

# The Plasma Concentration of D-Dimer is Associated with Neoadjuvant-Chemotherapy Efficacy and the Prognosis in Osteosarcoma

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**Purpose:** This retrospective study explored the clinical value of the plasma D-dimer level in osteosarcoma.

**Materials and Methods:** We measured the plasma D-dimer level before neoadjuvant chemotherapy (D0) and the plasma D-dimer level after four courses of neoadjuvant chemotherapy (D1) in 103 patients with stage-IIB high-grade osteosarcoma of the limb. The change in the D-dimer level ( $\Delta D$ ) was defined as D1 minus D0. The chi-square test was used to compare categorical variables. Analyses of receiver operating characteristic (ROC) curves were undertaken to determine the optimal cutoff points for D0, D1, and  $\Delta D$ . The area under the ROC (AUC) of D0, D1, and  $\Delta D$  was calculated to evaluate their discriminatory abilities in monitoring the response to neoadjuvant chemotherapy (tumor necrosis). Survival curves were generated according to Kaplan–Meier analyses and compared using the Log rank test. Univariate analyses and multivariate analyses were carried out to determine independent prognostic factors.

**Results:** Kaplan–Meier curves showed that a high D-dimer level at D0 and tumor diameter  $\geq 8$  cm were associated significantly with worse overall survival (OS) ( $P < 0.05$ ). Multivariate Cox regression analyses revealed a high D-dimer level at D0 (hazard ratio, 3.92; 95% confidence interval, 1.756–5.804;  $P = 0.000$ ) was an independent unfavorable prognostic factor. The chi-square test showed  $\Delta D$  to be associated significantly with tumor necrosis. Analyses of ROC curves showed the D-dimer level at D0 and  $\Delta D$  had better ability compared to that at D1 to discriminate the response to neoadjuvant chemotherapy.

**Conclusion:** The D-dimer level was correlated with the prognosis and response to chemotherapy in patients with stage-IIB high-grade osteosarcoma of the limb. The D-dimer level may serve as a risk factor of the response to chemotherapy and prognosis of localized osteosarcoma.

**Keywords:** D-dimer, osteosarcoma, necrosis, prognosis, neoadjuvant chemotherapy

## Introduction

Osteosarcoma is the most prevalent primary cancer of bone, with an incidence of 4.4 per million in children and adolescents.<sup>1</sup> Before the 1970s, osteosarcoma was treated by simple surgical excision, and carried a 5-year survival of ~10% to ~20%.<sup>2,3</sup> Multidisciplinary treatment (neoadjuvant chemotherapy, surgery, adjuvant chemotherapy) has improved 5-year survival to ~70%.<sup>4,5</sup> However, little clinically significant improvement in survival has been made over the last four decades, though more patients have had access to combination chemotherapy within and outside clinical trials.<sup>6</sup>

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Although primary metastases, large tumor diameter, axial or proximal extremity tumor sites, increased serum levels of alkaline phosphatase (ALP) and lactate dehydrogenase (LDH), and older age have been found to be prognostic factors, the response to preoperative chemotherapy has been found to be the most important prognostic factor.<sup>1,7-9</sup>

Neoadjuvant chemotherapy is the preferred initial treatment on account of the vital prognostic information provided by the tumor response.<sup>10</sup> Tumor response to neoadjuvant chemotherapy has an important role in subsequent care of patients with localized osteosarcoma. Patients who experience a “good” response ( $\geq 90\%$  tumor necrosis) to preoperative chemotherapy tend to achieve long-term survival.<sup>11</sup> However, tumor necrosis (representative of the histological response to neoadjuvant chemotherapy) can be estimated only after resection. Therefore, a noninvasive method that can predict the tumor response accurately is beneficial to determining an appropriate treatment strategy in individual patients.

A coagulation abnormality is associated with poor outcomes in cancer patients.<sup>12,13</sup> Coagulation products have been reported to be associated with the growth, progression and metastasis of cancer cells, and angiogenesis.<sup>14,15</sup> A high level of D-dimer (a degradation product of cross-linked fibrin<sup>16</sup>) is used not only as an indicator of thrombosis<sup>17</sup> but also as an independent predictor for increasing cancer incidence.<sup>18</sup> An increased plasma level of D-dimer has been reported to be an adverse prognostic factor in patients with colorectal cancer,<sup>19</sup> gastric cancer,<sup>20</sup> esophageal cancer,<sup>21</sup> breast cancer,<sup>22</sup> non-small-cell lung cancer,<sup>23</sup> or gynecological tumors.<sup>24</sup> Moreover, D-dimer serves as a predictive biomarker for chemotherapy response in gastric cancer,<sup>25</sup> colorectal cancer,<sup>26</sup> non-small-cell lung cancer,<sup>27</sup> and ovarian cancer.<sup>28</sup>

Previously, we found that the D-dimer level not only predicted the prognosis but also correlated with the response to second-line chemotherapy.<sup>29</sup> Here, we assessed the value of the D-dimer level in patients with stage-IIB high-grade osteosarcoma of the limb who underwent neoadjuvant chemotherapy.

## Materials and Methods

### Ethical Approval of the Study Protocol

The study was conducted in accordance with the Declaration of Helsinki 1964 and its later amendments. The ethics committee of Shanghai Sixth People’s Hospital (Shanghai, China) approved the study protocol. All

patients provided written informed consent to have their data used.

### Inclusion Criteria

The inclusion criteria were patients: (i) with histologically proven, high-grade, localized osteosarcoma of the extremity; (ii) who received neoadjuvant chemotherapy and had tumor necrosis; (iii) who had available D-dimer measurements at biopsy before neoadjuvant chemotherapy (D0) and after four courses of neoadjuvant chemotherapy (D1).

### Exclusion Criteria

The exclusion criteria were patients: (i) with acute illness within the 2 weeks of measurement of the D-dimer level; (ii) who took anticoagulants at the start of neoadjuvant chemotherapy; (iii) with other types of primary malignancy; (iv) with incomplete data.

### Patients

A total of 103 patients with stage-IIB high-grade osteosarcoma of the limb treated in our department between January 2010 and June 2012 were included in this retrospective study.

### Data Collection

Data on clinical characteristics (sex, age, Karnofsky Performance Scale (KPS) score, pathological fracture, necrosis severity, survival) were collected. Measurement of the D-dimer level was done at D0 and D1. The difference in the D-dimer level ( $\Delta D$ ) was defined as D1 minus D0.

### Statistical Analyses

The D-dimer level is presented as the mean  $\pm$  standard deviation. The D-dimer level at D0, D1, and  $\Delta D$  was compared using Wilcoxon signed-rank tests. With 5-year overall survival (OS) as the endpoint, we undertook analyses of receiver operating characteristic (ROC) curves to determine the optimal cutoff point for the D-dimer level at D0, D1, and  $\Delta D$ . The area under the ROC curve (AUC) of D0, D1, and  $\Delta D$  was calculated to evaluate their discriminatory abilities in monitoring the response to neoadjuvant chemotherapy (tumor necrosis). OS was defined as from the date of the diagnosis until the final follow-up date or death. Relapse-free survival (RFS) was defined as from the date of the operation until the relapse date or death. Survival curves were generated according to Kaplan–Meier analyses and compared using the Log rank test. Univariate analyses and

multivariate analyses were undertaken to determine independent prognostic factors. The chi-square test was used to compare categorical variables.  $P < 0.05$  (two-sided) was considered significant. Statistical analyses were undertaken using SPSS 19.0 (IBM, Armonk, NY, USA).

## Results

### Patient Characteristics

There were 65 male and 38 female patients (Table 1). The median age of the study cohort was 14 years. All patients had a KPS score  $\geq 80$ . Also, 88.3% of patients agreed to undergo a salvage procedure. In addition, 48.5% of cases had tumor necrosis  $\geq 90\%$ . Twelve patients had a pathological fracture. Patients accepted 4–8 courses of neoadjuvant chemotherapy.

**Table 1** Baseline Characteristics of the Patients

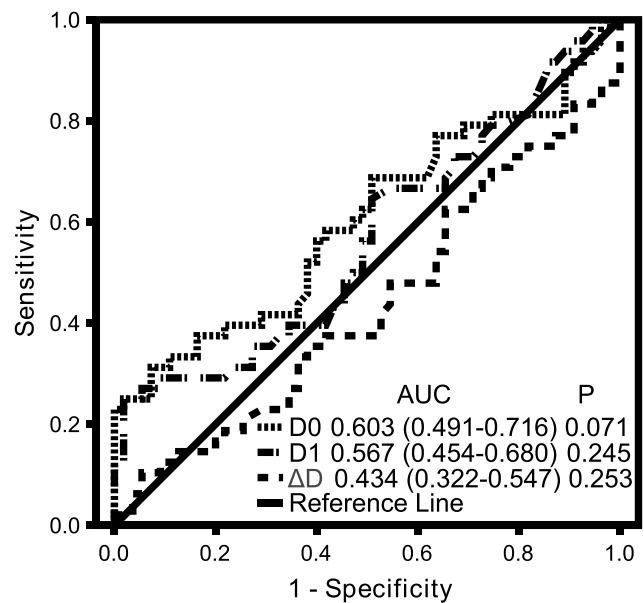
Characteristics	N (%)
Gender	
Female	38 (36.9)
Male	65 (63.1)
Age/year	
<18	78 (75.7)
$\geq 18$	25 (24.3)
Operation	
Amputation	12 (11.7)
Salvage	91 (88.3)
Tumor diameter	
<8cm	53 (51.5)
$\geq 8$ cm	50 (48.5)
Necrosis	
$\geq 90\%$	50 (48.5)
<90%	53 (51.5)
Pathological fracture	
Yes	12 (11.7)
No	91 (88.3)

**Note:** Data are presented as percentages.

**Table 2** Predictive Value of D-Dimer Levels for Predicting 5-Year Overall Survival

D-Dimer Levels	Cut-off Value	AUC	Sensitivity (%)	Specificity (%)	P
D0	3.91	0.603 (0.491–0.716)	33.3	94.5	0.071
D1	2.165	0.567 (0.454–0.680)	66.7	52.7	0.245
$\Delta D$	-0.053	0.434 (0.322–0.547)	2.1	100	0.253

**Abbreviations:** AUC, the area under the ROC curve; D0, the plasma D-dimer level before neoadjuvant chemotherapy; D1, the plasma D-dimer level after four courses of neoadjuvant chemotherapy;  $\Delta D$ , The change in the D-dimer level (D1 minus D0).



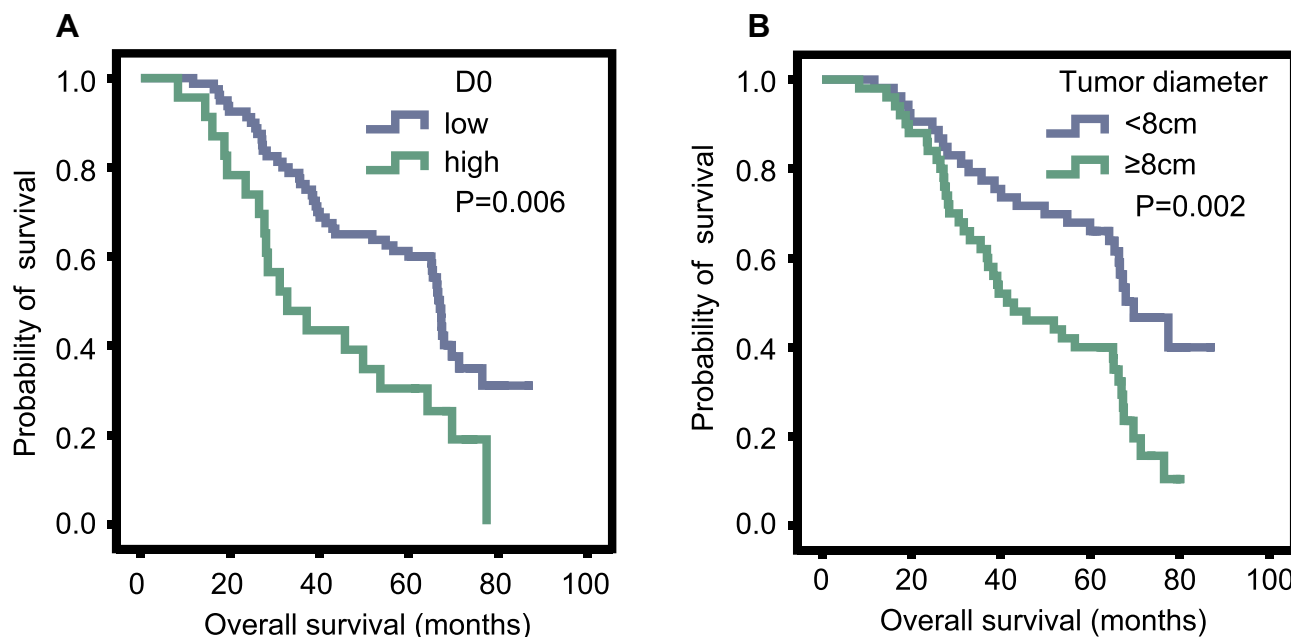
**Figure 1** ROC curves of D-dimer levels to predict prognosis.

**Abbreviations:** ROC, receiver operating characteristic; AUC, the area under the ROC curve; D0, the plasma D-dimer level before neoadjuvant chemotherapy; D1, the plasma D-dimer level after four courses of neoadjuvant chemotherapy;  $\Delta D$ , the change in the D-dimer level (D1 minus D0).

### Correlation Between the Plasma Level of D-Dimer and Survival

The median plasma concentration (in mg/mL) of D-dimer at D0, D1, and  $\Delta D$  was 1.05 (range, 0.02 to 21.02), 0.59 (0.13 to 17.99), and  $-0.27$  ( $-18.48$  to 5.17), respectively. According to analyses of ROC curves, the optimal threshold (in mg/mL) of D-dimer at D0, D1, and  $\Delta D$  was 3.91 (Youden Index, 0.279), 2.165 (0.258), and  $-0.053$  mg/mL (0.212), respectively (Figure 1 and Table 2). Patients were categorized into two groups according to these cutoff values.

The median OS was 61.4 (range, 8.8–86.8) months. The survival curve indicated that a high D-dimer level at D0 and tumor diameter  $\geq 8$  cm were associated significantly with worse OS according to the Log rank test ( $P < 0.05$ ) (Figure 2).



**Figure 2** Kaplan–Meier curves for overall survival. **(A)** D0, the plasma D-dimer level before neoadjuvant chemotherapy; **(B)** tumor diameter.

In the univariate analyses, the D-dimer level at D0 ( $P = 0.008$ ) and tumor diameter ( $P = 0.002$ ) were associated significantly with OS (Table 3). Multivariate analyses revealed that a high D-dimer level at D0 (hazard ratio, 3.92; 95% confidence interval [CI], 1.756–5.804;  $P = 0.000$ ) was an independent unfavorable prognostic factor.

The median RFS was 38.9 (range, 1.8–84.9) months. According to the survival curve, there were no significant differences between high level and low level of D0 ( $P = 0.162$ ), D1 ( $P = 0.250$ ), and  $\Delta D$  ( $P = 0.064$ ). And no of the D-dimer levels at D0, D1, and  $\Delta D$  correlated significantly with any types of relapse (Table 4).

**Table 3** Univariate and Multivariate Cox Proportional Hazard Regression Analyses of Overall Survival

Factor	Univariate Analysis		Multivariate Analysis	
	HR (95% CI)	P	HR (95% CI)	P
Gender		0.135		
Female	Reference			
Male	1.482(0.885–2.483)			
Age/year		0.513		
<18	Reference			
≥ 18	1.204 (0.691–2.097)			
Tumor diameter		0.002		0.420
<8cm	Reference		Reference	
≥ 8cm	2.203 (1.335–3.635)		1.260 (0.718–2.213)	
Pathological fracture		0.984		
No	Reference			
Yes	1.008 (0.479–2.119)			
D0		0.008		0.011
Low	Reference		Reference	
High	2.075 (1.213–3.551)		2.158 (1.192–3.904)	

**Abbreviations:** HR, hazard ratio; CI, confidence interval; SD, stable disease; PD, progressive disease; D0, the plasma D-dimer level before neoadjuvant chemotherapy; D1, the plasma D-dimer level after four courses of neoadjuvant chemotherapy;  $\Delta D$ , The change in the D-dimer level (D1 minus D0).

**Table 4** Correlations Between Plasma D-Dimer and Patient Clinical Characteristic

Characteristic	D0 High	P	D1 High	P	ΔD High	P
Gender Female Male	9 14	0.801	9 9	0.205	20 38	0.565
Age/year <18 ≥18	15 8	0.182	11 5	0.764*	38 20	0.063
Operation Amputation Salvage	3 20	0.728*	2 16	1*	7 51	0.881
Tumor diameter <8cm ≥ 8cm	12 11	0.938	6 12	0.09	29 29	0.737
Necrosis ≥90% <90%	8 15	0.134	7 11	0.367	34 24	0.020
Pathological fracture Yes No	2 21	1*	4 14	0.216*	8 50	0.442
Recurrence Yes No	4 19	1*	3 15	1*	10 48	0.526
Metastasis Yes No	13 10	0.446	11 7	0.279	26 32	0.280
Relapse Yes No	14 9	0.617	11 7	0.651	29 29	0.143

**Note:** \*Fisher exact test.

## Correlation Between the Plasma Level of D-Dimer and Clinical Characteristics

According to analyses of ROC curves with 5-year OS as the endpoint, there were 23 (22.3%), 18 (17.5%), and 58 (56.3%) patients with a high D-dimer level at D0, D1, and ΔD, respectively. ΔD was correlated with necrosis ( $P = 0.020$ ) rather than other clinical characteristics (Table 4). By contrast, neither the D-dimer level at D0 nor D1 correlated significantly with any clinical characteristic.

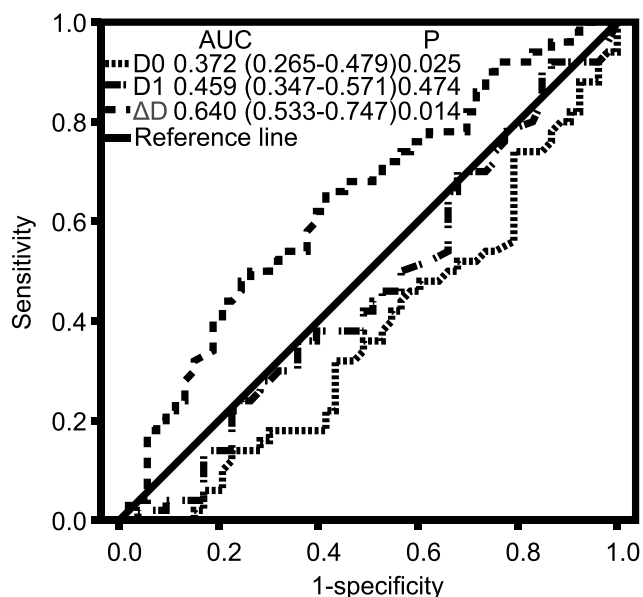
## Discriminatory Ability of the D-Dimer Level in Response to Neoadjuvant Chemotherapy

Analyses of ROC curves indicated that the AUC at D0, D1, and ΔD was 0.372 (95% CI, 0.265–0.479;  $P = 0.025$ ), 0.459

(0.347–0.571; 0.474) and 0.640 (0.533–0.747;  $P = 0.014$ ), respectively, for predicting the response to chemotherapy. According to the AUC, the D-dimer level at D0 and ΔD had better discriminatory ability than the D-dimer level at D1 (Figure 3).

## Discussion

Tumors have been reported to induce the inflammatory response, release cytokines, and injure vascular walls directly or indirectly by releasing tissue factor, which can activate the coagulation cascade and cause coagulation dysfunction.<sup>30</sup> Cancer patients have been shown to have a significantly higher D-dimer level than that of healthy controls<sup>31</sup> in situations of enhanced fibrin formation and fibrinolysis. Moreover, the D-dimer level has been reported to be not only an adverse prognostic factor but



**Figure 3** Comparison of the area under the ROC curve to assess whether D-dimer levels could predict chemotherapy response.

**Abbreviations:** ROC, receiver operating characteristic; AUC, the area under the ROC curve; D0, the plasma D-dimer level before neoadjuvant chemotherapy; D1, the plasma D-dimer level after four courses of neoadjuvant chemotherapy;  $\Delta$ D, the change in the D-dimer level (D1 minus D0).

also a biomarker for the response to chemotherapy in several types of cancer.<sup>20,22,28,32,33</sup>

Previously, we found that the D-dimer level was an unfavorable independent prognostic factor for patients with metastatic osteosarcoma, and correlated with the response to second-line chemotherapy.<sup>29</sup> To further evaluate the value of D-dimer in osteosarcoma, we analyzed the D-dimer level before and after four courses of neoadjuvant chemotherapy in patients receiving multidisciplinary treatment (neoadjuvant chemotherapy, surgery, adjuvant chemotherapy).

Tumor necrosis is the strongest prognostic factor for osteosarcoma patients.<sup>5</sup> Prediction of a poor response to neoadjuvant chemotherapy can stop use of time-consuming and ultimately non-efficacious treatments and prevent unnecessary adverse events. However, aside from the degree of tumor necrosis, a consistently reliable marker for use in prognostication in response to chemotherapy is lacking.<sup>34</sup>

Recently, different markers have been used to predict the tumor response preoperatively: receptor activator of nuclear factor kappa-B ligand (RANKL),<sup>35</sup> proteome,<sup>36</sup> hypoxia-inducible factor-1 $\alpha$ <sup>37</sup> P16,<sup>38</sup> and ALP.<sup>39</sup> However, the predictive value of these markers was ascertained from small-cohort studies. Moreover, those studies included patients with different stages and locations of tumors.

We enrolled 103 patients with stage-IIB high-grade osteosarcoma of the limb. The results showed that  $\Delta$ D was associated significantly with tumor necrosis. According to the AUC, the D-dimer level at D0 and  $\Delta$ D had better discriminatory ability than the D-dimer level at D1. Hence, the D-dimer had predictive value.

We also assessed the prognostic value of the D-dimer level in osteosarcoma. Survival curves indicated that a high D-dimer level at D0 and tumor diameter  $\geq 8$  cm were correlated with a poor prognosis. A high D-dimer level at D0 and tumor diameter were associated significantly with OS in univariate analyses. Multivariate analyses of these factors revealed that a high D-dimer level at D0 was an independent unfavorable prognostic factor. Hence, the D-dimer level could be used as a risk factor in osteosarcoma management.

<sup>18</sup>F-fluorodeoxyglucose-positron emission tomography/computed tomography and magnetic resonance imaging are used for assessment of the osteosarcoma response after neoadjuvant chemotherapy. Compared with imaging examinations, measurement of the D-dimer level is straightforward and inexpensive. Establishing a new model by measuring the D-dimer level may be very instructive, particularly for low-income groups.

Our study had four main limitations. First, this retrospective, single-institution, small-sample-size study provided a lower level of evidence compared with that elicited from a randomized controlled trial. Second, heterogeneity among treatment strategies was present. Third, we included only patients for whom complete clinical data were available. Also, we set the timepoint D1 after four courses of chemotherapy, but patients accepted 4–8 courses of neoadjuvant chemotherapy: a selection bias may have occurred. Finally, our results could also have been biased by the cutoff points of the D-dimer level because they were calculated via analyses of ROC curves.

## Conclusions

The D-dimer level was correlated with the prognosis and response to chemotherapy in patients with stage-IIB high-grade osteosarcoma of the limb. The D-dimer level may serve as a risk factor of the response to chemotherapy and prognosis of localized osteosarcoma. Validation studies are required before clinical application.

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## Disclosure

The authors report no conflicts of interest in this work.

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