


Potential Prospect of CDK4/6 Inhibitors in Triple-Negative Breast Cancer

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Abstract: Triple-negative breast cancer (TNBC) is an aggressive, difficult-to-treat subtype of cancer with a poor prognosis; there is an urgent need for effective, targeted molecular therapies. The cyclin D/cyclin-dependent kinase (CDK)4/6–retinoblastoma protein (Rb) pathway plays a critical role in regulating cell cycle checkpoints, a process which is often disrupted in cancer cells. Selective CDK4/6 inhibitors can prevent retinoblastoma protein phosphorylation by invoking cell cycle arrest in the first growth phase (G1), and may therefore represent an effective treatment option. In this article, we review the molecular mechanisms and therapeutic efficacy of CDK4/6 inhibitors in combination with other targeted therapies for the treatment of triple-negative breast cancer. Three selective CDK4/6 inhibitors have so far received the approval of the Food and Drug Administration (FDA) for patients with estrogen receptor (ER)+/human epidermal growth factor receptor 2 (HER2) breast cancer. Trilaciclib, a small molecule short-acting inhibitor of CDK4/6, has also been approved recently for people with small cell lung cancer, and is also expected to be clinically effective against breast cancer. Although the efficacy of CDK4/6 inhibitors in patients with triple-negative breast cancer remains uncertain, their use in conjunction with other targeted therapies may improve outcomes and is therefore currently being explored. Identifying biomarkers for response or resistance to CDK4/6 inhibitor treatment may optimize the personalization of treatment strategies for this disease. Ongoing and future clinical trials and biomarker studies will shed further light on these topics, and help to realize the full potential of CDK4/6 inhibitor treatment in triple-negative breast cancer.

Keywords: triple-negative breast cancer, palbociclib, biomarkers, combination therapy

Introduction

Breast cancer is one of the most common types of cancer worldwide, with approximately 2.3 million new cases and 685,000 deaths in 2020.¹ Three types of breast cancer are currently recognized, based on histology: hormone receptor positive (HR+), human epidermal growth factor receptor-2 overexpressing (HER2+) and triple-negative breast cancer (TNBC).² People with triple-negative breast cancer (TNBC) account for between 15% and 20% of all breast cancer cases. However, the prognosis of this subtype is poor owing to a lack of effective therapeutic options.³ The most common first-line clinical treatment strategy for TNBC patients is adjuvant chemotherapy, and cancer progression occurs in 20–40% patients with early-stage disease who receive this treatment.

There is little variation in the approach to clinical treatment in people with TNBC; however, there are different biologic subgroups of this disease, so a more personalized approach may improve outcomes. In a US study, Lehmann et al found

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that TNBC is a highly diverse heterogeneous breast cancer, and proposed a classification system comprising seven subtypes based on gene expression profiles;⁴ in another study, Jiang et al classified TNBC into four transcriptome-based subtypes: luminal androgen receptor (LAR), mesenchymal (MES), mesenchymal stem like (MSL), and basal-like (BL).⁵ Distinguishing between subtypes could hopefully improve clinical outcomes of this disease in the near future by enabling health professionals to select more effective targeted therapeutics based on the molecular profile of each type.^{6,7} This strategy is being put into practice: atezolizumab is FDA-approved in combination with paclitaxel protein-bound for patients with advanced TNBC whose tumors express programmed death ligand 1 (PD-L1) (PDL1 stained tumor-infiltrating immune cells [IC] of any intensity covering $\geq 1\%$ of the tumor area). Olaparib is also FDA-approved for the treatment of patients with germline breast cancer susceptibility gene (BRCA)-mutated, HER2-negative metastatic breast cancer who have received chemotherapy either in the neoadjuvant, adjuvant, or metastatic setting. Both of these drugs have showed good clinical efficacy against the breast cancer subtypes for which they have been approved.^{8–10} Therefore, identifying new biomarkers and drug targets for TNBC is a high clinical priority.

The mitotic cell cycle is a complex process and requires the tightly coordinated action of its components and continuous activation of several cyclin–CDK complexes.⁹ Dysregulation of cellular proliferation is a feature of all human cancers, and the maintenance of abnormal proliferative signals is a key marker for cancer.¹¹ The cell-cycle regulatory proteins CDK4 and CDK6 were identified in the early 1990s, and therapies targeting these proteins have since been developed, based on a large body of research demonstrating that overactivity of the cyclin D–CDK4/6 axis leads to excessive proliferation in tumor cells.^{12,13} CDK4/6 kinases and associated cyclin D proteins are involved in the cell cycle transition from the first growth (G1) to the DNA synthesis (S) phase. The CDK4/6-cyclin D1 complex mediates phosphorylation of retinoblastoma protein (pRb), which then releases inhibition of E2F and other transcription factors, which then initiate DNA replication and promote cellular transition into S phase.^{14,15} This axis also connects cell proliferation to several other signaling pathways (Figure 1). People with HR-positive breast cancer, in particular, may benefit from therapy with CDK4/6 inhibitors, as the function of Rb is intact in this subtype;¹⁶

however, the lack of specific biomarkers and target genes in TNBC makes predicting the efficacy of CDK4/6 inhibitors in this subtype difficult.

In this review, we summarize our current knowledge of the molecular mechanisms of action and drug resistance in CDK4/6 inhibitor treatment, focusing on research into new biomarkers that may provide a theoretical basis for expanding the use of CDK4/6 inhibitors in TNBC. We also explore new therapeutic strategies aiming to effectively target this aggressive subtype of breast cancer.

The Role of CDK4/6 in Cell Cycle Control and the Molecular Mechanism for CDK4/6 Inhibitor Action

Cell-cycle checkpoints sustain mitotic cell cycle progression, controlling the timing of transitions between the four phases: G1, S, G2, and mitosis (M).¹¹ The CDK family of serine/threonine kinases regulates these transitions in combination with associated cyclins.¹⁷ In general, the cyclin family of proteins is diverse, and its members are classed as A, B, D, or E-type cyclins.¹⁸ Specific CDK–D cyclin pairs operate during different phases of the cell cycle. D-type cyclins include three subtypes, which vary according to the tissue context: D1, D2, and D3.¹⁹ In the early stages of the cell cycle, growth factor receptor activation leads to increased levels of D-type cyclins, which provides the initial mitogenic signal. Cyclin D and CDK4/6 subunits form a complex, which is then phosphorylated by CDK-activating kinase (CAK) after entering the nucleus.²⁰ These activated complexes in turn phosphorylate Rb and pocket proteins p107 and p130 (also known as RbL1 and RbL2). Phosphorylation of the Rb protein releases inhibition of the transcription factor E2F, which and induces cyclin E expression. Cyclin E–CDK2 complexes promote further phosphorylation of Rb, which drives the cell into S phase and initiates DNA replication.^{21–23} Loss of control over this checkpoint in cell proliferation often occurs in cancer cells; hypo-phosphorylated Rb results in cell cycle arrest, and CDK-dependent hyperphosphorylation of Rb results in the loss of the tumor suppressive function of Rb.²⁴ The initial phosphorylation events that lead to Rb phosphorylation (pRb) are usually dependent on accumulation of cyclin D–CDK4/6 complexes in the G1 phase (Figure 1). Consequently, through activation of cyclin

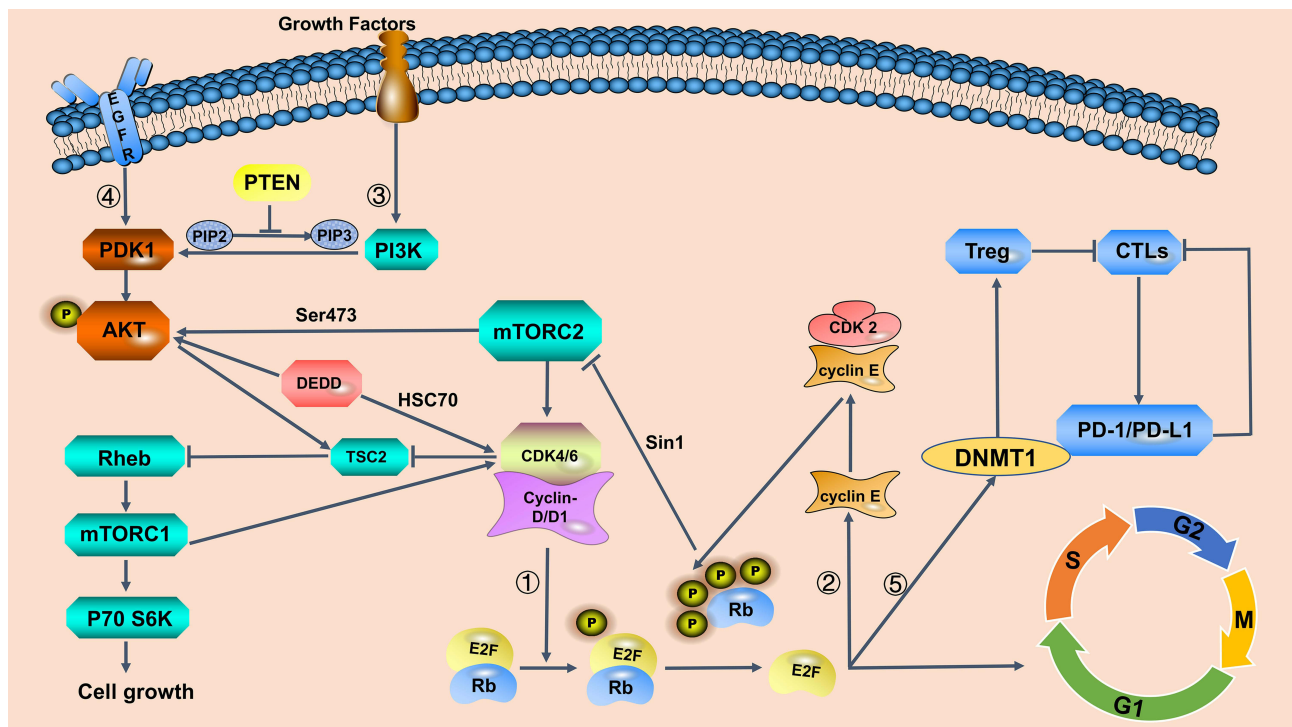


Figure 1 Interaction of the cyclin-dependent kinase (CDK)4/6 pathway with other signaling pathways in breast cancer.

Notes: ① Cyclin D–CDK4/6 complexes induce retinoblastoma (Rb) phosphorylation, which in turn releases suppression of the E2F transcription factor, triggering the transition from the first growth (G1) to DNA synthesis (S) phase of the cell cycle. Loss of Rb results in resistance to CDK4/6 inhibitors. ② Phosphorylation of Rb activates cyclin E, which in turn binds to CDK2; positive feedback promotes Rb phosphorylation and, along with the action of CDK4/6 complexes, drives mitotic cell division. ③ Phosphoinositide 3-kinase (PI3K) pathway increases cyclin D1 levels and inhibits tuberous sclerosis complex 2 (TSC2) phosphorylation, thereby maintaining GTP-bound state of ras homolog enriched in brain (Rheb) and enhancing mammalian rapamycin complex I (mTORC1) activity. Phosphorylated Rb binds to Sin1 and suppresses the activation of mTORC2, which promotes protein kinase B (AKT) phosphorylation. Synergistic inhibition of the PI3K/AKT/mechanistic target of rapamycin (mTOR) and CDK4/6 pathway can reduce cyclin D1 levels and release the inhibition of TSC2 phosphorylation, thus enhancing the inhibition of mTORC1 and blocking cell entry into the S phase. Collectively, reducing Rb phosphorylation promotes AKT pathway activity, which may result in CDK4/6 inhibitor resistance. The combination of CDK4/6 and PI3K/AKT/mTOR inhibitors effectively blocks these pathways. ④ Cyclin D–CDK4/6 inhibition synergizes with epidermal growth factor receptor (EGFR) inhibition. EGFR inhibitors effectively inhibit pAKT transiently, but cancer cells consistently sustain AKT signaling via the death-effector domain containing protein (DEDD)-dependent pathway. DEDD interacts with heat shock cognate 70 (HSC70) to stabilize cyclin D1, which is a critical component of the G1 to S phase regulatory complex. ⑤ A target gene of E2F, DNA (cytosine-5) methyltransferase I (DNMT1), decreases the suppression of cytotoxic T lymphocytes (CTLs) which are inhibited by regulatory T lymphocyte cells. CTLs kill cancer cells by producing programmed cell death protein I (PD-1). CDK4/6 inhibitors improve protein stability of PD ligand I (PD-L1), which renders PD-1 inactive.

D–CDK4/6 or loss of Rb, tumor cells can circumvent restriction at the G1/S checkpoint through pRb-dependent growth suppression.^{25,26} Conversely, CDK4/6 inhibitors can inhibit the proliferation of these tumor cells by blocking cyclin D–CDK4/6-dependent Rb phosphorylation.

The cyclin D–CDK4/6–INK4–Rb–E2F axis is mainly involved in the regulation of cell cycle transition and the enzyme activity of CDK4/6 is regulated by multiple mechanisms. Cyclin–CDK complex activity is blocked by CDK-inhibitors (CKIs) which are comprised of two families, INK4 family (p16, p15, p18, and p19) and CDK interacting protein/Kinase inhibitory protein (CIP/KIP) family (p21, p27).^{27,28} INK4 family proteins are characterized by multiple ankyrin repeats, and act mainly to decrease the catalytic activity of CDK4 and CDK6. The CIP/KIP

proteins inhibit a broader spectrum of cyclin–CDK complexes, thus leading to cell cycle arrest during the G1 phase. Loss-of-function of p16Ink4a, encoded by *CDKN2A*, occurs frequently in many types of cancer. Importantly, research has revealed that loss-of-function of p16Ink4a leads to greater sensitivity to CDK4/6 inhibitors.¹⁶ This may be related to rapid upregulation of CDK2 activity in *CDKN2A* loss tumors after exposure to CDK4/6 inhibitors, thus maintaining Rb phosphorylation and cell cycle progression during the G1 phase.²⁹ Moreover, in resting (interphase) cells, CDK2 is inactive and CDK2 complexes are suppressed by p21 and p27 (Figure 1).^{30–32} Dysregulation of cyclinD–CDK4/6–INK4–Rb–E2F axis in the tumor cell cycle is associated with many malignancies, which supports CDK4/6 as an attractive anticancer therapeutic target.

Clinical Applications of CDK4/6 Inhibitors

Flavopiridol was the first broad-spectrum CDK inhibitor to successfully enter clinical trials. These studies revealed that this drug significantly inhibited all CDKs (CDK1, 2, 4, and 7) in vitro, and cell cycle progression was blocked at G1/S and G2/M boundaries.³³ However, the efficacy of this first-generation broad-spectrum CDK inhibitor was limited, and treatment was associated with unacceptable adverse effects, including myelosuppression, gastrointestinal toxicity, and severe neutropenia.^{34–37} The discovery and cloning of cyclin-dependent kinases (CDKs) enabled researchers to design new CDK activity regulators, including dinaciclib, a second-generation CDK inhibitor with greater selectivity than flavopiridol. However, in some clinical trials, severe adverse toxic effects of this drug were also observed.

A new generation of oral CDK inhibitors has greater selectivity than first- and second-generation CDK inhibitors.³⁸ For example, palbociclib (PD0332991), a specific inhibitor of CDK4/6, has been shown to effectively inhibit the proliferation of retinoblastoma (Rb)-positive tumor cells in vitro, resulting in cell cycle arrest in the G1 phase, accompanied by reduced phosphorylation of Rb at Serine (Ser)780/Ser795. Selective inhibitors of cyclin-CDK4/6 generally have improved safety profiles owing to reduced off-target effects.³⁹

Currently, there are three FDA-approved CDK4/6 inhibitors: palbociclib, ribociclib, and abemaciclib. In 2015, palbociclib received FDA approval as a standard first-line therapy to treat postmenopausal women with ER+/HER2– advanced breast cancer in combination with endocrine therapy following the PALOMA-1 clinical trial.⁴⁰ Ribociclib was preliminarily approved in the same population in March 2017 after positive results in MONALEESA-2.⁴¹ Following the MONARCH-1 and MONARCH-2 clinical trials, abemaciclib received approval from FDA as a monotherapy for patients with ER+/HER2– advanced breast cancer in people whose disease had progressed despite endocrine therapy and chemotherapy,⁴² or in combination with fulvestrant in patients with HR+/HER2– advanced breast cancer, with disease progression following endocrine therapy.⁴³

Progression-free survival was shown to improve significantly in clinical trials of these drugs, doubling with palbociclib or ribociclib combined with letrozole (a therapeutic agent for postmenopausal women with breast cancer)

compared with letrozole alone.^{40,41,44} Palbociclib or abemaciclib in combination with fulvestrant as a second-line treatment substantially extended progression-free survival in breast cancer patients with progression after treatment with aromatase inhibitors.^{43,45} The encouraging results from these randomized clinical trials of combination therapies support further research to explore novel combination therapies in other subtypes of breast cancer.

CDK 4/6 Inhibitors in TNBC

TNBC is negative for ER, progesterone receptor (PR), and HER2.^{46,47} TNBC is a relatively heterogeneous and highly aggressive cancer; there is therefore an urgent need to identify molecular targets that could be therapeutically targeted.^{48,49} Importantly, TNBC has a very poor prognosis with higher rates of early distant metastatic compared with other subtypes of breast cancer.^{47,50} Genomic and clinical data have shown low Rb expression levels and Cyclin E1 amplification, a high frequency of *TP53* mutations, and increased CDKN2A expression.^{51,52} Owing to the frequent loss of Rb in TNBC, the efficacy of CDK inhibitors in these patients is not well understood. However, some results from preclinical studies suggest that TNBC is sensitive to CDK inhibitor treatment. The identification of TNBC biomarkers may reveal other targets for molecular therapies in TNBC.⁵³ The identification of biomarkers may also help to identify which patients may respond well to CDK4/6 inhibitor therapy. Extensive studies have suggested that combination therapies may help to overcome drug resistance by targeting multiple signaling pathways in TNBC. [Table 1](#) summarizes the promising combination therapy regimens discussed in this article.

Sensitivity to CDK4/6 Inhibitors in TNBC

According to The Cancer Genome Atlas (TCGA), loss or mutation of Rb1 occurs in 20% of people with TNBC.⁵¹ In a previous study, CDK4/6 inhibitors were shown to effectively suppress TNBC cell proliferation with expression of Rb protein; surprisingly, this suppression was not dependent on ER or HER2 status.⁵⁴ The authors assessed the sensitivity of a wide range of cells to abemaciclib. In this study, the response to these drugs was observed among 560 human cancer cells with Rb+ tumor cells. These findings supported the idea that loss-of-function Rb mutations lead to CDK4/6 inhibitor resistance,^{29,55} and that Rb may predict whether cells are sensitive to CDK4/6 inhibitors.^{56,57} Rb loss may lead to increased expression

Table I Potential Combination Therapy Strategies for Triple-Negative Breast Cancer

Combinations	Regimens	Target	Indications
CDK4/6 inhibitors	Palbociclib/Abemaciclib/ Ribociclib	The cyclin D-CDK4/6-INK4-Rb-E2F axis	Rb+ TNBC
CDK4/6 inhibitors+CyclinE/ CDK2 inhibitors	Palbociclib+Cyclin E/CDK2 inhibitors	Cyclin E-CDK2 axis	High expression level of CDK2 or Cyclin E TNBC
CDK4/6 inhibitors +Autophagy inhibitors	Palbociclib/Abemaciclib+ HCQ Palbociclib+ CQ/Lys05/ Bafilomycin A1	The cyclin D-CDK4/6-INK4-Rb-E2F axis + Autophagy	Rb+/LMWE- TNBC
CDK4/6 inhibitors+AR inhibitors	Palbociclib+ Enzalutamide	The cyclin D-CDK4/6-INK4-Rb-E2F axis (G1 arrest) Mechanism is unclear	AR+ TNBC
CDK4/6 inhibitors +PI3K inhibitors	Palbociclib+ BYL719/BEZ235/ BKM120	The cyclin D-CDK4/6-INK4-Rb-E2F axis +PI3K/AKT/mTOR pathway	PIK3CA mutation TNBC
CDK4/6 inhibitors +Dual- mTOR inhibitors	Palbociclib+ MLN0128	The cyclin D-CDK4/6-INK4-Rb-E2F axis +PI3K/AKT/mTOR	Rb+ TNBC
CDK4/6 inhibitors +AKT inhibitors	Palbociclib/Ribociclib+ MK2206/GDC0068	The cyclin D-CDK4/6-INK4-Rb-E2F axis +PI3K/AKT/mTOR pathway	PTEN-deficiency TNBC
CDK4/6 inhibitors +Immune-checkpoint inhibitors	Palbociclib/Ribociclib+ Anti-PD -1/PD-L1 immunotherapy	The cyclin D-CDK4/6-INK4-Rb-E2F axis + PD-L1 protein	Higher infiltration of TILs/High expression of PD-L1 TNBC
CDK4/6 inhibitors +Anti- EGFR inhibitors	Palbociclib+ Erlotinib	The cyclin D-CDK4/6-INK4-Rb-E2F axis + MT4-MMP/EGFR signaling pathway	Co-expressing of MT4-MMP, EGFR and Rb TNBC
CDK4/6 inhibitors +Anti- EGFR inhibitors	Palbociclib+ Lapatinib	The cyclin D-CDK4/6-INK4-Rb-E2F axis +EGFR/HER2 signaling pathway	EGFR+/DEDD over-expression TNBC
CDK4/6 inhibitors +Chemotherapy	Palbociclib+ Paclitaxel	The cyclin D-CDK4/6-INK4-Rb-E2F axis + Glucose uptake and consumption	TNBC

Notes: Autophagy inhibitors: chloroquine (CQ), hydroxychloroquine (HCQ), Lys05, bafilomycin A1; PI3K inhibitors: BYL719; BEZ235; BMK120; Dual-mTOR inhibitors: MLN0128; AKT inhibitors: MK2206; GDC0068.

Abbreviations: LMWE, cytoplasmic staining of cyclin E; MT4-MMP, membrane-type-4 Matrix metalloproteinase; EGFR, epidermal growth factor receptor; TILs, tumor-infiltrating lymphocytes.

of p16ink4a, and these changes may occur simultaneously. Loss of p16ink4a function as a tumor suppressor may confer high sensitivity to CDK4/6 inhibitors. The reasons for Rb loss and mechanisms of p16ink4a overexpression are unclear, but p16ink4a and Rb could be important biomarkers to predict response to CDK4/6 inhibition in TNBC.^{58,59}

CDK4/6 Inhibitors in Combination with Cyclin E or CDK2 Inhibition

TCGA analysis has revealed that 85% of breast cancers are Rb+ with low cyclin E expression.⁶⁰ Research has shown that CDK2 and cyclin E affect the activity of CDK4/6; Rb phosphorylation can also occur through the CDK2 as well as the CDK4/6 pathway.^{56,61} Briefly, after Rb phosphorylation,

the expression of E2F target genes, including E-type cyclins, increases. Cyclin E then activates CDK2, forming a cyclin E-CDK2 complex, which promotes Rb phosphorylation (Figure 1). The progression from G1 to S phase continues via the activation of the cyclin E-CDK2 axis. Hence, inhibitors of cyclin E/CDK2 in combination with CDK4/6 inhibitors may expand the group of patients that could benefit from this type of therapy.⁶²⁻⁶⁴

To explore whether CDK4/6 inhibitors could be used to treat different molecular subtypes of TNBC, a study that measured CDK4/6 activity in Rb1 wild-type TNBC cell lines revealed that the proliferation of LAR subtype tumor cells was effectively inhibited by palbociclib in vitro and in vivo using a MDAMB453-derived xenograft model. Palbociclib-sensitive LAR TNBC cells usually enter

a dormant low-CDK2 state, where CDK4/6 phosphorylation of Rb1 protein is required to cross the critical point for cell cycle progression to continue. Conversely, the resistance of basal-like TNBC cells to palbociclib therapy owes to the proliferative high-CDK2 state. This result revealed that cells with the high-CDK2 phenotype entered a proliferative state after mitosis, bypassing checkpoint. Tumors with a high CDK2 expression level were generally found to be resistant to CDK4/6 inhibitors.⁵³ In palbociclib-resistant cancer cells, abnormal expression of cyclin E1 was observed after mitosis, resulting in a high-CDK2 phenotype; the cyclin E-CDK2 complex thus becomes active promptly after mitosis, leading to shortening of the G1 phase. Furthermore, in 2009, a new CDK inhibitor, PHA-848125, was shown to highly selectively inhibit CDK2 and reduce the expression of P21Cip1, p27Kip1. These proteins have been reported to inhibit the state of CDK2 activity after mitosis.⁶⁵ These results may lay the theoretical foundation for CDK2 and cyclin E inhibitors in combination with CDK4/6 inhibitors as a novel therapeutic strategy worth considering for people with TNBC.⁵³ More research is therefore required to evaluate the potential therapeutic efficacy of this strategy.

CDK4/6 Inhibitors in Combination with Autophagic Inhibition

Autophagy is a stress tolerance mechanism in cancer, which promotes the degradation of cell components by fusing cell components with lysosomes and provides energy for survival.⁶⁶ Autophagy is an important drug resistance mechanism that occurs with many cancer-targeting agents, including palbociclib, which has been shown to induce reactive oxygen species (ROS)-mediated senescence and autophagy.^{67,68} Targeting autophagic mechanisms may therefore represent another approach to therapy. One study evaluated the effect of CDK4/6 inhibitors in combination with inhibitors of autophagy on seven TNBC cell lines with different expression levels of Rb and cytoplasmic staining of cyclin E (LMWE). Rb+/LMWE-cell lines, and tumors in a xenograft mouse model derived from these cells, were found to be more sensitive to this combination therapy. CDK4/6 inhibitors activate autophagy; blocking autophagy may therefore distinctly improve the G1/S blocking effect mediated by CDK4/6 inhibitors.⁶⁰ These results also suggest that Rb and cyclin E may be useful biomarkers for TNBC prognosis, and may help to identify where autophagy inhibitors could be used to enhance the effect of Palbociclib.

CDK4/6 Inhibitors in Combination with Androgen Receptor (AR) Inhibition by Enzalutamide

AR expression is a well-known prognostic marker in breast cancer; 20–40% of patients with TNBC are AR+.^{69–73} Enzalutamide is currently approved by FDA to treat people with metastatic prostate cancer.^{74,75} A preclinical study demonstrated that ER-/AR+ cell lines proliferate in an AR-dependent manner, which is suppressed by AR inhibitors; AR may therefore represent a therapeutic target for breast cancer.⁷⁶ Liu et al revealed that LAR TNBC cell lines were highly sensitive to CDK4/6 inhibitors; a recent study that tested palbociclib and enzalutamide in AR+/Rb+ TNBC cell lines revealed that enzalutamide enhanced the induction of G1 arrest by palbociclib, indicating that this combination therapy may be effective in TNBC with high AR expression and wild-type Rb.⁷⁷ Ji et al found that blocking AR could overcome resistance to CDK4/6 inhibitors, demonstrating that this combination could also be effective in TNBC with impaired Rb expression.⁷⁸ However, the mechanism of interaction between Rb and AR is unclear, so more studies are required in this area. Cumulative preclinical evidence suggests that AR+/Rb+ may be a potential biomarker for predicting sensitivity to CDK4/6 inhibitors.

CDK4/6 Inhibitors in Combination with Phosphoinositide 3-Kinase (PI3K)/Protein Kinase B (AKT)/Rapamycin (mTOR) Inhibition

The CDK4/6 pathway is involved in a number of important mitotic signaling pathways, providing a strong theoretical basis for CDK4/6 inhibitors combined with other targeted drugs to treat cancer. One such pathway is the PI3K/AKT/mTOR (PAM) pathway, which regulates cellular functions including proliferation and metabolism and has a key role in the initiation of tumorigenesis. This pathway consists of growth factors and cytokines. In addition to receptor tyrosine kinase (RTK), phosphorylation in this pathway is also carried out by epidermal growth factor receptor (EGFR) and HER2.⁷⁹

The PI3Ks are heterodimers of the subunit p110, which induce PtdIns (4,5) P2 transition to PtdIns (3,4,5) P3 via the action of 3'-inositol phosphatase. PI3Ks are grouped into three distinct classes according to structure and function. Three mammalian genes (*PIK3CA*, *PIK3CB*, and *PIK3CD*) encode specific catalytic isoforms, p110 α , p110 β , and p110 δ .^{80,81} p110 α plays important part in the initiation of tumorigenesis owing to an activating mutation in the *PIK3CA* gene. p110 β , which phosphorylates PIP3 to

PIP2 and activates the PI3K-dependent signal, plays a role in phosphatase and tensin Homolog deleted on Chromosome 10 (PTEN)-deficient cancers. PTEN is a known tumor suppressor that is prone to mutations that render it inactive in human cancers.⁸²

AKT is phosphorylated via two pathways: in the first, active phosphatidylinositol (3,4,5)-trisphosphate (PIP3) associates with phosphoinositide-dependent kinase-1 (PDK1) to phosphorylate AKT, and in the second, mammalian target of rapamycin complex 2 (mTORC2) phosphorylates AKT completely at Ser473.⁸³ The tumor suppressor PTEN and inositol polyphosphate 4-phosphatase type II (INPP4B) can inhibit AKT activity by dephosphorylating PIP2 and PIP3.⁸⁴

mTOR can be activated by phosphorylated eukaryotic initiation factor 4E-binding protein 1 (4E-BP1) and p70S6 kinase (p70S6k) to regulate protein synthesis and cell proliferation.^{84,85} Activating mutations in *PIK3CA* or *AKT* in tumor cells triggers the activation of PI3K pathway, increasing cyclin D1 levels.^{86,87} Cyclin D-CDK4/6 complexes then stimulate signaling by mTORC1, which is located downstream of PI3K (Figure 1).^{87,88}

CDK4/6 inhibitors in combination with receptor tyrosine kinase (RTK)-PI3K-AKT signaling pathway inhibitors have been shown to exhibit synergistic effects, and efficacy of the treatment strategies has been demonstrated in a variety of preclinical tumor models, including PI3K inhibitors in breast cancer⁸⁹ and mTOR inhibitors in breast or head and neck cancer.^{90,91}

MSL breast cancer accounts for approximately 30% of TNBC; in this subtype dysregulation of the PI3K/AKT/mTOR pathway frequently occurs.^{4,92} In the following sections, we discuss different therapeutic strategies, including the CDK4/6 in combination with PI3K inhibitors and AKT inhibitors.

Combination Therapy with CDK4/6 and PI3K Inhibitors

Genetic changes such as activating *PIK3CA* mutations often occur in breast cancer; this mutation occurs in up to 25% of TNBCs.^{93,94} Preclinical research has demonstrated that PI3K inhibitors and CDK4/6 inhibitor have a synergistic effect on the proliferation of multiple *PIK3CA* mutant cells.^{53,89} Specifically, recent studies suggest that PI3K inhibitors in combination with palbociclib have significant anti-tumor effects by impairing glucose metabolism.¹⁴ As we all know, stress-activated-protein kinase interacting protein 1 (Sin1) is the specific component for the mTORC2 kinase complex. pRb binds to

stress-activated protein kinase interacting protein 1 (Sin1) to inhibit mTORC2 activity. Palbociclib activates AKT by releasing the inhibition of pRb-mediated suppression of mTORC2. When CDK4/6 inhibitors suppress Rb phosphorylation, AKT is activated (Figure 1).⁹⁵ In an in vivo study using HCC1806 and MDAMB231 xenograft models, PI3K inhibitors and palbociclib combination treatment significantly blocked cell cycle progression, immune modulation and DNA damage by increasing tumor-infiltrating T-cell activation. Meanwhile, a TNBC patient-derived xenograft model showed similar tumor growth inhibition.⁹⁶ Existing evidence therefore provides a rationale for clinical trials of this therapeutic combination.

Combination Therapy with CDK4/6 and mTOR Inhibitors

mTOR signaling is influenced by growth factor signals and cellular amino acid and energy states, and can regulate cell growth, metabolism, autophagy, and cell cycle progression, making it a promising therapeutic target for TNBC.

mTOR is made up of two different protein complexes: mTORC1 and mTORC2. mTORC1 can regulate cell growth signals, forming a negative feedback loop. Selective inhibition of mTORC1 results in an absence of a negative feedback loop, which may activate mTORC2. According to a recent study, the mTOR inhibitors MLN0128 prevent the proliferation of TNBC cell lines with Rb+ in vitro, as well as in a pRb-expressing TNBC patient-derived xenograft (PDX) model in vivo. MLN0128 is a second-generation dual mTOR kinase inhibitor, which inhibits both mTORC1 and mTORC2.⁹⁷ Results so far suggest that combining this inhibitor with CDK4/6 inhibition may have a strong synergistic inhibitory effect in RB+ TNBC, and therefore merits further study in clinical practice.

Combination Therapy with CDK4/6 and AKT Inhibitors

AKT is a serine/threonine kinase that can be phosphorylated by a variety of growth signaling molecules; the biochemical mechanisms for which have come to light in recent years. Phosphorylated AKT regulates the function of many proteins, including those involved in cell proliferation, metabolism, migration, and cell cycle, making it a key node in many signaling pathways.⁹⁸ Importantly, AKT can activate CDK2 to induce cell-cycle progression (Figure 1).⁵⁶ Previous studies suggested that PTEN deficiency reduces sensitivity to PI3K α inhibition and increases resistance to CDK4/6 inhibitors owing to

continuous phosphorylation of Rb and the prevention of cell cycle arrest; however, recently Costa et al found that CDK4/6 inhibitors in combination with AKT inhibition can reverse this resistance. Loss of PTEN expression increases the level of phosphorylated AKT, which can induce delocalization of p27 out of the nucleus and increase the activity of CDK2 and CDK4/6. This process acts to overcome cell cycle arrest in the G1 Phase Induced by CDK4/6 inhibitors.⁹⁹ CDK4/6 inhibition results in the accumulation of cyclin D1, which can then activate CDK2. However, this study was conducted in LAR rather than TNBC subtype of breast cancer, so its applicability to TNBC is unclear. The incidence of PTEN deficiency in breast cancer is similar to the reported incidence of activating *PI3KCA* mutations (7–40%), and often occurs in TNBC patients;^{100–102} This frequent loss of PTEN may provide a warrant for the exploration of this therapeutic combination in TNBC.

Combination Therapy with CDK4/6 and Immune-Checkpoint Inhibitors

Regulatory T (Tregs) cells are a subset of lymphocytes that suppress the proliferation of effector T cells and can facilitate immune evasion in some tumors.¹⁰³ CDK4/6 inhibitors suppress the proliferation of Treg cells stimulated by over-expression of p21 and reduce E2F target gene DNA methyltransferase (DNMT1) activity.^{104,105} Tumor cells that present antigens via the major histocompatibility complex (MHC) are recognized by cytotoxic T lymphocytes (CTLs); Tregs inhibit the CTL response, partly by promoting depletion of these immune cells (Figure 1).¹⁰⁶ CTLs kill tumor cells in the body, increasing the addition of immune-checkpoint blockade. Studies have showed that the efficacy of antitumor immunity via immunogenicity and immune-checkpoint blockade can enhance T cell cytotoxicity. Combination with immune-checkpoint blockade resulted in significantly better overall survival compared to those treated with monotherapy.⁹⁶

Patients with TNBC usually have more tumor-infiltrating lymphocytes (TILs) and higher expression level of the PD-L1 protein.¹⁰⁵ Higher infiltration of TILs is usually related to poor prognosis, and levels of PD-L1 expression are related to the greater expression of the proliferation marker Ki-67, and the presence of TILs. According to TCGA, the expression of PD-L1 mRNA in TNBC is higher than that in other breast cancer subtypes. Inhibition of CDK4/6 can in turn suppress cyclin D–CDK4 mediated speckle POZ (pox virus and zinc finger protein)

protein phosphorylation, improving protein stability of PD-L1; this result has been confirmed in vitro and in xenograft tumors (MDAMB231 PD-L1 wild-type or knockout). These studies indicate that the stability of the PD-L1 protein is regulated by cyclin-dependent kinases, suggesting that clinical the combining of CDK4/6 inhibitors and immune-checkpoint inhibitors may be an effective treatment strategy.¹⁰⁷

Combination Therapy with CDK4/6 Inhibitors and Anti-EGFR Antibodies

TNBCs that belong to the basal-like subtype may have EGFR overexpression. It has been reported that EGFR is present in up to 60% of all TNBCs, but previous clinical trials of anti-EGFR inhibitors as a monotherapy have failed to show improved clinical efficacy, thus reducing interest in using EGFR as a therapeutic agent.^{108,109} Specifically, clinical studies of anti-EGFR antibodies demonstrated no significant increase in progression-free survival in people with TNBC. These disappointing results of anti-EGFR antibodies are in part due to the compensatory tyrosine kinase (TK) signaling pathway and/or unknown factors that interfere with the EGFR pathway. By exploring the markers in populations that would benefit from targeting EGFR and CDK4/6 combination therapy, it paves the way for future clinical trials of individualized drug therapy for TNBC.

Combination Therapy with CDK4/6 Inhibitors and Erlotinib

Matrix metalloproteinase (MMP) is involved in tumor metastasis. Membrane-type-4 (MT4) MMP is a key mediator of the ability of tumor cells to breakthrough the basement membrane and grow in three-dimensional collagen fibers. Approximately 80% of people with TNBC coexpressed MT4-MMP and EGFR.^{110,111} MT4-MMP is a copolymer of EGFR, and can promote its activation, thereby increasing cyclin and CDK activity and enhancing Rb phosphorylation. Previous studies showed that MT4-MMP expression can stimulate tumor cell proliferation, angiogenesis, and lung metastases by increasing CDK4 activity, promoting Rb phosphorylation in 3D culture, and in vivo using a MDAMB231-derived xenograft mouse model.^{111,112} One study suggests that the co-expression of MT4-MMP/EGFR/Rb may predict the response of TNBC patients to combination treatment; this study revealed that palbociclib reversed erlotinib resistance in TNBC patients expressing EGFR. This

combination has demonstrated therapeutic effects in TNBC tumors expressing MT4-MMP, EGFR, and Rb. These findings may help to identify subgroups of patients who may respond to anti-EGFR inhibitors. The expression status of MT4-MMP, EGFR, and Rb is worth considering when selecting patients suitable for combination therapies.¹¹³

CDK4/6 Inhibitors Plus Lapatinib as a Therapeutic Strategy in People with EGFR and DEDD Overexpression

Death effector domain-containing protein (DEDD) is significantly upregulated in 60% of TNBC tumors and is primarily located in the cytoplasm.¹¹⁴ In a recent study, lapatinib was shown to transiently inhibit pAKT, but TNBC cells have other alternative mechanisms for maintaining AKT signal. DEDD interacts with heat shock cognate 70 (HSC70) to maintain the expression of cyclin D1 and promote the degradation of Rb family proteins (Figure 1). Cytosolic over-expression of DEDD contributes to mitogen-independent G1 to S transition. CDK4/6 inhibitors in combination with lapatinib have been shown to significantly inhibit the proliferation of TNBC cells that overexpress DEDD; blocking the GFR/HER2 signaling pathway and cell cycle transition successfully attenuates TNBC tumor progression. DEDD upregulation in EGFR+ TNBC may provide a rationale for further exploration of this combination treatment in TNBC.¹¹⁵

CDK4/6 Inhibitors in Combination with Chemotherapy

Due to the lack of specific drug targets, chemotherapy, including those with taxanes and anthracyclines, remains the standard treatment in TNBC patients.¹¹⁶ However, chemotherapy-induced myelosuppression severely limits its clinical use and efficacy.¹¹⁷ Untargeted chemotherapy can induce apoptosis of normal lymphocytes as well as tumor cells, resulting in reduced autoimmune system function. The protection of immune cells from cytotoxic damage caused by chemotherapy drugs could reduce the toxicity of chemotherapy, thus broadening the clinical application of chemotherapy drugs. The proliferation of both hematopoietic stem progenitor cells and lymphocytes depends on the activity of CDK4/6.¹¹⁸ Trilaciclib, an intravenous and competitive CDK4/6 inhibitor, has been shown to reduce the myelotoxicity of chemotherapeutic agents by inducing transient cell cycle arrest. This drug can differentially inhibit both cytotoxic and regulatory T cells. When administered before chemotherapy, the

activity of cytotoxic T lymphocytes can recover quickly, indicating that these cells are protected from cytotoxic damage.^{119,120} Clinical trials are currently underway, but these preliminary results are encouraging.¹²¹

All genotoxic agents induce DNA damage to promote cell death, which can be repaired via two pathways: homologous recombination (HR) and non-homologous end joining (NHEJ). A previous study demonstrated that simultaneous palbociclib and paclitaxel treatment has an antagonistic effect on antitumor.¹²² The activity of rad51, a critical component of the HR pathway, is repressed in the presence of CDK4/6 inhibitors, resulting in a significant decrease in HR activity;¹²³ the burden of DNA repair was found to shift from HR to NHRJ pathway, which is not affected by Rb and CDK4/6 inhibitors. The mechanism of DNA repair modification may therefore have a significant impact on cells treated with CDK4/6 inhibitors and genotoxic drugs.¹¹⁸ However, recent research revealed that when PD0332991 is treated prior to chemotherapy, there is an additional inhibition of cell proliferation, accompanied by a significant increase in apoptosis. The palbociclib/paclitaxel sequential therapy suppresses Palbociclib-mediated induction of AKT and downregulates expression of c-myc, a target gene of E2F, thereby reducing the hypoxia-inducible factor subunit 1 α (HIF-1 α) and glucose transporter 1 (GLUT-1) expression, decreasing glucose uptake and consumption.¹²² These results indicate that palbociclib-induced G1 blockade may protect breast cancer cells against cell death induced by paclitaxel when administered simultaneously, but intermittent administration had synergistic effect on killing tumor cells. Clinical trials of PD0332991 in combination with paclitaxel are currently underway in people with advanced breast cancer.

Clinical Trials

Currently, various randomized clinical trials are currently underway in people with TNBC to identify more specific treatments for this disease. Tables 2 and 3 summarize current randomized trials evaluating the efficacy of CDK4/6 inhibitors in this population in which results are pending and available, respectively.

Notably, early results from Phase I clinical trials reveal that PD0332991 is generally well tolerated with few side effects.¹²⁴ A phase I/II study of this drug in combination with paclitaxel in 27 people with Rb-expressing metastatic breast cancer has been completed (ClinicalTrials.gov identifier: NCT01320592). Preliminary results show that alternating sequential palbociclib/paclitaxel treatment is

Table 2 Ongoing Randomized Phase II/III Clinical Trials CDK4/6 Inhibitors in Triple-Negative Breast Cancer

Clinical Trials	Phase	Allocation	Study Population	Number	Arms	Primary Endpoint
NCT04360941	I b	N/A	AR+; TNBC	45	Palbociclib+Avelumab	ORR
NCT03090165	I/II	N/A	AR+; TNBC	11	Ribociclib+Bicalutamide	MTD; CBR
NCT03805399	I/II	Non-randomized	TNBC	140	SHR 6390+SHR 3680	ORR
NCT02978716	II	Randomized	TNBC	102	Trilaciclib+Gemcitabine +Carboplatin	Number of Treatment Related Adverse Event
NCT03519178	I/2A	Non-randomized	TNBC	220	PF-06873600	Number of patients with does limiting toxicities; Safety and tolerability
NCT03756090		Randomized	TNBC	100	Palbociclib+Dose-dense neoadjuvant chemotherapy	pCR
NCT03130439	II	N/A	mTNBC	37	Abemaciclib	ORR
NCT02605486	I/II	N/A	AR+; TNBC		Palbociclib+Bicalutamide	Recommended phase II dose (RP2D) (phase I); PFS (phase II)
NCT03979508		N/A	TNBC	100	Abemaciclib	Proportion of patients who have a CD8/FOXP3 ratio < 1.6
NCT01320592		N/A	mTNBC	9	Palbociclib+Paclitaxel	Adverse Events of PD0332991

Abbreviations: TNBC, triple-negative breast cancer; AR, androgen receptor; mTNBC, metastatic triple-negative breast cancer; ORR, objective response rate; MTD, maximum tolerated dose; CBR, clinical benefit rate; pCR, pathological complete response; SHR 6390, cyclin-dependent kinase inhibitor; PF-06873600, Cyclin-dependent kinase inhibitor; SHR3680, androgen receptor inhibitor.

feasible and safe, with no evidence of additive toxicity.¹²⁵

A follow-up trial utilizing imaging biomarkers in people with TNBC is ongoing, which aims to identify which patients benefit from the combination therapies including CDK4/6 inhibitors (ClinicalTrials.gov identifier: NCT02599363). Ultimately, we expect a larger range of randomized clinical trials to evaluate whether this treatment could ultimately improve survival rates in women with advanced breast cancer.

Another current trial aims to assess the clinical efficacy and safety profile of palbociclib in combination with epirubicin and cyclophosphamide followed by paclitaxel as a neoadjuvant therapy (ClinicalTrials.gov identifier: NCT03756090). Whether CDK4/6 inhibitors could be effective in TNBC patients will become clearer as results from further clinical trials are published. One study has reached its prespecified endpoint, with progression-free survival in 11/33 patients at 6 months without any unexpected toxic effects (ClinicalTrials.gov identifier: NCT02605486).¹²⁶ Another study investigating this therapeutic combination also showed preliminary evidence of no dose-limiting toxic effects (ClinicalTrials.gov identifier:

NCT03090165).¹²⁷ In another study, Jiang et al screened specifically enrolled people with TNBC who had wild-type Rb or AR-positive. However, the results are not very encouraging and only one of the eight patients enrolled in this trial presented with stable disease (SD) (ClinicalTrials.gov identifier: NCT03805399).¹²⁸ Recent data from a Phase II study of trilaciclib combined with chemotherapy, gemcitabine/carboplatin (GC regimen), for the treatment of recurrent or metastatic TNBC demonstrate that trilaciclib therapy administered prior to the GC regimen significantly improved overall survival. The patients were randomly divided into three groups: GC regime alone, Trilaciclib (G1T28)+Gemcitabine/Carboplatin (G1T28 prior to GC on days 1 and 8 in 21-day cycles), Trilaciclib (G1T28)+Gemcitabine/Carboplatin Trilaciclib (G1T28) on Days 1, 2, 8 and 9. GC chemotherapy on Days 2 and 9 in 21-day cycle. Duration of administration also contributed to the difference in overall survival. The results demonstrated that the overall survival is improved from 12.6 months to 20.1 or 17.8 months (ClinicalTrials.gov identifier: NCT02978716).¹²¹ Based on these encouraging results, a critical study of trilaciclib in TNBC is expected to begin

Table 3 Available and Published Data on Clinical Trials of CDK4/6 Inhibitors in Triple-Negative Breast Cancer

Clinical Trials	Phase	Regimens	Patients	Efficacy	Status
NCT02605486	I/II	Bicalutamide+ Palbociclib	AR+ mTNBC 33pts	Best response: 11 pts (31 evaluable pts) 10 SD>6months IPR No unexpected toxicity	Active, not recruiting
NCT02978716	II	Group1: GC chemotherapy Group2: Trilaciclib (G1T28) + Gemcitabine/Carboplatin (G1T28 prior to GC on days 1 and 8 in 21-day cycles) Group3: Trilaciclib (G1T28) + Gemcitabine/Carboplatin Trilaciclib (G1T28) on Days 1, 2, 8 and 9. GC chemotherapy on Days 2 and 9 in 21-day cycle.	mTNBC Group 1: 34 pts Group 2: 33 pts Group 3: 35 pts	Mean duration of severe neutropenia: 0.8 vs 1.5 vs 1.0 days Severe neutropenia: 26% vs 36% vs 23% Os:12.6 vs 20.1 vs 17.8 months	Active, not recruiting
NCT03090165	I	Group 1: B 150mg/d(d1-d28) +400mg/d(d1-d21) Group 2: B 150mg/d(d1-d28) +400mg/d(d1-d28) Group 3: B 150mg/d (d1-d28) +600mg/d (d1-d21)	AR+ TNBC Group 1: 3 pts Group 2: 3 pts	Group 1 and 2: No dose-limiting toxicities	Active, not recruiting
NCT03805399	Ib/II	AR inhibitor + CDK4/6 inhibitor	AR+TNBC 14 pts (8 assessable pts)	1 SD; 7 PD	Recruiting
NCT01320592	I	PD0332991 + Paclitaxel	Rb+ mBC 27 pts (9 TNBC)	NTP (neutropenia): 15%; Grade 1 or 2 nausea and peripheral neuropathy: 29.6%; Clinical benefit rate: 55% at the RP2D; No additive toxicity	Completed

Abbreviations: TNBC, triple-negative breast cancer; AR, androgen receptor; mTNBC, metastatic triple-negative breast cancer; mBC, metastatic triple-negative breast cancer; pts, patients; SD, stable disease; PR, partial response; PD, progressive disease; RP2D, recommended phase II dose.

soon (ClinicalTrials.gov identifier: NCT04799249). However, results from these trials are still pending; the efficacy of the combination therapies outlined in this review must be verified in further clinical trials.

Conclusion

Clinical indications for CDK4/6 inhibitors are expected to expand over the next few years, changing the treatment landscape for breast cancer. Importantly, CDK4/6 inhibitors in combination with hormonal therapy have been successful as a standard first-line treatment for patients with ER+ breast cancer in pre- and postmenopausal women. Before the CDK4/6 inhibitors can be used in TNBC, two main issues must be addressed: first, acquired drug resistance in those who receive the

drug, and secondly, the lack of predictable biomarkers to determine who might benefit from the treatment. Preclinical studies have indicated that CDK4/6 inhibitors may improve the efficacy of other drugs, especially chemotherapy, which remains the standard treatment for this subtype of breast cancer, due to its extremely aggressive nature and lack of established molecular targets for therapy. Genetic mutations also confer tumor cell resistance to CDK4/6 inhibitors, including mutations in *TP53* and *MYC*. Further research is needed to identify additional potential targets that could predict the efficacy of CDK4/6 inhibitors in TNBC. A critical challenge is to integrate the current research-based understanding of TNBC into the design of new clinical trials. Given the ongoing improvements in the recognition and

understanding of biomarkers and drug resistance targets for CDK4/6 inhibitors in breast cancer, further studies are needed to fully investigate how these therapies could be integrated to improve treatment strategies and outcomes for patients with TNBC.

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Disclosure

The authors declare that they have no competing interests.

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