

# Prognostic factors for death and survival with or without complications in cardiac arrest patients receiving CPR within 24 hours of anesthesia for emergency surgery

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**Purpose:** To determine prognostic factors for death and survival with or without complications in cardiac arrest patients who received cardiopulmonary resuscitation (CPR) within 24 hours of receiving anesthesia for emergency surgery.

**Patients and methods:** A retrospective cohort study approved by the Maharaj Nakorn Chiang Mai University Hospital Ethical Committee. Data used were taken from records of 751 cardiac arrest patients who received their first CPR within 24 hours of anesthesia for emergency surgery between January 1, 2003 and October 31, 2011. The reviewed data included patient characteristics, surgical procedures, American Society of Anesthesiologist (ASA) physical status classification, anesthesia information, the timing of cardiac arrest, CPR details, and outcomes at 24 hours after CPR. Univariate and polytomous logistic regression analyses were used to determine prognostic factors associated with the outcome variable. *P*-values of less than 0.05 were considered statistically significant.

**Results:** The outcomes at 24 hours were death (638/751, 85.0%), survival with complications (73/751, 9.7%), and survival without complications (40/751, 5.3%). The prognostic factors associated with death were: age between 13–34 years (OR =3.08, 95% CI =1.03–9.19); ASA physical status three and higher (OR =6.60, 95% CI =2.17–20.13); precardiopulmonary comorbidity (OR =3.28, 95% CI =1.09–9.90); the condition of patients who were on mechanical ventilation prior to receiving anesthesia (OR =4.11, 95% CI =1.17–14.38); surgery in the upper abdominal site (OR =14.64, 95% CI =2.83–75.82); shock prior to cardiac arrest (OR =6.24, 95% CI =2.53–15.36); nonshockable electrocardiography (EKG) rhythm (OR =5.67, 95% CI =1.93–16.62); cardiac arrest occurring in postoperative period (OR =7.35, 95% CI =2.89–18.74); and duration of CPR more than 30 minutes (OR =4.32, 95% CI =1.39–13.45). The prognostic factors associated with survival with complications were being greater than or equal to 65 years of age (OR =4.30, 95% CI =1.13–16.42), upper abdominal site of surgery (OR =10.86, 95% CI =1.99–59.13), shock prior to cardiac arrest (OR =3.62, 95% CI =1.30–10.12), arrhythmia prior to cardiac arrest (OR =4.61, 95% CI =1.01–21.13), and cardiac arrest occurring in the postoperative period (OR =3.63, 95% CI =1.31–10.02).

**Conclusion:** The mortality and morbidity in patients who received anesthesia for emergency surgery within 24 hours of their first CPR were high, and were associated with identifiable patient comorbidity, age, shock, anatomic site of operation, the timing of cardiac arrest, EKG rhythm, and the duration of CPR. EKG monitoring helps to identify cardiac arrest quickly and diagnose the EKG rhythm as a shockable or nonshockable rhythm, with CPR being performed as per the American Heart Association (AHA) CPR Guidelines 2010. The use of the fast track system in combination with an interdisciplinary team for surgery, CPR, and postoperative care helps to rescue patients in a short time.

**Keywords:** CPR, cardiac arrest, emergency surgery, prognostic factors, death, survival, survival with complications, mortality, morbidity

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## Introduction

Emergency surgery by its nature limits preoperative preparation, which can lead to increased risks of perioperative cardiac arrest. In fact, the frequency of cardiac arrest is reported more than three times higher than in elective cases, with subsequently higher mortality rates.<sup>1-6</sup> Studies in a developed country, the United States of America, reported that more than half of all patients undergoing emergency surgery experienced episodes of cardiac arrest.<sup>6</sup> In developing countries,<sup>2,7,8</sup> the incidence of perioperative cardiac arrest and death in emergency surgery was higher than in developed countries over the past decade.<sup>1,9</sup> A systematic review found that perioperative mortality was higher in developing countries than developed countries.<sup>10</sup> Very high incidences of death were noted in some teaching hospitals in Thailand, especially in the Northern region.<sup>11</sup>

Cardiopulmonary resuscitation (CPR) helps to decrease mortality and morbidity in patients suffering from cardiac arrest. Previous studies show that CPR is the key to survival, and the success of the CPR procedure is best when the detection of the cardiac arrest occurs within 1 minute; early CPR begins within 4 minutes; early defibrillation within 5 minutes; and early advanced cardiovascular life support within 10 minutes performed by adequately trained CPR teams.<sup>12,13</sup>

Studies in developed countries found that factors predicting a good outcome for patients experiencing cardiac arrest included CPR performed in the emergency department,<sup>3,14</sup> electrocardiography (EKG) rhythm before arrest (ventricular tachycardia/ventricular fibrillation in particular),<sup>15</sup> short duration of CPR,<sup>14-16</sup> and adequately trained resuscitation teams.<sup>17</sup> Factors that are common in patients who died or survived with complications after attempted resuscitation included: blood loss,<sup>18</sup> suffering from hypotension before cardiac arrest,<sup>19</sup> emergency surgery performed outside of the normal working hours, suffering from severe underlying disease,<sup>20</sup> suffering from severe conditions,<sup>16,21</sup> and emergency surgery<sup>14,22</sup> (especially trauma,<sup>16,20</sup> cardiovascular surgery,<sup>19,22</sup> abdominal aortic aneurysm,<sup>3</sup> infant or elderly patients,<sup>20,23,24</sup> myocardial ischemia,<sup>3</sup> and delay in carrying out surgery).<sup>23</sup>

In developing countries, factors predicting survival after resuscitation were the duration of CPR, where CPR was performed, and duration of intubation.<sup>25,26</sup> Factors predicting death or survival with complications included low oxygen saturation, delay to admission, lack of equipment, American Society of Anesthesiologists (ASA) physical status class,<sup>5,22,27</sup> anatomic site of surgery, EKG rhythm,<sup>28</sup> trauma, and emergency surgery.<sup>4,5,22</sup> Almost all previous

studies in various regions did not focus on patients receiving anesthesia for emergency surgery in all anatomic sites of surgery, and only few studies focused specifically on the prognostic factors for each outcome of post-CPR in cardiac arrest patients during anesthesia. Therefore, this study was performed to determine the outcomes and prognostic factors of post-CPR in patients suffering cardiac arrest within 24 hours after receiving anesthesia for emergency surgery at Maharaj Nakorn Chiang Mai Hospital, a university teaching hospital in Northern Thailand.

## Patients and methods

The study was a retrospective data review of patients who experienced cardiac arrests and underwent CPR within 24 hours of receiving anesthesia for emergency surgery at the Maharaj Nakorn Chiang Mai Hospital, Faculty of Medicine, Chiang Mai University, Northern Thailand. The present study was approved by the Ethical Committee of the Faculty of Medicine, Chiang Mai University.

Excluded from the study were patients who 1) had experienced one or more episodes of cardiac arrest with CPR prior to or during the emergency surgery; 2) patients who received topical anesthesia by surgeons; 3) patients who had monitored anesthesia care but did not receive anesthetic drug; 4) anesthetized patients whose surgery was cancelled for medical reasons; and 5) patients whose medical records were incomplete.

A total of 751 patients met the inclusion and exclusion criteria for cardiac arrest from January 1, 2003 and October 31, 2011. The data collected were preplanned by the anesthesia providers who recorded all relevant data from patients undergoing anesthesia for emergency surgery using the anesthesiology data collection form.<sup>11</sup> The data included patient characteristics such as sex, age, medication use or substance abuse, preanesthetic comorbidities, preoperative medical conditions, ASA physical status classification, anatomic site of operation, patient monitoring, anesthetic technique, airway management, administration of anesthesia, anesthetic agents used, and outcomes. The patients' clinical progress was documented from the start of anesthesia until 24 hours after the end of the operation. All preplanned data regarding CPR on those who suffered cardiac arrest were recorded on the data collection form for cardiac arrest events.<sup>11</sup> The researcher and the research assistants were trained to standardize the data collection procedure to ensure that the collected data were reliable. Outcomes were checked by an anesthesiologist, a resident, and/or a surgeon for verification. For incomplete data, the

researcher reviewed the charts after the CPR event as well as the details in the anesthesiology records, medical records, EKG, and laboratory reports; and added the compiled information where necessary. All of the relevant data were checked for any inconsistency and were edited by the researchers before being entered into the computer by the double-entry technique.

Prognostic factors predict the post-CPR outcomes in cardiac arrest patients by defining clinical and baseline characteristic variables of CPR performance that is objectively measurable and/or predict the outcome as death, or survival with or without complications. This study has a polytomous outcome and we have identified the prognostic variables for each outcome by using the survival outcomes as the reference groups.

The three patient outcomes investigated in this study were death, survival, and survival with complications. Death is defined when the patient dies within 24 hours of CPR (diagnosis of death by the physician, excluding death of patients who are organ donors, and brain death). Survival means that patients have returned to a normal baseline condition within 24 hours of receiving CPR, without complications caused by the cardiac arrest. Survival with complications is defined as surviving after resuscitation but having complications such as neurological (eg, brain death, coma, cerebrovascular accident, and convulsion); myocardial dysfunction (eg, suspected myocardial infarction and arrhythmia requiring treatment); acute renal failure; and bowel ischemia.

Descriptive statistics were used for analysis of the baseline characteristic data including age, sex, ASA physical status, current medication, personal history, and any comorbidities. Exact probability tests were used to analyze categorical variables, and analysis of variance (ANOVA) for continuous data to compare patients who died, survived, and survived with complications for at least 24 hours after CPR. Polytomous logistic regression analyses were performed using the survival group as a reference. Predictors associated with each outcome variable at  $P < 0.02$  were further analyzed by a stepwise multivariable generalized linear regression. The multicollinearity of all variables was examined using a bivariate correlation matrix. If any factors showed multicollinearity, only one of them was selected for further multivariate analysis. The data were presented by using multivariable relative odd ratios (OR), 95% confidence interval (CI).  $P$ -values of less than 0.05 were considered statistically significant. Analysis was carried out by STATA statistical software package (Stata Corp LP, College Station, TX, USA).

## Results

This 9-year retrospective study found that the total number of patients undergoing emergency surgery was 46,624 cases, and 1.61% (751 cases) of them suffered cardiac arrest. Outcomes post-CPR were death (638/751, 85.0%), survival with complications (73/751, 9.7%), and survival (40/751, 5.3%). Most patients had received general anesthesia and more than half of the patients received intensive anesthetic monitoring during anesthesia (Table 1). The average age of the total sample was  $38.65 \pm 21.78$  years. Cardiac arrests occurring outside working hours led to a death rate twice as high as those occurring within working hours. Most patients were male. Cardiac arrests during the perioperative period led to a higher rate of survival. The return of spontaneous circulation (ROSC) in the death group was 23.8% and non-ROSC was 76.2%. The main causes of the cardiac arrest in each group was the severity of patients' conditions and those patients experiencing cardiovascular events were suffering from shock and arrhythmia immediately before cardiac arrest. Most patients who received CPR were shown to have a nonshockable EKG rhythm. Most patients were on endotracheal tubes with mechanical ventilation for life preservation, and received resuscitation drugs prior to receiving anesthesia, as shown in Table 2. In the perioperative period of cardiac arrest, the number of patient deaths within 24 hours is 78.3% (238/304), 40.8% (124/304) of these were deaths in perioperative period. The number of postoperative cardiac arrest cases that resulted in death within 24 hours was 89.5% (400/447), and the average time after the end of anesthesia until the occurrence of cardiac arrest was  $9.0 \pm 7.1$  hours. Moreover, we found that most patients in intensive care unit (293/400, 73.3%) received full monitoring prior to their fatal cardiac arrest. The patients who had cardiac arrests in the postoperative period were found to develop various cardiopulmonary problems soon after the cessation of anesthesia. The majority of patients had hypotension (336, 75.2%), and required an endotracheal tube for supported breathing (407, 91.1%), while some patients experienced blood loss more than 3,000 mL during surgery (32.2%).

The univariate analysis found that death and survival with complications were associated with age between 13–34 years old, and greater than or equal to 65 years old; ASA physical status three and higher; upper intra-abdominal site of surgery; preoperative comorbidity (eg, cardiopulmonary, neurological, hematological conditions); cardiac arrest occurred in postoperative period, poor baseline hemodynamic conditions with shock, arrhythmias prior to cardiac arrest, nonshockable EKG rhythms, and duration of CPR ( $P < 0.02$ )

**Table 1** Baseline characteristics of post-CPR patients within 24 hours after anesthesia for emergency surgery (univariate analysis)

Characteristics	Post-CPR outcomes			P-value
	Survival, <sup>c</sup> n=40 (%)	Survival with complications, n=73 (%)	Death within 24 hours of CPR, n=638 (%)	
Sex, male	27 (67.5)	50 (68.5)	445 (69.8)	0.915
Age (years)				0.014
≤2 years	3 (7.5)	6 (8.2)	34 (5.3)	
3–12	5 (12.5)	5 (6.9)	19 (3.0)	
13–34	11 (27.5)	17 (23.3)	163 (25.6)*	
35–64	17 (42.5)	22 (30.1)	265 (41.5)	
≥65 years	4 (10.0)	23 (31.5)*	157 (24.6)	
Mean ± SD	34.89±22.17	45.87±27.09	45.62±22.82	
ASA physical status ≥3	22 (55.0)	63 (86.3)*	611 (95.7)*	<0.001
Anatomic site of surgery				
Intracranial	5 (12.5)	14 (19.2)	126 (19.8)	0.589
Intrathoracic	6 (15.0)	7 (9.6)	45 (7.1)	0.152
Cardiovascular	9 (22.5)	13 (17.8)	76 (11.9)	0.062
Upper intra-abdominal	2 (5.0)	21 (28.8)*	252 (39.5)*	<0.001
Lower intra-abdominal	7 (17.5)	3 (4.1)	36 (5.6)	0.017
Ear, nose, and throat	4 (10.0)	6 (8.2)	62 (9.7)	0.930
Extremities	7 (17.5)	9 (12.3)	41 (6.4)	0.012
Preoperative comorbidity <sup>a</sup>				
Cardiopulmonary	23 (57.5)	67 (91.8)*	595 (93.3)*	<0.001
Neurological	10 (25.0)	14 (19.2)	231 (36.2)	0.005
Hematological	11 (27.5)	35 (47.9)	352 (55.2)	0.002
Endocrine	10 (25.0)	11 (15.1)	88 (13.8)	0.147
Personal history				
Smoking ≥10 pack-years	1 (2.5)	3 (4.1)	17 (2.7)	0.625
Previous anesthesia	14 (35.0)	28 (38.36)	273 (42.8)	0.528
Anesthesia techniques				
Non-GA <sup>b</sup>	2 (5.0)	1 (3.4)	15 (2.4)	0.371
GA/GA(TIVA)	38 (95.0)	72 (98.6)	623 (97.6)	
Intensive anesthetic monitoring <sup>d</sup>	22 (55.0)	55 (75.3)	437 (68.5)	0.086
Duration time of anesthesia, mean ± SD	149.5±124.4	135.6±102.0	124.9±92.6	0.233
Estimated blood loss (mL), mean ± SD	1,238.2±2,166.0	1,162.3±1,384.2	1,806.5±2,058.9	<0.001
Total volume replacement (mL), mean ± SD	2,118.9±2,766.7	1,802.8±1,559.4	2,826.4±2,736.0	<0.001
Blood replacement (mL), mean ± SD	1,490.8±1,520.8	1,251.9±1,245.7	1,512.6±1,436.6	0.465
Type of volume replacement <sup>e</sup>				
Crystalloids	19 (47.5)	19 (26.0)	171 (26.8)	0.022
Colloids	2 (5.0)	4 (5.5)	54 (8.5)	0.674
Crystalloids and colloids	19 (47.5)	50 (68.5)	413 (61.3)	0.067
Type of blood replacement <sup>e</sup>				
Whole blood	2 (5.0)	6 (8.2)	97 (15.2)	0.073
Packed red blood cells	12 (30.0)	36 (49.3)	328 (51.4)	0.029
Fresh frozen plasma	9 (22.5)	19 (26.0)	222 (34.8)	0.107
Platelets	3 (7.5)	8 (10.9)	69 (10.8)	0.935
Time of cardiac arrest				
Working hours (7.31 am–3.30 pm)	18 (45.0)	25 (34.3)	213 (33.4)	0.327
Non-working hours (3.31 pm–7.30 am)	22 (55.0)	48 (65.8)	425 (66.6)	
Anesthetic period time of cardiac arrest occurred				
Perioperative period	28 (70.0)*	38 (52.1)	238 (37.3)	<0.001
Postoperative period <sup>f</sup>	12 (30.0)	35 (47.9)*	400 (62.7)*	
Underlying hemodynamic conditions prior to cardiac arrest				
Hypertension	2 (5.0)	3 (4.1)	7 (1.1)	0.024
Shock/impending shock	15 (37.5)	54 (73.9)*	555 (86.9)*	<0.001
Ischemia/MI	1 (2.5)	1 (1.4)	17 (2.7)	1.000
Arrhythmia	7 (17.5)	11 (15.1)*	50 (7.8)	0.019

(Continued)

**Table 1** (Continued)

Characteristics	Post-CPR outcomes			P-value
	Survival, <sup>c</sup> n=40 (%)	Survival with complications, n=73 (%)	Death within 24 hours of CPR, n=638 (%)	
Initial EKG rhythm				
Shockable rhythm <sup>e</sup>	12 (30.0)	12 (16.4)	43 (6.7)	<0.001
Nonshockable rhythm <sup>h</sup>	28 (70.0)	61 (83.6)	595 (93.3)*	

**Notes:** <sup>a</sup>One patient had more than one comorbidity; <sup>b</sup>non-GA includes monitored anesthesia care, spinal block, epidural block, caudal block, and brachial block anesthesia; <sup>c</sup>survival is a comparable group; <sup>d</sup>Intensive monitoring such as EKG, pulse oximetry, capnograph monitoring, central venous pressure (CVP), and arterial line blood pressure; <sup>e</sup>one patient had more than one type of volume replacements; <sup>f</sup>postoperative period (recovery room [18, 4.0%], intensive care unit [320, 71.6%], and ward [109, 24.4%]); <sup>g</sup>ventricular fibrillation/ventricular tachycardia (VF/VT); <sup>h</sup>asystole, pulseless electrical activity; bradycardia and tachycardia EKG rhythm. \*Indicates univariate logistic factors related to each outcome.

**Abbreviations:** SD, standard deviation; OR, odds ratio; CI, confidence interval; mL, milliliter; ASA, American Society of Anesthesiologists; CPR, cardiopulmonary resuscitation; GA, general anesthesia; TIVA, total intravenous anesthesia; MI, myocardial infarction; EKG, electrocardiography.

(Table 1). Most anesthetic drugs did not affect post-CPR outcomes except for cisatracurium which was shown to be significantly associated with death (Table 3).

The patients who were on mechanical ventilation prior to receiving anesthesia and patients who were given vasoactive and antiarrhythmic drugs prior to cardiac arrest (eg, dopamine, dobutamine, levophed, and epinephrine) had a higher risk of death within 24 hours of CPR, and of developing complications if they survived (Table 2). If a patient had resuscitation drugs such as epinephrine and ephedrine administered during CPR the survival rate increased. Meanwhile, if a patient who was in a poor condition was also on vasoactive drugs continuously during CPR (eg, dopamine or levophed) the death rate was two times higher than in those did not receive vasoactive drugs (Table 3).

Prognostic factors associated with death in comparison to survival in the polytomous logistic regression analysis were age between 13 and 34 years (OR =3.08, 95% CI =1.03–9.19); ASA physical status three and higher (OR =6.60, 95% CI =2.17–20.13); precardiopulmonary comorbidity (OR =3.28, 95% CI =1.09–9.90); the condition of patients who were on mechanical ventilation prior to receiving anesthesia (OR =4.11, 95% CI =1.17–14.38); upper abdominal site of surgery (OR =14.64, 95% CI =2.83–75.82); shock prior to cardiac arrest (OR =6.24, 95% CI =2.53–15.36); nonshockable EKG rhythms (OR =5.67, 95% CI =1.93–16.62), cardiac arrest occurring in the postoperative period (OR =7.35, 95% CI =2.89–18.74); and the duration of CPR being longer than 30 minutes (OR =4.32, 95% CI =1.39–13.45). While, the prognostic factors associated with survival with complications were age greater

**Table 2** Previous treatment agents, monitoring, and CPR performed in patients suffering cardiac arrest following anesthesia for emergency surgery (univariate analysis)

Characteristics	Post-CPR outcomes			P-value
	Survival, <sup>a</sup> n=40 (%)	Survival with complications, n=73 (%)	Death within 24 hours of CPR, n=638 (%)	
The condition of patients who were on mechanical ventilation prior to receiving anesthesia	34 (85.0)	69 (94.5)*	615 (96.4)*	0.007
Vasoactive and antiarrhythmic drug administration prior to arrest				
Dopamine	8 (20.0)	35 (47.9)*	460 (72.1)*	<0.001
Dobutamine	5 (12.5)	29 (39.7)*	330 (51.7)*	<0.001
Levophed	2 (5.0)	18 (24.7)*	204 (31.9)*	<0.001
Epinephrine	5 (12.5)	30 (41.1)*	325 (50.9)*	<0.001
Primacor	1 (2.5)	1 (1.4)	13 (2.1)	0.852
Cardarone	1 (1.5)	1 (1.4)	10 (1.6)	0.667
Duration of CPR (minutes), mean ± SD	16.5±11.2*	20.9±13.5	27.2±21.4*	<0.001
Defibrillation	10 (25.0)	10 (13.7)	87 (13.6)	0.146

**Notes:** <sup>a</sup>Survival is a comparable group. \*Indicates univariate logistic factors related to each outcome.

**Abbreviations:** SD, standard deviation; CPR, cardiopulmonary resuscitation.



**Table 3** Anesthetic agents used, treatment of patients, and CPR management in emergency surgery patients suffering cardiac arrest (univariate analysis)

Characteristics	Post-CPR outcomes			P-value
	Survival, <sup>a</sup> n=40 (%)	Survival with complications, n=73 (%)	Death within 24 hours of CPR, n=638 (%)	
Anesthetic agents				
Thiopental	14 (35.0)	15 (20.6)	96 (15.1)	0.005
Propofol	8 (20.0)	11 (15.1)	48 (7.5)	0.005
Ketamine	1 (2.5)	10 (13.7)	99 (15.5)	0.057
Etomidate	2 (5.0)	4 (5.5)	76 (11.9)	0.127
Midazolam	19 (47.5)	36 (49.3)	371 (58.2)	0.158
Diazepam	2 (5.0)	2 (2.7)	13 (2.04)	0.232
Nitrous oxide	10 (25.0)	69 (10.8)	12 (16.4)	0.020
Isoflurane	15 (37.5)	21 (28.8)	139 (21.8)	0.041
Sevoflurane	12 (30.0)	20 (27.4)	184 (28.9)	0.940
Succinylcholine	6 (15.0)	7 (9.6)	41 (6.4)	0.079
Pancuronium	10 (25.0)	19 (26.0)	164 (25.6)	1.000
Atracurium	4 (10.0)	5 (6.9)	41 (6.4)	0.569
Cisatracurium	6 (15.0)	7 (9.6)	154 (24.2)	0.006
Vecuronium	9 (22.5)	28 (38.4)	166 (26.1)	0.070
Levobupivacaine	4 (10.0)	5 (6.9)	29 (4.6)	0.175
Morphine	1 (2.5)	2 (2.7)	16 (2.5)	0.888
Fentanyl	32 (80.0)	60 (82.2)	479 (75.2)	0.356
Resuscitation drugs				
Epinephrine	39 (97.5)	65 (89.0)	526 (82.6)	0.013
Atropine	20 (50.0)	31 (42.5)	252 (39.5)	0.377
Ephedrine	12 (30.0)*	13 (17.8)	61 (9.6)*	<0.001
Calcium gluconate	9 (22.5)	31 (42.5)	246 (38.6)	0.088
NaHCO <sub>3</sub>	19 (47.5)	41 (56.2)	360 (56.4)	0.539
Vasoactive and antiarrhythmic drugs infusion during CPR				
Dopamine	11 (27.5)	37 (50.7)*	408 (64.1)*	<0.001
Dobutamine	3 (7.5)	5 (6.8)	32 (5.0)	0.525
Levophed	16 (40.0)	43 (58.9)*	473 (74.4)*	<0.001
Epinephrine	22 (55.0)	50 (68.5)	440 (69.2)	0.178

**Notes:** \*Survival is a comparable group. \*Indicates univariate logistic factors related to each outcome.

**Abbreviations:** CPR, cardiopulmonary resuscitation.

than or equal to 65 years (OR =4.30, 95% CI =1.13–16.42); upper abdominal site of surgery (OR =10.86, 95% CI =1.99–59.13); shock prior to cardiac arrest (OR =3.62, 95% CI =1.30–10.12); arrhythmias prior to cardiac arrest (OR =4.61, 95% CI =1.01–21.13); and cardiac arrest occurring in post-operative period (OR =3.63, 95% CI =1.31–10.02) (*P*-value <0.05) (Table 4).

## Discussion

Recently, many studies have been undertaken regarding the short- and long-term outcomes of CPR. These studies have identified some of factors affecting mortality and morbidity, but all of these studies were conducted with planned preoperative preparation before receiving anesthesia and surgery.<sup>7,18,22,27</sup> These studies did not identify patients who needed anesthesia for emergency surgery.

A total incidence of cardiac arrest in the present study was 161 per 10,000 cases (751 in 46,624). Post-CPR outcomes within the 24-hour period were death (85.0%), survival (5.3%), and survival with complications (9.7%). This showed a higher death rate than studies in other teaching hospitals in Thailand; which included cases of geriatric patients receiving anesthesia,<sup>4,27</sup> a study in the medical care unit,<sup>25</sup> a study in heart surgery,<sup>22</sup> and pediatric surgery.<sup>29</sup> Compared to developed countries, only one study involving trauma cases had a higher mortality rate at 24 hours than our findings.<sup>30</sup> In addition, our results had a lower survival rate than a systematic review held in USA, which showed survival rates ranging from 15% to 20%.<sup>31</sup> A systematic review in Brazil had similar findings, which concluded that the mortality rates in developing countries were higher than in developed countries over the past decade.<sup>32,33</sup>

**Table 4** Multivariable relative odd ratios and 95% confidence interval of post-CPR outcomes in emergency surgery patients' characteristics for death, survival, and survival with complications, from polytomous logistic regression (comparing to survival group)

Post-CPR characteristics	Death within 24 hours of CPR			Survival with complications		
	OR	95% CI	P-value	OR	95% CI	P-value
Age (years)						
≤2	2.47	0.41–14.85	0.324	3.85	0.56–26.29	0.170
3–12	0.77	0.16–3.70	0.749	1.84	0.34–10.06	0.482
13–34	3.08	1.03–9.19	0.044	3.09	0.92–10.43	0.069
35–64	1.00			1.00		
≥65 years	2.80	0.81–9.65	0.103	4.30	1.13–16.42	0.033
ASA physical status ≥3	6.60	2.17–20.13	0.001	2.34	0.67–8.22	0.175
Precardiopulmonary comorbidity	3.28	1.09–9.90	0.035	3.49	0.94–12.95	0.062
The condition of patients who were on mechanical ventilation prior to receiving anesthesia	4.11	1.17–14.38	0.027	3.02	0.66–13.87	0.155
Upper abdominal site of surgery	14.64	2.83–75.82	0.001	10.86	1.99–59.13	0.006
Underlying hemodynamic conditions prior to cardiac arrest						
Shock/impending shock	6.24	2.53–15.36	<0.001	3.62	1.30–10.12	0.014
Arrhythmia	3.84	0.90–16.43	0.069	4.61	1.01–21.13	0.049
Nonshockable EKG rhythm <sup>a</sup>	5.67	1.93–16.62	0.002	2.80	0.85–9.23	0.090
Cardiac arrest occurring in postoperative period <sup>b</sup>	7.35	2.89–18.74	<0.001	3.63	1.31–10.02	0.013
CPR duration more than 30 minutes	4.32	1.39–13.45	0.012	2.69	0.79–9.20	0.114

**Notes:** <sup>a</sup>Asystole, pulseless electrical activity; <sup>b</sup>recovery room, intensive care unit, and ward.

**Abbreviations:** ASA, American Society of Anesthesiologists; OR, odds ratio; CI, confidence interval; CPR, cardiopulmonary resuscitation; EKG, electrocardiography.

However, high mortality in our study was probably due to the severity of the condition of the patients prior to CPR, the location where cardiac arrest occurred, and the time before cardiac arrest. The data show that occurrence of cardiac arrest was twice as high during non-working hours than in normal working hours. The main cause of cardiac arrest in each group was the condition of the patients before and during surgery, where initial signs of cardiovascular and respiratory events were shock and arrhythmia prior to cardiac arrest. In most cases, patients were on an endotracheal tube with mechanical ventilation and receiving resuscitation drugs prior to receiving anesthesia. These are big challenges for anesthetic teams, surgeons, and CPR teams when assessing the patients for emergency surgery in the first place.

## Prognostic factors predicting death

Our study shows that being in the age group of 13–34 years is a significant predictor of death. It is in contrast to a recent study that investigated blunt trauma cases at an urban trauma center<sup>20</sup> where it was found that the elderly had a high mortality rate. But this study included only the acute trauma patients for analysis and also the difference in the severity of their characteristic data. Meanwhile, our study was significantly in line with a study carried out in trauma patients aged younger than 18 years, who were found to have a lower survival rate.<sup>16</sup> Our data show that young adults who were designated as ASA class three and higher (89.5%) and whose anatomic site of the surgery was the upper abdominal site (43.5%) had a high

blood loss during surgery (mean ± SD = 1,954.3 ± 1,900.7 mL). The analysis of demographic data of the patients in each year showed that most of the patients who were admitted for emergency surgery resulting in death as an outcome had severe symptoms and high frequency of cardiac arrests at specific times of the year, eg, during festival celebrations. Many accidents involved driving a vehicle whilst under the influence of alcohol, the frequency of which increased dramatically three times a year during the festival celebrating, events such as New Year's Eve, Songkran Festival, and Loy Krathong Festival. Surgery involving patients with a high risk of extreme blood loss is a very difficult undertaking. Even when we have a process to help patients in crisis, the strategy set to reduce mortality whilst experiencing the high frequency of cases during festivals would not be easy. This requires the cooperation of many sectors; both in and outside the hospital. Hospitals must have a stand-by surgery room, additional teams, and larger stocks of blood available during the festival periods. The "Safety first" promotion policy should be as stringent as possible while enforcing traffic laws and municipal liquor range forecast. A campaign to prevent drunk driving during the festivals should be a priority and comply with legal requirements.

Consistent with other studies,<sup>5,18,23</sup> our present study also found that patients with ASA physical status greater than or equal to class 3 have a high death rate, which is higher than the rate found in three studies carried out in Thailand.<sup>4,22,27</sup> This had been confirmed by a systematic review of perioperative

anesthesia in both developed countries and developing countries, where it was found that the increase in a patient's ASA physical status was a significant factor leading to increased mortality over time.<sup>10,32,33</sup>

The data obtained in the present study includes many factors involved in death in emergency patients with severe injuries. Most patients who died were in shock before suffering cardiac arrest (86.9%). The underlying conditions were unstable cardiopulmonary (93.3%), and hematological problems (55.2%). The anatomic sites of surgery were upper abdominal (39.5%), intracranial (19.8%), and cardiovascular (11.9%). Many patients suffered from substantial blood loss during surgery (mean  $\pm$  SD = 1,806.5  $\pm$  2,058.9 mL). It is a challenge for the interdisciplinary team to coordinate care for patients, they require a system that is quick and effective especially for patients with a high risk of mortality. Such a system is required not only for the anesthetic team, but also for the staff in the critical care unit, both before and after the surgery.

The results from this study demonstrate an important relationship between precardiopulmonary comorbidity and mortality where patients had mortality rates three times greater with precardiopulmonary comorbidity compared to those who did not have any. Four studies from developing countries support our findings, especially the two from Thailand,<sup>22,25</sup> and others from India,<sup>34</sup> and Kenya.<sup>35</sup> Our results show a more remarkable death rate than other studies (96.4%) observed in patients who were on mechanical ventilation. The remainder were related to cardiovascular causes of arrest, especially arrhythmias prior to cardiac arrest. This correlates with studies performed in developed countries, which found that an arrhythmia is a cause of cardiac arrest and death.<sup>3,36</sup> Similarly, in Thailand, cardiovascular disease was found to be strongly correlated with high mortality.<sup>13,26,37</sup> Therefore, the evaluation of the cardiovascular and respiratory systems as well as overall condition, including EKG monitoring prior to surgery and in the postoperative period are important especially in patients who had substantial blood loss and were elderly.

In addition, the condition of patients who were on mechanical ventilation prior to receiving anesthesia was another factor affecting mortality. Our evidence was consistent with a study in a tertiary care center in Pakistan,<sup>14</sup> where it was ascertained that patients who were not intubated prior to cardiac arrest had the best outcome after CPR. A previous study<sup>15</sup> in Spain has shown that children undergoing mechanical ventilation had a higher death rate. Our results show a significantly higher death rate than other studies because our patients had more respiratory

problems prior to receiving anesthesia. Among the patients who died after cardiac arrest, 90% were intubated and on mechanical ventilation by indication, to preserve life, prior to anesthesia. Furthermore, cardiac arrest in the postoperative period resulted in the patient requiring an endotracheal tube to support respiration soon after anesthesia (91.1%). It is necessary to provide excellent care and monitoring such as end tidal CO<sub>2</sub>, O<sub>2</sub> saturation, and mechanical ventilation in both the peri- and postoperative periods.

Interestingly, our study found that surgery in the upper abdominal site is associated with a 15 times higher mortality rate, which is consistent with the findings at an university hospital in Thailand.<sup>4</sup> The high mortality rates in the current study may be due to the effect of many surgical problems – abdominal trauma in particular. The abdominal trauma involves bleeding, contusions or blood losses in the abdominal cavity, and these patients' condition were highly correlated with multiple injuries.<sup>9,19</sup>

The data related to shock prior to cardiac arrest gave results of a six times higher mortality rate, which is also consistent with the findings at a university hospital in Thailand.<sup>11</sup> However, the high mortality rates in patients experiencing shock prior to anesthesia in our finding may also correlate with the anatomic site of surgery. In particular, 70% of patients who underwent surgery of the upper abdomen experienced trauma and such results also had a high correlation with the number of patients who had high blood loss. In these cases assessing fluid and/or blood replacement is very difficult. Some patients had been treated with vasoactive and/or antiarrhythmic drugs before cardiac arrest. These findings were consistent with many recent studies conducted in Spain and Germany. Case reports from Australia showed that accident patients in shock with the ongoing treatment using vasoactive drugs, whilst suffering from multiple injuries, also had a poor outcome after CPR.<sup>15,19,21</sup> This is strongly supported by a study conducted at an university hospital in Thailand, which found that actively bleeding patients with uncontrolled hemodynamic shock who underwent anesthesia had high mortality rates.<sup>28</sup>

Consistent with findings from other studies,<sup>15,38,39</sup> our results demonstrate an important relationship between a nonshockable EKG rhythm and an outcome resulting in death. This result is nearly six times higher when compared with a shockable rhythm. This corresponded with the results in Hong Kong,<sup>36</sup> UK,<sup>40</sup> and Thailand,<sup>28</sup> where patients with ventricular fibrillation/ventricular tachycardia (VF/VT) rhythms receiving early defibrillation had the best outcomes when compared to patients with an asystole and a pulseless electrical activity in EKG rhythm.



From the evidence of the current study and others,<sup>41,42</sup> we emphasize the importance of American Heart Association (AHA) CPR Guidelines 2010.<sup>12</sup> CPR patients receive a differential diagnosis by monitoring their EKG in cases of cardiac arrest when the EKG rhythm was interpreted as VF/VT thus requiring defibrillation (shockable), where there is a greater chance of survival than for a nonshockable rhythm. The resuscitator must have a detailed knowledge of resuscitation drugs, automated external defibrillators and pacemakers, as well as other factors that may reduce the chance of mortality when correctly managed.

Several studies have investigated the effect of the place where CPR is performed on patient outcomes.<sup>14,25</sup> Their results corresponded with our findings, where CPR in the postoperative period was a significant factor for death. Two studies carried out at a university hospital in Turkey,<sup>43</sup> and a tertiary care center in Pakistan,<sup>14</sup> show that CPR performed in operating rooms and monitored areas, increased the chances of survival. A good outcome during the perioperative period was shown in our results because team responses in critical situations were prompt, dealing with the CPR situation quickly and efficiently. In the postoperative period, patients had instability in cardiovascular and respiratory systems after anesthesia where 75.2% (336) of patients had hypotension and 34% had cardiopulmonary-related deaths. AHA CPR Guidelines 2010<sup>12</sup> training for the hospital personnel in all departments of the hospital improved patient outcomes. Also the prompt provision of intensive monitoring for patients in the postoperative care unit ensures more positive outcomes.

The final factor affecting death in the present study was a duration of CPR of more than 30 minutes. Consistent with a study in Thailand,<sup>37</sup> and other studies carried out in developed countries and in developing countries,<sup>15,16,36</sup> these studies showed that a duration of CPR ranging from 10–20 minutes increases the chance of initial survival. Therefore, we recommend following AHA CPR Guidelines 2010<sup>12</sup> which focus on early “C-A-B”, chest compression whenever cardiac arrest is detected in tandem with the evaluation of airway and circulatory systems, and adequate and constant monitoring using EKG, noninvasive blood pressure, and end tidal CO<sub>2</sub> to detect EKG rhythm and ensure the success of ROSC.<sup>12</sup>

## Prognostic factors predicting survival with complications

Recent studies found that elderly patients who underwent surgery had a high incidence of cardiac arrest and a high morbidity rate.<sup>4,13,20,40</sup> In this study, we demonstrated that patients

of 65 years of age or more had an increased morbidity rate when compared to young adults. These results show more pronounced effects of age on survival with complications than other studies in elderly patients conducted in Thailand<sup>2</sup> and Brazil.<sup>3</sup> Relatively high postsurgical complications of CPR in our elderly patients showed correlation with several factors. These factors include precardiopulmonary comorbidity (91.8%), high ASA physical status (95.6%), and being in shock prior to cardiac arrest (84.2%). Most patients were on an endotracheal tube with ventilator support (95.6%). Systematic reviews in Brazil found that a patient with airway problems was also prone to high morbidity rates.<sup>32,33</sup> Similarly, a study in Thailand<sup>25</sup> showed that patients with respiratory problems have higher risks of developing complications after CPR.

Furthermore, the anatomic site of surgery in the elderly was severe high in regards to complications when compared to that of young adults. The most common procedure in emergency surgery in elderly patients involved upper abdominal and vascular surgeries, which were mainly to treat peritonitis, peptic ulcer perforation, and abdominal aortic aneurysms. These patients had higher risks of developing chronic diseases as they had preoperative comorbidities such as arrhythmia, sepsis, and diabetes mellitus and some patients were taking resuscitation drugs prior to surgery. Although postoperative cardiac arrest in some elderly patients did not lead to death, it led to high levels of complications. Hence, the elderly patients require a system that is quick and effective, not only during CPR but also in post-CPR care as well.

In our study, upper abdominal surgery was associated with eleven times higher post-CPR survival with complications, which is consistent with the results at a university hospital in Thailand.<sup>4</sup> The high morbidity rates in upper abdominal surgery patients may be affected by the type of surgery particularly the abdominal trauma surgery. Studies in a developing country<sup>44</sup> and developed countries<sup>30,45</sup> confirm that abdominal trauma cases led to significantly higher complications. This was confirmed by many studies conducted in Spain,<sup>15</sup> Brazil,<sup>1</sup> and Germany,<sup>21</sup> while case reports from Australia<sup>19</sup> added that patients with abdominal injuries and shock had a poor outcome after CPR. This finding is strongly supported by a study in a university hospital in Thailand, which found that abdominal surgery patients had a high cardiac arrest rate<sup>46</sup> and also had high levels of post-CPR complications.<sup>28</sup>

According to the present study, patients in shock prior to cardiac arrest had a very high risk of having complications, although they survived. Emergency surgery patients may be

hemodynamically unstable and may have been treated with a resuscitation drug. Such results were observed clearly in our study, but we also found that patients who were in shock before cardiac arrest were three times more likely to survive with complications. This was consistent with other studies which reported that patients in shock after accidents had a poor outcome after CPR.<sup>15,19,21</sup> This is strongly supported by a study carried out at a university hospital in Thailand, which found that patients in shock undergoing anesthesia had high complications.<sup>28,46</sup>

Among various cardiovascular causes of cardiac arrest, an arrhythmia is one of the significant factors leading to survival with complications. This corresponds to studies in some developed countries, which found that an arrhythmia is a cause of cardiac arrest and morbidity.<sup>3,36</sup> Similarly, in a developing country, Thailand, cardiovascular disease was found to be a strong predictor of post-CPR survival with complications. However, if the arrhythmia is promptly treated it can be reverse to normal in a short time.<sup>13,26</sup> This is supported by our results showing that arrhythmias was not the direct cause of death. This study, therefore, recommends that care for patients with a high risk of these predictors should include EKG monitoring for arrhythmia both during surgery and the postoperative period. This is particularly important in patients who have high risks of experiencing substantial blood loss during surgery and also in elderly patients with cardiopulmonary comorbidity.

Another prognostic factor was suffering a cardiac arrest in the postoperative period, which was associated with survival with complications. Reports from Turkey<sup>43</sup> and Pakistan<sup>14</sup> showed that performing CPR in operating rooms and the monitored areas decreased morbidity. In fact, in the postoperative period, some patients have many high-risk factors of cardiac arrest and complications of the cardiopulmonary system that will affect the major surgery and cause blood loss during surgery. This result was found the severe condition in cardiovascular system at time of the end of anesthesia (eg, hypotension [75.2%], patients with blood loss of more than 3,000 mL during surgery [32.2%]). These are challenges for postoperative teams who must adhere to the practice guidelines for risk management and resuscitation.

Relatively high mortality and morbidity within 24 hours of CPR in the present study should be of concern to the interdisciplinary teams and resuscitators. The finding that 9.7% of patients survived with complications after CPR is also of great concern. In the long term, these patients as a group need to be given post-CPR care and a long-term care plan

after getting discharged in order to make the overall recovery time shorter. We recommend the AHA CPR Guidelines 2010 which involved: managing of hypothermia, adequate respiratory support, metabolic disorder treatment, proper treatment of hemodynamic instability, effective postoperative care, and resolving the 5Hs “hypovolemia, hypothermia, hypo/hyperkalemia, hydrogen ion (acidosis), hypoxia” and the 5Ts “tension pneumothorax, tamponade, toxin, thrombosis, and thrombosis”, and finally, enhancing the chances of a more positive outcome of a cardiac arrest.<sup>12</sup>

Our study had several limitations. During the 9-year period of this retrospective study, the CPR practice guidelines had been updated from the 2005 algorithm to the new guidelines in 2010. These changes in guidelines would have affected the results of this study. Additionally, our study was carried out using a retrospective study where occasionally some important data were missing. This may have led to no significance for some variables in the analysis. Furthermore, this study was conducted in one hospital, so our results may not be generalized and applied to other different settings. However, the current study has a strength in the data collection as, despite being a retrospective study, this study followed up patients suffering cardiac arrest at 24 and 48 hours after anesthesia. All data were reviewed by independent editors and all patients who suffered cardiac arrest were assessed on a monthly basis. This information was verified by the anesthesiologists and anesthetic nurses for accuracy before being entered into the database.

## Conclusion

Patients in their first 24 hours post-CPR in emergency surgery, are shown to have high mortality and morbidity. The factors that affect the outcomes following early peri- and postoperative cardiac arrest are identifiable patient comorbidity, age, shock, anatomic site of operation, location of cardiac arrest, EKG rhythm and duration of CPR. Monitoring the EKG is helpful in initially identifying problems and it should be followed by adherence with the AHA CPR Guidelines 2010, and the fast track system in cooperation with interdisciplinary teams for surgery, CPR, and postoperative care over a short period of time.

## Acknowledgments

This study has been supported by a grant from the Faculty of Medicine, Maharaj Nakorn Chiang Mai Hospital, Chiang Mai University. The authors would like to thank the Director of Maharaj Nakorn Chiang Mai Hospital, the team’s advisor and the Department of Anesthesiology for the use of the data.

## Disclosure

The authors report no conflicts of interest in this work.

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