

Passive tumor targeting and imaging by using mercaptosuccinic acid-coated near-infrared quantum dots

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Abstract: In this paper, we demonstrate the preparation of monodispersed quantum dots (QDs) as near-infrared (NIR) optical probes for in vivo pancreatic cancer targeting and imaging. The design of these luminescent probes involves functionalizing NIR QDs with ligand mercaptosuccinic acid (MSA), which targets the tumor site by enhanced permeability and retention effect. The colloidal and optical stability of the QDs can be maintained for >1 week. In vivo optical imaging studies in nude mice bearing pancreatic tumor show that the probes accumulate at tumor sites for >2.5 hours following intravenous injection of the functionalized NIR QDs. Tumor-labeling studies showed no evidence of harmful effects on the treated animals, even at a dose as high as ~50 mg/kg. These results demonstrate that the engineered MSA-functionalized QDs can serve as a diagnostic platform for early detection of cancer, as well as in image-guided precise surgical resection of tumors.

Keywords: QDs, near-infrared, mercaptosuccinic acid, pancreatic cancer, tumor targeting

Introduction

Semiconductor nanocrystals, also known as quantum dots (QDs), are highly luminescent nanoparticles with sizes ranging from 2 nm to 15 nm.^{1,2} QDs are composed of hundreds to thousands of atoms that commonly belong to groups II–VI (eg, CdSe and CdTe), groups III–V (eg, InP), groups IV–VI (eg, PbS and PbSe), or group VI (eg, Si).^{3,4} QDs have several unique optical properties far superior to those of the organic chromophores.^{5–8} For example, QDs have high molar extinction coefficients, broad absorption bands, high quantum efficiency (>50%), narrow emission spectra with full width at half-maximum <50 nm, high resistance to photobleaching, and higher excited state lifetimes.^{9,10} In addition to these features, it was demonstrated that QDs are at least 15 times brighter than organic dyes using the same excitation conditions.¹¹ These unique optical properties can be utilized to enhance the signal-to-background ratio during microscopy imaging.^{12–14} Moreover, the QDs emission can be systematically tuned to emit from the visible to near-infrared (NIR) spectral region by simply manipulating their size, shape, composition, and structure.^{15–18} This optical tunability of QDs facilitates their use in multiplexed and real-time imaging.^{19,20} It was also reported that QDs can be used as a single probe for optical tracking studies in vitro, over a few hours using either laser scanning confocal microscopy or total internal reflection microscopy.²¹ In addition, QDs are potential candidates for two-photon imaging because these particles have a relatively large absorption cross section when compared to some organic dyes.²² Besides the unimodal imaging capability of functional QDs, other novel

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contrast agents can be incorporated into QD formulation for multimodal imaging.²³

NIR in vivo imaging offers an exciting and powerful platform for many areas, ranging from in vitro molecular imaging to cancer diagnostics.^{24–26} In general, in vivo luminescence imaging with targeted QD probes requires deep penetration of light in and out of biological tissues.²⁷ The absorption and scattering of the tissue and the absorbance of water are the main factors that limit the penetration depth of light.¹⁸ It was consistently reported that the best light penetration through tissues is achieved by using NIR wavelength light source, between 700 nm and 950 nm.¹⁸ In addition to light penetration, significant background signals can be reduced upon using the NIR imaging technique.^{28,29} Therefore, NIR QDs can serve as a promising optical probe for improving the sensitivity of in vivo imaging. The illustration of functional, biocompatible, high-quantum yield (QY), and photostable NIR QDs will be a crucial step in the advancement of successful in vivo luminescence imaging for biomedical diagnostics.

QDs are mostly prepared in organic phase; therefore, their surfaces are functionalized with hydrophobic moieties to make them undispersible in biological fluids.^{30,31} More importantly, the hydrophobic moieties such as TOPO, oleic acid, and oleylamine will result in cytotoxicity to the biological environment, limiting their use in biological research such as cancer detection and therapy.^{32–34} Dozens of papers have reported novel surface functionalization strategies for QD nanoparticles to overcome this limitation. The most common approach so far has been to functionalize QD surface with short-chain thiolated surfactants, via the ligand exchange process. These thiolated surfactants are mercaptoacetic acid (MAA), thioglycerol, mercaptopyruvic acid, sodium 3-mercapto-1-propanesulfonate, mercaptopropionic acid, etc.^{35,36} However, it was observed that QD surface modification with some of these surfactants will cause a decrease in QD quantum efficiency and photostability as well as trigger the breakdown of QDs.³⁷ Moreover, some of these surfactants are toxic by nature and not suitable for in vitro and in vivo studies.^{38–40} Thus, the main challenge in preparing stable aqueous dispersion of functionalized QDs for medical imaging involves the selection of small-molecular weight and low-toxicity thiolated ligands that are able to replace the hydrophobic surfactants on the QDs surface.^{41,42} Choosing the appropriate ligands will not only improve the QDs colloidal stability but also allow the nanoparticles to be “small” enough to excrete from body. It is well documented that surface functionalization chemistry of nanoparticles plays a crucial role in the development of diagnostic and therapeutic probes. For example, Choi et al

reported the use of CdSe/CdS/ZnS QDs as a model system to evaluate the hydrodynamic diameter and surface charge conditions that allow rapid body excretion.⁴² They found that the excretion rate of nanoparticles is strongly dependent on their hydrodynamic diameter and the type of ligands used for surface coating. On the other hand, Qian et al have reported the preparation of polyethylene glycol (PEG)ylated Surface-Enhanced Raman Scattering (SERS) gold nanoparticles with 80–90 nm size for in vivo tumor targeting and imaging.⁴³ The authors have found that upon careful functionalization, the ~80 nm gold nanoparticles can be effectively used as probes to detect cancerous areas, without observing any obstacles in terms of targeting and delivery. Gao et al have reported the preparation of ~15 nm bioconjugated CdSe/ZnS QDs and used them as optical probes for imaging tumor in nude mice.⁴⁴ Cai et al have demonstrated that RGD peptide-labeled CdTe/ZnS QDs with size of ~20 nm can be used as NIR optical probes for the in vivo targeting and imaging of tumor vasculature.⁴⁵ All these reports have demonstrated the importance of decorating the nanoparticles with appropriate ligands or polymers in order for them to be successfully used as bio-inert novel image contrast agents for detecting and mapping the location of cancerous areas.

In the present work, we report the engineering of mercaptosuccinic acid (MSA)-functionalized CdTe_xSe_{1-x}/CdS core-shell QDs as optical probes for pancreatic tumor targeting and imaging in live animals. The CdTe_xSe_{1-x}/CdS QDs were prepared by a straightforward and simple hot colloidal synthesis method. Subsequently, the particles were transferred to biological fluid using MSA by ligand exchange process. The functionalized QDs with MSA retained all the original luminescent characteristics and were compatible with biological environments. Moreover, the small molecular weight of MSA functionalized on the QD surface will result in a small hydrodynamic distribution, providing higher chances for excretion from the body after performing their task as tumor biomarkers. Furthermore, we have studied the distribution and clearance of the QDs. Also, we have found that our MSA-functionalized QD formulation has low toxicity, thereby justifying our strategy to functionalize the QD surface with MSA ligands for some aspects of bioimaging applications.

Experimental sections

Materials

Cadmium oxide, selenium, tellurium, zinc acetate, sulfur, oleic acid, high-performance liquid chromatography (HPLC) water, TOP, TOPO, MAA, mercaptopropionic acid, and

MSA were purchased from Sigma-Aldrich Co. All solvents (hexane, toluene, DMSO, and ethanol) were of reagent grade and used without further purification.

Synthesis of $\text{CdTe}_x\text{Se}_{1-x}/\text{CdS}$ QDs

An oleylamine–sulfur solution was generated by mixing 0.1603 g of sulfur (1 M) in 5 mL of oleylamine. Se and Te mixture with a molar ratio of 75:25 was mixed with TOP solution. Next, 4 mmol of cadmium oxide was mixed with 8 g of TOPO and 4 g of myristic acid. The mixture was heated to -290°C under argon flow, followed by which 1 mL of TOP:Se:Te solution was introduced into the hot reaction mixture. After that, the temperature was changed to 230°C , and the mixture was vigorously stirred for 20 minutes, and then 1 mL of oleylamine–sulfur mixture was slowly introduced into the hot mixture. The final mixture was left undisturbed at 200°C for 2 hours. The QDs were purified from the reaction mixture by washing them with ethanol and precipitating them with centrifugation. The QD precipitate can be redispersed in chloroform. Before modifying the QDs surface, the QDs dispersion was filtered using a syringe filter to remove large particulates.

Preparation of MAA-functionalized QDs

The preparation method of MAA-functionalized QDs aqueous dispersion (MAA-functionalized QDs) was adopted from our previous study. To 2 mL of 20 mg/mL of the QDs, 10 mL of MAA was introduced into the dispersion, and the mixture was stirred for 48 hours. Next, 10 mL of chloroform was added into the mixture, and the QDs dispersion was centrifuged at 9,000 rpm for 2 hours. The precipitated pellet was washed with chloroform/ethanol solution with repeated centrifugation. Next, 40 mg of MAA-functionalized QDs was dispersed in 5 mL of phosphate-buffered saline (PBS) solution, and the free MAA molecules were removed by dialysis process against DI water using a dialysis membrane bag with 3.5 kDa cutoff size, and the prepared dispersion was then filtered by employing a $0.45\ \mu\text{m}$ syringe filter.

Preparation of MSA-functionalized $\text{CdTe}_x\text{Se}_{1-x}/\text{CdS}$ QDs (MSA-functionalized QDs)

The preparation method of QDs aqueous dispersion was adopted from our previous study.⁴⁶ In this preparation, 4 mmol of MSA was dissolved in 5 mL of chloroform solution. The mixture was then stirred for 20 minutes, and 2 mL of concentrated ($\sim 20\ \text{mg/mL}$) QD dispersion was mixed with this solution. A few minutes later, 1 mL of

ammonium hydroxide was introduced into this mixture. This mixture was stirred for more than 24 hours. After that, the QDs were separated from the surfactant solution by adding ethanol to precipitate the QD particles. The precipitate was redispersed in HPLC water, and the prepared dispersion was then filtered by employing a $0.45\ \mu\text{m}$ syringe filter. The MSA-functionalized monodispersed QDs (which refer to more than 90% of the prepared QDs having a particle size of 6 nm) displayed excellent colloidal and optical stability, and no precipitation was observed for our prepared formulation within a few weeks of evaluation.

Characterization methods

The absorption spectra were obtained by using an Agilent 8453 UV–vis spectrophotometer (from 300 nm to 1,100 nm). The samples were measured against water as reference. Transmission electron microscopy (TEM) images were obtained using a JEOL model JEM-100CX microscope with an acceleration voltage of 100 kV. The specimens were prepared by drop-coating the sample dispersion onto a carbon-coated 300 mesh copper grid. Fluorescence QYs of the QDs in chloroform were measured by comparing the integrated emission from the QDs to Rhodamin 6 dye solutions of matched absorbance. The effective size and size distribution of the QD suspensions were estimated using dynamic light scattering (DLS) particle size analyzer (Brookhaven 90Plus fitted with Avalanche Photodiode (APD) detector using a 656 nm laser). These solutions were filtered through a $0.45\ \mu\text{m}$ syringe filter membrane before the measurement takes place.

Cell viability

Human pancreatic cancer Panc-1 cells were obtained from American Type Tissue Collection and cultured in Dulbecco's Modified Eagle's Medium (DMEM) supplemented with 10% fetal bovine serum (Sigma-Aldrich), 1 mM L-glutamine (Sigma-Aldrich), and 100 $\mu\text{g/mL}$ penicillin and streptomycin (Sigma-Aldrich). The day before experiment, 24 culture wells (eight sets, three wells per set) of Panc-1 cells were seeded, and the MTS assay was performed. Briefly, QDs of various concentrations ranging from 25 $\mu\text{g/mL}$ to 350 $\mu\text{g/mL}$ were added to the wells and subsequently incubated with the cells for 24 hours and 48 hours at 37°C in a humidified atmosphere with 5% CO_2 . After the incubation, 150 μL of MTS reagent was added to each well and completely mixed by shaking for 5 minutes. The absorbance at 490 nm of the mixtures containing formazan that is produced by the cleavage of MTS by dehydrogenases in living cells was measured by using UV–vis spectrophotometer. The percentage of cell

viability was calculated as the ratio of the absorbance of the QDs-treated well to that of the control well. All data are presented as the mean \pm standard deviation, and the complete assay was repeated three times.

Preparation of pancreatic tumor-bearing mice

Five- to six-week-old male BALB/c nude mice ($n=4$) were purchased from the Medical Laboratory Animal Center of Guangdong Province, People's Republic of China and allowed an acclimation period of 1 week. The mice were housed in individually ventilated cages that contained food, water, and bedding, which were sterile. The experiments were performed in accordance with recommendations cited in the Guide for the Care and Use of Laboratory Animals of Laboratory Animal Center of Shenzhen University, Guangdong Province, People's Republic of China (the permit number is SZU-HC-2014-02).

To generate the subcutaneous xenografts, Panc-1 cells were cultured in DMEM to give 85%–90% confluence. The cells were rinsed three times with PBS buffer and harvested with trypsin–ethylenediaminetetraacetic acid (Sigma-Aldrich). The cells were then centrifuged at 2,000 rpm for 5 minutes, and the supernatant was discarded. The cell pellet was resuspended in fresh medium and counted by a hemocytometer using trypan blue. Then, a 1:1 ratio of cell suspension and Matrigel was made to reach a final cell suspension at density of 3×10^7 Panc-1 cells/mL. The mice were injected with 100 μ L of the cell suspension subcutaneously in the shoulder using a 1 mL Monoject tuberculin syringe with a 25 g \times 5/8" detachable needle. Tumor xenograft formation was monitored every 24–48 hours. Once the tumors reached the appropriate size of 0.5–0.9 cm^2 , the mice were injected with 150–200 μ L of functionalized NIR QDs (50 mg/kg) via tail vein. After injection, mice were anesthetized with isoflurane. The induction concentration was 5% isoflurane/1 L O_2 , and the maintenance concentration was 2%–3% isoflurane/1 L O_2 . Once the mice were properly anesthetized, they were imaged at indicated time points to monitor the accumulation of QDs in tumors using the Maestro or IVIS Lumina II in vivo optical imaging system. In this study, the scanning wavelength from 500 nm to 950 nm was used for the in vivo imaging.

Results and discussion

Synthesis and characterization of water-dispersible functionalized QDs

Traditionally, mercapto acids such as MAA, mercaptopyruvic acid, sodium 3-mercapto-1-propanesulfonate, and

mercaptopropionic acid are used as ligands to functionalize the surface of QDs for making them water-dispersible and functional, which allows them to conjugate with targeting biomolecules for site-specific delivery.^{47,48} In this study, we have engineered the MSA-functionalized NIR QDs for in vivo imaging applications. The optical and colloidal stability of the MSA-functionalized QDs can be maintained for more than 7–8 weeks at 4°C. Moreover, with the MSA-functionalized QDs, one can conjugate targeting molecules with the QDs using the carbodiimide crosslinker chemistry (EDC) for in vitro and in vivo targeted delivery application. More importantly, we have demonstrated that the formulated QDs dispersion can be used for in vivo pancreatic tumor detection and imaging based on passive targeting strategy, without observing any toxic effects to the animals.

The inset illustrates the particle structure of the engineered MSA-functionalized NIR QDs. Basically, the first step involves ligand exchange process of myristic acid-coated QDs with MSA in the aqueous/organic phase. The MSA-functionalized QDs are dispersible in buffer solution. For bioconjugation, the QDs can be conjugated with proteins, peptides, and antibodies using the straightforward EDC chemistry method.

Figure 1A shows TEM image of water-dispersible NIR QDs. The images demonstrate the high crystallinity of QDs, and the particle average size is 6 nm. Figure 1B shows the photoluminescence (PL) spectra from the NIR QDs. The PL spectrum of the QDs shows a band edge emission at \sim 880 nm. The PL QY of the QDs is estimated to be \sim 15%–20%. The hydrodynamic size and colloidal stability of the QDs dispersed in PBS (pH 7.4) were determined using DLS. The result indicates that the prepared MSA-functionalized QD particles have an average hydrodynamic size of 7.5 nm. The effective radius from 0 day to 3 days varied by less than 10%, thus demonstrating their colloidal stability.

In vitro cytotoxicity studies

Before we applied the QD formulation for in vivo tumor mapping and imaging, in vitro cytotoxicity evaluation of QDs was carefully performed on Panc-1 cells using MTS assay. Figure 1C shows the cytotoxicity profile for Panc-1 cells treated with different concentrations of the QDs. Overall, the cells maintained 80%–90% viability even after being treated with more than 300 μ g/mL MSA-functionalized NIR QDs, for both 24 hours and 48 hours. Besides Panc-1 cells, we have also tested the nanoformulation using other cell lines such as RAW264.7 and UMG87. Similarly, no cytotoxicity effects were observed, and the viability of the

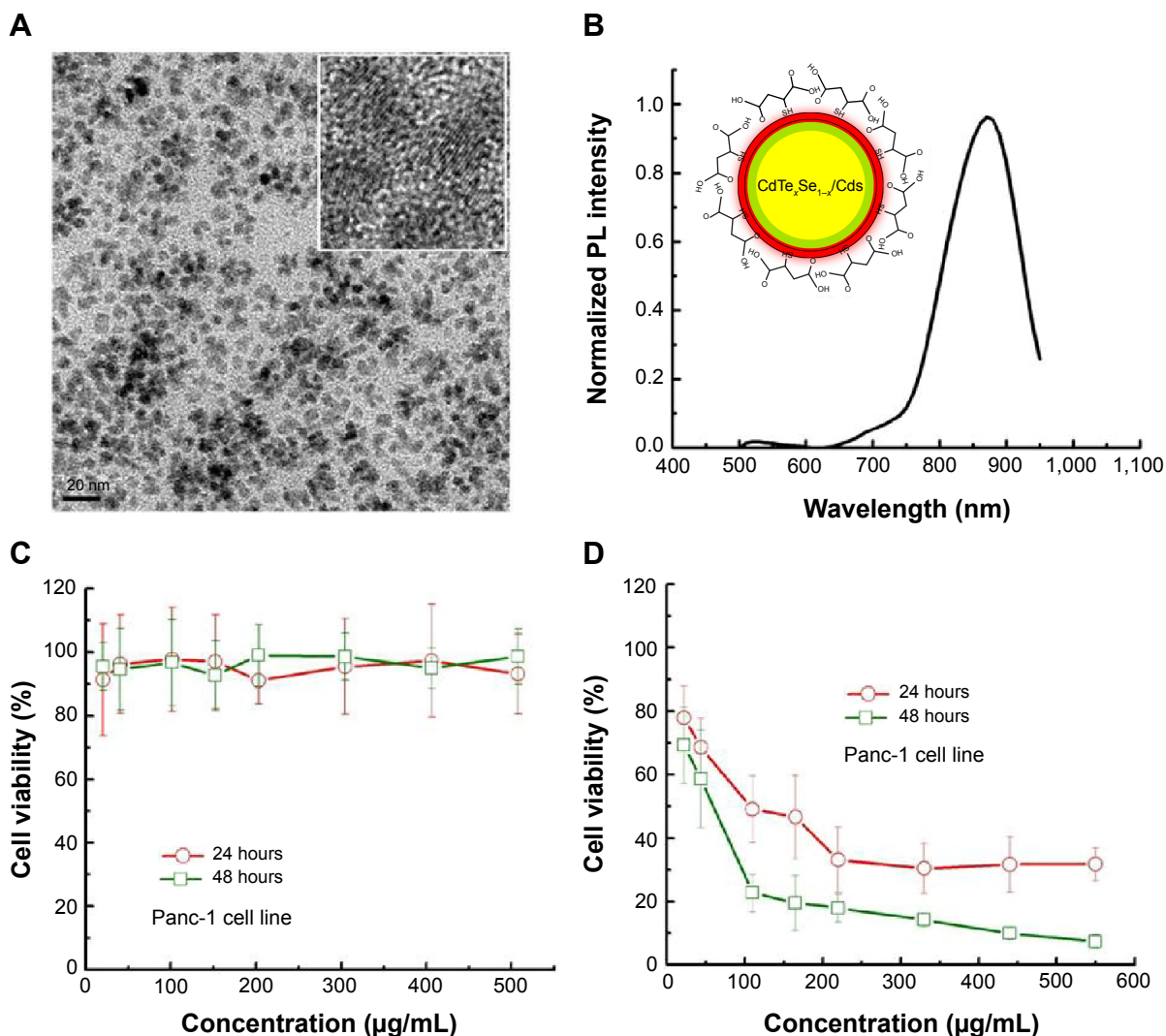


Figure 1 Characterization and in vitro cytotoxicity study of QDs.

Notes: (A) TEM image of monodispersed MSA-functionalized NIR QDs. The average size of the particles is around 6 nm. (B) Emission spectra of MSA-functionalized QDs. (C) The in vitro cytotoxicity study of MSA functionalized QDs. Panc-1 cells were treated with various concentrations of QDs for 24 hours and 48 hours. Values are means \pm SD, n=3. (D) The in vitro cytotoxicity study of MAA-functionalized QDs. Panc-1 cells were treated with varying concentrations of mercaptoacetic acid-functionalized QDs for 24 hours and 48 hours. Values are means \pm SD, n=3.

Abbreviations: TEM, transmission electron microscopy; MSA, mercaptosuccinic acid; MAA, mercaptoacetic acid; NIR, near-infrared; QDs, quantum dots; SD, standard deviation; PL, photoluminescence.

two cell lines was maintained above 80%, with treatment concentration as high as 200 $\mu\text{g/mL}$. This result demonstrated the low toxicity of the QD formulation. In addition to MSA-functionalized QDs, we have also assessed the cytotoxicity of MAA-functionalized QDs formulation with Panc-1 cell line. Figure 1D shows the viability plot of Panc-1 cells treated with various concentrations of MAA-functionalized NIR QDs. As opposed to MSA-functionalized QDs, the MAA-functionalized QDs were more cytotoxic than the MSA-functionalized QDs, under similar treatment conditions. This finding suggests that the surfactant types play an important role in defining the overall toxicity level of the functionalized QDs.

In vivo tumor imaging studies

Two approaches have been developed to deliver nanoparticles to tumors, which are active and passive targeting. Passive targeting delivery depends on two unique biological characteristics of the tumor microenvironment. First, the gap between the vascular endothelial cells in tumor tissues is relatively increased, and the capillary walls are leaky, which make it highly permeable to circulating macromolecules in the blood stream. Second, a dysfunctional lymphatic system in the tumor prevents the drainage of accumulated macromolecules and fluids from the tumor interstitial tissues. Therefore, the concentration of nanoparticles (eg, liposomes, micelles) accumulated in the tumor matrix can increase up to 100–150 times

much more than the ones in the normal tissue.⁴⁹ Such accumulation of macromolecular drugs or nanoparticles in the tumor environment as well as the lack of efficient lymphatic drainage is the so-called enhanced permeability and retention (EPR) effect, and this effect will allow nanoparticles to penetrate through the tumor microvasculature and concentrate themselves in the tumor interstitium. The exudation process of nanoparticles closely relies on the transendothelial channels and the size of endothelial junctions. It was reported that the pore cutoff size of these transport pathways ranged from 400 nm to 600 nm, and the cutoff size of liposomal extravasation into tumor tissues *in vivo* was about 400 nm. Therefore, nanoparticles with sizes no more than 200 nm will extravasate out of tumor microenvironment efficiently. For example, Lee et al reported that the tumor uptake of doxorubicin delivered by dendrimer carrier was ninefold higher than free doxorubicin.⁵⁰ Lee et al reported that glycol chitosan nanoparticles with tumor-targeting ability can serve as a platform delivery carrier in cancer diagnosis and therapy *in vivo*.⁵¹ However, these nanoparticles mentioned above cannot be used for real-time monitoring of tumor growth when they are administered into the body. The currently used medical imaging techniques such as computed tomography (CT) and magnetic resonance imaging (MRI) are unable to provide specific dynamic information about the physiological changes of tumor when the cancer patient is treated with drugs formulation. We envision that QDs reported here can be designed, optimized, and integrated with MRI and CT contrast agents to form a targeted multimodal nanoparticle system, which one can use to address the challenges that we face currently. Till date, the hydrodynamic size of functionalized QDs has been reported to be generally in the range from 5 nm to 60 nm, which suggests that they are suitable to serve as powerful optical probes for EPR-based selective tumor targeting and imaging. On the other hand, active targeting can also be achieved by bioconjugated QDs where their surface is further functionalized with homing agents. In general, QDs can be conjugated with various targeting ligands on their surface, which allows them to specifically recognize and bind to the corresponding receptors that are highly overexpressed in the cancer cells surface. As a result, the nanoparticles can be delivered into the cancer cells with minimum toxicity and off-target side effects.

In this study, we have employed the passive targeting approach to label cancerous area using MSA-functionalized NIR QDs. The mice intravenously injected with the QDs were imaged at different time points using the *in vivo* imaging system. Figure 2 shows the normalized characterization spectra

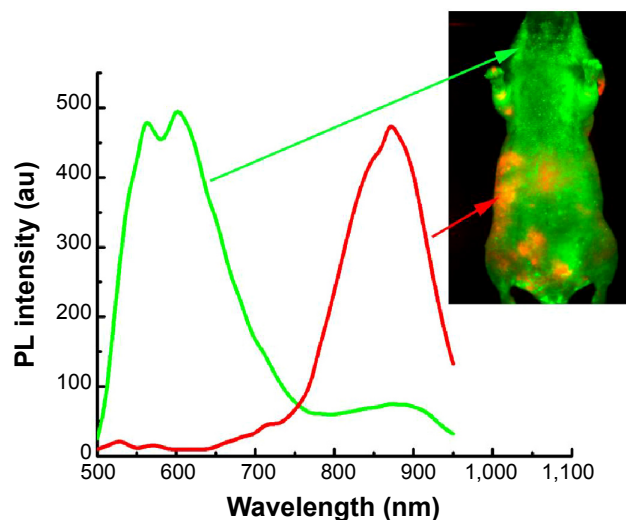


Figure 2 *In vivo* imaging of pancreatic tumor-bearing mouse injected with MSA-functionalized NIR QDs.

Notes: The background autofluorescence (from the tissues, skins, and food) is pseudocolored as green, and the QDs signal is pseudocolored as red.

Abbreviations: MSA, mercaptosuccinic acid; NIR, near-infrared; QDs, quantum dots; PL, photoluminescence.

of the background autofluorescence signals and the QD signals from a nude mouse. The background autofluorescence is pseudocolored as green, and QDs signal is pseudocolored as red. Figures 3 and 4 show the luminescence images obtained from the small-animal *in vivo* imaging system of a pancreatic tumor-bearing mouse post-injected with ~50 mg/kg of MSA-functionalized QDs.

From Figure 3, one can easily observe a strong luminescence signal from the NIR QDs concentrated in the pancreatic tumor within 30 minutes of injection. During the next 5 hours, a slow decrease in the tumor luminescent intensity can be observed, and no signal was detected at 6 hours post-injection. The treated mice were further imaged for another 200 hours to examine the distribution and clearance of NIR QDs. No mortality was observed in the mice group, demonstrating the low toxicity of the functionalized NIR QDs. It is worth mentioning that fluorescent signal of QDs from the upper neck of the injected mouse was also obtained, which is probably because the cancer cells have spread excessively from the primary site into surrounding tissue *in vivo*. Once the *in vivo* whole-body imaging was completed, the mice were sacrificed, and the major organs (the heart, liver, spleen, lung, and kidney) were dissected and then imaged by the *in vivo* optical imaging system. As shown in Figure 5, the luminescence signals were detected in the spleen and liver, which indicated that QDs were taken up by these organs and accumulated in these tissues. In addition, minimal QD signal was detected in the other organs such as the kidney, lung,

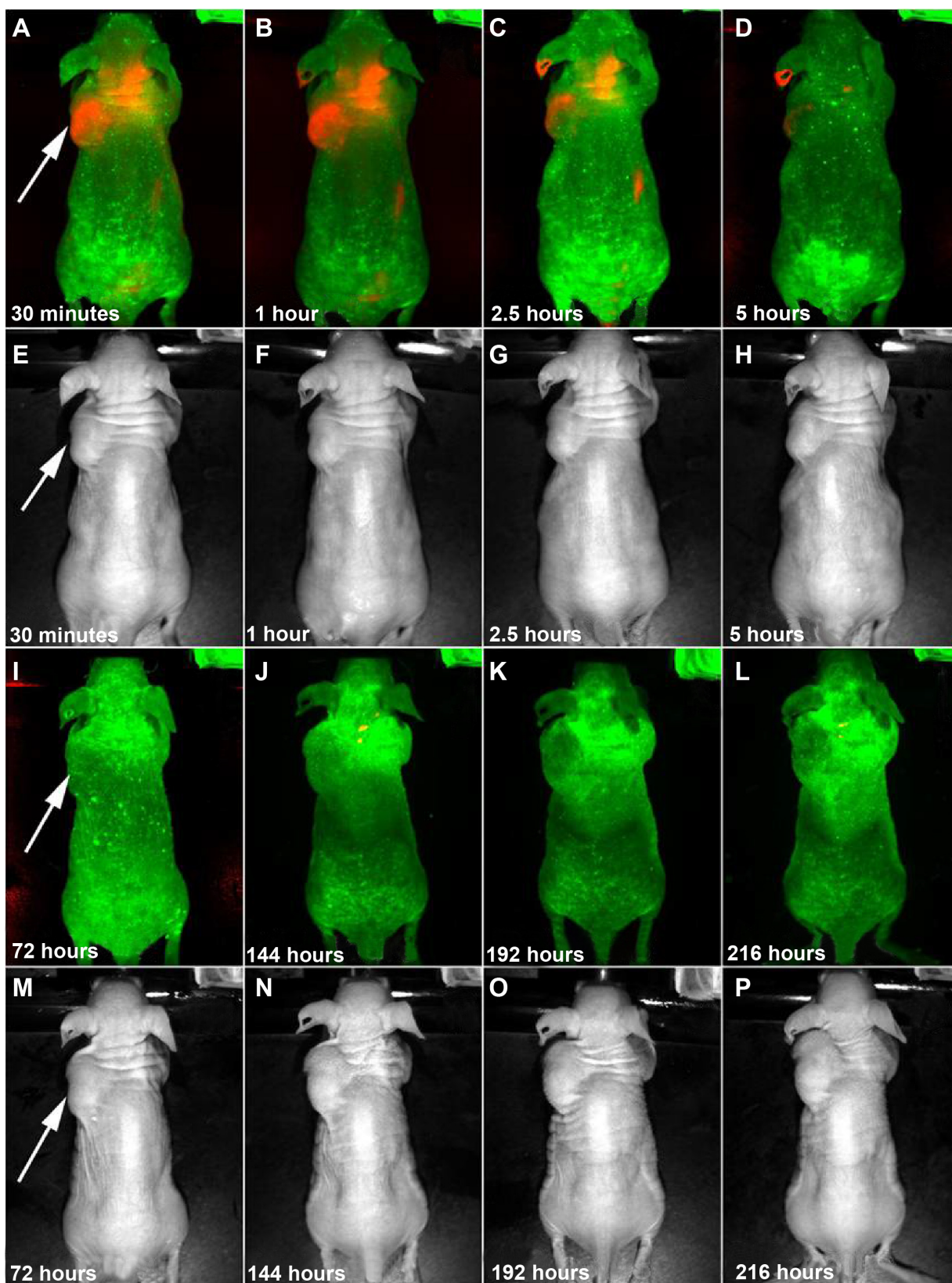


Figure 3 Time-dependent in vivo luminescence imaging of Panc-I tumor-bearing mice (left shoulder, tumor pointed by white arrows) injected with ~50 mg/kg of MSA-functionalized NIR QDs, with background in green and the QD signals in red.

Notes: All images were acquired under the same experimental conditions. Transmission images in (E–H) and (M–P) correspond to the luminescence images in (A–D) and (I–L), respectively.

Abbreviations: MSA, mercaptosuccinic acid; NIR, near-infrared; QDs, quantum dots.

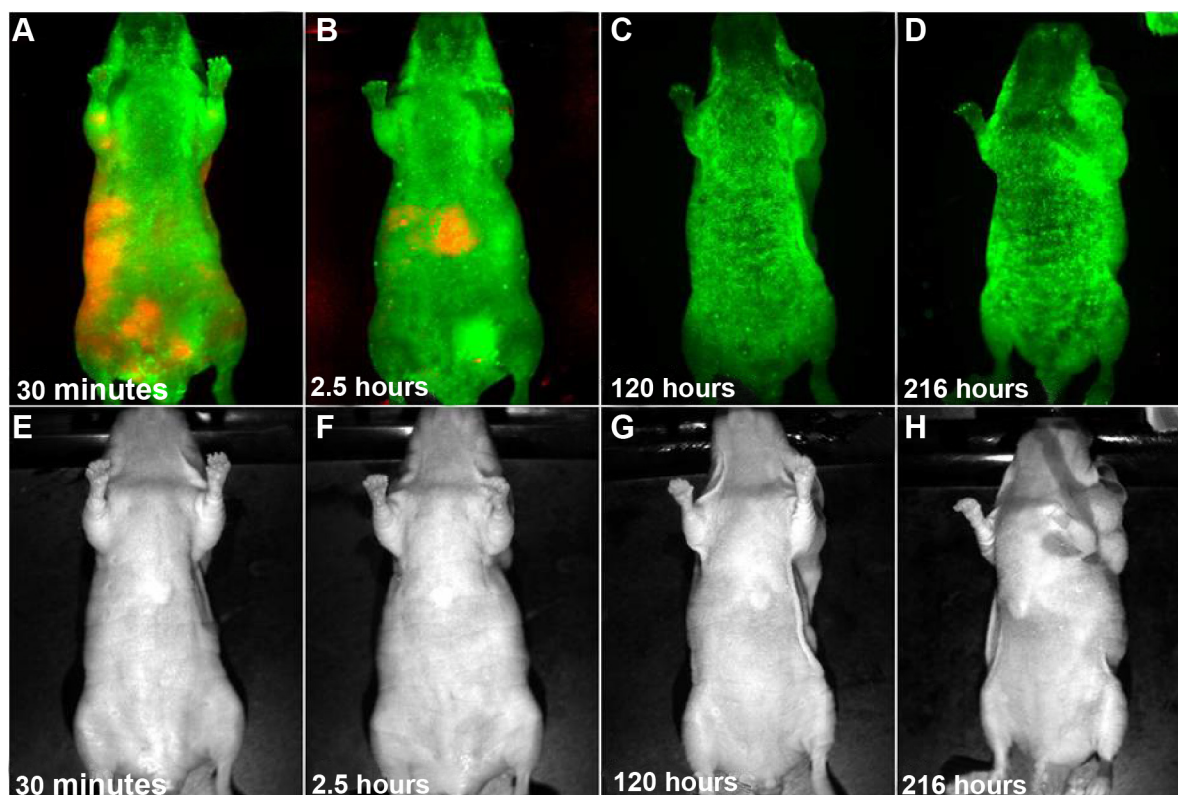


Figure 4 Lateral view of in vivo luminescence imaging of Panc-1 tumor-bearing mice (left shoulder) injected with ~ 50 mg/kg of MSA-functionalized QDs. **Note:** All images were acquired under the same experimental conditions. Transmission images in (E–H) correspond to the luminescence images in (A–D), respectively. **Abbreviations:** MSA, mercaptosuccinic acid; QDs, quantum dots.

and heart. The biodistribution of these QDs in major organs is consistent with the previous reported studies.⁴⁹

For QDs that do not excrete through renal clearance (with particles size less than 6 nm), the only other major route of excretion from the body is through the liver, via the bile, and feces.¹⁸ To date, there are a few general

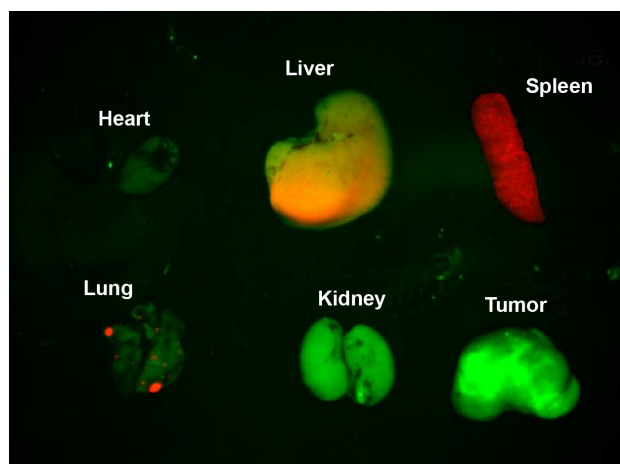


Figure 5 Luminescent images of major organs and tumor from Panc-1 tumor-bearing mouse injected with NIR QDs.

Notes: The autofluorescence from organs is coded green, and the unmixed QD signal is coded red. Prominent uptake in the liver and spleen was visible.

Abbreviations: NIR, near-infrared; QDs, quantum dots.

observations with liver excretion for functionalized QDs.⁴⁹ First, the liver is “programmed” to filter and remove foreign particles with hydrodynamic diameter >50 nm. The use of special coatings such as PEG on the QD surface to prevent the removal by the reticuloendothelial system (RES) (the liver, spleen, and bone marrow) has been reported. These PEG coatings will generally increase the hydrodynamic size of the QDs. PEGylation on nanoparticle surface will also increase blood half-life. However, it also slows the excretion rate of functionalized QDs from the body. Second, excretion of QDs into bile is a slow process. But, it is suggested that as long as the particles do not degrade during the “slow” excretion process, minimal or no damage will occur in the body. Third, long-term retention of the left-over QDs in the RES might lead to a large area under the exposure-time curve, and this will potentially increase the chances of in vivo nanoparticle toxicity. To overcome this issue, one can coat thicker inorganic shell (eg, ZnS shell) on the QDs surface to prevent them from breaking down during the liver excretion process. An alternative approach to minimize the chances of QD degradation in the liver and spleen is to passivate their surface with long-lasting biocompatible polymer layer, which will enhance their overall stability.

Several groups have investigated the distribution and clearance of QDs in vivo.^{52,53} From their reports, some common features were observed for the biodistribution of functionalized QDs. Previously, Gao et al have reported that 15 nm CdSe/ZnS QDs were prepared, and the particle surfaces were carefully functionalized with block copolymer layer and targeting molecules for specific tumor mapping and imaging.⁴⁴ They have found that appreciable amounts of QDs were accumulated in the lung, liver, and spleen, even when the surface of QDs was linked with PEG molecules with molecular weight as high as 2,000 Da. Nevertheless, the authors were still able to use these optical probes to label the tumor sites. Recently, Cai et al reported the use of targeted CdTe/ZnS QDs emitting at 705 nm with hydrodynamic size of 20 nm for NIR imaging of tumor in vivo.⁴⁵ Within 30 minutes of injection, major fraction of QDs was found in the liver and spleen, based on their noninvasive whole-body optical imaging system. Though the exact biodistribution of the nanoparticles was not reported in their study, the authors have clearly mentioned that it is critical to manipulate the surface coating of QDs for enhancing nanoparticle removal from the body. Chen et al have prepared MAA-functionalized CdSe/ZnS QDs as probes for liver cancer detection and imaging.⁵⁴ Similarly, the authors have observed that the QD probes were mainly distributed in the liver, spleen, and kidney. The hydrodynamic size was not reported in this study. No direct comparison can be made with our formulation. However, the authors have claimed that their formulation is nontoxic by performing animal body weight evaluation and blood analysis on the treated animals. All these results suggested that these functionalized QDs can be further improved for specific in vivo applications. Further studies are needed to reengineer the current QDs so that they can efficiently and safely excrete from the body.

Conclusion

In summary, we have reported the preparation of MSA-functionalized NIR QDs that retain small hydrodynamic size, high QY, and surface functionality; the results provide a unique platform for potential cancer diagnostic and therapeutic applications. These MSA-functionalized QDs can be readily used for targeting and imaging tumors in live animals without causing any ill effects to the animals. By using the whole-body fluorescent imaging technique, we have demonstrated that the functionalized QDs accumulated in the tumor matrix by EPR effect. The accumulation of the QDs in the tumor was observed to last for more than 2.5 hours. Some fractions of QDs were found to remain in the spleen and liver. Further studies are needed to confirm whether the

sequestered QDs will be slowly removed by liver excretion. These findings not only offer useful information for designing targeted cancer nano-imaging but also are useful in the design and development of parameters of QD formulations for early pancreatic cancer detection and image-guided surgery applications.

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Disclosure

No conflict of interest exists in the submission of this manuscript and none declared.

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