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# Hand grip strength in patients with chronic obstructive pulmonary disease

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Purpose: Hand grip strength (HGS) is a simple way of predicting the risk of cardiovascular disease and all-cause mortality in the general population. However, the practical significance of grip strength in patients with COPD is uncertain. The aim of this study was to compare HGS between subjects with and without COPD and to evaluate its clinical relevance in patients with COPD by using a national survey.

Methods: Data were collected from the Korean National Health and Nutrition Examination Survey. The study included 421 adults with COPD and 2,542 controls who completed questionnaires, spirometry, and a HGS test. HGS was compared between subjects with and without COPD, and the association between grip strength, lung function, and quality of life (QoL) was evaluated.

Results: The mean HGS was 33.3±9.1 kg in the COPD group and 29.9±9.5 kg in the non-COPD group; adjusted HGS was 30.9±0.33 kg and 30.9±0.11 kg, respectively (P=0.99). HGS was not related to forced vital capacity (β=0.04, P=0.70) or forced expiratory volume in 1 second (β=0.11, P=0.24) in multivariable analysis. HGS was independently associated with the EQ-5D index, but the relationship was stronger in the COPD group ( $\beta$ =0.30, P<0.001) than in the non-COPD group ( $\beta$ =0.21, P<0.001). The results were similar for each component of the EQ-5D, including mobility ( $\beta$ =-0.25, P<0.001), daily activity ( $\beta$ =-0.19, P=0.01), pain/discomfort  $(\beta=-0.32, P<0.001)$ , and anxiety/depression ( $\beta=-0.16, P=0.01$ ).

**Conclusion:** HGS was not different between subjects with and without COPD, but was associated with QoL - including mobility, daily activity, pain/discomfort, and anxiety/depression - in patients with COPD. The HGS test could be used as a marker of QoL in patients with COPD and could assist risk stratification in clinical practice.

**Keywords:** pulmonary disease, chronic obstructive, hand strength, respiratory function tests, quality of life, biomarker

#### Introduction

COPD is a complex and heterogeneous disease, characterized by chronic inflammation in the airway and lung parenchyma. The muscle dysfunction and exercise impairment that occurs in patients with COPD is explained by systemic inflammation arising from the lung and a decrease in the large muscle mass of the lower extremities.<sup>2</sup> Both muscle endurance and muscle strength are indicators of muscular fitness, and reduced muscle strength – measured by hand grip strength (HGS) – has been associated with a higher risk of mortality.<sup>3-6</sup> However, the impact of grip strength in patients with COPD is uncertain. Most of the studies that have compared grip strength between patients with COPD and controls were conducted in small numbers of participants; therefore, their results have not been consistent. Some previous studies have demonstrated decreased

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grip strength in patients with COPD,<sup>2,4</sup> while others have not.<sup>7</sup> Further, a recently published large cohort study reported that reduced grip strength was associated with increases in all-cause mortality and incident cardiovascular disease, but not with respiratory disease, including COPD.<sup>5</sup> The aim of this study was to identify the actual status and impact of HGS in patients with COPD by using the large body of data generated by the Korean National Health and Nutrition Examination Survey (KNHANES).

## **Methods**

# Study design and participants

KNHANES is a collection of nationally representative, cross-sectional, population-based health, and nutritional surveys that have been conducted by the Korean Centers for Disease Control and Prevention (KCDC) since 1998.8 Participants were chosen by proportional allocation system sampling with multistage stratification, based on geographic area, age, and sex. KNHANES includes a health interview, physical examination, laboratory tests, and nutrition questionnaires. Spirometry was performed for subjects >40 years of age, and those with COPD were selected by these results. All individuals participated voluntarily and provided written informed consent. The KNHANES protocol was approved by the institutional review board of the KCDC.

#### Measurement of variables

Pulmonary function tests were performed in subjects aged >40 years according to the guidelines of the American Thoracic Society/European Respiratory Society<sup>9</sup> using a spirometry system (model 1022; SensorMedics, Yorba Linda, CA, USA). Spirometry was repeated at least three times to ensure reproducibility and validity, and calculated on the basis of the predictive equation for the Korean population.<sup>10</sup> The current Global Initiative for Chronic Obstructive Lung Disease (GOLD) guideline classifies patients with COPD not only by history of exacerbation but also by various symptom scores, such as the modified Medical Research Council Dyspnea Scale score or the COPD Assessment Test (CAT) score. 1 KNHANES included the EuroQOL, which is a 5-item self-administered, scale-based, generic questionnaire (EQ-5D) for measurement of quality of life (QoL) in various chronic diseases such as COPD.<sup>11</sup> As good correlations of the EQ-5D with St George's Respiratory Questionnaire (SGRQ) scores<sup>12,13</sup> and the SGRQ with CAT scores<sup>14</sup> had already been reported, the EQ-5D was used to represent symptom scores. The descriptive assessment includes five items: mobility, self-care, usual activities, pain/discomfort,

and anxiety/depression. Each item can be used to represent profiles of health status or can be converted to a summary (EQ-5D) index. HGS was measured using a digital grip hand dynamometer (T.K.K 5401, Takei Scientific Instruments Co., Ltd., Tokyo, Japan) in a standing position with the forearm fully extended in a sideways position away from the body at the level of the thigh, resting in neutral position. Participants were asked to apply their maximum grip strength three times with both the right and left hand. A resting interval of at least 30 seconds was allowed between each measurement. HGS was defined as the average value for grip strength of the dominant hand. 15-17

#### **Definitions**

COPD was defined as a spirometric result of forced expiratory volume in 1 second (FEV<sub>1</sub>)/forced vital capacity (FVC) <0.7 in adults aged >40 years according to the GOLD guideline. The degree of airflow limitation was classified as GOLD 1 if FEV<sub>1</sub> was >80%, GOLD 2 if FEV<sub>1</sub> was >50% and  $\leq$ 80%, and GOLD 3–4 if FEV<sub>1</sub> was  $\leq$ 50%. Body mass index (BMI) was calculated as kg/m². Hypertension was defined as a systolic blood pressure  $\geq$ 140 mmHg or a diastolic blood pressure  $\geq$ 90 mmHg, or if antihypertensive medication had been prescribed. Diabetes was defined as a fasting glucose level  $\geq$ 126 mg/dL or hemoglobin A1c  $\geq$ 6.5%, and/or treatment for diabetes.

# Statistical analysis

KNHANES was designed using a complex, stratified, multistage probability-sampling model; thus, the data were analyzed via the complex-sample design using stratification, sampling weight variables, and clustering variables to represent the Korean population using SAS version 9.3 (SAS Institute Inc., Cary, NC, USA). For between-group comparisons, the Student's *t*-test or analysis of variance was used to compare continuous variables, and the chi-square test was used to compare categorical variables. For multivariable analysis, general linear regression was used for continuous variables and logistic regression was used for categorical variables. A *P*-value <0.05 was considered to be statistically significant.

## **Results**

# Characteristics of the participants

Data from 2,963 adults aged ≥40 years who completed questionnaire, spirometry, and the HGS test in 2015 were retrieved from KNHANES. Of these 2,963 adults, 421 met the definition of COPD; their median age was 66 (interquartile

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range 60–73) years, 317 (75.3%) were male, 111 (27.2%) were current smokers, 211 (50.1%) were classified as  $GOLD1(FEV_1>80\%)$ , 196 (46.6%) as  $GOLD2(FEV_1>50\%)$  to  $\leq 80\%$ ), and 14 (3.3%) as  $GOLD3-4(FEV_1\leq 50\%)$ . The demographic and clinical characteristics of the non-COPD and COPD groups are summarized in Table 1. Age, sex, height, BMI, waist circumference (WC), and smoking status were significantly different between the non-COPD and COPD groups, and the prevalence rates for hypertension, diabetes, and myocardial infarction (MI)/angina were much higher in the COPD group.

# Hand grip strength in COPD

In the general population, HGS was significantly associated with FVC (R=0.76, P<0.001) and FEV $_1$  (R=0.69, P<0.001), but not with the FVC % predicted (R=0.09, P=0.08) or FEV $_1$ % predicted (R=0.04, P=0.43; Figure 1). After adjustment for age, sex, and height, HGS was not related to either FVC ( $\beta$ =0.04, P=0.70) or FEV $_1$  ( $\beta$ =0.11, P=0.24) in the COPD group.

The mean HGS in the COPD group was 33.3±9.1 kg and that in the non-COPD group was 29.9±9.5 kg (Table 1).

Table I Baseline characteristics of study participants

	Non-COPD	COPD	P-value	
	(N=2,542)	(N=421)		
Age	56.4±10.3	65.4±8.8	<0.001	
Male sex	992 (39.0)	317 (75.3)	< 0.001	
Height, cm	160.9±8.7	164.5±8.6	< 0.001	
BMI, kg/m <sup>2</sup>	24.3±3.1	23.9±2.8	0.01	
Current smoker	344 (13.7)	111 (27.2)	< 0.001	
Waist circumference, cm	83.5±8.9	86.1±8.3	< 0.001	
Hypertension	722 (28.4)	172 (40.9)	< 0.001	
Diabetes	246 (9.7)	68 (16.2)	< 0.001	
MI/angina	73 (3.0)	22 (5.4)	0.01	
Depression	139 (5.5)	15 (3.6)	0.16	
Pulmonary function test				
FVC, L	3.45±0.84	3.68±0.91	< 0.001	
FVC, % predicted	93.3±11.2	91.1±14.2	0.002	
FEV <sub>1</sub> , L	2.73±0.66	2.35±0.64	< 0.001	
FEV, % predicted	94.9±11.6	79.9±15.3	< 0.001	
FEV,/FVC, %	79.4±4.7	63.9±6.3	< 0.001	
Hand grip strength, kg	29.9±9.5	33.3±9.1	< 0.001	
EQ-5D index	0.94±0.11	0.92±0.13	< 0.001	
Mobility	1.49±1.65	1.51±1.46	0.77	
Self-care	1.38±1.64	1.33±1.45	0.53	
Daily activity	1.43±1.64	1.39±1.45	0.64	
Pain/discomfort	1.61±1.66	1.58±1.47	0.71	
Anxiety/depression	1.46±1.65	1.38±1.46	0.36	

Note: Data shown as mean  $\pm$  standard deviation and n (%).

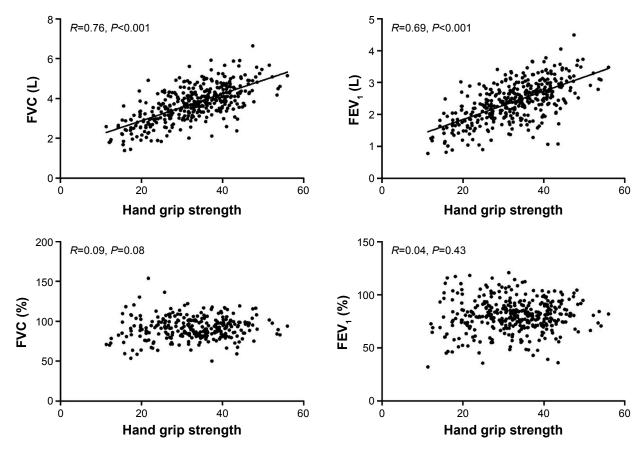
**Abbreviations:** BMI, body mass index; MI, myocardial infarction; FVC, forced vital capacity; FEV<sub>i</sub>, forced expiratory volume in I second; EQ-5D, EuroQOL 5-item questionnaire.

The COPD group included more men and the mean HGS was significant higher in men than in women (37.2±9.2 vs 23.1 $\pm$ 5.2, P<0.001); therefore, HGS was compared between the groups again after stratification by sex. Mean HGS was 39.0±7.2, 36.5±7.3, 23.8±4.7, and 22.1±5.0, respectively, in the men without COPD, men with COPD, women without COPD, and women with COPD. There was no interaction between COPD and sex in relation to HGS (P=0.31). The sex-adjusted HGS in the non-COPD group was 31.4±0.1 and that in the COPD group was 29.3±0.4 (mean difference  $2.1\pm0.4$ ; P<0.001). Because HGS was related to age (R=-0.41, P<0.001) and height (R=0.67, P<0.001), multivariable analysis was performed with adjustment for age, sex, and height. There was no statistically significant difference in adjusted HGS between the non-COPD group and the COPD group (30.9±0.11 vs 30.9±0.33; mean difference  $0.005\pm0.345$ ; P=0.99). The values of HGS according to age group and sex are summarized in Table 2. After further adjustment by BMI (R=0.21, P<0.001), WC (R=0.26, P < 0.002), presence of MI/angina (30.3±10.0 vs  $27.7\pm9.8$ , P=0.003), hypertension (30.2 $\pm9.7$  vs  $27.9\pm9.5$ , P < 0.001), and diabetes (29.6±9.7 vs 27.7±9.7, P < 0.001) – in addition to age, sex, and height – the adjusted HGS was even higher in the COPD group (31.8±0.7) when compared with the non-COPD group (29.7 $\pm$ 0.5; P=0.01).

Among the patients with COPD, HGS was investigated according to the degree of airflow limitation. The mean HGS was  $30.4\pm9.5$  in GOLD 1,  $30.6\pm9.3$  in GOLD 2, and  $29.8\pm11.5$  in GOLD 3–4 (P=0.93). In multivariable analysis, the HGS adjusted by age, sex, and height was  $31.1\pm0.11$  in GOLD 1,  $29.9\pm0.3$  in GOLD 2, and  $29.6\pm1.5$  in GOLD 3–4 (P<0.001 between GOLD 1 and GOLD 2 groups). However, after additional adjustment of BMI, WC, presence of MI/angina, hypertension, and diabetes in the model, the adjusted HGS was  $29.6\pm0.5$ ,  $29.7\pm0.7$ , and  $30.6\pm1.5$ , respectively, which was not significantly different (P=0.80).

# Hand grip strength and QoL

The EQ-5D index was poorer in adults with COPD than in those without COPD (0.94 $\pm$ 0.11 vs 0.92 $\pm$ 0.13, P<0.001; Table 1). There was no statistically significant difference in any of the components of the EQ-5D, including mobility, self-care, daily activity, pain/discomfort, and anxiety/depression, between the non-COPD and COPD groups (Table 1). In the COPD group, the EQ-5D index was related to age (R=-0.32, P<0.001), BMI (R=-0.07, P<0.001), WC (R=-0.11, P<0.001), presence of MI/angina (0.94 $\pm$ 0.11 vs 0.84 $\pm$ 0.20, P<0.001), hypertension (0.93 $\pm$ 0.11 vs 0.90 $\pm$ 0.15,



**Figure 1** Relationship between hand grip strength and pulmonary function. **Abbreviations:** FVC, forced vital capacity; FEV<sub>1</sub>, forced expiratory volume in 1 second.

P=0.03), and depression (0.94±0.11 vs 0.84±0.19, P<0.001). In multivariable analysis for the association between EQ-5D index and HGS, we adjusted age, sex, BMI, WC, FEV<sub>1</sub> (% predicted), presence of MI/angina, hypertension, and depression, and sex and BMI were extracted by a backward elimination method. The HGS was independently associated with the EQ-5D index, but showed an even stronger relationship in the COPD group (β=0.30, P<0.001) than in the non-COPD group (β=0.21, P<0.001). This relationship was significant for all components of the EuroQOL except for self-care (Table 3).

Table 2 Hand grip strength stratified by age and sex

	Age group	Non-COPD	COPD	P-values
Male	40–50	43.1±6.9	43.1±7.4	>0.99
	51-60	39.2±6.1	41.0±7.0	0.04
	61-70	36.3±6.1	36.4±6.2	0.95
	71–80	32.0±5.5	32.6±6.0	0.45
Female	40-50	25.7±4.4	22.6±4.9	0.33
	51-60	24.4±4.2	24.7±5.1	0.77
	61-70	23.1±4.4	22.6±4.2	0.44
	71–80	19.6±4.3	20.3±5.4	0.46

Note: Data shown as mean  $\pm$  standard deviation.

## **Discussion**

In our national survey, HGS was not significantly different between adults with and without COPD and was not associated with degree of airflow limitation. However, grip strength had a stronger association with QoL – including mobility, daily activity, pain/discomfort, and anxiety/depression – in adults with COPD than in those without COPD.

Numerous studies have shown that exercise capacity is associated with mortality, exacerbations, and health-related

 Table 3 Multivariable analysis for association between quality of

 life and hand grip strength

Adjusted EuroQOL	Non-COPD		COPD	
	β	P-values	β	P-values
Total	0.21	<0.001	0.30	<0.001
Mobility	-0.14	< 0.001	-0.25	< 0.001
Self-care	-0.01	0.78	-0.06	0.32
Daily activity	-0.09	< 0.001	-0.19	0.01
Pain/discomfort	-0.15	< 0.001	-0.32	< 0.001
Anxiety/depression	-0.08	0.002	-0.16	0.01

**Note:** Adjusted by age, waist circumference,  $\text{FEV}_1$  (% predicted), presence of myocardial infarction/angina, hypertension, and depression in addition to hand grip strength.

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QoL in patients with COPD; therefore, the 6-minute walk distance has been included in the BODE index (Body mass index, airflow Obstruction, Dyspnea and Exercise capacity). 18-22 However, in spite of its importance, exercise capacity is rarely measured in clinical practice because it entails a certain amount of time and space as well as a degree of risk, or cannot be performed because of comorbidities, such as arthritis or stroke. Therefore, much effort has been made to find a simpler way of measuring exercise capacity than the 6-minute walk test, and the HGS test is one of the proposed alternatives. The HGS test has been demonstrated to have an association with mortality in the general population,<sup>5</sup> and this relationship has also been shown to be valid in patients with COPD. 3,4,6 However, the relationship between HGS and the 6-minute walk distance or other outcomes of COPD has not yet been clarified. Therefore, the aim of this study was to ascertain the clinical impact of HGS in patients with COPD using a national survey. Reduction of HGS in COPD has been reported previously, but the relevant studies were conducted in small numbers of subjects or yielded inconsistent results. Felipe et al reported reduced HGS in 27 patients with COPD when compared with 12 healthy subjects, 4 and Gosselink et al described a similar result in 40 patients with COPD and 22 healthy elderly subjects.<sup>2</sup> However, Calik-Kutukcu et al could not replicate this finding in their study of 20 patients with COPD and 20 controls.7 Puhan et al measured HGS in 409 patients with COPD without a control group, but HGS did not tend to worsen with increasing airflow limitation and was not related to exacerbations.3 Recently, the PURE study found no association between HGS and incidental respiratory disease including COPD.5 Therefore, a study was needed to clarify the actual status of HGS and its clinical roles in patients with COPD.

Our study compared HGS between a COPD group and a control group using a large dataset from a national survey, and found that HGS was neither decreased in adults with COPD nor related to the severity of airflow limitation. The crude values of HGS were considerably higher in COPD group. However, because the COPD group included more elderly men, a multivariable analysis adjustment for age, sex, and height was performed, and there was no significant difference in HGS. On the contrary, a reduction of HGS was associated with poorer health-related QoL – not only in terms of mobility and daily activities, but also with regard to pain, discomfort, anxiety, and depression. HGS can be used as a biomarker of a patient's overall QoL independent of lung function. In our study, this relationship was more relevant in adults with COPD than in control subjects. Given that the current

GOLD guideline emphasizes history of exacerbation and QoL to a greater degree than airflow limitation, measurement of QoL is a very important factor when stratifying risk and predicting the prognosis. However, it is difficult to determine overall QoL in all patients during their brief appointments in the outpatient clinic. Our study highlights the importance of assessing HGS, which is a simple and inexpensive method for estimation of general QoL in patients with COPD.

This study has several potential limitations. First, it was a cross-sectional study that drew data from a national survey; therefore, we could not assess longitudinal changes in HGS or test for an association between HGS and exacerbation or mortality. We could not obtain data for other measurements of exercise capacity, such as the 6-minute walk distance; thus, we could not analyze the correlation between HGS and 6-minute walk distance. Second, we used the EuroQOL instead of the CAT score to measure QoL. The EuroQOL is a generic questionnaire, although it had been reported to have a good correlation with the SGRQ score. 12,13 Third, there were no data available for diffusing capacity for carbon monoxide or the emphysema index; therefore, we could not identify their association with HGS. Fourth, because this study was part of a national health survey, we could not evaluate postbronchodilator FEV, and FVC, which are commonly used as lung function parameters in patients with COPD. Fifth, the number of patients with advanced COPD in our study was smaller than that of those with COPD 1-2. Although this proportion reflects the actual prevalence of COPD in the general population, caution should be exercised in interpreting our findings. Sixth, although we measured HGS according to manufacturer's instructions, it has been reported that varying the position of the forearm, 23 elbow, 24-26 or shoulder 27 could alter the values of grip strength. However, other studies have reported no differences when the measurements are taken from the dominant hand, <sup>26</sup> or in different position, such as sitting or standing.<sup>28</sup> Further longitudinal studies evaluating the benefit of HGS in clinical practice using standardized protocol are required to confirm the results of our study.

#### Conclusion

Although HGS is not related to the presence or severity of airflow limitation, it could be used as a biomarker of general QoL to risk-stratify patients with COPD. Further studies of the natural course of HGS and the response to treatment are needed.

## **Disclosure**

The authors report no conflicts of interest in this work.

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