

# Real-World Safety and Effectiveness of Benralizumab in Japanese Patients with Severe Asthma: A Multicenter Prospective Observational Study

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**Introduction:** This study aimed to demonstrate whether benralizumab maintained the safety and effectiveness profiles established in randomized controlled trials among all patients with severe uncontrolled asthma initially prescribed benralizumab in the real-world setting in Japan.

**Methods:** This was a prospective, observational, multicenter post-marketing study (ClinicalTrials.gov, NCT03588546). The safety and tolerability of benralizumab over 1 year were assessed by the incidence of adverse events (AEs), serious AEs, adverse drug reactions (ADRs), and serious ADRs. Patient background characteristics indicating a more frequent onset of ADRs with benralizumab were explored. The main effectiveness assessment was the change in Asthma Control Questionnaire-5 (ACQ-5) score from baseline. Patients with baseline ACQ-5 scores  $\geq 1.5$  were defined as having severe uncontrolled asthma.

**Results:** In total, 632 patients were evaluated for safety and 274 for effectiveness; 139 patients were included in the severe uncontrolled asthma subgroup. ADRs were reported in 12.7% and serious AEs in 13.0% of patients. Serious infections occurred in 3.8%, serious hypersensitivity in 0.3%, and malignancy in 0.3% of patients. No helminthic infections occurred. In the effectiveness population, benralizumab improved the mean (standard deviation [95% confidence interval]) ACQ-5 score by  $-1.16$  ( $1.40$  [ $-1.36$ ,  $-0.96$ ]) from baseline; forced expiratory volume in 1 second by  $0.151$  ( $0.440$  [ $0.09$ ,  $0.21$ ]) L; and Mini-Asthma Quality of Life questionnaire score by  $1.16$  ( $1.29$  [ $0.94$ ,  $1.38$ ]) at the last observation. The annual asthma exacerbation rate was  $0.42$ . A greater ACQ-5 score improvement was observed among patients with eosinophilic asthma characteristics.

**Conclusion:** No new safety concerns were raised, and patients experienced benefits consistent with previous studies of benralizumab, thus supporting the use of benralizumab for the add-on maintenance treatment of patients with eosinophilic severe uncontrolled asthma.

**Keywords:** benralizumab, severe uncontrolled asthma, anti-interleukin-5 receptor  $\alpha$  monoclonal antibody, eosinophils, exacerbation, asthma control, quality of life

## Introduction

In 2019, it was estimated that over 260 million people globally were affected by asthma.<sup>1</sup> Of the total population with asthma, approximately 3%–10% of patients have severe disease and have symptoms and exacerbations despite treatment with maximal standard-of-care controller therapy.<sup>2</sup> The recent KEIFU study, a non-interventional insurance claims database analysis in Japan, reported that 7.8% of continuously treated asthma patients had severe asthma, of whom 2.5% had severe uncontrolled asthma.<sup>3</sup> Patients with severe asthma have a disproportionately high disease burden, reflected by the marked impairment in quality of life and the increased healthcare and societal costs.<sup>4,5</sup> This is also the case in Japan, as reported in a recent analysis of asthma-associated healthcare resource utilization using data from the

Japan Medical Data Center database.<sup>6</sup> The analysis showed that healthcare resource utilization was heavily concentrated among severe asthma patients, with significantly more all-cause hospitalizations, outpatient visits, prescriptions, and total medical costs than those with non-severe asthma.<sup>6</sup> Eosinophilic asthma is the most common subtype of severe asthma, accounting for over 80% of cases.<sup>7</sup> An increased number of airway and circulating eosinophils is associated with an increased frequency of asthma exacerbations, a high symptom burden, and impaired lung function.<sup>8–10</sup>

Benralizumab (Fasenra<sup>®</sup>) is a humanized, afucosylated, anti-interleukin (IL) 5 receptor  $\alpha$  monoclonal antibody that induces direct, rapid, and nearly complete depletion of eosinophils<sup>11</sup> via enhanced antibody-dependent cell-mediated cytotoxicity, the process by which natural killer cells cause eosinophil apoptosis.<sup>12</sup> In 2018, benralizumab was approved in Japan as add-on maintenance therapy for adults with severe asthma whose asthma cannot be controlled with high-dose inhaled corticosteroids (ICS) plus additional controllers. Three Phase 3 randomized controlled trials (RCTs) have assessed the clinical efficacy of benralizumab in patients with severe uncontrolled eosinophilic asthma: CALIMA<sup>11</sup> and SIROCCO<sup>13</sup> both demonstrated significant reductions in exacerbations as well as improvements in lung function, asthma control, and quality-of-life measures, whereas ZONDA demonstrated a considerable reduction in maintenance oral corticosteroid (mOCS) dose.<sup>14</sup>

Individuals with specific vulnerabilities tend to be excluded from RCTs because of stringent eligibility criteria; however, such individuals may be prescribed the tested and approved drugs in daily clinical practice despite such vulnerabilities and without adequate evidence or appropriate treatment decision information.<sup>15</sup> This post-marketing study aimed to demonstrate whether benralizumab maintained the safety and effectiveness profiles established in RCTs among all patients with severe uncontrolled asthma initially prescribed benralizumab per the approved indication in the real-world setting in Japan. Furthermore, the patient background characteristics that may indicate a more frequent onset of adverse drug reactions (ADRs), as well as those that can act as indicators of a good response to benralizumab, were explored.

## Materials and Methods

### Study Design, Ethics, and Population

This prospective, observational, multicenter post-marketing study (ClinicalTrials.gov, NCT03588546) was conducted between May 2018 and Nov 2021 at 195 sites across Japan. The study included a 1-year observational period and a 2-year follow-up period. This study was planned under the benralizumab Risk Management Plan (RMP) and was conducted under local regulations according to the Japanese Good Post-Marketing Study Practice (GPSP) ordinance in Japan and in compliance with the Declaration of Helsinki. In Japan, the assignment of medical intervention was at the discretion of the investigator. That is, benralizumab was prescribed to patients at the investigator's discretion. Although the Japan Ministry of Health, Labour and Welfare stipulates that ethical review and informed consent are required for interventional clinical trials, research carried out pursuant to the provisions of laws and ordinances, including post-marketing surveillance under the jurisdiction of the Pharmaceuticals and Medical Devices Agency (PMDA), is exempt from these requirements, as described in the Ethical Guidelines for Medical and Health Research Involving Human Subjects. Therefore, the requirement of approval from the ethics committee and written informed consent from patients are waived for this purely observational study.

Eligible patients were those diagnosed with severe asthma who had been prescribed benralizumab for severe asthma for the first time in Japan (ie, limited to refractory patients whose asthmatic symptoms cannot be controlled by currently available treatment consisting of high-dose ICS plus additional controllers). Patients with baseline Asthma Control Questionnaire-5 (ACQ-5) scores  $\geq 1.5$  were defined as having severe uncontrolled asthma. Patients were enrolled from contracted hospitals by a central registration method until a number of patients up to or beyond the target number had been met. The approved benralizumab dose is 30 mg every 4 weeks via subcutaneous injection for the first three doses and then 30 mg every 8 weeks subcutaneously thereafter.<sup>16</sup>

### Safety and Effectiveness Assessments

The safety and tolerability of benralizumab over 1 year was assessed based on the following endpoints: incidence of adverse events (AEs), serious AEs, ADRs, and serious ADRs. Investigators assessed AEs and ADRs. An AE was defined

as any undesirable or unintended signs, symptoms, and disease regardless of the causality with the administration of benralizumab. ADRs were defined as any AE causally related to benralizumab or for which a causal relationship with benralizumab was unknown due to insufficient or contradictory information. Safety specifications in the Japanese RMP were AEs of serious hypersensitivities, serious infections, helminth infections, and malignancies in this study. Furthermore, the frequency of ADRs was classified by baseline characteristics.

The main effectiveness assessment was the change in ACQ-5 score from baseline. Other effectiveness assessments were change in spirometry parameters from baseline (forced expiratory volume in 1 second [FEV<sub>1</sub>]); Mini-Asthma Quality of Life questionnaire (MiniAQLQ) score; asthma exacerbation frequency; change in mOCS dose; and change in biomarkers, peripheral blood eosinophil counts, fractional exhaled nitric oxide (FeNO), and total serum immunoglobulin E (IgE). Most lung function tests were performed in daily clinical practice, while patients were undergoing treatment with continuous asthma controller medications that had bronchodilation effects (eg, ICS/long-acting  $\beta_2$ -agonist [LABA], ICS, long-acting muscarinic antagonist [LAMA], or theophylline).

An asthma exacerbation was defined as a worsening of asthma that led to one of the following: use of systemic corticosteroids for 3 days or more or a temporary increase in a stable, background dosage of oral corticosteroids; an emergency department or urgent care visit (<24 h) due to asthma that required treatment with systemic corticosteroids; or an inpatient admission to hospital ( $\geq 24$  h) due to asthma.

## Data Collection

Data were collected in case report forms, and investigation items consisted of patient demographic and clinical data at baseline, information about exacerbations, hospitalization due to asthma, use of asthma treatments, current and past medical history, laboratory tests, concomitant drugs and/or therapies, information on benralizumab administration, clinical course, and AEs, including serious infections as a key item.

## Statistical Analysis

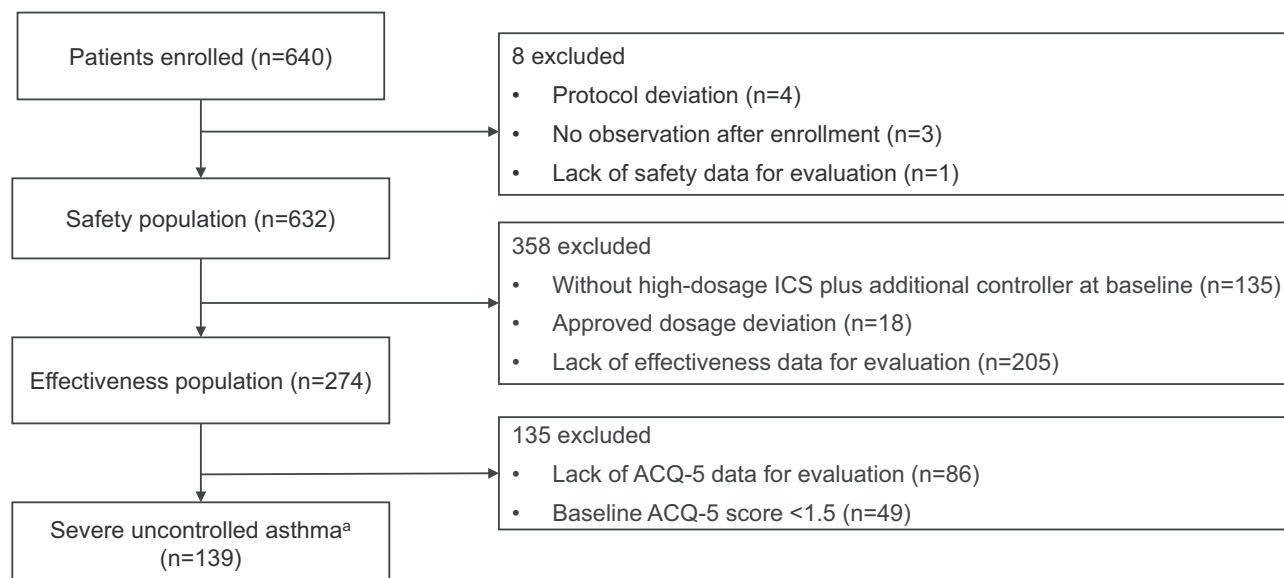
This study aimed to enroll 600 patients to provide a sufficiently accurate estimate to detect serious infection frequency above a threshold of 2.2%, which is the combined frequency reported in the Phase 3 RCTs SIROCCO and CALIMA (2.2% [18/822] in the benralizumab 30 mg Q8W group),<sup>11,13</sup> with 80% power if the true serious infection frequency was 4.4% (twice the frequency observed in Phase 3 RCTs). Safety was evaluated using the safety population, which included patients who received benralizumab at least once, but excluded those patients with an invalid registration, or lacking observation following enrollment. The effectiveness population was defined as patients with baseline efficacy data and one or more effectiveness measures but excluded patients who violated the approved usage of benralizumab in Japan.

Safety and effectiveness outcomes were descriptive. Data are presented using appropriate descriptive statistics such as mean, standard deviation (SD), median, minimum and maximum for continuous variables, and frequency and percentage for categorical variables. For a focused evaluation, possible background factors that could serve as effectiveness indicators were evaluated based on data from patients with severe uncontrolled asthma who had baseline ACQ-5 scores  $\geq 1.5$  and more than one ACQ-5 score measurement during the observation period. Additionally, subgroup analysis was conducted to compare ADR and ACQ-5 changes from baseline based on patient background characteristics. Fisher's exact test was used to compare the proportion of patients with ADRs among groups defined by baseline characteristics. The tests were two-sided, and the significance level was 5%. The software used for statistical analysis was SAS version 9.4 (SAS Institute Inc., NC, USA).

## Results

### Patient Disposition and Baseline Characteristics

During the case registration period, 640 patients were enrolled (Figure 1). Eight patients were excluded for protocol deviations (n=4), lack of observation after enrollment (n=3), and lack of safety evaluation (n=1). Thus, a total of 632 patients were included in the safety population. Of these, 358 were excluded for not using a high-dose ICS plus additional controller at baseline (n=135), deviation of the approved dose (n=18), and lacking efficacy data for evaluations at baseline or at other time points (n=205). The resulting effectiveness population included 274 patients. After excluding



**Figure 1** Patient disposition. <sup>a</sup>ACQ-5 improvement was evaluated.

**Abbreviations:** ACQ-5, Asthma Control Questionnaire-5; ICS, inhaled corticosteroids.

135 patients who lacked data or had a baseline ACQ-5 score <1.5, 139 patients were included in the severe uncontrolled asthma subgroup.

**Table 1** summarizes the baseline characteristics of patients included in the safety population. Patients had a mean (SD) age of 63.9 (15.5) years; 45.9% of patients were  $\geq 70$  years of age. Of the total, 61.6% of patients were female, and the mean (SD) body mass index (BMI) was 24.0 (4.7) kg/m<sup>2</sup>. Patients had a median (min, max) disease duration of 14.0 (0.3, 85.0) years. The percentage of patients who experienced asthma exacerbation in the previous year was 77.8%. Concomitant drugs included a combination of ICS and LABA in 581 (92.1%); leukotriene receptor antagonist (LTRA) in 429 (68.0%); LAMA in 246 (39.0%); and theophylline in 186 (29.5%) patients. At baseline, 27.3% of patients were taking mOCS at a mean daily dose of 7.6 (6.0) mg/day prednisolone equivalent. Regarding previous treatments, 114 (18.2%) patients had received an anti-IL5 antibody, 48 (7.7%) had received an anti-IgE antibody, and five (0.8%) had received an anti-IL4 receptor  $\alpha$  antibody. The mean blood eosinophil count was 557 (649) cells/ $\mu$ L at baseline. Benralizumab was used to treat 16 patients with “mild intermittent” asthma or “mild persistent” asthma (13 patients during the first year and an additional 3 patients in the subsequent year). Of these, 11 had a history of eosinophil-related complications (9 patients with chronic sinusitis, 3 patients with eosinophilic otitis media, 1 patient with eosinophilic esophagitis, and 1 patient with Churg–Strauss syndrome).

Overall, the mean follow-up period was 302.3 (121.0) days. A total of 202 (32.0%) patients discontinued or withdrew from the study. The major reasons for discontinuation were insufficient effectiveness of the drug in 67 (10.6%), AEs in 46 (7.3%), improvement of symptoms in 24 (3.8%), cessation of hospital visits during treatment (ie, transfer to another hospital, move, or other) in 25 (4.0%), and other reasons in 40 (6.3%) patients.

Continuation rates and reasons for discontinuation were analyzed every 3 months (**Table 2**). Between 0 to <3 months, 46/632 (7.3%) patients discontinued; in  $\geq 3$  to <6 months, 59/586 (10.1%); in  $\geq 6$  to <9 months, 39/503 (7.8%); in  $\geq 9$  to <12 months, 41/464 (8.8%); and in  $\geq 12$  months, 17/218 (7.8%) discontinued. The most common reason for discontinuation was AEs (n=19) in 0 to <3 months, insufficient effectiveness in  $\geq 3$  to <9 months, and improved symptoms in  $\geq 9$  to <12 months.

## Safety Assessments

### Incidence of ADRs, Serious AEs, and Death

ADRs were reported for 12.7% (80 of 632) of patients (**Table 3**). ADRs that occurred in three or more patients were headache, 17 (2.7%); pyrexia, 15 (2.4%); asthma, 10 (1.6%); malaise, five (0.8%); pneumonia, five (0.8%); rash, four (0.6%); and eosinophil count increased, three (0.5%) (**Supplementary Table 1**).

**Table I** Patient Background Characteristics (Safety Population)

		<b>Safety Population (n=632)</b>
Sex	Female	389 (61.6)
Age (years)	Mean (SD)	63.9 (15.5)
	<70	342 (54.1)
	≥70	290 (45.9)
Pre-treatment severity <sup>a</sup>	Mild intermittent	7 (1.1)
	Mild persistent	9 (1.4)
	Moderate persistent	83 (13.1)
	Severe persistent	344 (54.4)
	Most severe persistent	185 (29.3)
Disease duration (years)	Unknown	4 (0.6)
	n	366
Median (Min, Max)		14.04 (0.3, 85.0)
	n	569
Body mass index (kg/m <sup>2</sup> )	Mean (SD)	24.0 (4.7)
	n	569
Smoking habit	Current	29 (4.6)
	Past	197 (31.2)
	Never	372 (58.9)
	Unknown	34 (5.4)
Atopic predisposition	Yes	275 (43.5)
	No	290 (45.9)
	Unknown	67 (10.6)
History of AERD	Yes	49 (7.8)
	No	525 (83.1)
	Unknown	58 (9.2)
Outpatient/inpatient	Outpatient	590 (93.4)
	Inpatient	40 (6.3)
	Unknown	2 (0.3)
Previous treatments for asthma <sup>b</sup>	Anti-IL5 antibody	114 (18.2) <sup>c</sup>
	Anti-IgE antibody	48 (7.7) <sup>c</sup>
	Anti-IL4 receptor $\alpha$ antibody	5 (0.8) <sup>c</sup>
mOCS	n	171 (27.3) <sup>c</sup>
	Mean daily dose (SD)	7.6 (6.0)
Comorbidities <sup>b</sup>	Yes	502 (79.4)
	Allergic rhinitis	220 (43.8)
	Chronic sinusitis	172 (34.3)
	COPD	115 (22.9)
	Eosinophilic otitis media	62 (12.4)
	Nasal polyps	61 (12.2)
	Reflux esophagitis	52 (10.4)
	Eosinophilic rhinosinusitis	16 (3.2)
	Renal disease	12 (2.4)
	ABPA	10 (2.0)
	Malignant tumor	9 (1.8)
	EGPA	7 (1.4)
	Hepatic disease	4 (0.8)
	Hepatitis viral	4 (0.8)
Atopic dermatitis	2 (0.4)	
Others	298 (59.4)	

(Continued)

Table I (Continued).

		Safety Population (n=632)	
Concomitant drugs	No	125 (19.8)	
	Unknown	5 (0.8)	
	Yes	631 (99.8)	
	ICS/LABA combination	581 (92.1)	
	LTRA	429 (68.0)	
	LAMA	246 (39.0)	
	Theophylline	186 (29.5)	
	Other anti-allergic drugs	174 (27.6)	
	mOCS	169 (26.8)	
	OCS (other than long-term control drugs)	129 (20.4)	
	ICS	73 (11.6)	
	SABA	65 (10.3)	
	LABA	38 (6.0)	
	Other	287 (45.5)	
	Exacerbations that required systemic corticosteroids in the past 12 months <sup>d</sup>	No	1 (0.2)
		Yes	442 (69.9)
		Unknown	151 (23.9)
Number of treatments with systemic corticosteroids or dose increases of OCS <sup>d,e</sup>	n	39 (6.2)	
	Mean (SD)	497	
	Yes	3.3 (5.7)	
Exacerbations resulting in emergency department visit in the past 12 months <sup>f</sup>	Yes	326 (51.6)	
	No	267 (42.2)	
	Unknown	39 (6.2)	
Number of visits to the emergency room or emergency outpatient service <sup>e,f</sup>	n	528	
	Mean (SD)	1.8 (3.3)	
	Yes	173 (27.4)	
Exacerbations resulting in hospital admission in the past 12 months	No	430 (68.0)	
	Unknown	29 (4.6)	
	n	583	
Number of hospitalizations due to asthma in the past 12 months <sup>e</sup>	Mean (SD)	0.4 (0.9)	
	n	485	
	Mean (SD)	557 (649)	
Eosinophil count (/μL)	Median (Min, Max)	379 (0, 5305)	
	<150	159 (25.2)	
	150 to <300	60 (9.5)	
	≥300	266 (42.1)	
	Unknown	147 (23.3)	
	FeNO (ppb)	n	283
		Mean (SD)	62.8 (59.5)
		<37	135 (21.4)
		≥37	148 (23.4)
		Unknown	349 (55.2)
Serum total IgE level (IU/mL)	n	336	
	Mean (SD)	773.2 (1931.8)	
	<150	121 (19.1)	
	≥150	215 (34.0)	
	Unknown	296 (46.8)	

(Continued)

**Table 1** (Continued).

		<b>Safety Population (n=632)</b>
FEV <sub>1</sub> (L)	n	377
	Mean (SD)	1.666 (0.750)
	Unknown	255 (40.3)

**Notes:** Data are n (%) unless otherwise stated. <sup>a</sup>Pre-treatment severity was determined according to the Japanese guidelines for adult asthma.<sup>17</sup> <sup>b</sup>Details may overlap. <sup>c</sup>Proportion among 626 patients who had previous treatment for asthma. <sup>d</sup>Administration of systemic corticosteroids (other than control drugs) for  $\geq 3$  days due to exacerbation of asthma during the 1 year before the start of treatment with benralizumab or an increase in the maintenance dose of OCS for  $\geq 3$  days (a single dose of a depot formulation of systemic corticosteroids was deemed equivalent to 3 days of administration). <sup>e</sup>Patients reported as having no events were counted as having zero events. <sup>f</sup>Emergency room or emergency outpatient visit requiring administration of systemic corticosteroids due to exacerbation of asthma up to 1 year before the start of treatment with benralizumab.

**Abbreviations:** ABPA, allergic bronchopulmonary aspergillosis; AERD, aspirin-exacerbated respiratory disease; COPD, chronic obstructive pulmonary disease; EGPA, eosinophilic granulomatosis with polyangiitis; FeNO, fractional exhaled nitric oxide; FEV<sub>1</sub>, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; IgE, immunoglobulin E; IL, interleukin; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-acting muscarinic antagonist; LTRA, leukotriene receptor antagonist; mOCS, maintenance oral corticosteroid; OCS, oral corticosteroid; SABA, short-acting  $\beta_2$ -agonist; SD, standard deviation; Theophylline, theophylline extended-release formulation.

Of the 13.0% (82 of 632) of patients who reported serious AEs, serious AEs that occurred in three or more patients were as follows: asthma, 44 (7.0%); pneumonia, nine (1.4%); pneumonia aspiration, four (0.6%); and bronchitis, four (0.6%) (Table 3, [Supplementary Table 2](#)).

One male patient died during the study. He had several comorbidities, including diabetes, hypertension, and chronic renal disease. The patient appeared to have inadequate adherence to the treatment regimen as he discontinued hospital visits 10 days after the initiation of benralizumab. The death of the patient was reported by approximately 3 months after treatment initiation, but no detailed information regarding the patient's death was provided.

Concerning laboratory parameters, three patients presented with eosinophil count alterations after blood eosinophil count decreased to zero with benralizumab administration. One patient was treated with prednisolone 60 mg daily after developing edema as an AE 11 months post-benralizumab initiation. Over the course of approximately 1 month, the prednisolone dose was tapered to 22.5 mg daily, during which an eosinophil increase (AE) was observed and benralizumab was stopped. The eosinophil increase event was considered resolved (240 cells/ $\mu$ L) approximately 1 month after the maximum count (3322 cells/ $\mu$ L) was reached, and the eosinophil count returned to zero approximately 4 months later. Another patient presented with a transient increase in blood eosinophil count up to 8.7% (the absolute cell count was unavailable) 9 months after starting benralizumab. However, the eosinophil count decreased to zero after 1 month without additional treatment. The third patient's eosinophil rate increased to 14.3% (915.2 cells/ $\mu$ L) after 10 months and then declined to 2.8% (204.4 cells/ $\mu$ L) after 3 more months. These events were not considered serious AEs. For all three patients who presented with an unexpected increase in eosinophil counts after treatment, the levels eventually decreased, and physicians judged their state as recovered.

Analysis of background factors associated with a higher frequency of ADRs showed that patients who had aspirin-exacerbated respiratory disease (AERD; 28.6%;  $p=0.0011$ ), who initiated benralizumab at hospitalization (27.5%;  $p=0.0109$ ), had a duration of asthma  $\geq 30$  years (19.5%;  $p=0.0257$ ), and who had serum total IgE  $\geq 150$  IU/mL (17.2%;  $p=0.0227$ ) presented with ADRs more frequently than patients with other background characteristics ([Supplementary Table 3](#)).

### Safety Specifications Listed in the Risk Management Plan

Serious infection occurred in 24 (3.8%) patients. Serious infections occurring in three or more patients were nine (1.4%) patients with pneumonia, four (0.6%) patients with bronchitis, and four (0.6%) patients with aspiration pneumonia. All four patients (three with pneumonia and one with gastroenteritis) whose events were thought to be causally related to benralizumab treatment either recovered or improved. No helminthic infections were reported. No cases of COVID-19 were reported in this study.

**Table 2** Reasons for Discontinuation by Observation Period (Safety Population)

Observation Period, Months	Safety Population (n=632)	Discontinuations, n (%) <sup>a</sup>	Reasons for Discontinuation, n (%)					
			Adverse Events <sup>b,c</sup>	Insufficient Effectiveness <sup>c</sup>	Improvement of Symptoms <sup>c</sup>	Lost to follow-up after the Start of Benralizumab <sup>c</sup>	Cease of Hospital Visits During Treatment <sup>c,d</sup>	Other reasons for Discontinuation <sup>c</sup>
<3	632	46 (7.3)	19 (41.3)	11 (23.9)	3 (6.5)	0	4 (8.7)	9 (19.6)
≥3 to <6	586	59 (10.1)	14 (23.7)	25 (42.4)	3 (5.1)	0	5 (8.5)	12 (20.3)
≥6 to <9	503	39 (7.8)	7 (17.9)	14 (35.9)	4 (10.3)	0	8 (20.5)	6 (15.4)
≥9 to <12	464	41 (8.8)	4 (9.8)	11 (26.8)	12 (29.3)	0	6 (14.6)	8 (19.5)
≥12	218	17 (7.8)	2 (11.8)	6 (35.3)	2 (11.8)	0	2 (11.8)	5 (29.4)
	Total number of discontinuations	202 (32.0)	46 (22.8)	67 (33.2)	24 (11.9)	0	25 (12.4)	40 (19.8)

**Notes:** <sup>a</sup>Percentages calculated based on the safety population (n=632). <sup>b</sup>Defined as any undesirable or unintended signs, symptoms, and disease regardless of the causality with the administration of benralizumab. <sup>c</sup>Percentages calculated based on number of patients in each observation period category. <sup>d</sup>Transfer to another hospital, moving, or other.



**Table 3** Summary of Adverse Drug Reactions and Serious Adverse Events (Safety Population)

	Safety Population (n=632)
Patients with adverse drug reactions, n (%)	80 (12.7)
Types of adverse drug reactions <sup>a</sup>	
Adverse drug reactions developed in $\geq 3$ patients, n (%)	
Headache	17 (2.7)
Pyrexia	15 (2.4)
Asthma <sup>b</sup>	10 (1.6)
Pneumonia	5 (0.8)
Malaise	5 (0.8)
Rash	4 (0.6)
Eosinophil count increased	3 (0.5)
Patients with serious adverse events <sup>c</sup> , n (%)	82 (13.0)
Serious adverse events developed in $\geq 3$ patients, n (%)	
Asthma	44 (7.0)
Pneumonia	9 (1.4)
Pneumonia aspiration	4 (0.6)
Bronchitis	4 (0.6)

**Notes:** Data are n (%). MedDRA/J version 25.1. <sup>a</sup>Defined as any adverse event causally related to benralizumab or for which a causal relationship with benralizumab was unknown due to insufficient or contradictory information. <sup>b</sup>Defined as death, life-threatening event, hospitalization, irreversible dysfunction, benralizumab discontinuation, or asthma not observed in the previous 12 months. <sup>c</sup>Defined as any undesirable or unintended signs, symptoms, and disease regardless of the causality with the administration of benralizumab.

Serious hypersensitivity, diagnosed as an anaphylactic reaction, occurred in two (0.3%) patients. One patient presented with wheezing and dyspnea 20 to 30 minutes after initiating benralizumab administration. The patient recovered within a day after receiving an adrenaline injection. Benralizumab administration was suspended due to this event. The other patient presented with skin itching and nausea 11 days after the first administration of benralizumab. However, the symptoms were recovering with levocetirizine treatment and the patient continued benralizumab without the recurrence of events, and thus, the investigator considered the causality of this anaphylactic reaction due to the patient's concomitant disease, not to benralizumab.

Two (0.3%) patients developed a malignancy. One had an advanced colorectal adenocarcinoma with liver metastasis incidentally detected during examination for anorexia a week after initiation of benralizumab. The other was that of a patient with pancreatic cancer, which was discovered 4 months after benralizumab initiation; the outcome was reported as unrecovered. Neither was considered causally related to benralizumab by the reporting physician.

## Effectiveness Assessments

The effectiveness population included 274 patients treated with benralizumab according to the approved usage in Japan. For these patients, the overall disease control improved after 1 year of treatment (Table 4). At the last observation, benralizumab improved the mean (SD) ACQ-5 score by  $-1.16$  (1.40) (95% confidence interval [CI]:  $-1.36$ ,  $-0.96$ ) from baseline; mean (SD) FEV<sub>1</sub>, 0.151 (0.440) L (95% CI: 0.09, 0.21) from baseline; and mean (SD) MiniAQLQ score, 1.16 (1.29) (95% CI: 0.94, 1.38) from baseline.

Regarding biomarkers, peripheral blood eosinophil counts were suppressed after 4 weeks of treatment, and the suppression was maintained throughout the observation period (Supplementary Table 4).

Among the severe uncontrolled asthma patients whose baseline ACQ-5 score was  $\geq 1.5$  (n=139), the proportion of patients who had a decrease in ACQ-5 score of 0.5 (minimal clinically important difference) or more was 74.8% (104 of 139) at the last observation, the proportion of patients with well-controlled asthma (ACQ-5 <0.75) was 30.2% (42 of 139), and that of patients with partly controlled asthma (ACQ-5 0.75–1.5) was 18.0% (25 of 139) (Supplementary Table 5). The change in ACQ-5 score from baseline was  $>0.5$  (minimal clinically important difference) for ACQ-5 scores in all patient subgroups of severe

**Table 4** Summary of Asthma Control Parameters (Effectiveness Population)

		Baseline	At 4 Weeks	At 8 Weeks	At 16 Weeks	At 1 Year	Last Observation
ACQ-5 score	n	188	148	143	129	72	188
	Mean (SD)	2.49 (1.39)	1.56 (1.22)	1.23 (1.16)	1.27 (1.20)	1.15 (1.14)	1.32 (1.25)
Change from baseline	Mean (SD)	–	–0.96 (1.29)	–1.20 (1.26)	–1.19 (1.43)	–1.41 (1.34)	–1.16 (1.40)
	95% CI	–	–1.17, –0.75	–1.40, –0.99	–1.44, –0.94	–1.73, –1.10	–1.36, –0.96
FEV <sub>1</sub> (L)	n	198	126	122	124	54	198
	Mean (SD)	1.673 (0.808)	1.806 (1.001)	1.872 (1.009)	1.903 (1.029)	1.698 (0.759)	1.824 (0.938)
Change from baseline	Mean (SD)	–	0.127 (0.352)	0.136 (0.407)	0.193 (0.472)	0.062 (0.397)	0.151 (0.440)
	95% CI	–	0.06, 0.19	0.06, 0.21	0.11, 0.28	–0.05, 0.17	0.09, 0.21
MiniAQLQ score	n	131	96	96	89	44	131
	Mean (SD)	4.23 (1.30)	5.24 (1.27)	5.36 (1.27)	5.33 (1.34)	5.44 (1.27)	5.39 (1.29)
Change from baseline	Mean (SD)	–	0.95 (1.17)	1.02 (1.08)	1.18 (1.29)	1.60 (1.38)	1.16 (1.29)
	95% CI	–	0.71, 1.19	0.80, 1.24	0.91, 1.45	1.18, 2.02	0.94, 1.38

**Note:** Patients in the effectiveness population who had data at baseline and at one or more time points from the start of treatment administration to the last observation.  
**Abbreviations:** ACQ-5, Asthma Control Questionnaire-5; CI, confidence interval; FEV<sub>1</sub>, forced expiratory volume in 1 second; MiniAQLQ, Mini-Asthma Quality of Life questionnaire; SD, standard deviation.

uncontrolled asthma (Figure 2). However, ACQ-5 score improvements were greater among male patients, those aged <70 years, those with severe persistent disease before treatment, disease duration of 10 to <20 years, BMI <25 kg/m<sup>2</sup>, those without history of AERD, with chronic sinusitis, with administration of systemic corticosteroids ≥3 times in the previous year, with eosinophil count ≥300/μL, higher FeNO (≥37 ppb), and serum total IgE ≥150 IU/mL. Patients who switched from an anti-IL5 antibody as previous treatments for asthma, such as mepolizumab, showed additional ACQ-5 score improvement (–1.37 [95% CI: –2.23, –0.51]).

The annual asthma exacerbation rate was 0.42/person-year. During the observation period, the proportion of patients who did not present with any asthma exacerbation with systemic corticosteroid use was 78.8%. The proportion of patients who did not suffer exacerbations requiring emergency room visits was 85.8%, and that of patients who did not suffer exacerbations requiring hospitalization was 93.1% (Table 5).

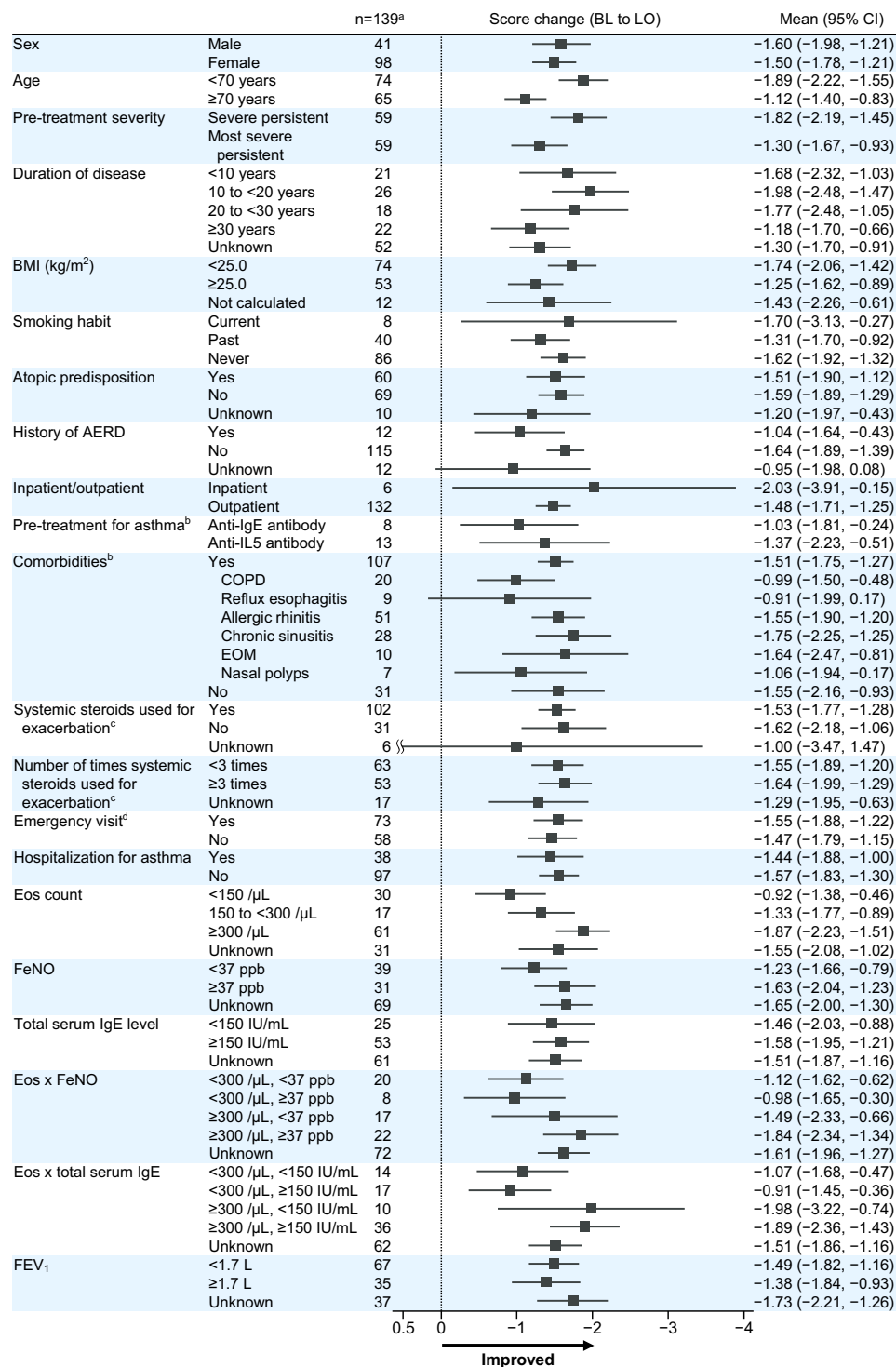
Among the patients requiring mOCS therapy at baseline in the effectiveness population, 33.3% (19 of 57) of patients withdrew from mOCS therapy at the last observation (Supplementary Figure 1).

## Discussion

The present study showed that the profiles of safety, tolerability, and efficacy of benralizumab shown in RCTs were maintained in a sample of over 630 patients who started treatment in the real-world setting after benralizumab approval in Japan.

In the present sample of patients, the mean age was 63.9 years, which was higher than the mean age of patients in the CALIMA study (49.0 years of age, benralizumab 30 mg Q8W group), a Phase 3 trial including Japanese patients.<sup>11</sup> Furthermore, the patients in the present study were treated more intensively compared with patients from the CALIMA study. For instance, in this study and CALIMA, respectively, 68.0% and 28.0% of patients had used LTRA; 39.0% and 9.0% had used LAMA, and 29.5% and 12.0% had used theophylline. Another relevant difference was that in the present study, 26.4% of patients were prescribed other biologics prior to benralizumab. However, such patients were excluded from CALIMA,<sup>11</sup> as well as other Phase 3 trials.<sup>13,14</sup>

The overall discontinuation rate in our study was 32.0%. Reports on clinical practice in Japan showed that the 1-year discontinuation rates of omalizumab and mepolizumab were 41.4% and 43.1%, respectively,<sup>18,19</sup> which are higher than reports from other countries.<sup>20</sup> It is possible that the high medical expense burden for patients in Japan may have influenced the discontinuation rates of these drugs. The discontinuation rate due to AEs in our study was 7.3%, which is consistent with the reported rates in similar real-world studies (2%–10% after 1 year of biologics treatment).<sup>18,19,21,22</sup> Similar to the proportion of patients who presented ADRs in this study (12.7%), the proportion reported in CALIMA was 13%.<sup>11</sup> Additionally, no new safety signals were observed. Although the mean age in the present study was 14.9 years



**Figure 2** Mean change in final ACQ-5 score at the last observation by patient background factors. <sup>a</sup>Patients in the effectiveness population who had data at baseline and at one or more time points from starting administration to the last observation. <sup>b</sup>Duplication of details. <sup>c</sup>Administration of systemic corticosteroids (other than long-term control drugs) for at least 3 days or an increase in the maintenance dose of oral corticosteroids for at least 3 days due to exacerbation of asthma during the 1 year before the start of treatment with benralizumab (a single dose of a depot formulation of systemic corticosteroids is considered equivalent to a 3-day dose). <sup>d</sup>Emergency room or emergency outpatient visit requiring administration of systemic corticosteroids due to exacerbation of asthma during the 1 year before the start of treatment with benralizumab.

**Abbreviations:** ACQ-5, Asthma Control Questionnaire-5; AERD, aspirin-exacerbated respiratory disease; BL, baseline; BMI, body mass index; CI, confidence interval; COPD, chronic obstructive pulmonary disease; EOM, eosinophilic otitis media; Eos, eosinophils; FeNO, fractional exhaled nitric oxide; FEV<sub>1</sub>, forced expiratory volume in 1 second; IgE, immunoglobulin E; LO, last observation.

**Table 5** Summary of Asthma Exacerbations During the Observation Period (Effectiveness Population; n=274)

Number of Events	Exacerbation Requiring Systemic Corticosteroids	Exacerbation Resulting in Emergency Room Visit	Exacerbation Resulting in Hospitalization
0	216 (78.8)	235 (85.8)	255 (93.1)
1	40 (14.6)	29 (10.6)	16 (5.8)
2	11 (4.0)	8 (2.9)	2 (0.7)
≥3	7 (2.6)	2 (0.7)	1 (0.4)

**Note:** Data are n (%).

higher than that of patients from CALIMA, there were no significant differences in the proportions of ADRs regardless of the age group (ie, <70 years, 14.3%; ≥70 years, 10.7%; [Supplementary Table 3](#)).

Initiation of benralizumab as an inpatient (27.5%;  $p=0.0109$ ), long asthma duration (≥30 years), presence of AERD, and baseline total IgE ≥150 IU/mL were identified as factors associated with a higher frequency of ADRs during benralizumab treatment in the present study. Of note, the frequency of asthma exacerbations was higher among patients with the initiation of benralizumab as an inpatient and long asthma duration (≥30 years) than other background characteristics. A recent study with a 2-year follow-up that included patients with severe asthma treated with anti-IL5 biologics found that shorter durations of asthma and higher FEV<sub>1</sub> were associated with a greater response to treatment.<sup>23</sup> The result of the present study in terms of long asthma duration (≥30 years) is consistent with the abovementioned study on anti-IL5 biologics for severe asthma.<sup>23</sup> Furthermore, the frequency of hypersensitivity reactions (eg, rash pruritic) was higher in patients with AERD than those without AERD, as well as in patients with serum total IgE ≥150 IU/mL than those with IgE <150 IU/mL.

Serious infection was listed as an important potential risk in the Japanese RMP. The rate of serious infections in the present study was 3.8%, numerically higher than in the Phase 3 CALIMA and SIROCCO studies (1.9%–2.5%),<sup>11,13</sup> and all cases were reported as recovered or improved. Long-term OCS therapy and chronic obstructive pulmonary disease (COPD) are associated with increased risk of infection.<sup>24,25</sup> The proportions of the patients who had maintenance OCS therapy at baseline or had COPD in this study (mOCS 27.3%, COPD 22.9%) were higher than the Phase 3 studies (mOCS 10.0%–18.3%, COPD was excluded). This finding is thought to be almost consistent with previous reports indicating that patients with low blood eosinophil counts due to disease or treatments do not have an increased risk of infections.<sup>26,27</sup> After the 1st of January 2020, 59 patients were registered in this study, during which time no cases of COVID-19 infection were reported. This result was consistent with an existing report on biologics for asthma.<sup>28</sup>

Serious hypersensitivity was listed as an important identified risk in the Japanese RMP because few serious hypersensitivity reactions have been reported in the completed studies of benralizumab for asthma. In the present study, anaphylaxis was reported in two patients. One patient who developed an anaphylactic reaction recovered quickly after an adrenaline injection, and the other patient who developed skin itching and nausea recovered with antihistamine. Hypersensitivity event rates were consistent with those reported in previously described RCTs (approximately 3%).<sup>11,13</sup>

Overall, the incidence of malignant neoplasm AEs in benralizumab-treated patients during the Phase 3 CALIMA and SIROCCO studies and the BORA extension study were low (<1%), with no apparent trends in organs or affected tissues.<sup>11,13,29</sup> Although eosinophil infiltration of tumors is common, the cause and consequences (ie, protumorigenic versus antitumorigenic) of this recruitment and accumulation are unclear.<sup>30,31</sup> Malignancy was listed as an important potential risk in the RMP. However, in the present study, malignancy was reported in only two patients, and neither of the cases was considered by the investigators to be related to benralizumab.

Recent evidence shows that eosinophils have multiple relevant biological functions.<sup>32</sup> The safety of eosinophil depletion as a possible effect of the blockade of eosinophil-mediated immune regulation with eosinophil-targeted therapy, such as mepolizumab and benralizumab,<sup>32</sup> is gaining attention. Furthermore, the frequency of ADRs in the patients who switched from mepolizumab (14.0%) was similar to that of the overall population (12.7%).

The ACQ-5 score change from baseline in the effectiveness population of the present study ( $-1.16$  at the last observation) was lower than in previous reports. The ACQ-5 score change from baseline in severe uncontrolled asthma patients whose baseline ACQ-5 score was  $\geq 1.5$  was similar to that in the CALIMA study (the present study:  $-1.53$ , CALIMA study:  $-1.00$  to  $-1.44$ ).<sup>11</sup> Senna et al reported an improvement in asthma control in a patient population with a baseline blood eosinophil count of 300 to 450 cells/ $\mu\text{L}$  treated with benralizumab.<sup>33</sup> Additionally, in this study, improvement in ACQ-5 score beyond the minimal clinically important difference was observed in a patient population with a blood eosinophil count of  $<150$  cells/ $\mu\text{L}$  ( $-0.92$ ) and a patient population with a blood eosinophil count of 150 to  $<300$  cells/ $\mu\text{L}$  ( $-1.33$ ). A greater ACQ-5 score improvement was also observed among patients who had eosinophilic asthma characteristics, such as chronic sinusitis, eosinophil count  $\geq 300/\mu\text{L}$ , higher FeNO ( $\geq 37$  ppb), and serum total IgE  $\geq 150$  IU/mL. Patients who switched from mepolizumab showed additional ACQ-5 score improvement ( $-1.37$ ). These observations were consistent with the mechanism of action of benralizumab that results in eosinophil depletion. Furthermore, these observations were consistent with predicting factors of benralizumab efficacy in the Phase 3 RCTs.<sup>11,13,14</sup>

The FEV<sub>1</sub> change from baseline after 1 year of benralizumab administration in this study was 0.062 L, which was lower than the FEV<sub>1</sub> at other evaluation time points. However, the FEV<sub>1</sub> change from baseline at the last observation was 0.151 L, which was similar to that reported in previous studies, including patients who switched from other biologics.<sup>34,35</sup>

At present, the Global Initiative for Asthma guidelines recommend multiple biologics for eosinophilic severe asthma, but prioritization of biologics is yet to become available.<sup>2</sup> It is expected that the findings from the present study will be helpful for patients with severe eosinophilic asthma who lack treatment.

This study has several limitations. There was no blinding in this open-label study, and no comparator was assessed. As a result, there was an imbalance between subgroups, which may have led to bias, and thus, the results of the subgroup analysis should be interpreted with caution. Additionally, the first patient with COVID-19 was confirmed in Japan in January 2020. With the adoption of social distancing, wearing of masks, and other public health interventions during the COVID-19 outbreak, there was a decrease in the frequency of asthma exacerbations, with fewer emergency department visits, as well as other asthma-related outcomes.<sup>36,37</sup> Therefore, the interpretation of the differences in the frequency of asthma exacerbations before and after the study period should be done with caution. Despite these limitations, we consider that this study provides additional information regarding the safety and effectiveness of benralizumab in a large population of Japanese patients in a real-world setting.

## Conclusion

In conclusion, no new safety concerns were raised during this post-marketing study conducted in a large population under real-world settings. Patients with severe uncontrolled asthma (ACQ-5 score  $\geq 1.5$ ) in this real-world study experienced similar benefits of the improvement in ACQ-5 score consistent with previous studies of benralizumab. The results support the use of benralizumab for the add-on maintenance treatment of patients with eosinophilic severe uncontrolled asthma.

## Abbreviations

ABPA, allergic bronchopulmonary aspergillosis; ACQ-5, Asthma Control Questionnaire-5; ADR, adverse drug reaction; AE, adverse event; AERD, aspirin-exacerbated respiratory disease; BMI, body mass index; CI, confidence interval; EOM, eosinophilic otitis media; FeNO, fractional exhaled nitric oxide; FEV<sub>1</sub>, forced expiratory volume in 1 second; ICS, inhaled corticosteroids; IgE, immunoglobulin E; IL, interleukin; LABA, long-acting  $\beta_2$ -agonist; LAMA, long-acting muscarinic antagonist; LTRA, leukotriene receptor antagonist; MiniAQLQ, Mini-Asthma Quality of Life questionnaire; mOCS, maintenance oral corticosteroid; OCS, oral corticosteroid; RCT, randomized controlled trial; RMP, risk management plan; SABA, short-acting  $\beta_2$ -agonist; SD, standard deviation.

## Data Sharing Statement

The data underlying the findings described in this manuscript may be obtained in accordance with AstraZeneca's data sharing policy described at <https://astrazenecagrouptrials.pharmacm.com/st/submission/disclosure>.

Data for studies directly listed on Vivli can be requested through Vivli at [www.vivli.org](http://www.vivli.org).

Data for studies not listed on Vivli could be requested through Vivli at <https://vivli.org/members/enquiries-about-studies-not-listed-on-The-vivli-platform/>.

The AstraZeneca Vivli member page is also available outlining further details: <https://vivli.org/ourmember/astrazeneca/>.

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## Author Contributions

All authors made a significant contribution to the work reported, whether that was in the conception, study design, execution, acquisition of data, analysis, and interpretation, or in all these areas; took part in drafting, revising, or critically reviewing the article; gave final approval of the version to be published; have agreed on the journal to which the article has been submitted; and agree to be accountable for all aspects of the work.

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