

Anesthesia for the patient undergoing total knee replacement: current status and future prospects

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Abstract: Total knee arthroplasty (TKA) has become one of the most common orthopedic surgical procedures performed nationally. As the population and surgical techniques for TKAs have evolved over time, so have the anesthesia and analgesia used for these procedures. General anesthesia has been the dominant form of anesthesia utilized for TKA in the past, but regional anesthetic techniques are on the rise. Multiple studies have shown the potential for regional anesthesia to improve patient outcomes, such as a decrease in intraoperative blood loss, length of stay, and patient mortality. Anesthesiologists are also moving toward multimodal analgesia, which includes peripheral nerve blockade, periarticular injection, and preemptive analgesia. The goal of multimodal analgesia is to improve perioperative pain control while minimizing systemic narcotic consumption. With improved postoperative pain management and rapid patient rehabilitation, new clinical pathways have been engineered to fast track patient recovery after orthopedic procedures. The aim of these clinical pathways was to improve quality of care, minimize unnecessary variations in care, and reduce cost by using streamlined procedures and protocols. The future of TKA care will be formalized clinical pathways and tracks to better optimize perioperative algorithms with regard to pain control and perioperative rehabilitation.

Keywords: TKA, regional anesthesia, analgesia

Introduction

Beginning in the early 1970s, total knee arthroplasty (TKA) was, and continues to be, a major advancement in the treatment of chronic refractory joint pain. Currently, TKA is a safe and highly effective procedure to improve mobility and patient quality of life for those suffering from end-stage osteoarthritis.^{1,2} Today, TKA has gained popularity and has become one of the most common orthopedic surgical procedures performed nationally,^{3,4} with the number of TKAs performed nationally doubling to more than 700,000 from 1999 to 2009.⁵ With an aging US population, the rise in TKA utilization is expected to continue.⁵ As the population and surgical techniques for TKAs have evolved over time, so have the anesthetic techniques used for these procedures, resulting in an improvement in patient outcomes such as length of stay (LOS), overall cost, complication rate, perioperative pain, opioid side effects, and overall patient satisfaction. Presented here is a synopsis of the trends in TKA utilization and demographics, as well as a discussion of the current and future trends in TKA anesthesia and analgesia and the implications for patient outcomes.

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Trends

The utilization of TKA has increased significantly over time.^{3,5,6} In addition to an aging population,^{1,2} factors such as increased obesity and associated osteoarthritis have been identified as contributing to the greater need for joint replacement surgery.^{7,8} There also appears to be an increasing need for joint replacement in a younger patient population secondary to sports-related injuries. This may be due in part to an improved quality of the prosthetics, making these procedures reasonable early interventions.⁹

In a population-based cross-sectional study of Wisconsin residents during the time period 1990–2000, the age-adjusted rates of TKA increased from 162 to 294 per 100,000.⁴ Nationally, among Medicare enrollees, an increase of 58% was noted between 2000 and 2006 (145,242 vs 248,267, respectively).^{10,11} Furthermore, a study of the National Hospital Discharge Survey (NHDS) identified that primary TKA rates from 1990 to 2002 increased from 51 to 136 per 100,000, representing a 170% increase.^{6,11} Additionally, during this time period, TKA revisions increased by 270%.⁶ This increase in procedures is projected to continue its trajectory over time, with an estimated 3.48 million TKAs performed by the year 2030.⁵

The overall cost to the health care system has mirrored the increase in the number of joint replacement procedures. In the year 2000, ~\$3.2 billion was paid by Medicare alone for hip and knee replacements.¹² However, it has been argued that the increased health care cost has resulted in a lifetime societal savings of ~\$12 billion.¹³

As TKA utilization has expanded over time, there have been shifts in patient demographics and outcomes as well. During the time period 1998–2008, the average LOS decreased by ~1 day, but the percentage of TKA patients who were discharged straight to their homes, as opposed to long-term or short-term care facilities, decreased from 29.1% to 25.4%. Additionally, there were increases in complications such as pulmonary embolism, sepsis, nonmyocardial infarction, and pneumonia. Despite an increase in these complications, a decline of in-hospital mortality was noted over this time period, even after adjusting for the decreased LOS. During this decade, the average age of patients undergoing TKA and THA decreased by 2 to 3 years, from ~69 to 67 years and ~67 to 65 years, respectively.¹⁴ Patients aged 75–84 years have been identified as the group with the highest overall rate when comparing 2000 to 2006 (6.6 vs 10.2 per 1,000 population, respectively); however, increases between 2000 and 2006 were identified among those aged 65–74 (5.4 vs 9.1 per 1,000 population, respectively) and ≥85 years (2.6 vs 4.0

per 1,000 population, respectively).¹⁰ It has been projected that by 2030, patients younger than 65 years will represent 55% to 62% of primary or revision TKAs.¹⁵

Anesthetic techniques

Over time, the anesthetic and analgesic techniques for TKA have evolved in an attempt to improve procedural outcomes as well as reduce complications such as pain and nausea, while improving patient satisfaction.¹⁶

General and neuraxial anesthesia (NA)

General anesthesia (GA) has been the dominant form of anesthesia utilized for TKA in the US; however, there has been an evolving body of literature identifying NA as well as peripheral nerve block techniques as acceptable alternatives. In general, GA has been associated with higher rates of postoperative nausea, vomiting, and delirium. NA anesthesia may be complicated by block failure or rare but devastating complications such as spinal hematoma, epidural abscess, and nerve injury.¹⁷ Furthermore, a patient's coagulation status must be understood as NA could be contraindicated in the setting of certain anticoagulant medications or bleeding disorders.

Regional anesthesia (RA) techniques for various surgical procedures have been shown to decrease pain, nausea and vomiting, and time to discharge, as well as reducing cardiovascular and pulmonary complications.^{18,19} A systemic review by Rodgers et al included 141 trials and 9,559 patients and found an association between NA and a decrease in deep vein thrombosis, pulmonary embolism, transfusion requirement, pneumonia, and respiratory depression. Overall mortality of patients with NA was also reduced by approximately one-third (odds ratio 0.70) compared to those who received GA.²⁰ A 2009 meta-analysis of 28 randomized trials involving 1,538 patients undergoing TKA did not identify sufficient evidence that anesthetic technique influenced mortality, cardiovascular morbidity, or the occurrence of deep vein thrombosis, pulmonary embolism, blood loss, or duration of surgery.²¹ However, RA was found to reduce postoperative pain, LOS, and facilitated rehabilitation.²¹ A second meta-analysis performed in 2009, including literature from 1966 to 2008, utilized 21 randomized control trials of both THA and TKA patients for analysis.²² The investigators found no benefit in the reduction in operating time, intraoperative blood loss, mortality, or LOS when comparing RA to GA specifically in the TKA population.²² The study did identify a lower incidence of thromboembolic disease among the RA group; however, this finding dissipated when performing a

subgroup analysis including only studies in which patients were given anticoagulants.²²

Recent studies, utilizing large database sources that allowed for larger sample sizes and an evaluation of real world practice, have demonstrated more positive results related to the use of RA techniques for TKA. In a 2013 retrospective study,²³ 6,030 patients received spinal anesthesia and 8,022 patients received GA. The patients receiving spinal anesthesia had a lower rate of wound infection, blood transfusions, and overall complications. The length of surgery and hospital LOS were both decreased in the spinal anesthesia population. These effects were more pronounced among patients with numerous comorbidities.²³ In a 2012 study comparing GA and NA for bilateral TKA, improved outcomes were identified in the neuraxial group.²⁴ Of the 15,687 patients, 80.1% had GA, 13.1 had a combination of GA and NA, and 6.8 had only NA. Patients in the NA-only group required fewer blood transfusions and exhibited lower, but nonsignificant, rates of in-hospital mortality, 30-day mortality, and overall complications.²⁴ In 2013, Memtsoudis et al^{25,26} published two additional studies in support of NA. In both studies, the patient population was split into three groups: NA, GA, and combined NA and GA. The first study utilized a population of 382,236 patient entries with TKA/THA and identified that patients receiving NA had significantly lower 30-day mortality rates compared to GA (0.10% vs 0.18%, respectively; $P < 0.001$) and a lower incidence of prolonged LOS, increased cost, and in-hospital complications.² After multivariate analysis, GA was found to be associated with increased 30-day mortality (OR 1.83, 95% CI 1.08–3.1, $P = 0.02$), higher risk of pulmonary compromise (OR 1.83, 95% CI, 1.43–2.35, $P < 0.0001$), pneumonia (OR 1.27, 95% CI 1.05–1.53, $P = 0.0083$), all infections (OR 1.38, 95% CI 1.26–1.52, $P < 0.0001$), and acute renal failure (OR 1.44, 95% CI 1.24–1.67, $P < 0.0001$). Transfusion requirements were lowest in the NA-only group. The incidence of prolonged LOS was greatest in the GA-only group.²⁵ The second study by Memtsoudis et al²⁶ demonstrated benefits for NA in 30,024 sleep apnea patients undergoing TKA. Of these patients, 74% received GA, 15% received NA and GA, and 11% received NA only with no GA.²⁶ Rates of pulmonary, gastrointestinal, infectious, and renal complications were all lower in the NA-only patients. Transfusions, mechanical ventilation, and critical care services were lower in patients receiving NA and NA/GA compared to those receiving only GA. The GA-only patients also had the longest LOS. The cost of all three groups did not differ substantially averaging

~\$15,510 per surgery with a standard deviation of only \$225.²⁶ The improved outcome assessment from these large database sources has been left vulnerable by the fact that these studies were retrospective and utilized administrative data sources that may be susceptible to coding errors and data quality concerns.

Despite this new recent body of evidence supporting the use of NA for TKA, the continued use of GA over RA techniques may be due to conflicting data, the resources available, and the lack of large multi-institutional trials demonstrating a positive effect.

Multimodal analgesia

Anesthesiologists are progressively moving toward multimodal analgesia regimens to combat the many mechanisms of pain and speed recovery. The goal has been to improve perioperative pain control while minimizing systemic narcotic consumption.²⁷ This approach reduces the undesired adverse effects of narcotics such as nausea, vomiting, sedation, respiratory depression, and pruritus while increasing participation with physical activity and patient satisfaction.²⁷ Current early recovery protocols often include pre- and postoperative opioid and nonopioid oral analgesics, as well as postoperative regional analgesic techniques in an effort to decrease the use of intravenous opioids while still providing adequate pain relief.²⁷

Peripheral nerve blockade

There has been much investigation on optimizing peripheral nerve blocks.²⁸ Two of the most commonly used peripheral nerve blocks for pain control after TKA are the femoral nerve block (FNB) and the adductor canal block (ACB).²⁷ A meta-analysis was conducted to compare FNB/infusion as postoperative analgesia compared to other common analgesia modalities.²⁹ In the first 72 hours, FNB in addition to intravenous PCA demonstrated less pain at rest and during movement, lower opioid consumption, and lower risk of nausea/vomiting, greater knee flexion, and greater patient satisfaction than those given only a PCA opioid. In contrast, when FNB was compared to the epidural group, there were no significant differences in pain during the first 72 hours after surgery as well as opioid consumption and knee flexion. Nerve catheters demonstrated less pain at rest and during movement after 24 hours and lower opioid consumption compared to a single shot nerve block.²⁹ A noted side effect for FNBs is its effect on both sensory and motor nerves leading to quadriceps weakness and an increase in the risk of falling.²⁷

Adductor canal blockade selectively blocks sensory nerves with minimal if any motor involvement. It results in a blockade of the anteromedial knee at the level of the superior pole of the patella and the medial lower leg.²⁷ A small, double-blind, placebo controlled study was conducted to study the effects of ACB on TKA patients.³⁰ Patients were given a continuous ACB with intermittent boluses of ropivacaine or saline. Both groups received an intravenous PCA. The ropivacaine group had significantly lower morphine consumption over the first 24 hours and lower pain upon flexion of the knee but no significant difference in pain at rest. The ropivacaine patients also successfully performed the ambulation test and the Timed Up and Go test quicker than the placebo group.³⁰

Although numerous meta-analyses have attempted to determine whether FNB or ACB is superior, conflicting results have emerged.^{31–35} While some meta-analyses have found ACBs to be equivalent to FNBs in terms of pain control at rest and with movement, strength of quadriceps and adductor muscles, LOS, and incidence of nausea and vomiting,³¹ others have found ACBs to be superior. A meta-analysis by Li et al³² demonstrated that ACBs significantly improved Visual Analogue Scale pain scores at rest, 8 hours, and 24 hours after TKA operation compared to FNB and identified an improvement in quadriceps strength and mobility, resulting in better ambulation and faster recovery after surgery. Furthermore, meta-analyses comparing ACBs with FNB single shot block or continuous catheters demonstrated that ACBs provide equally effective analgesic control, more rapid pain relief, and decreased postoperative nausea.^{33,34}

However, it is important to note that meta-analyses are unable to control for differences between included studies, including type of medications, concentrations, volumes, and actual location of nerve block, which could make comparisons difficult. The trend in the literature supporting ACB as a more selective sensory block, minimizing quadriceps weakness without compromising analgesia, makes ACB a preferred option for TKA.

Periarticular injections (PAIs)

Seangleur et al³⁵ examined the analgesic efficacy of PAI compared to placebo/no injection in patients undergoing TKA. The PAI group had lower pain scores, opioid consumption and postoperative nausea/vomiting, higher range of motion at 24 hours, and shorter LOS than no injection or placebo.³⁵ Again it is important to note that study variation such as type of medication used, dose, and volume can alter the efficacy of the PAI.

A study by Kelley et al³⁶ suggested that ketorolac is the key component of PAI mixtures. In another recent study,³⁷ an injection mixture of ropivacaine, epinephrine, ketorolac, and morphine injection was compared to FNB and sciatic nerve block with 0.5% ropivacaine. There was no significant difference in pain scores between groups. The PAI group had a shorter average LOS, but a higher narcotic consumption the day of the surgery.³⁷ Therefore, depending on resources, a PAI can be used if RA techniques are limited.

Preemptive analgesia

Many multimodal analgesic regimens use preoperative medications in an attempt to prevent pain inflammation during the perioperative period.²⁷ Minimizing stimulation of peripheral and central nociceptors may lead to decreases in pain postoperatively, including the development of chronic pain.³¹ One analgesic technique, studied in a small 48-patient randomized, double-blind, placebo-controlled trial, utilized high-dose methylprednisolone to decrease use of rescue opioids, nausea, and overall fatigue; however, sleep quality was a concern.³⁸ Popular preemptive analgesics include acetaminophen, cyclooxygenase-2 (COX-2) inhibitors, and gabapentinoids.

A meta-analysis was conducted to analyze the effect of COX-2 inhibitors on TKA patients. Administering COX-2 inhibitors before surgery led to a decrease in postoperative pain scores, opioid consumption, pruritus, and postoperative nausea/vomiting without contributing to increases in bleeding complications.³⁹ Furthermore, in a recent 2016 study, the COX-2 inhibitor etoricoxib was tested pre- and postoperatively and was found to decrease the amount of morphine administered in the perioperative period without any significant increase in side effects.⁴⁰

Gabapentin and pregabalin are also potential options for preemptive analgesia; however, their therapeutic window has been debated. A study by YaDeau et al⁴¹ found that pregabalin, as a component of a multimodal pain regimen, did not reduce pain, increased sedation, and decreased patient satisfaction. Therefore, pregabalin was found to have no beneficial effect. A randomized, double-blind study, examining the analgesic and sedative effects of perioperative gabapentin, concluded that there was no improvement in pain control upon ambulation after 24 hours in the gabapentin group. They also noted an increase in sedation at 6 hours postoperatively with gabapentin at a dose of 1,300 mg/d relative to placebo.⁴² In contrast to the previous studies, there are also studies showing benefits to perioperative gabapentinoids. A meta-analysis demonstrated that gabapentin was both effective in reducing postoperative

narcotic consumption and decreasing the incidence of pruritus; however, this study was subject to potential selection bias, and further studies are indicated.⁴³ An additional 2016 meta-analysis demonstrated inconclusive, yet suggestive, results. Hamilton et al⁴⁴ analyzed numerous outcomes with regard to gabapentinoid use in TKA. Pregabalin tended to reduce postoperative pain intensity at both 24 and 48 hours but not at 72 hours and demonstrated a significant reduction in opioid consumption in the first 48 hours. Gabapentin was associated with a decrease in postoperative nausea. The study further identified an association with increased sedation postoperatively in patients receiving pregabalin.¹³ Some of the difficulty in determining the efficacy of gabapentinoids for preemptive analgesia may be due to the different dosing regimens used in various studies.

Clinical pathways

With improved postoperative pain management and rapid patient rehabilitation, new clinical pathways have been engineered to enhance patient recovery after orthopedic procedures. The aim of these clinical pathways is to improve quality of care, minimize unnecessary variations in care, and reduce cost by using streamlined procedures and protocols. Clinical pathways are usually specific to the procedure and hospital facility and are carried out by specialized care teams in order to produce optimal patient-centered results.⁴⁵ Comprehensive preemptive multimodal analgesic regimens combined with early postoperative mobilization seem to be at the foundation of many clinical pathways.⁴⁵⁻⁴⁸ According to Total Joint Regional Anesthesia (TJRA) Clinical Pathway published by Mayo Clinic, multimodal analgesia is the cornerstone of effective therapy. All aspects of a patient's visit is optimized: first with preoperative patient education and optimization of comorbidities, followed by intraoperative multimodal analgesic regimens and the use of peripheral nerve blockade and continuous perineural catheters, and finally early postoperative interventions from a multidisciplinary Acute Pain Service and accelerated rehabilitation regimens to maximize patient outcomes.⁴⁸ The pain regimen includes a combination of opioids and anti-inflammatories prior to surgery, multimodal intraoperative drug therapy such as acetaminophen and ketorolac, utilization of RA techniques such as continuous peripheral nerve catheters, and continuation of home pain therapies, if applicable.⁴⁷

In a 2012 prospective study comparing a fast track protocol with a target discharge on postsurgical day 6 against standard rehabilitation, the fast track patient population had

enhanced recovery based on cumulative AKSS and WOMAC index scores, reduced intake of analgesic drugs, and reduced LOS.^{45,49,50} Studies have also demonstrated a decrease in postoperative cognitive delirium with fast tracked patient populations, as well as a more rapid time to discharge.^{51,52} Additionally, these interventions have been shown to decrease thromboembolic complications and patient readmissions.⁵³ Additional studies have analyzed risk factors and patient demographics to enhance and optimize postoperative recovery, demonstrating that a multimodal approach is essential for a successful fast track programs, specifically in the TKA patient population. Furthermore, by decreasing LOS without compromising patient care; clinical pathways appear to be an effective cost saving metric.^{54,55} Although the initial data are promising, Bendetti et al⁵⁶ suggest that additional larger studies are needed before a specific postoperative algorithm can be supported after TKA. Similarly, aggressive postoperative physical activity after TKA has been vital in the success of same day discharge programs after TKA operations.^{57,58} Gondusky et al⁵⁷ highlighted their ability to discharge a cohort of 160 patients on the day of surgery, with an average age of 65 years and a mean American Society of Anesthesiology class of 1.8, to demonstrate the success of such a program. Furthermore, Schotanus et al⁵⁸ demonstrated the benefits and successes of same day unicompartmental knee arthroplasty protocols to select patients in both the immediate and 3-month postoperative periods.

Future and conclusion

The need for more robust clinical outcome studies to determine best practices regarding the use of GA or RA will be needed to drive change in clinical practice. However, we will continue to see the development of clinical tracks to better optimize perioperative algorithms in regard to pain control and perioperative rehabilitation. Formalizing and perfecting clinical pathways will be the future of TKA care. Inevitably, we will observe that these pathways incorporate multidisciplinary teams, as well as multimodal analgesia methods, to maximize the patient experience and recovery. Over time, these pathways will be honed to ensure a fast and safe recovery for patients, improving patient satisfaction and ultimately postoperative outcomes. Such formalized pathways will hopefully mimic the success of enhanced recovery protocols established for other surgical patient populations.

Disclosure

The authors report no conflicts of interest in this work.

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