICC results showed that in the PPIA group, 32, 15, and 1 pediatric patients had excellent, moderate, and weak scores, respectively, and in the control group, 3, 15, and 30 pediatric patients had excellent, moderate, and weak scores, respectively (*P*<0.001) (Table 5).

**Table 1** Demographic data of patients

Variables	PPIA	Control	<i>P</i> -value
Age (years)	5.5±8.1 (3–9)	6.2±7.1 (2–10)	0.09
Girl	1	8	0.03
Boy	47	40	0.03
Prematurity	8	1	0.03
Chronic disorder	1	1	
Previous hospitalization	12	12	

**Abbreviation:** PPIA, parental presence during induction of anesthesia.

**Table 2** Types of surgeries

Operation type	PPIA		Control		Total	
	N	%	N	%	N	%
Inguinal hernia	42	87.5	40	83.3	82	85.4
Hypospadias	2	4.1	2	4.1	4	4.1
Hydrocele	2	4.1	6	12.5	8	8.4
Cryptorchidism	2	4.1	0	0	2	4.1

**Abbreviation:** PPIA, parental presence during induction of anesthesia.

There was no significant difference in the mean state anxiety scores between parents in the PPIA and control groups at T0 (45.9±9.2 vs 43.3±10.1; *P*=0.19), T1 (47.6±11.4 vs 45.9±12.01; *P*=0.48), and T2 (45.8±11.01 vs 46.7±11.2; *P*=0.72).

There was no significant difference in the mean trait anxiety scores between groups at T0 (42.2±9.9 vs 42.3±10.1; *P*=0.95), T1 (43.6±9.6 vs 44.01±10.9; *P*=0.84), and T2 (44.01±10.9 vs 44.7±10.3; *P*=0.79).

The mean VAS score of parental satisfaction in the control group was significantly lower than in the PPIA group (6.7±0.7 vs 8.5±0.6; *P*<0.001) (Table 5).

## Discussion

The importance of premedication with drugs such as midazolam in preoperative anxiety of children is fully recognized. The role of PPIA has been studied recently. However, superiority of PPIA to premedication drugs or additive effect when applied with premedication has still controversies and needs more studies. We assessed the effect of presence or absence of a parent at induction of anesthesia in premeditated patients. Some of our results are in accordance to previous investigations, while some others are not.

We found that mean anxiety score at T0 and T1 was not different between the two groups. However, mean anxiety score was lower at T0 than at T1 in all the patients, which indicates that entrance to operating room area is stressful despite of parental presence or absence.

Another finding revealed that anxiety score of the PPIA group was lower than the control group at T2. It suggests that PPIA has additive effect on midazolam. This is similar to results of Caprilli et al,<sup>4</sup> Astuto et al,<sup>14</sup> and Cameron et al.<sup>15</sup>

**Table 3** Comparison of children's anxiety scores

Time	PPIA	Control	<i>P</i> -value
T0	33.4±13.6	37.9±17.4	0.162
T1	41.01±18.5	44.2±17.4	0.412
T2	35.5±16.6	59.8±22	<0.001

**Notes:** T0, at ward; T1, arrival to operating room; T2, time of induction of anesthesia.

**Abbreviation:** PPIA, parental presence during induction of anesthesia.

**Table 4** Correlation of age and sex to anxiety

Study variable	PPIA group		Control group		P-value
	Anxious*	Non-anxious	Anxious*	Non-anxious	
Age (years)					<0.001
<6	9 (36%)	16 (64%)	32 (86.5%)	5 (13.5%)	<0.001
>6	9 (39.1%)	14 (36%)	8 (72.8%)	3 (27.2%)	
Sex					<0.001
Girl	1 (100%)	0 (0%)	2 (25%)	6 (75%)	
Boy	17 (36.2%)	30 (63.8%)	34 (85%)	6 (15%)	

Note: \*mYPAS >40.

Abbreviations: mYPAS, modified Yale Preoperative Anxiety Scale; PPIA, parental presence during induction of anesthesia.

Astuto et al reported that PPIA can reduce anxiety, fear, and the need for sedative agents in pediatric patients.<sup>14</sup> Rice et al used the Yale anxiety index to determine the anxiety levels of 94 pediatric patients at T0, T1, and T2. This group reported that an educational training session had a suitable impact on controlling anxiety in all of these situations, but only had a significant impact on anxiety at the time of T2.<sup>2</sup> In contrast to our findings, Kain et al<sup>6</sup> and Palermo et al<sup>7</sup> reported that PPIA had no impact on anxiety scores in pediatric patients. Lui and Wu concluded that PPIA does not reduce anxiety in pediatric patients.<sup>16</sup>

Arai et al divided pediatric patients into one of three groups, who received a sedative (midazolam 0.5 mg/kg), PPIA, or PPIA and a sedative. They reported that the addition of PPIA to administration of oral midazolam does not improve the quality of anesthesia induction.<sup>8</sup> A longitudinal study conducted by Kain et al showed that some variables such as age, behavior at the previous visit, anxiety level, and anxiety control in parents are independent predictors of anxiety.<sup>6</sup> Kain et al also reported that anxious patients who received PPIA from quiet parents had lower anxiety scores during anesthesia induction compared to quiet patients who received PPIA from anxious parents.<sup>17</sup>

Another finding of our study suggests that prescribing PPIA with midazolam during anesthesia induction can significantly improve the behavior and function of pediatric patients using ICC scores. In agreement with our results, Varughese et al reported that the function of more than half

**Table 5** Comparison of ICC and parental satisfaction between the two groups

	PPIA	Control	P-value
ICC: Perfect	32	3	<0.001
ICC: Intermediate	15	15	
ICC: Poor	1	30	<0.001

Abbreviations: ICC, Induction Compliance Checklist; PPIA, parental presence during induction of anesthesia.

of pediatric patients increases when parents are present during anesthesia induction.<sup>18</sup>

We assessed the relation between age and high anxiety scores (mYPAS >40). The younger patients were more anxious than the older patients, which is similar to Caprilli et al's results.<sup>4</sup>

Assessment of parental anxiety using the STAI questionnaire showed that accompanying their children did not impact their anxiety at T0, T1, or T2. It is in accordance to Kain et al<sup>6</sup> and Lui and Wu<sup>16</sup> studies who concluded that parental presence does not decrease or increase anxiety in parents.

We found out in our trial that PPIA increases parental satisfaction significantly. Some investigators, including Palermo et al,<sup>7</sup> Lui and Wu,<sup>16</sup> and Mc Ewen et al<sup>5</sup> reported similar findings.

A limitation of this study was that the groups were not matched in term of sex.

Another limitation of our study was the inability to perform all surgeries early in the morning for all participants, which influences the waiting and NPO time. It may impact on stress and anxiety of participants. We suggest that studies on pediatric patients must be conducted at the earliest time of operating room schedule.

## Conclusion

In conclusion, according to results of this study, we suggest that PPIA in addition to oral midazolam in pediatric patients can decrease preoperative anxiety, result in better cooperation with anesthesiologist at induction of anesthesia, and provide more satisfaction for parents.

## Acknowledgment

This study was funded by Pediatric Surgery Research Center of Shahid Beheshti University of Medical Sciences, Tehran, Iran.

## Author contributions

All the authors contributed toward data analysis, drafting, and critically revising the paper and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

## References

- Chorney JM, Kain ZN. Behavioral analysis of children's response to induction of anesthesia. *Anesth Analg*. 2009;109(5):1434–1440.
- Rice M, Glasper A, Keeton D, Spargo P. The effect of a preoperative education programme on perioperative anxiety in children: an observational study. *Paediatr Anaesth*. 2008;18(5):426–430.

3. Beaupre LA, Lier D, Davies DM, Johnston DB. The effect of a preoperative exercise and education program on functional recovery, health related quality of life, and health service utilization following primary total knee arthroplasty. *J Rheumatol*. 2004;31(6):1166–1173.
4. Caprilli S, Messeri A, Busoni P. Preoperative anxiety in children: psychological evaluation of premedication and parental presence efficacy. *Pediatr Med Chir*. 2004;26(3):169–174.
5. Mc Ewen AW, Caldicott LD, Barker I. Parents in anesthetic room – parents’ and anesthetists’ views. *Anaesthesia*. 1994;49(11):987–990.
6. Kain ZN, Mayes LC, Wang SM, Caramico LA, Krivutza DM, Hofstadter MB. Parental presence and a sedative premedicant for children undergoing surgery: a hierarchical study. *Anesthesiology*. 2000; 92(4):939–946.
7. Palermo TM, Tripi PA, Burgess E. Parental presence during anaesthesia induction for outpatient surgery of the infant. *Paediatr Anaesth*. 2000; 10(5):487–491.
8. Arai YC, Ito H, Kandatsu N, Kurokawa S, Kinugasa S, Komatsu T. Parental presence during induction enhances the effect of oral midazolam on emergence behavior of children undergoing general anesthesia. *Acta Anaesthesiol Scand*. 2007;51(7):858–861.
9. Yip P, Middleton P, Cyna AM, Carlyle AV. Non-pharmacological interventions for assisting the induction of anaesthesia in children. *Cochrane Database Syst Rev*. 2009;(3):CD006447.
10. Berghmans J, Weber F, van Akoleyen C, et al. Audiovisual aid viewing immediately before pediatric induction moderates the accompanying parents’ anxiety. *Paediatr Anaesth*. 2012;22(4):386–392.
11. Kain ZN, Mayes LC, Cicchetti DV, Bagnall AL, Finley JD, Hofstadter MB. The Yale Preoperative Anxiety Scale: how does it compare with a “gold standard”? *Anesth Analg*. 1997;85(4):783–788.
12. Spelberger CD, Gorsuch RL, Lushene R, Vagg PR, Jacobs GA. *Manual for the state – Trait Anxiety Inventory*. Palo Alto, CA: Consulting Psychologist Press; 1983.
13. Kain ZN, Mayes LC, shu-Ming Wang, Caramico LA, Hofstadter MB. Parental presence during induction of anesthesia versus sedative premedication: which intervention is more effective? *Anesthesiology*. 1998;89(5):1147–1156.
14. Astuto M, Rosano G, Rizzo G, Disma N, Raciti L, Sciuto O. Preoperative parental information and parents’ presence at induction of anaesthesia. *Minerva Anesthesiol*. 2006;72(6):461–465.
15. Cameron JA, Bond MJ, Pointer SC. Reducing the anxiety of children undergoing surgery: parental presence during anaesthetic induction. *J Paediatr Child Health*. 1996;32(1):51–56.
16. Lui JC, Wu KK. Parental anxiety associated with participation in anaesthetic induction in children: questionnaire survey. *Hong Kong Med J*. 1999;5(1):21–26.
17. Kain ZN, Caldwell-Andrews AA, Maranets I, Nelson W, Mayes LC. Predicting which child-parent pair will benefit from parental presence during induction of anesthesia: a decision-making approach. *Anesth Analg*. 2006;102(1):81–84.
18. Varughese AM, Nick TG, Gunter J, Wang Y, Kurth CD. Factors predictive of poor behavioral compliance during inhaled induction in children. *Anesth Analg*. 2008;107(2):413–421.

## Neuropsychiatric Disease and Treatment

Dovepress

### Publish your work in this journal

Neuropsychiatric Disease and Treatment is an international, peer-reviewed journal of clinical therapeutics and pharmacology focusing on concise rapid reporting of clinical or pre-clinical studies on a range of neuropsychiatric and neurological disorders. This journal is indexed on PubMed Central, the ‘PsycINFO’ database and CAS,

Submit your manuscript here: <http://www.dovepress.com/neuropsychiatric-disease-and-treatment-journal>

and is the official journal of The International Neuropsychiatric Association (INA). The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit <http://www.dovepress.com/testimonials.php> to read real quotes from published authors.