#### REVIEW

# Cardiovascular Outcomes and Proprotein Convertase Subtilisin/Kexin Type 9 Inhibitors: Current Data and Future Prospects

This article was published in the following Dove Press journal: Vascular Health and Risk Management

### Daniel A Duprez<sup>1</sup> Yehuda Handelsman 10<sup>2</sup> Michael Koren<sup>3</sup>

<sup>1</sup>Cardiovascular Division, School of Medicine, University of Minnesota, Minneapolis, MN, USA; <sup>2</sup>Metabolic Institute of America, Tarzana, CA, USA; <sup>3</sup>Jacksonville Center for Clinical Research, Jacksonville, FL, USA

fav Correspondence: Daniel A Duprez Cardiovascular Division, School of Medicine, University of Minnesota, MMC 508, Minneapolis, MN 55455, USA Tel +1 612 624 4948 Fax +1 612 626 4611 Email dupre007@umn.edu der



Abstract: Cardiovascular (CV) disease remains the leading cause of morbidity and mortality worldwide and poses an ongoing challenge with the aging population. Elevated lowdensity lipoprotein cholesterol (LDL-C) is an established risk factor for atherosclerotic cardiovascular disease (ASCVD), and the expert consensus is the use of statin therapy (if tolerated) as first line for LDL-C reduction. However, patients with ASCVD may experience recurrent ischemic events despite receiving maximally tolerated statin therapy, including those whose on-treatment LDL-C remains ≥70 mg/dL, patients with familial hypercholesterolemia, high-risk subgroups with comorbidities such as diabetes mellitus, and those who have an intolerance to statin therapy. Optimal therapeutic strategies for this unmet need should deploy aggressive lipid lowering to minimize the contribution of dyslipidemia to their CV risk, particularly for very high-risk populations with additional risk factors beyond hypercholesterolemia and established ASCVD. To understand the current clinical climate and guidelines regarding ASCVD, we primarily searched PubMed for articles published in English regarding lipid-lowering therapies and CV risk reduction, including emerging therapies, and CV outcomes trials with proprotein convertase subtilisin/kexin type 9 (PCSK9) inhibitors. This review discusses the findings of recent clinical trial evidence for CV risk reduction with cholesterol-lowering therapies, with a focus on CV outcomes trials with PCSK9 inhibitors, and considers the impact of the study results for secondary prevention and future strategies in patients with hypercholesterolemia and CV risk despite maximally tolerated statin therapy.

**Keywords:** atherosclerotic cardiovascular disease, hypercholesterolemia, secondary prevention, low-density lipoprotein cholesterol, major adverse cardiovascular events

### Introduction

Observed rates of cardiovascular (CV) disease morbidity and mortality in the US dropped by up to 60% between the mid-1980s and mid-2010s reflecting major advances in treatment and prevention.<sup>1</sup> Unfortunately, this 30-year trend of lower CV event rates has recently plateaued.<sup>1</sup> This significant pause in a previously favorable trend suggests the need to implement new modalities and strategies to offset the expected growing healthcare burden of CV disease in aging Western populations and in developing countries that increasingly adopt lifestyles associated with increased CV risk.<sup>1,2</sup>

Extensive data set analyses have firmly established elevated levels of lowdensity lipoprotein cholesterol (LDL-C) as a risk factor for atherosclerotic

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Guideline committees and experts almost uniformly recommend LDL-C lowering with 3-hydroxy-3-methyl-glutaryl coenzyme A reductase inhibitors (statins) as first-line treatment for CV risk reduction in patients who cannot control LDL-C levels with diet and exercise.<sup>4–6</sup> The Cholesterol Treatment Trialists' Collaboration (CTTC) meta-analyses of large, randomized, controlled statin trials – including studies of statin versus placebo and more versus less intensive statin therapy – provide conclusive evidence for CV risk reduction with statin treatment, irrespective of age.<sup>7</sup> CTTC calculated a 21% decrease in the 5-year incidence of major vascular events per 1 mmol/L (39 mg/dL) reduction in LDL-C; more versus less intensive statin therapy provided a 24% CV benefit.<sup>7</sup>

## Limits of Statin Therapy

Despite robust analyses and unequivocal benefits, questions remain about the optimal statin strategy in selected populations. For example, some clinicians have raised concerns about the use of statins in older patients, as many studies in the CTTC data set did not include patients aged >75 years.<sup>7</sup> Populations that poorly tolerate statins also challenge clinicians. These populations include patients of Asian descent who may not tolerate high doses of statins and those with a history of adverse effects while taking statins.<sup>8</sup>

Statin therapy has proven limitations of effectiveness.<sup>9–11</sup> Many patients taking statins do not achieve the optimal LDL-C levels of <70 mg/dL (1.8 mmol/L) or 55 mg/dL (1.4 mmol/L) currently recommended by consensus statements. Other patients experience recurrent ischemic events even while receiving maximally tolerated statin therapy.<sup>9,10</sup> Patients with intolerance to statin therapy, most commonly presenting as muscle-related symptoms, may interrupt or discontinue treatment.<sup>12–14</sup> Further, statin use has been associated with a risk of new-onset diabetes.<sup>15–17</sup>

Lipid treatment therapies added on to statins offer the possibility of providing further CV event reductions that might contribute to resuming the positive trend in epidemiological CV risk. Ezetimibe, an inhibitor of cholesterol

absorption, when added to statin therapy, as shown in the large Improved Reduction of Outcomes: Vytorin Efficacy International Trial (IMPROVE-IT), reduced subsequent CV events in patients following an acute coronary syndrome (ACS).<sup>18</sup> In this trial, modest changes in LDL-C attributable to ezetimibe (15.8 mg/dL or 0.4 mmol/L reduction) led to a 6% reduction of CV events over 7 years. Although this result supports the LDL-C treatment hypothesis that "lower is better," it also led to the understanding of the limited benefit of small incremental LDL-C lowering beyond statins. Other add-on therapies to statins have not improved outcomes. For example, trials adding fibrates and niacin to statins showed very small effects on LDL-C and no clinical outcomes benefit.<sup>19,20</sup> In sum, these studies suggested the need for new agents that have far greater LDL-C lowering effects.

Most recently, therapies directed against proprotein convertase subtilisin/kexin type 9 (PCSK9) have shown the achievement of large incremental reductions of LDL-C and other favorable lipid effects in addition to those attributable to statins. The availability of these novel agents allows clinicians to help lower serum LDL-C to previously unattainable levels. Below, we report an extensive review of the literature to study and summarize the impact of this new class of anti-hyperlipidemia agents and speculate on future advances.

### Literature Review

We identified the clinical studies and cholesterol management guidelines discussed in this review through searches of PubMed for articles published in English. We searched for lipid-lowering therapies and CV risk reduction, including emerging therapies, with a focus on CV outcomes trials (CVOTs) using PCSK9 inhibitors with the query terms "proprotein convertase subtilisin/ kexin type 9", "PCSK9", "alirocumab", "evolocumab", or "inclisiran", up to December 2019 with no lower limit on dates. Additional articles were added from searches of "clinicaltrials.gov" and abstracts presented at major cardiology conferences. This article provides an overview of the efficacy and safety of PCSK9 inhibitors for CV risk reduction, with a focus on CVOTs, including data in high-risk patient subgroups, and considers the clinical impact of the study results for secondary prevention strategies in patients with hypercholesterolemia and CV risk despite maximally tolerated statin therapy.

## PCSK9 – A New Target for Cardiovascular Risk Reduction

The discovery of the serine protease PCSK9 in 2003<sup>21</sup> provided a novel potential target for treating hypercholesterolemia. In the same year, gain-of-function mutations in the gene for PCSK9 were linked with autosomal dominant hypercholesterolemia, and in 2005 loss-of-function mutations were identified in individuals with low levels of LDL-C and reduced risk of coronary heart disease, suggesting that PCSK9 plays a role in the regulation of LDL-C. In a longitudinal study in a large population, sequence variations in PCSK9 were associated with lower plasma levels of LDL-C, ie lifelong reduction in LDL-C, and provided protection against coronary heart disease.<sup>22</sup> Mechanistic studies established that PCSK9 is a circulating protein that binds to LDL receptors on hepatocytes and targets these receptors for lysosomal degradation.<sup>23</sup> PCSK9 raises LDL-C levels by preventing normal LDL receptor recycling to the cell membrane.

Monoclonal antibodies (mAbs) targeted against PCSK9 bind to the molecule and subsequently prevent it from forming a complex with the LDL receptor.<sup>24</sup> This mechanism effectively improves LDL-C clearance as the number of available LDL receptors on hepatocytes is increased. Two mAb PCSK9 inhibitors (alirocumab and evolocumab) have received marketing approval. Other mAbs against PCSK9 underwent clinical testing but failed to progress to the medical marketplace. RG7652 (Roche) and LY3015014 (Eli Lilly) did not undergo phase 3 trials based on manufacturers' decisions. Bococizumab completed a phase 2 trial<sup>25</sup> and was entered for evaluation in two parallel CVOT trials (SPIRE-1 and -2)<sup>26</sup> in 27,438 patients at high CV risk. These studies were discontinued early, following findings that showed attenuated LDL-C lowering with bococizumab over time related to the development of antidrug antibodies.<sup>26</sup> Unlike alirocumab and evolocumab, both fully human mAbs with low immunogenicity, bococizumab is a humanized mAb retaining  $\sim 3\%$  of a murine sequence in its antigen-binding complementarity-determining region. This difference probably affected its immunogenicity profile compared with the approved products.<sup>26</sup> Development of bococizumab has ceased.

# Monoclonal Antibody PCSK9 Inhibitors – Early Trials

Initial trials with alirocumab and evolocumab on background statin therapy demonstrated large reductions in LDL-C (by

~50%–60%),<sup>27–31</sup> and by ~47%–51% as monotherapy.<sup>32,33</sup> In phase 3 clinical trials, alirocumab and evolocumab demonstrated significant reductions in LDL-C levels in individuals at high risk for CV events and/or with heterozygous familial hypercholesterolemia on background statin therapy<sup>34–42</sup> regardless of age,<sup>43,44</sup> as monotherapy,<sup>33,45</sup> and in patients with statin intolerance.<sup>40,46</sup> Although it was expected that PCSK9 inhibitors would be used on top of statins, the monotherapy trials suggested utility when statin therapy could not be used. These impressive lipid results in early studies suggested that further CV risk reduction could be achieved if the relationship between lower LDL-C levels and CV risk, that was observed by the CTTC and Improved Reduction of Outcomes: Vytorin Efficacy International Trial investigators, was continuous down to lower LDL-C levels.

Importantly, the favorable lipid effects of alirocumab and evolocumab included patients studied in high CV risk subgroups. These studies involved patients with diabetes,<sup>47–50</sup> mixed dyslipidemia,<sup>51–53</sup> chronic kidney disease,<sup>54,55</sup> and established ASCVD,<sup>56,57</sup> including high-risk subgroups with prior percutaneous coronary intervention/coronary artery bypass grafting (CABG)<sup>58</sup> or type 2 diabetes mellitus.<sup>59</sup> Post hoc analyses of 52-week evolocumab (OSLER) and 78-week alirocumab (LONG TERM) pivotal studies indicated statistically significant relative risk reductions (RRRs) of 48%–53% in CV events versus placebo, increasing the interest in dedicated CVOTs for these mAbs.<sup>60,61</sup>

# PCSK9 Inhibitor Cardiovascular Outcomes Trials

Trial design summaries of the completed large dedicated CVOTs are presented in Table 1,<sup>61,62</sup> for evolocumab (FOURIER;<sup>63</sup> in 27,564 patients with established ASCVD [myocardial infarction (MI)/stroke/peripheral arterial disease (PAD)] at 1242 sites in 49 countries) and alirocumab (ODYSSEY OUTCOMES;<sup>62</sup> in 18,924 highrisk patients between 1 and 12 months post ACS at 1315 sites in 57 countries). Table 1 includes a description of the primary 4-point (in ODYSSEY OUTCOMES) and 5-point (in FOURIER) composite endpoints of major cardiovascular events (MACE) of each trial.

## FOURIER

The FOURIER trial evaluated evolocumab 140 mg every 2 weeks (Q2W) or 420 mg every 4 weeks in patients (mean age 63 years, 75% men) with ASCVD and elevated

	FOURIER <sup>61</sup>	ODYSSEY OUTCOMES <sup>62</sup>	ORION-4 (NCT03705234)
IMP	Evolocumab	Alirocumab	Inclisiran
Patients, n	27,564 (prior ASCVD: MI, non-hemorrhagic stroke, PAD, and ≥1 major/2 minor additional risk factors)	18,924 (ACS in previous 1–12 months)	15,000 planned (elevated LDL-C and ASCVD)
Trial design	r, db, pc	r, db, pc	r, db, pc
Trial status	Completed	Completed	Ongoing
Dosing regimen	140 mg Q2W or 420 mg Q4W	75ª/150 mg Q2W	300 mg SC injection at 3 months, then every 6 months
Inclusion criteria	LDL-C ≥70 mg/dL or non-HDL-C ≥100 mg/dL on background high-intensity statin following at least atorvastatin 20 mg/day or its equivalent, with/ without ezetimibe	LDL-C ≥70 mg/dL, non-HDL-C ≥100 mg/dL, or apoB ≥80 mg; on background atorvastatin 40–80 or rosuvastatin 20–40 mg/day, or maximum tolerated dose, age ≥40 years	Age ≥55 years; history of MI/IS/ PAD
High-intensity statins	69% (atorvastatin ≥40 mg, rosuvastatin ≥20 mg, or simvastatin 80 mg)	89% (evidence-based statin dose)	
Median follow-up	2.2 years	2.8 (44% with ≥3 years)	5 years
Endpoints	Primary: CV death, MI, stroke, revascularization, or hospitalization for UA Secondary: CV death, MI/stroke	Primary: CHD death, Ml, IS, or hospitalization for UA Secondary: CHD (any or major) or CV event; composite of death from any cause/nonfatal Ml/ stroke; death from CHD, CV, or any cause	Primary: Time to first occurrence of CHD death/MI/ fatal or non-fatal IS/urgent coronary revascularization

 Table I Prospective Phase 3 Cardiovascular Outcomes Trials

Note: <sup>a</sup>7.7% blindly switched to placebo at median 8.3 months after 2 consecutive LDL-C measurements of <15 mg/dL on the 75 mg dose.

Abbreviations: apoB, apolipoprotein B; ASCVD, atherosclerotic cardiovascular disease; db, double-blind; CHD, coronary heart disease; CV, cardiovascular; FOURIER, Further Cardiovascular Outcomes Research with PCSK9 Inhibition in Subjects with Elevated Risk; IMP, investigational medicinal product; IS, ischemic stroke; LDL-C, lowdensity lipoprotein cholesterol; MI, myocardial infarction; non-HDL-C, non-high-density lipoprotein cholesterol; ODYSSEY OUTCOMES, Evaluation of Cardiovascular Outcomes After an Acute Coronary Syndrome During Treatment With Alirocumab; PAD, peripheral artery disease; pc, placebo-controlled; PCSK9, proprotein convertase subtilisin/kexin type 9; Q2W, every 2 weeks; Q4W, every 4 weeks; r, randomized; SPIRE, Studies of PCSK9 Inhibition and the Reduction of Vascular Events; SC, subcutaneous; UA, unstable angina.

LDL-C levels ( $\geq$ 70 mg/dL) despite statin therapy, including 69% on high-intensity statin therapy (Table 1). Evolocumab led to consistent and sustained reductions in LDL-C and other atherogenic lipids.<sup>63</sup> Compared with placebo, mean reduction from baseline in LDL-C with evolocumab was 59% at 11 months; at median follow-up of 2.2 years, fewer MACE had occurred with evolocumab than placebo (9.8% vs 11.3%; hazard ratio [HR], 0.85; p<0.001, Figure 1A). In addition to the 15% reduction in the risk of MACE, there was a 20% reduction in the risk of the main secondary efficacy endpoint, composite of CV death/MI/ischemic stroke (p<0.001), and reductions in other secondary endpoints.<sup>63</sup>

PCSK9 inhibitors have been shown to significantly reduce plasma lipoprotein(a) [Lp(a)] levels;<sup>64,65</sup> however, the relationship between Lp(a) levels, PCSK9 inhibition, and CV risk reduction is not clear.<sup>66</sup> In a prespecified analysis of FOURIER that assessed Lp(a) levels up to 48-weeks of treatment, in the placebo group, patients with higher baseline Lp(a) had a higher risk of coronary heart disease death, MI,

or urgent revascularization (adjusted HR quartile 4: quartile 1, 1.22), independent of LDL-C.<sup>67</sup> Evolocumab significantly reduced Lp(a) from baseline to 48 weeks by a median of 27% with a moderate correlation between the percent change in Lp(a) and LDL-C (p<0.001). In patients with higher (>median) than lower ( $\leq$ median) baseline Lp(a), the reduction in the risk of MACE was greater, 23% (HR 0.77) and 7% (HR 0.93), respectively.

# FOURIER – Subanalyses in High-Risk Patients

In pre-specified secondary analyses of FOURIER, evolocumab led to significantly reduced events on background statin therapy (Figure 1B).<sup>68</sup> Consistent significant reductions in the primary CV endpoint were shown in high-risk subgroups including baseline PAD<sup>69</sup> or diabetes.<sup>70</sup> The higher-risk PAD patients had larger absolute risk reductions (ARRs) for primary and the key secondary endpoints. Lowering LDL-C, even down to <10 mg/dL, reduced the risk of major adverse limb events. LDL-C

#### Α

CV outcomes at 2.2 years follow-up, n (%)	Evolocumab (n=13,784)	Placebo (n=13,780)		HR (95% Cl; p-value)	RRR
Primary endpoint <sup>a</sup>	1344 (9.8)	1563 (11.3)	HH	0.85 (0.79–0.92; p<0.001)	15%
Key secondary endpoint <sup>ь</sup>	816 (5.9)	1013 (7.4)		0.80 (0.73–0.88; p<0.001)	20%
Other secondary endpoints	S <sup>c</sup>		1		
CV death	251 (1.8)	240 (1.7)	L L	→ 1.05 (0.88–1.25; p=0.62)	
Death from any cause	444 (3.2)	426 (3.1)	L.	→ 1.04 (0.91−1.19; p=0.54)	
МІ	468 (3.4)	639 (4.6)	- <b>I</b>	0.73 (0.65–0.82; p<0.001)	27%
Stroke	207 (1.5)	262 (1.9)	<b>—</b>	0.79 (0.66–0.95; p=0.01)	21%
Coronary revascularization	759 (5.5)	965 (7.0)	H	0.78 (0.71–0.86; p<0.001)	22%
		0.5	HR (95	5 % CI)	

#### В

CV outcomes at 2.2 years follow-up, n (%)	Evolocumab (n=13,784)	Placebo (n=13,780)	i	HR (95% Cl; p-value)	RRR	ARR	NNT
Total CV events <sup>a,d</sup>	2192	2714	Here !	0.82 (0.75–0.90; p<0.001)	18%		
Recurrent events <sup>a</sup>	848	1151	<b>—</b>	0.74 (0.65–0.85)	26%		
Total MI			⊷	0.74 (0.65–0.84; p<0.001)	26%		
Strokes			<b>—</b>	0.77 (0.64–0.93; p=0.007)	23%		
Coronary revascularization	ns		H I	0.78 (0.71–0.87; p<0.001)	22%		
Subgroup analyses <sup>a</sup>							
PAD®							
With (n=3642)	13.3	16.8	<b>⊢</b> •−i¦	0.79 (0.66–0.94; p=0.0098)	21%	3.5%	29
Without (n=23,922)	10.5	12.1	- Herei	0.86 (0.80–0.93; p<0.001)	14%	1.6%	63
DM <sup>f</sup>			1				
With (n=11,031)	622/5515 (14.4)	739/5516 (17.1)	- +++ ¦	0.83 (0.75–0.93; p=0.008)	17%	2.7%	37
Without (n=16,533)	722/8270 (11.4)	824/8264 (13.0)		0.87 (0.79–0.96; p=0.0052)	13%	1.6%	63
		0.5	HR (95%	CI)	<b>1</b> 5		

**Figure I** FOURIER.<sup>63</sup> (**A**) primary and secondary endpoints and (**B**) secondary analyses in high-risk subgroups. <sup>a</sup>CV death, MI/stroke, hospitalization for UA or coronary revascularization; ARR and RRR are indicated when reported in the reference, and NNT values were calculated as I/ARR. <sup>b</sup>CV death, MI/stroke. <sup>c</sup>Due to the hierarchical nature of the statistical testing, the *P* values for 'Other secondary endpoints' are considered exploratory. <sup>d</sup>Incidence RR reported from negative binomial regression model as RR (95% CI)<sup>68</sup> e<sup>2</sup>.5-year Kaplan–Meier rate<sup>69</sup> fNumber of patients (3-year Kaplan–Meier rate).<sup>70</sup>

Abbreviations: ARR, absolute risk reduction; CI, confidence interval; CV, cardiovascular; DM, diabetes mellitus; HR, hazard ratio; MI, myocardial infarction; NNT, number needed to treat; PAD, peripheral arterial disease; RR, rate ratio; RRR, relative risk reduction; UA, unstable angina.

reductions with evolocumab were similar in patients with (57%) and without (60%) diabetes (p<0.0001 for both); both groups achieved LDL-C levels of 31 mg/dL at 48 weeks. As shown for patients with PAD,<sup>69</sup> ARRs for the primary endpoint were greater for patients with than without baseline diabetes, reflecting the higher risk among diabetics,<sup>70</sup> and consequent number needed to treat (NNT) values were lower for patients with than without PAD (29 vs 63) or diabetes (37 vs 63).

Additionally, an analysis of high-risk patients with prior MI in FOURIER showed that those at increasing risk, ie closer to their most recent MI, with multiple prior MIs, or with residual multivessel coronary artery disease, experienced greater risk reductions with LDL-C lowering: 20%, 18%, and 21%, respectively.<sup>71</sup> By contrast,

RRRs were 5%, 8%, and 7%, respectively, in those without MI.

# ODYSSEY OUTCOMES

The effect of alirocumab on the risk of MACE was evaluated over a median of 2.8 years in ODYSSEY OUTCOMES in high-risk patients with a recent ACS and elevated levels of atherogenic lipoproteins despite statin therapy at a high intensity (89% of patients) or maximum tolerated dose.<sup>62</sup> The minimum follow-up was 2 years, with many patients (44%) treated for  $\geq$ 3 years. In this CVOT, alirocumab was blindly titrated to target an LDL-C of 25–50 mg/dL using 2 dosing strategies (75 mg and 150 mg Q2W); patients were initiated on alirocumab 75 mg Q2W and switched to 150 mg Q2W if their LDL-C levels remained above 50 mg/dL (n=2615), but

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were titrated to 75 mg if their LDL-C fell below 25 mg/dL (n=805); patients with 2 consecutive LDL-C measurements <15 mg/dL while on the 75 mg dose (n=730) were blindly switched to placebo for the remainder of the trial. Consistent and sustained reductions in LDL-C and other atherogenic lipids were observed. By 12 months, compared with placebo, LDL-C reduction from baseline with alirocumab was 61.0% in an on-treatment analysis, and the composite primary CV endpoint occurred in fewer alirocumab than placebo recipients (9.5% vs 11.1%; HR, 0.85; p<0.001).<sup>62</sup> Further details of the effect of alirocumab on primary and secondary endpoints are presented in Figure 2A.

Fewer deaths from any cause were observed with alirocumab over median 2.8 years of follow-up (Figure 2B); however, hierarchical analyses testing showed no significant difference in death from coronary artery disease; hence, the observed mortality benefit with alirocumab is considered an exploratory/hypothesis-generating finding.<sup>72</sup> A mortality benefit was also seen in prespecified secondary analyses of patients who were followed for  $\geq$ 3 years and in those with LDL-C  $\geq$ 100 mg/ dL at baseline.<sup>62,73</sup> In a further analysis, the number of recurrent nonfatal CV events and all-cause mortality was reduced over a median of 2.8 years of follow-up, which was almost twice the number of first events prevented.<sup>74</sup>

#### Α

CV outcomes at 2.8 years follow-up, n (%)	Alirocumab (n=9642)	Placebo (n=9462)	I	HR (95% Cl; p-value)	RRR	ARR
Primary endpoint <sup>a</sup>	903 (9.5)	1052 (11.1)	<b>H</b>	0.85 (0.78–0.93; p<0.001)	15%	1.6%
Major secondary endpoints						
Any CHD event	1199 (12.7)	1349 (14.3)	He I	0.88 (0.81–0.95; p=0.001)	12%	
Major CHD event	793 (8.4)	899 (9.5)	H#H	0.88 (0.80–0.96; p=0.006)	12%	
Any CV event	1301 (13.7)	1474 (15.6)	H#H	0.87 (0.81–0.94; p<0.001)	13%	
Composite of death from any cause, nonfatal MI/stroke	973 (10.3)	1126 (11.9)	H#H	0.86 (0.79–0.93; p<0.001)	14%	
Death from CHD	205 (2.2)	222 (2.3)	<b>•••</b>	0.92 (0.76–1.11; p=0.38)	8%	
Death from CV causes	240 (2.5)	271 (2.9)	н	0.88 (0.74–1.05)	12%	
Death from any cause	334 (3.5)	392 (4.1)	<b>⊢</b> ⊷-{	0.85 (0.73–0.98)	15%	
		0.5	HR (95%	CI)		

#### В

CV outcomes at 2.8 years follow-up, n (%)	Alirocumab (n=9462)	Placebo (n=9462)	(95% C	HR 3l; p-value)	RRR	ARR	NNT
Total mortality <sup>ь</sup>	334 (3.5)	392 (4.1)	<b>→→→</b> 0.85 (0.73–0.	.98; nominal p=0.03)	15%		
CV deaths	240 (2.5)	271 (2.9)	••••••••••••••••••••••••••••••••••••••	74–1.05; p=0.15)	12%		
Non-CV deaths	94 (1.0)	121 (1.3)	• • • • 0.77 (0.	59–1.01; p=0.06)	23%		
Total mortality over ≥3 years (n=8242)	94 (1.0)	121 (1.3)	•••••• 0.78 (0.	65–0.94; p=0.01)	22%		
Polyvasculardisease <sup>a</sup>							
Monovascular (91.8%)	8.5%	10.0%	0.85 (0.7	7–0.93; p=0.0008)		1.4%	71
Polyvascular (2 beds; 7.4%)	20.1%	22.2%	·+			1.9%	53
Polyvascular (3 beds; 0.8%)	26.8%	39.7%	• 0.64 (0.	35–1.12; p=0.11)		13%	8
DMª							
Normoglycemia (27.7%)	7.3%	8.5%	0.85	(0.70–1.03)	15%	1.2%	83
Prediabetes (43.6%)	8.0%	9.2%	0.86	(0.74–1.00)	14%	1.2%	83
DM (28.8%)	14.1%	16.4%	0.84	(0.74–0.97)	16%	2.3%	43
Ageª			1				
<65 (73%)	8.8%	9.7%	0.89	(0.80–1.00)	11%	0.9%	111
≥65 (27%)	12.9%	16.8%	0.78	(0.68–0.91)	22%	3.9%	26
		C	5	<mark></mark> 5	5		
			HR (95% CI)				

Figure 2 ODYSSEY OUTCOMES (A) primary and key secondary endpoints,<sup>62</sup> and (B) secondary analyses in high-risk subgroups.<sup>73,77-79</sup> <sup>a</sup>CHD death, nonfatal MI, ischemic stroke, or hospitalization for unstable angina; ARR and RRR are indicated when reported in the reference, and NNT values were calculated as I/ARR. <sup>b</sup>Monovascular disease (coronary); 2 beds (coronary and peripheral artery or cerebrovascular); 3 vascular beds (coronary, peripheral artery, cerebrovascular).

Abbreviations: ARR, absolute risk reduction; CHD, coronary heart disease; CI, confidence interval; CV, cardiovascular; HR, hazard ratio; MI, myocardial infarction; NNT, number needed to treat; RRR, relative risk reduction; UA, unstable angina.

In a pre-specified analysis of ODYSSEY OUTCOMES, alirocumab was associated with an ~23% reduction in Lp(a), and the absolute reduction was directly related to the baseline level.<sup>75</sup> Additionally, alirocumab reduced LDL-C [corrected for the cholesterol content in Lp(a)] and the risk of MACE (HR, 0.85). Following adjustment for baseline concentrations of Lp(a) and LDL-C, and demographic and clinical characteristics, the reductions in Lp(a) predicted lower risk of MACE after ACS independent of the concurrent reduction in LDL-C.<sup>75</sup> The Lp(a) results from FOURIER in patients with ASCVD<sup>67</sup> and these results from ODYSSEY OUTCOMES<sup>75</sup> in patients with recent ACS support the suggestion that patients with higher baseline Lp(a) levels derive greater relative and absolute Lp(a) reductions, and hence greater coronary benefit from PCSK9 inhibition.<sup>66</sup>

# ODYSSEY OUTCOMES – Subanalyses in High-Risk Patients

In prespecified subanalyses, higher-risk patients derived larger ARRs for MACE and mortality with alirocumab and lower NNT values (Figure 2B).<sup>76</sup> These populations included patients with more recent ACS, baseline polyvascular disease<sup>77</sup> or prior CABG.<sup>76</sup> Higher disease severity, ie, increased number of diseased vascular beds or timing of CABG, led to larger ARRs for MACE and mortality with treatment (Figure 2B).<sup>76</sup> Analysis of further LDL-C lowering with alirocumab on CV outcomes by baseline glycemic status (normoglycemia, prediabetes, or diabetes) showed an ~2-fold ARR in patients with diabetes compared with those without (Figure 2B; Pinteraction=0.0019).78 In an analysis that compared the effect of alirocumab versus placebo according to age, alirocumab improved outcomes for patients with recent ACS irrespective of age,  $\geq 65$  versus <65 years, and even in older patients (aged  $\geq$ 75 years), there was increased absolute benefit without harm (Figure 2B).<sup>79</sup>

Initially, after approval, concerns were raised about the expense and value of the novel PCSK9 antibody class that may have contributed to underutilization in clinical practice.<sup>80,81</sup> Notably, the acquisition costs of PCSK9 inhibitors have decreased over time, and identification of patient groups that may derive the greatest clinical benefit<sup>82</sup> have improved access. A prespecified analysis of ODYSSEY OUTCOMES showed that, compared with placebo, alirocumab significantly reduced total (first and subsequent) hospitalizations and death, and increased days alive and out of hospital,<sup>83</sup> demonstrating that the clinical benefit translates to a reduction in the personal and overall healthcare burden of

the disease. These results were further demonstrated with the cost-effectiveness of alirocumab shown using data from ODYSSEY OUTCOMES.<sup>84</sup>

## Safety Data – Long-Term Safety

Open-label, uncontrolled long-term extensions of phase 3 clinical trials evaluating the efficacy and safety of alirocumab up to 3 years (ODYSSEY OLE)<sup>85</sup> and evolocumab up to 5 years (OSLER-1, OSLER-2)<sup>86–88</sup> indicate that this class of lipid-lowering therapy is generally well tolerated and results in consistent, sustained reductions in LDL-C. Overall incidence rates of treatment-emergent adverse events were similar or lower than that seen in the parent studies in which rates tended to be similar between treatment and placebo.<sup>85,88</sup> Adverse event rates for injection-site reactions dropped with continued use of evolocumab, and rates of new-onset diabetes or neurocognitive events did not increase with long-term use.<sup>88</sup>

In a post hoc analysis of data from 10 alirocumab phase 3 trials, the association between lower LDL-C levels and reduced CV risk extended to levels below 50 mg/dL.<sup>89</sup> Despite safety concerns about reducing LDL-C to very low levels, no data set has found increased serious morbidity or mortality associated with the therapeutic lowering of LDL-C to date, including patients with levels reduced to <25 mg/dL.<sup>88,90</sup> Although the PCSK9 trials with safety data over 3–5 years showed sustained LDL-C reductions and no additional safety concerns beyond those observed in the shorter-term parent trials, the long-term safety of very low LDL-C should remain an area of interest and further analysis.

Consistent with their respective previous phase 3 trials,<sup>91</sup> evolocumab and alirocumab were well tolerated over the duration of their CVOTs (median 2.2 and 2.8 years, respectively) in high-risk patients with ASCVD or post-ACS (Figures 3 and 4).<sup>62,63</sup> No significant differences were observed in these trials between active treatment groups and placebo in the overall rates of adverse events (with the exception of injection-site reactions) serious adverse events, or adverse events thought to be related to the study agent and leading to discontinuation of the study regimen.<sup>62,63</sup>

Anti-PCSK9 therapy had favorable effects on ischemic stroke without increasing hemorrhagic stroke. In ODYSSEY OUTCOMES, while targeting LDL-C levels of 25–50 mg/dL and avoiding levels <15 mg/dL, over median follow-up of 2.8 years, alirocumab reduced the risk of any stroke, and ischemic stroke, without increasing the risk of hemorrhagic stroke, irrespective of baseline

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**Figure 3** FOURIER<sup>63</sup> safety summary and antidrug antibody incidence. <sup>a</sup>Not reported. **Abbreviations:** DM, diabetes mellitus; SAE, serious adverse event.



**Figure 4** ODYSSEY OUTCOMES<sup>62</sup> safety summary and antidrug antibody incidence. <sup>a</sup>Not reported. **Abbreviations:** DM, diabetes mellitus; SAE, serious adverse event.

LDL-C and history of cerebrovascular disease, and the treatment effect appeared numerically greater for patients with higher baseline LDL-C.<sup>92</sup> A limit on lower achieved LDL-C levels was not applied in FOURIER and, compared with placebo, the rate of hemorrhagic stroke was not increased.<sup>63</sup>

PCSK9 is expressed in low levels in tissues other than the liver (the pancreas, central nervous system, kidney, and intestine). Some authors have speculated that PCSK9 may play a role in other physiological processes beyond LDL-C control such as cell proliferation, but this hypothesis remains unproven. Patients with loss of function *PCSK9* mutations have low CV event rates and do not appear to have an increased risk of non-CV problems. Further, no safety concerns such as increased cancer risk have been observed with PCSK9 inhibition.<sup>93</sup> Although the longerterm safety of PCSK9 mAbs requires observation, to date, in randomized controlled trials of PCSK9 inhibitors vs control, PCSK9 inhibitors and lower achieved LDL-C have no association with "off-target" risk. These analyses have included no findings of increased non-CV serious adverse events, discontinuations due to non-CV adverse events, neurocognitive adverse events, incidence of hemorrhagic stroke,<sup>63,92</sup> incidence or worsening of preexisting diabetes,<sup>70,78</sup> muscle-related events, increase in levels of alanine or aspartate aminotransferase, creatine kinase increases, or myalgia.<sup>94,95</sup>

# Guideline Recommendations for High/Very High- and Extreme-Risk Patients

With each revision, cholesterol guidelines have supported lower LDL-C levels in line with the latest data. Over time, these data derived from trials of statins vs placebo, more intensive vs less intensive statin therapy, and now from the PCSK9 CVOTs. The LDL-C goal/threshold recommendations from the 2018 American College of Cardiology/ American Heart Association are <70 mg/dL (1.8 mmol/L) for high- and very high-risk patients, and aggressive lipidlowering therapy is recommended to achieve this.<sup>4</sup> Additional non-statin therapy with ezetimibe as the initial agent is recommended for very high-risk patients, with a PCSK9 inhibitor on background ezetimibe and maximally tolerated statin therapy when LDL-C remains  $\geq$ 70 mg/dL.

In addition to recommending high-intensity or maximally tolerated statin therapy for secondary prevention in patients with ASCVD, the American College of Cardiology/American Heart Association cholesterol guidelines (Figure 5A) and the European Society of Cardiology (ESC)/European Atherosclerosis Society (EAS) dyslipidemia guidelines (Figure 5B) provide specific recommendations based on high- and very high-risk categories.<sup>4,6</sup> The American Association of Clinical Endocrinologists/ American College of Endocrinology consensus also endorses combination treatment beyond statins, and describes an additional risk category, extreme risk (ie progressive ASCVD and ASCVD plus additional risk factors) (Figure 5C), with goals of <55 mg/dL (1.4 mmol/L) and <70 mg/dL (1.8 mmol/L) for very high- and high-risk patients, respectively.<sup>5</sup> The consensus also agrees that ezetimibe may be used before a PCSK9 inhibitor because of its lower price, but for extreme-risk patients for whom LDL-C remains high, immediate progression to PCSK9

inhibitor therapy is recommended. In line with "lower LDL-C is better" for CV prevention, the ESC/EAS dyslipidemia guidelines recommend both at least a 50% reduction from baseline LDL-C levels, and target LDL-C levels of <70 mg/dL (<1.8 mmol/L) and <55 mg/dL (1.4 mmol/L) for high- and very high-risk patients, respectively.<sup>6</sup> To attain these low LDL-C levels, combination therapy is recommended, first with ezetimibe then a PCSK9 inhibitor.<sup>6</sup>

Based on the results of statin<sup>96–98</sup> and PCSK9 inhibitor CVOTs,<sup>62</sup> new to the ESC/EAS guidelines is the recognition that patients post recent ACS are at very high risk of recurrent ischemic events.<sup>6</sup> For post-ACS patients with any recurrent vascular within 2 years despite maximally tolerated statin therapy, a lower target of <40 mg/dL (<1.0 mmol/L) may be considered.<sup>6</sup> To attain such low levels, combination therapy is recommended, first with ezetimibe then a PCSK9 inhibitor.

# Emerging PCSK9-Targeted Therapies for Cardiovascular Risk Reduction

Subsequent to the discovery of PCSK9 as a target for LDL-C lowering and the development of mAbs to PCSK9, novel therapies in various stages of development have emerged. These new approaches to targeting PCSK9 include small interfering RNA molecules that block the hepatic production of PCSK9, *PCSK9* gene silencing with CRISPR-Cas9 gene editing strategies, small molecules that inhibit PCSK9-LDL receptor binding or promote LDL receptor recycling, and PCSK9 vaccines that utilize the patient's immune system to eliminate circulating PCSK9 levels. A review of these therapies in various early stages of development is beyond the scope of this article. Further details of these novel potential therapies can be found elsewhere, as reviewed by Seidah et al 2019.<sup>99</sup>

The most advanced of these potential therapies is inclisiran, a synthetic small interfering RNA that reduces the hepatic production of PCSK9. Inclisiran targets PCSK9 synthesis at the intracellular level of hepatocytes while systemic PCSK9 mAbs target circulating PCSK9 at the extracellular level of hepatocytes to reduce PCSK9 and LDL-C levels.<sup>24</sup> Hepatocytes are the main source of circulating PCSK9, with a lower expression in the intestine, kidneys, and blood vessels.<sup>100</sup>

Inclisiran markedly reduced LDL-C levels in a 6-month phase 2 trial (ORION 1)<sup>101</sup> in high-risk patients receiving either statin therapy or ezetimibe, regardless of diabetes<sup>102</sup> or

Α		В	С		
Very-high risk • History of multiple major ASCVD events • One major ASCVD event and multiple high-risk conditions • Major ASCVD events: recent ACS (within the past 12 months); history of MI (other than recent ACS event listed above); history of ischemic stroke; symptomatic PAD (history of claudication with ABI <0.85 or previous revascularization or amputation). High risk	LDL-C goal mg/dL (mmol/L) <70 (<1.8)	<ul> <li>Very-high risk</li> <li>Documented ASCVD, either clinical or unequivocal on imaging<sup>a</sup> (ie, previous ACS, stable angina, coronary revascularization, stroke and TIA, and PAD</li> <li>DM with target organ damage, ≥3 major risk factors or early onset of type 1DM of long duration (&gt;20 years)</li> </ul>	LDL-C goal, mg/dL (mmol/L) <55 (<1.4) and ≥50% reduction from baseline	Extreme risk <ul> <li>Progressive ASCVD including unstable angina in patients after achieving an LDL-C &lt;70 mg/dL</li> <li>Established clinical cardiovascular disease in patients with DM, CKD stage 3/4, or HeFH</li> <li>History of premature ASCVD (aged &lt;55 years male or &lt;65 years female)</li> </ul>	LDL-C goal mg/dL (mmol/L) <55 (<1.4)
		<ul> <li>Severe CAD (GGTH 320 mD/mh/1,73 m<sup>2</sup>)</li> <li>10-year risk of fatal CVD: calculated SCORE ≥10%</li> <li>FH with ASCVD or with another major risk factor</li> <li>ACS patients who experience a second vascular event within 2 years</li> </ul>	<40 (<1.0)	Very-high risk • Established or recent hospitalization for ACS, coronary, carotid or peripheral vascular disease, 10-year risk >20% • DM or CKD stage 3/4 with ≥1 risk	<70 (<1.8)
<ul><li>Age ≥65 years</li><li>HeFH</li></ul>		(not necessarily of the same type as the first event) while taking maximally		• HeFH	
<ul> <li>History of prior CABG/PCI outside of the major ASCVD event(s)</li> <li>DM</li> <li>Hypertension</li> <li>CKD (eGFR 15–59 mL/min/1.73 m<sup>2</sup>)</li> <li>Currently smoking</li> </ul>		tolerated stain therapy High risk • Markedly elevated single risk factors, in particular TC >8 mmol/L (>310 mg/dL), LDL-C >4.9 mmol/L	<70 (<1.8) and ≥50% reduction	High risk ≥ 2 risk factors and 10-year risk 10–20% Diabetes or CKD stage 3/4 with no other risk factors	<100 (<2.6)
<ul> <li>Persistently elevated LDL-C (≥100 mg/dL [&gt;2.6 mmol/L]) despite maximally tolerated statin therapy and ezetimibe</li> <li>History of congestive heart failure</li> </ul>		<ul> <li>(&gt;190 mg/dL), or BP ≥ 180/110 mmHg</li> <li>FH without other major risk factors</li> <li>DM without target organ damage,* with DM duration ≥10 years or other additional risk factors</li> <li>Moderate CKD (eGFR 30–59 mL/min /1.73 m<sup>2</sup>)</li> <li>10-year risk of fatal CVD: calculated SCORE&gt;5% and &lt;10%</li> </ul>	from baseline	Moderate risk • ≤2 risk factors and a calculated 10-year risk <10%	<100 (<2.6)
		Moderate risk • Young patients (type 1 DM <35 years; type 2 DM <50 years) with DM duration <10 years, without other risk factors, 10-year risk of fatal CVD: calculated SCORE ≥1% and <5%	<100 (<2.6)		

Figure 5 ASCVD risk categories from (A) the 2018 ACC/AHA cholesterol guidelines,<sup>4</sup> (B) the 2019 ESC/EAS dyslipidemia guidelines,<sup>6</sup> and (C) the 2017 AACE/ACE guidelines.<sup>5</sup> aUnequivocally documented ASCVD on imaging includes findings known to be predictive of clinical events, such as significant plaque on coronary angiography or CT scan defined by multivessel coronary disease with 2 major epicardial arteries having >50% stenosis.

Abbreviations: AACE, American Association of Clinical Endocrinologists; ABI, ankle-brachial index; ACC, American College of Cardiology; ACE, American College of Endocrinology; ACS, acute coronary syndrome; AHA, American Heart Association; ASCVD, atherosclerotic cardiovascular disease; BP, blood pressure; CABG, coronary artery bypass graft; CKD, chronic kidney disease; DM, diabetes mellitus; eGFR, estimated glomerular filtration rate; EAS, European Atherosclerosis Society; ESC, European Society of Cardiology; FH, familial hypercholesterolemia; HeFH, heterozygous familial hypercholesterolemia; LDL-C, low-density lipoprotein cholesterol; MI, myocardial infarction; PAD, peripheral arterial disease; PCI, percutaneous coronary intervention; SCORE, Systematic Coronary Risk Estimation; TC, total cholesterol; TIA, transient ischemic attack.

renal impairment<sup>103</sup> status. In a prespecified analysis of this trial, LDL-C reductions were maintained at 1-year follow up.<sup>104</sup> A phase 3 program of three placebo-controlled trials of subcutaneous inclisiran injections dosed twice yearly on background maximum tolerated statin therapy, ORION-9 (NCT03397121), ORION-10 (NCT03399370), and ORION-11 (NCT03400800), was completed late in 2019, and regulatory submission was filed with the United States Food and Drug Administration. In patients with heterozygous familial hypercholesterolemia (ORION-9<sup>105</sup>), ASCVD (ORION-10<sup>106</sup>), and ASCVD or ASCVD risk equivalents (ORION-11<sup>106</sup>), compared with placebo, inclisiran significantly reduced LDL-C up to 17 months by 48%, 54%, and 49%, respectively (p<0.001 for all). The safety profile of inclisiran was similar to that of placebo, with no treatmentrelated liver or renal abnormalities,<sup>105,106</sup> although generally

mild injection-site adverse events were more frequent with inclisiran than with placebo.<sup>106</sup> The ongoing large (n=15,000 planned) ORION-4 trial in patients with pre-existing ASCVD will determine whether the marked LDL-C reductions shown in these trials will translate to a reduction in CV disease risk (Table 1) (NCT03705234). Primary results from this study, which commenced in October 2018, are expected in December 2024.

In the future, lipid-lowering therapies with less frequent dosing regimens due to longer half-lives, or more frequent dosing of therapies with shorter half-lives, may provide favorable uninterrupted LDL-C reduction for CV risk reduction. Additionally, early initiation of LDL-C-lowering therapy with less intensive treatment over a longer duration may provide CV benefits. A trial of evolocumab in patients without prior MI or stroke is underway (NCT03872401).

Emerging evidence and new risk stratification strategies may see future cholesterol guideline updates place emphasis on early primary prevention to further reduce CV risk. Lifelong exposure to LDL-C has been shown to cumulatively increase ASCVD risk,<sup>107</sup> and early LDL-C lowering, even from childhood, may mitigate this risk.<sup>108</sup> Ideally, high-risk asymptomatic patients should receive lipid-lowering therapy before atherosclerotic plaque development. Polygenic risk scores for coronary artery disease have been shown to identify higher-risk individuals, independently of baseline LDL-C and other known risk factors, who may derive greater from clinical statin and/or benefit PCSK9 inhibitors.<sup>109,110</sup> In post-ACS and ASCVD patients, a high polygenic risk score for coronary artery disease was associated with an elevated risk for recurrent MACE and larger ARR and RRR with alirocumab<sup>109</sup> and evolocumab,<sup>110</sup> respectively, providing an independent tool that may be used for risk stratification and early targeted therapy.

## Conclusion

Clinicians treating hypercholesterolemia often encounter patients at high CV risk despite the appropriate use of maximally tolerated statin therapy. Results from the large FOURIER and ODYSSEY OUTCOMES CVOTs showed that, in high CV risk patients or in patients with recent ACS, the PCSK9 inhibitors evolocumab and alirocumab achieved additional LDL-C and CV risk reduction beyond that seen with statins.<sup>62,63</sup> Additionally, these results informed the risk categorization and lower LDL-C goals of subsequent cholesterol guideline updates, and those of the 2019 American Association of Clinical Endocrinologists/ American College of Endocrinology consensus statement for the comprehensive management of individuals with type 2 diabetes.<sup>4–6,111</sup>

Subgroup analyses of FOURIER and ODYSSEY OUTCOMES in patients with concurrent PAD, diabetes, or recent MI showed that these patients are at the highest risk of MACE and mortality.<sup>68–70,77–79</sup> Treatment with alirocumab or evolocumab on background statin therapy resulted in larger ARRs in these high-risk subgroups and lower NNT values (Figure 6), identifying them as those who would derive the greatest benefit from treatment with a PCSK9 mAb.

Uncontrolled elevated LDL-C levels place patients at cumulative increased CV risk. Low/extremely low LDL-C levels are increasingly recognized as ideal. Importantly, the safety and tolerability profiles of alirocumab and evolocumab for LDL-C and CV risk reduction, even down to very low levels, have not raised significant concerns regarding neurocognitive adverse events, diabetes, stroke or cancer risk, muscle-related events, liver dysfunction, or myalgia.<sup>62,63,92,94,95</sup>

PCSK9 inhibitor CVOT results show that optimal therapeutic strategies for secondary prevention should deploy additional aggressive LDL-C-lowering measures to minimize the contribution of hypercholesterolemia to CV risk in the management of very high/high-risk patients in the clinical setting who may benefit the most. Although access costs and cost-effectiveness have limited recommendations for the use of PCSK9



**Figure 6** Cardiovascular outcomes trials of PCSK9 inhibitors<sup>62,63</sup> and benefit in high-risk subgroups.<sup>69,70,77,78</sup> **Abbreviations:** ACS, acute coronary syndrome; ARR, absolute risk reduction; ASCVD, atherosclerotic cardiovascular disease; DM, diabetes mellitus, LDL-C, low-density lipoprotein cholesterol; PAD, peripheral arterial disease; PVD, polyvascular disease; RRR, relative risk reduction. inhibitors in the past, the subsequent price reductions and the identification of patients most likely to benefit from PSCK9 inhibitor therapy may impact future prospects for CV risk reduction in the clinical setting.

## **Acknowledgments**

Medical writing assistance and editorial support, under the direction of the authors, was provided by Nila Bhana, MSc, and Rob Campbell, PhD, of Prime, Knutsford, UK, funded by Sanofi and Regeneron Pharmaceuticals, Inc., according to Good Publication Practice guidelines (<u>https://www.acp</u> journals.org/doi/10.7326/M15-0288).

# **Author Contributions**

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work. Authors received no honoraria related to the development of this publication.

## Funding

This study was funded by Sanofi and Regeneron Pharmaceuticals, Inc.

## Disclosure

Employees of Sanofi and Regeneron Pharmaceuticals, Inc., were permitted to review the manuscript and offer comments. However, the authors were responsible for all content and editorial decisions. Dr Duprez has received clinical trial support from Regeneron Pharmaceuticals, Inc., AstraZeneca, and Esperion. Dr Handelsman has received research grants, and consultant and speaker honoraria from Amarin, Amgen, Applied Therapeutic, AstraZeneca, **Bristol-Myers** Squibb, Boehringer Ingelheim, Boehringer Ingelheim-Eli Lilly and Company alliance, Esperion, Gan & Lee, Gilead, Janssen, Merck, Mylan, Merck-Pfizer, Novo Nordisk A/S, and Sanofi; and reports non-financial support from Sanofi, during the conduct of the study; grants and personal fees from Amgen and Novo, grants, personal fees, and non-financial support from Astrazeneca and Sanofi, and personal fees from Esperion and Regeneron Pharmaceuticals, Inc., outside the submitted work. Dr Koren is an employee of a company that has received study grants and consulting fees from manufacturers of PCSK9 inhibitors and treatments for lipid disorders. The authors report no other potential conflicts of interest for this work.

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