

Preparation, characterization, and potential application of chitosan, chitosan derivatives, and chitosan metal nanoparticles in pharmaceutical drug delivery

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Abstract: Naturally occurring polymers, particularly of the polysaccharide type, have been used pharmaceutically for the delivery of a wide variety of therapeutic agents. Chitosan, the second abundant naturally occurring polysaccharide next to cellulose, is a biocompatible and biodegradable mucoadhesive polymer that has been extensively used in the preparation of micro- as well as nanoparticles. The prepared particles have been exploited as a potential carrier for different therapeutic agents such as peptides, proteins, vaccines, DNA, and drugs for parenteral and nonparenteral administration. Therapeutic agent-loaded chitosan micro- or nanoparticles were found to be more stable, permeable, and bioactive. In this review, we are highlighting the different methods of preparation and characterization of chitosan micro- and nanoparticles, while reviewing the pharmaceutical applications of these particles in drug delivery. Moreover, the roles of chitosan derivatives and chitosan metal nanoparticles in drug delivery have been illustrated.

Keywords: nanoparticles, microparticles, preparation, characterization, pharmaceutical application

Introduction

Drug delivery is a general term that refers to formulation and administration of a pharmacologically active compound for the purpose of providing an efficient drug plasma concentration, as well as bringing the drug to the specific site of action. Different strategies have been employed to overcome some drug stability issues in the gastrointestinal tract (GIT), control the drug release, enhance the transmucosal absorption, as well as get the drug into its site of action. Microfabrication is a technique that creates materials in the micrometer scale feature and has been reported to significantly improve diagnosis and biomedical applications. Modification of the material shape, surface characteristics, and release kinetics is a consequence of this micronization.¹ Nanotechnology has been utilized as one of these strategies in the development of novel drug delivery systems through entrapment of the drug in nanoparticulate systems.² In general, the potential applications of nanotechnology render this field an area of interest to many researchers and scientists. These applications have covered different scientific areas that extend from electronics to cosmetics.³⁻⁵

Polymers obtained from natural origins have been extensively employed not only in the food industry but also in pharmaceutical technology. Polysaccharide polymers have emerged as being one of these because they are less toxic, biocompatible, and biodegradable.^{6,7} Incorporation of the therapeutic agent into a polymeric matrix,

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particularly of a natural origin, might potentiate the protection of the biologically active compound from degradation, control drug release, improve absorption, enhance the therapeutic effect, and lead to the consequential decrease in the frequency of administration. Chitosan, alginate, and carrageenan are the most commonly used polysaccharide polymers in various pharmaceutical applications.^{6–12} Chitosan is a polymer of interest that has been widely used for delivery of different therapeutic agents, particularly those based on chitosan micro- and nanoparticles, owing to its unique properties. Preparation of mucoadhesive formulations, enhancing the dissolution rate especially for poorly water-soluble drugs, utilization in drug targeting, and improvement of protein absorption are common therapeutic applications of this naturally occurring polymer.¹³

In this review, the importance of chitosan, as a naturally occurring polysaccharide polymer, and its derivatives in drug delivery are illustrated. The different methods of preparation and characterization of chitosan micro- and nanoparticles are addressed. The usefulness of these particles in parenteral and nonparenteral drug delivery is demonstrated. Finally, a very specific application of chitosan in the preparation of metal-based nanoparticles is clarified, establishing the advantages of chitosan metal nanoparticles over the metal nanoparticles.

Chitosan as a polymeric drug carrier

Chitosan is a molecule with a carbohydrate backbone structure similar to cellulose, which consists of two types of repeating units, *N*-acetyl-D-glucosamine and D-glucosamine, linked by (1-4)- β -glycosidic linkage.¹⁴ It is a biopolyamino-saccharide cationic polymer that is obtained from chitin by alkaline deacetylation and characterized by the presence of a large numbers of amino groups on its chain (Figure 1). Although chitosan is obtained from chitin, the applications of the latter compared to chitosan are limited because it is chemically inert. A common method for chitosan synthesis is the deacetylation of chitin, usually derived from the

shells of shrimp and other sea crustaceans, using excess aqueous sodium hydroxide solution as a reagent. Chitosan is insoluble in water but soluble in dilute acidic solutions of acetic, citric, and tartaric but not phosphoric or sulfuric at pH less than 6.5.¹⁵ In dilute aqueous acidic solution, the free amino groups of chitosan glucosamine units that have an apparent pK_a of 6.5 undergo protonation and convert into the ionizable soluble $R-NH_3^+$ form.¹⁶ Usually, dilute aqueous acetic acid solution in concentrations 1%–3% is used to make a soluble chitosan solution. Chitosan is available in low and high molecular weights, ranging between 3,800 and 20,000 Da, and with different grades of deacetylation degree. The molecular weight and degree of deacetylation strongly affect chitosan properties, particularly during the development of micro- and nanoparticles. Chitosan is precipitated with polyanions and in alkaline solutions. Although chitosan has revealed some therapeutic activity such as lowering of cholesterol,¹⁷ wound healing,¹⁸ antiulcer,¹⁹ and antimicrobial effects,²⁰ it is widely used as a polymeric drug carrier owing to its biocompatibility, biodegradability, and nontoxic characters.

Chitosan is characterized by mucoadhesive properties owing to the electrostatic interaction between the positive charge on ionizable $R-NH_3^+$ group and the negative charge on the mucosal surfaces.²¹ The interaction of the protonated amine groups with the cell membrane results in a reversible structural reorganization in the protein-associated tight junctions, which is followed by opening of these tight junctions. Sinha et al²² showed that the molecular weight, strong electrostatic interaction, chitosan chain flexibility, possibility of hydrogen bond formation due to availability of bonding groups such as carboxylic and hydroxyl groups, and ease of spreading into the mucus owing to surface energy properties are factors that attribute to this character. Another advantage that makes chitosan superior to other polysaccharide polymers is the ease of chemical modifications in the structure, especially in the C-2 position, which provide derivatives with different characteristics, with potential use in different applications.²³ Pharmaceutically, chitosan-based polymeric drug carriers have been successfully utilized in the delivery of anticancer agents, proteins/peptides, growth factors, antibiotics, anti-inflammatory and other drugs, as well as a strategy in both vaccine delivery and gene therapy.^{24,25} In addition to the mucoadhesive nature and ease of chemical modification, chitosan possesses properties such as biocompatibility, low toxicity, and biodegradability that make this polymer a good candidate for pharmaceutical formulations through

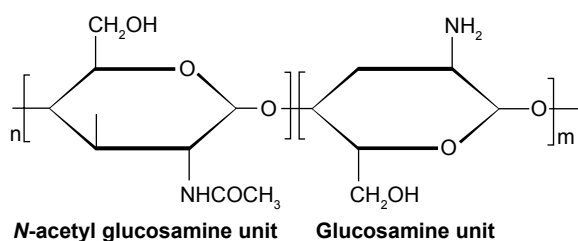


Figure 1 Chemical structure of chitosan showing the repeating subunits.

several routes of administration, as will be illustrated in the following sections.

In general, the mucoadhesive nature, which increases the time of attachment at the absorption site, the easy availability of free amino group for cross-linking, ease of fabrication of polymeric particles without using hazardous solvents, the cationic nature that permits ionic cross-linking with multivalent anions, and finally the ability to control the release of the administered drug makes chitosan the polymer of choice for developing the polymeric particle.

Chitosan derivatives

Different chitosan derivatives have been synthesized and studied for their potential applications. Modification does not result in change of the chitosan fundamental skeleton but brings derivatives characterized by new or improved properties. These derivatives have been reported to increase the permeation of drugs, especially hydrophilic macromolecules, achieve colon targeting, protect acid sensitive drugs, enhance drug release in basic environment, and overcome the limited solubility of chitosan in neutral pH.^{26,27} Various chemically modified chitosan derivatives have been produced through alteration in the chitosan amine or hydroxyl functional groups. Quaternized, thiolated, hydrophobic, and chemically grafted chitosan derivatives are types that have been reported to improve or impart new properties to chitosan.

Quaternary chitosan derivatives of the *N*-alkyl or quaternary ammonium types have been developed. These derivatives are characterized by their permanent cationic charge (resulting in increase of solubility of chitosan in water and keeping it soluble over a wide range of pH) and their enhanced mucoadhesive and loaded drug penetration properties. *N*-alkylated chitosan derivatives such as trimethyl, diethylmethyl, triethyl, and dimethyl ethyl chitosans are usually obtained by alkylation of the primary amine groups of chitosan with the suitable aldehyde in the presence of reducing agents. *N*-trimethyl chitosan (TMC) is synthesized by methylation of the chitosan amine groups with methyl iodide.²⁸ The water solubility of TMC is highly dependent on the degree of methylation.²⁹ An enhancement in the mucoadhesive and, hence, absorption even at neutral pH has been reported for soluble TMC.^{30–32} Quaternary ammonium chitosan derivatives such as *N*-(2-hydroxyl) propyl-3-trimethyl ammonium chitosan chloride (HTCC) has been produced by introducing a quaternary ammonium moiety such as glycidyl trimethyl ammonium to the chitosan amine groups, and that was used to formulate albumin-loaded

chitosan derivative nanoparticles that showed a size range between 110 and 180 nm and more than 90% albumin encapsulation efficiency.³³

Thiolated chitosans are obtained by modification of the chitosan amine groups with cysteine, 2-iminothiolane, thiobutylamine, or thioglycolic acid. The obtained modified chitosan derivatives exhibited improved oral as well as nasal mucoadhesive drug delivery, drug permeation-enhancing effect, and showed in situ gelling behavior owing to inter- and intramolecular disulfide bonds formation when it came in contact with physiological fluids.^{34–36} Trimethyl chitosan–cysteine (TMC–Cy) is a modified chitosan conjugate that combines the mucoadhesive and permeation improvement effects of TMC and thiolated derivatives. TMC–Cy insulin-loaded nanoparticles have been developed and have demonstrated high insulin encapsulation efficiency and mucoadhesion compared to TMC–insulin nanoparticles.³⁷

Hydrophobic chitosans are chemically modified derivatives that have enhanced stability because of the decrease in the process of matrix hydration and, therefore, show high resistance to gastric enzymatic degradation. Chitosan chemically modified through introduction of carboxylic acid group renders chitosan poorly hydrophilic because of nonionization of the introduced carboxylic group in the acidic environment, while these derivatives are highly hydrophilic in the basic conditions. Chitosan succinate and chitosan phthalate are common examples that showed an insulin-loading capacity of approximately 60% and protected insulin from the gastric enzyme degradation.^{38,39} Lauryl succinyl chitosan possesses negatively charged mucoadhesive properties and was developed and utilized in the preparation of insulin-loaded micro- and nanoparticles. The prepared particles combined the hydrophilic and hydrophobic characters because of the presence of succinyl and lauryl moieties, respectively, and were found to augment chitosan mucoadhesiveness as well as insulin permeability and release when compared to chitosan particles.⁴⁰ Another way to introduce a hydrophobic group to chitosan was achieved by acylation using sodium salts of medium-chain fatty acids, fatty acid chlorides, and lactones for use as a polymeric matrix in drug delivery. Lauric, caprylic, and capric acids are examples of fatty acids used to improve the permeability of the incorporated compounds, especially those of hydrophilic compounds such as insulin.^{41,42} Oleoyl and octanoyl chitosan derivatives are examples of *N*-acylated chitosans produced with fatty acylchlorides, in which the former showed higher resistance to gastric enzymatic degradation, enhanced mucoadhesiveness, and permeability.⁴³

Synthesis of mono-*N*-carboxymethyl chitosan (MCC) is achieved by chemical modification of the chitosan amino groups with glyoxylic acid in presence of sodium borohydride as a reducing agent.⁴⁴ MCC is compatible with anionic agents and has been reported for its application in tissue culture as it decreases the transepithelial electrical resistance of Caco-2 cell monolayers.⁴⁴

Chemical grafting of chitosan is a process by which one or more species of blocks are connected as a side chain to the main chitosan chain, resulting in the formation of macromolecular copolymers with modified physical and chemical properties. The characteristics of the newly formed copolymer depend on length, number, and molecular structure of the side chain(s).⁴⁵ Chitosan might be grafted using radiation, free radical, or enzymatic and cationic copolymerization. Chitosan-poly(ethylene glycol) diacrylate and chitosan derivative with galactose groups have been produced using this technique by graft copolymerization of the macromonomer onto the chitosan backbone and chitosan amine group, respectively.^{46,47}

Chitosan micro-/nanoparticles as drug delivery system

Among the novel drug delivery systems investigated, chitosan micro-/nanoparticles have offered great promise in oral, parenteral, topical, and nasal applications.^{48–50} In these systems, the drug is either confined and surrounded by a polymeric membrane or is uniformly dispersed in the polymer matrix. The size and surface characteristics of the prepared particles play an important role in their transport across the biological cell membranes. These particles could be used to deliver the pharmaceutically active agent in a controlled and, sometimes, site-specific manner. The mucoadhesive nature of chitosan renders the prepared particles the ability to improve both drug absorption and bioavailability because of extended drug contact with the mucosal layer and the high surface-to-volume ratio of nanoparticles that might also enhance this effect. Drug release at a specific site and for an extended period of time could also be achieved by mucoadhesion where chitosan adhere to specific mucosal surfaces in the body such as buccal, nasal, and vaginal cavities.^{51–53}

Chitosan microparticles have shown varied applications in the delivery of a range of compounds owing to particle size reduction by micronization and their mucoadhesive properties. Dastan and Turan⁵⁴ developed chitosan–DNA microparticles and reported a sustained-release profile of DNA from the prepared microparticles with a potential transfer of the DNA into Human embryonic kidney, Swiss 3T3, and HeLa

cell lines. Another research group prepared chitosan–DNA microparticles that have demonstrated suitable *in vitro* characteristics for mucosal vaccination in simulated intestinal fluid and simulated gastric fluid.⁵⁵ Their role in protein and peptide delivery has been illustrated by Chua et al⁵⁶ after developing luteinizing hormone-releasing hormone chitosan-based microparticles as a vaccine delivery vehicle. Successful delivery of hormones by these particles extends their application for induction of immunity against some tumor antigens and microorganisms such as bacteria and viruses.⁵⁶ Insulin delivery via the nasal route using chitosan microparticles was demonstrated by Varshosaz et al⁵⁷ who showed that the insulin-loaded microspheres exhibited a 67% lowering in the blood glucose level compared to insulin administered by the intravenous route (with absolute insulin bioavailability of 44%). Many research groups have thus described the applicability of chitosan microparticles in drug delivery. Encapsulation of diclofenac sodium,⁵⁸ 5-fluorouracil,⁵⁹ cisplatin,⁶⁰ felodipine,⁶¹ and hydroquinone⁶² into these carriers has been reported, and the designed microparticles generally exhibited a controlled-release effect. Chitosan magnetic microparticles (CMM) are a special class of chitosan microparticles that have been developed and find wide applications in the delivery of anticancer drugs or radionuclide atoms to a targeted tissue⁶³ by binding the drug or the radioactive atom to a magnetic compound, which is then injected into the blood and stopped at the targeted tissue by an externally applied magnetic field.⁶⁴

One drawback of drug-loaded polymeric microspheres is the undesirable drug burst effect that is usually observed, which limits their application as a drug delivery system.^{65–67} Coating of chitosan microspheres by another polymer such as alginate has been reported to enhance the drug encapsulation efficiency, increase the stability, and reduce the burst release of the incorporated therapeutic agent. As the hydrophilic character and solubility of chitosan is increased in acidic medium, the effect that leads to limit the ability of chitosan to control the release and affect stability of loaded drugs, coating the surface with acid-resistant polymer such as sodium alginate might overcome these drawbacks. Alginate, an anionic polysaccharide polymer, electrostatically interacts with the cationic chitosan and produces a polyelectrolyte complex that enhances chitosan characters. This effect was reported by Li et al⁶⁸ for alginate-coated chitosan microspheres employed for vaccine delivery. Another research group illustrated the success of this approach in enhancing the encapsulation of lisinopril.⁶⁹ Alginate-coated chitosan microspheres have also been reported as good

vectors for oral administration of protein and peptides, such as insulin with enhanced insulin stability, sustained-release effect, and ability to reduce the blood glucose level in diabetic rats for more than 60 hours following oral administration.⁷⁰ Another way to decrease the burst effect and enhance stability in pure chitosan microspheres was achieved by formation of polymer/layered silicate composites.⁷¹ Attapulgit, a nanosized silicate clay naturally occurring polymer used in drug delivery,⁷² has been introduced into cross-linked diclofenac sodium chitosan microspheres in which the prepared chitosan/attapulgit hybrid microspheres exhibited narrow size distribution and minimum drug release in the simulated gastric fluid.⁷³

Drugs of limited aqueous solubility and patients suffering from instability in the GIT show poor absorption, low bioavailability, and bad therapeutic responses.^{74,75} Polymeric nanoparticles have been reported to conquer these problems.^{76,77} Development of chitosan nanoparticles has maximized the benefits of chitosan as a polymeric drug carrier by enhancing drug aqueous solubility, systemic absorption, bioavailability, and stability. Curcumin is a common example of these drugs, and the preparation of curcumin-loaded chitosan nanoparticles has been reported to enhance the drug solubility and stability in the GIT.⁷⁸ Facilitation of the transmucosal delivery of two hydrophobic drugs, triclosan and furosemide, has been achieved by developing drug-loaded chitosan nanoparticles.⁷⁹ Low-molecular-weight heparin (LMWH) has been loaded into chitosan nanoparticles and showed improved oral absorption and relative bioavailability of 517% when compared to a solution of LMWH.⁸⁰ Recently, chitosan nanotherapeutics have received great attention in the field of oncology because of enhanced tumor targeting, ability to load different hydrophobic anticancer drugs, and the ability to control the anticancer drug-release rate.^{81,82} Chitosan-loaded paclitaxel nanoparticles illustrated excellent tumor-homing ability after systemic administration into SCC7 tumor-bearing mice.⁸³ Moreover, an augmented systemic absorption of some peptides has been illustrated after formulation of these peptides as chitosan nanocarriers.^{84–86} Protein/siRNA-loaded chitosan nanoparticles have been prepared and have showed 98% entrapment efficiency with adequate stability.⁸⁷ Bovine serum albumin has been encapsulated into nanoparticles of chitosan, and the prepared particles showed acceptable physicochemical properties as indicated by the size range (200–580 nm) and high positive zeta potential.⁸⁸ Genetic immunization using chitosan nanoparticles-loaded plasmid DNA was investigated, and results showed measurable and quantifiable levels

of gene expression and considerable antigen titer.⁸⁹ Other workers investigated the potential of these nanoparticles as carriers for antigens by using recombinant hepatitis B surface antigen, in which the nanoparticles were stable, able to associate efficiently with the antigen (>60%), kept the antigenic epitope intact, released the antigen in a controlled-release manner, and illustrated induction of immunization through substantial anti-HBsAg IgG levels.⁹⁰ Chitosan nanoparticles for oral delivery of insulin have been successfully formulated, and these demonstrated improved interaction with the intestinal epithelium, enhanced in vitro as well as in vivo absorption, and acceptable insulin bioavailability.^{86–91} As previously illustrated during the discussion of chitosan microparticles, magnetic nanosized chitosan particles were also developed as a special type of chitosan nanoparticles and showed sustained drug release with minimal toxicity. This behavior was noticed after preparation of camptothecin magnetic chitosan nanoparticles in which polyethylene glycol was coupled onto the prepared magnetic nanoparticles to increase their biocompatibility.⁹²

As has been mentioned during the discussion of microparticles, the initial drug burst-release effect was still a problem with these systems. It has been reported that this weakness still presents some difficulties with the chitosan nanoparticulate system.⁸⁸ Coating of the nanoparticles can diminish this problem. Poly-lactic-*co*-glycolic acid was used as a polymeric coating material for dexamethasone chitosan nanoparticles in cultured cells, with a considerable modulation in drug release as well as controlled drug delivery.⁹³ Alginate has been also used as a coating material for chitosan nanoparticles. Alginate-coated chitosan nanoparticles loaded with low-molecular-weight heparin, enoxaprin, were synthesized and exhibited modified drug-release profile with overall significant increase in oral bioavailability when compared to drug solution and approximately a 60% decrease in thrombus formation in experimental rat venous thrombosis model.⁹⁴

Methods of preparation

Top-down and bottom-up are the two techniques used to develop micro- and nanoparticle drug carriers. In the latter, the particulate system is prepared from a state of molecular dispersion type and is allowed to associate with subsequent formation of solid particles. Bottom-up techniques, therefore, seek to arrange smaller components into assemblies of complex structure, While the former starts with large size materials and breaks these down into smaller particles. Conventional nanoparticle synthesis usually depends on bottom-up techniques.⁹⁵

Different methods have been utilized in the preparation of chitosan micro- and nanoparticles. The particle size, stability of the active constituent and the final product, residual toxicity present in the final product, and the kinetic of the drug-release profile are factors that should be considered during selection of the method.¹³ During the preparation of chitosan particulate systems, the size of the prepared particles is greatly dependent on chitosan molecular weight, chitosan chemical structure, particularly the degree of deacetylation, and on the method of preparation. As a general rule, higher molecular weight chitosan produces larger-size particles.⁹⁶ Different methods are available to prepare chitosan micro-/nanoparticles in which the drug is mostly bound to chitosan by hydrogen bonding, electrostatic interaction, or hydrophobic linkage. Generally, loading the therapeutic agent into chitosan micro-/nanoparticles may be achieved either during the preparation process or after the particles have been formed. In the former, the therapeutic agent is incorporated and embedded in the chitosan matrix, whereas in the latter the therapeutic agent is adsorbed on the particle surface. Usually, the aim is to achieve high entrapment efficiency, which could be accomplished by incorporation into the matrix, but the therapeutic agent could be affected by the preparation method, additives, etc.¹³ Generally, selection of the method

is greatly dependent on the nature of therapeutic agent and the type of device utilized in the delivery.¹³ A list of methods used in the preparation of these particles is given in Table 1. All these methods involve the bottom-up production process, in which assembly of the dissolved molecules is achieved to form a definite micro- or nanoparticulate structure.⁹⁷

The techniques used in the preparation of chitosan micro-/nanoparticles loaded with thermosensitive or less stable substances such as proteins, peptides, hormones, vaccines, plasmid DNA, and antigens may be broadly classified into cross-linking techniques and drying techniques. Cross-linking could be achieved chemically or physically. The stability of these thermosensitive or less stable substances are strongly affected by the organic solvent and the cross-linking agent used, with the consequence of denaturation or chemical modification.⁹⁸ So, physical cross-linking and drying techniques, such as spray drying, are preferred and widely used for these substances. Recently, reverse micellar method has been introduced. These aforementioned techniques – cross-linking, drying, and reverse micellar – in addition to sieving and solvent evaporation were used in the preparation of other drugs of different pharmacotherapeutic groups. An insight on these methods is described in the following sections.

Table 1 Methods of preparation of chitosan micro/nanoparticles loaded with different pharmacotherapeutic agents

| Encapsulated agent | Method of preparation | Examples |
|---|--|--|
| Thermosensitive and less stable substances | <ul style="list-style-type: none"> • Cross-linking techniques <ol style="list-style-type: none"> 1. Physical cross-linking <ol style="list-style-type: none"> 1.1. Ionic gelation 1.2. Complex coacervation 1.3. Polyelectrolyte complex (PEC) 2. Chemical cross-linking • Drying technique <ol style="list-style-type: none"> 1. Spray drying 2. Super critical drying • Reverse micellar method | Interleukin-2, insulin, tetanus toxoid, DNA, albumin, and influenza subunit antigen Plasmid DNA Insulin, VEGF, heparin, and hyaluronan Insulin, BSA, and progesterone |
| | <ul style="list-style-type: none"> • Emulsion cross-linking | Salmon calcitonin and BSA Insulin BSA |
| | <ul style="list-style-type: none"> • Emulsion droplet coalescence • Sieving method • Reverse micellar method • Ionic gelation • Precipitation/coacervation • Spray drying | Diclofenac sodium, aspirin, cisplatin, 5-fluorouracil, mitoxantrone, griseofulvin, phenobarbitone, pentazocine, theophylline, pamidronate, lisinopril, rabeprazole, and suberoylbisphosphonate Gadopentetic acid Clozapine Doxorubicin |
| | <ul style="list-style-type: none"> • Thermal cross-linking • Solvent evaporation | Felodipine, triclosan, and furosemide Prednisolone, ketorolac, and doxorubicin Betamethasone, ampicillin, oxytetracycline, cetylpyridinium chloride, cimetidine, famotidine, nizatidine, vitamin D, diclofenac sodium, ketoprofen, and metoclopramide-HCl Indomethacin Metformin |
| Other drugs of different pharmacotherapeutic groups | | |

Abbreviations: BSA, bovine serum albumin; VEGF, vascular endothelial growth factor.

Cross-linking techniques

Physical cross-linking

The ionic cross-linking method is the most common among physical cross-linking techniques since the preparation procedure is simple, does not involve use of organic solvent or high temperature, and no chemical interaction is involved.^{33,99} These advantages make this method efficient and safe for production of thermosensitive therapeutic agents such as proteins, peptides, hormones, and vaccines loaded into chitosan particulate systems. Assembly and formation of the particles is achieved by ionic cross-linking between chitosan or one of its derivatives, being cationic in nature, and either negatively charged macromolecules or anionic cross-linking agents. Acidic solution of chitosan is prepared, and the ionic cross-linker is added dropwise along with stirring and sonication. If cross-linking is achieved by anionic cross-linkers such as sodium sulfate or tripolyphosphate (TPP), the process is called ionic gelation, whereas negatively charged polyelectrolyte macromolecules, such as cyclodextrin derivatives, dextran sulfate, and poly- γ -glutamic acid produce electrostatic polyelectrolyte complexes (PEC) of ionic cross-linking type.

Formulation of felodipine-loaded chitosan microparticles has been achieved by ionic gelation. The chitosan molecular weight and concentration, concentration of the cross-linking agent (TPP), and TPP pH have been reported to play an important role in the drug-release pattern.¹⁰⁰ Slower felodipine release was obtained from TPP solution of low pH and higher TPP concentration, and higher chitosan molecular weight and concentration. Triclosan and furosemide, two hydrophobic drugs, were loaded into chitosan nanoparticles by ionic cross-linking of chitosan with TPP, and the release profile of both drugs from the prepared nanoparticles was characterized by fast initial release followed by a controlled-release stage.⁷⁹ TPP is widely used to prepare chitosan nanoparticles that have been successfully employed as a carrier for proteins and antigens such as insulin,⁹¹ tetanus toxoid,¹⁰¹ albumin,¹⁰² and influenza subunit antigen.¹⁰³ Chitosan-loaded interleukin-2 (IL-2) microparticles were prepared using sodium sulfate as the anionic cross-linker.¹⁰⁴

Complex coacervation is a process of liquid-liquid phase separation that occurs when two solutions containing oppositely charged ions are mixed, resulting in the formation of an ionic complex.¹⁰⁵ Plasmid DNA was successfully loaded into chitosan nanoparticles of size range 450–820 nm by this method, and the encapsulation was more than 90% for chitosan of high degree of deacetylation and the release was extended for 24 hours.¹⁰⁶ As chitosan is a cationic

polymer that is soluble in acidic solution, it is also possible to precipitate chitosan from its aqueous solution by addition of alkali, which is the theoretical principle of the precipitation/coacervation method. The technique involves preparation of chitosan aqueous solution that is introduced through a nozzle into aqueous or hydroalcoholic solution of sodium hydroxide or ethanediamine by blowing or dropping. The precipitated chitosan microparticles are separated by filtration/centrifugation and finally washed with hot and cold water. A cross-linking agent may be added to increase the hardness of the obtained particles, which can extend the drug release. Prednisolone-loaded chitosan microspheres were prepared by the precipitation method and were able to enhance the transport of prednisolone across the epithelial barrier.¹⁰⁷ Complex coacervation, as already described, has been employed for the preparation of ketorolac tromethamine chitosan microspheres that controlled the drug release, while potentially improving patient compliance due to the decrease in dosing frequency.¹⁰⁸

PEC chitosan nanoparticles produced through ionic interaction with the negatively charged dextran sulfate and loaded with insulin¹⁰⁹ or vascular endothelial growth factor (VEGF)¹¹⁰ was reported. In another study, poly- γ -glutamic acid has been used in the preparation of PEC chitosan nanoparticles, which reduced the transepithelial electrical resistance of Caco-2 cell monolayers.¹¹¹ Recently, self-assembled, electrostatic PEC chitosan particles have been developed for delivery of some proteins such as insulin, heparin, hyaluronan, and VEGF.^{109,112–116} Self-assembly is achieved through electrostatic interaction between the cationic amino group of chitosan and the chemically modified *N*-anionic chitosan amines such as *N*-sulfated chitosan. Schatz et al¹¹⁶ reported formulation of self-assembled chitosan nanoparticles by electrostatic interaction between the protonated amino residues of chitosan, being cationic, and the sulfate functions, being anionic, and the nanoparticles were stabilized by an excess of surface sulfate groups.

Thermal cross-linking is another way of physical cross-linking in which citric acid, a commonly used cross-linking agent in this method, is added to an aqueous chitosan acidic solution in a constant molar ratio between citric acid and chitosan. The mixture is cooled to 0°C and added while stirring to an oily phase, such as corn oil or sesame oil, previously cooled to 0°C. The emulsion is thermally cross-linked at 120°C, and the obtained microspheres are filtered, washed, and finally dried. Indomethacin-loaded chitosan microspheres were prepared by this method.¹¹⁷

Chemical cross-linking

In this method, chitosan micro-/nanoparticles are formed through a chemical interaction between a cross-linking agent and the primary amino groups of chitosan. Common cross-linkers are glutaraldehyde, *p*-phthalaldehyde, ascorbyl palmitate, and dehydroascorbyl palmitate. Chitosan microparticles prepared using ascorbyl and dehydroascorbyl palmitate have lower toxicity and high insulin-loading efficiency, and release insulin in a controlled-release manner, for approximately 80 hours, when compared to that produced using di-aldehydes, glutaraldehyde, and *p*-phthalaldehyde as chemical cross-linkers.¹¹⁸ Chemical cross-linking may take place either through one or two steps. The procedure involves formation of a water/oil (w/o) emulsion in which chitosan and the therapeutic agent are in the aqueous phase that is emulsified into external immiscible solvent. The cross-linking agent is gradually added and, finally, the prepared particles are separated and washed with appropriate solvent to yield the desired particle.¹¹⁹ Ascorbyl palmitate and dehydroascorbyl palmitate were used as a chemical cross-linking agent during the preparation of chitosan-loaded insulin microparticles in an external mineral oil phase.¹¹⁸ Formation of these particles in the internal aqueous phase of w/o emulsion improves the entrapment of the therapeutic agent as the external oil phase prevents the escaping of the therapeutic agent.¹²⁰ An illustration of the steps involved in the production of chitosan particles by this technique is shown in Figure 2.

Some additives may be added to enhance stability and encapsulation efficiency of the therapeutic agent or decrease its leakage. Gelatin has been added to the aqueous phase to enhance insulin stability and encapsulation.¹²¹ Preparation of chitosan microparticles containing bovine serum albumin (BSA) has been reported by this method.¹²²

Drugs of different pharmacotherapeutic groups such as nonsteroidal anti-inflammatory, antineoplastic, antifungal, antiseizure, opioid, methyl xanthenes, angiotensin-converting enzyme inhibitor, and bone-related drugs have been loaded into chitosan microparticles.¹³ Glutaraldehyde, glutaraldehyde extracted in toluene, and ascorbyl palmitate are commonly used cross-linkers, while liquid paraffin or a mixture of mineral oil/petroleum ether is used as external oil phase. Common examples of drugs in each pharmacotherapeutic group are listed in Table 1. Recently, Ahmed and El-Say¹²⁰ have developed rabeprazole chitosan nanoparticles within a w/o nanoemulsion by emulsifying the aqueous phase into paraffin oil containing a surfactant mixture of spans and tweens. The optimized nanoparticles showed a nanosize range, 120 ± 32 nm, and were spherical in shape as indicated by the scanning electron micrograph (Figure 3).

Recently, emulsion droplet coalescence technique that uses the principles of emulsion cross-linking and precipitation has been developed. Two separate emulsions are prepared using two different aqueous phases in paraffin oil. The aqueous phase of the first emulsion consists of chitosan and the studied anionic drug, while that of the second emulsion is composed of chitosan in sodium hydroxide solution. The two emulsions are mixed under high-speed stirring, which allows droplets of each emulsion to coalesce randomly, and the newly formed droplets are precipitated.¹²³ The advantage of this method over the emulsion cross-linking method lies in that it allows an electrostatic interaction between the free amino groups of chitosan and the used anionic drug that permits higher drug loading. This mechanism was not possible in the emulsion cross-linking technique owing to the blocking of the chitosan amino groups by the cross-linker. Gadopentetic acid, a bivalent anionic drug, was loaded into a chitosan nanoparticle by this method.¹²³

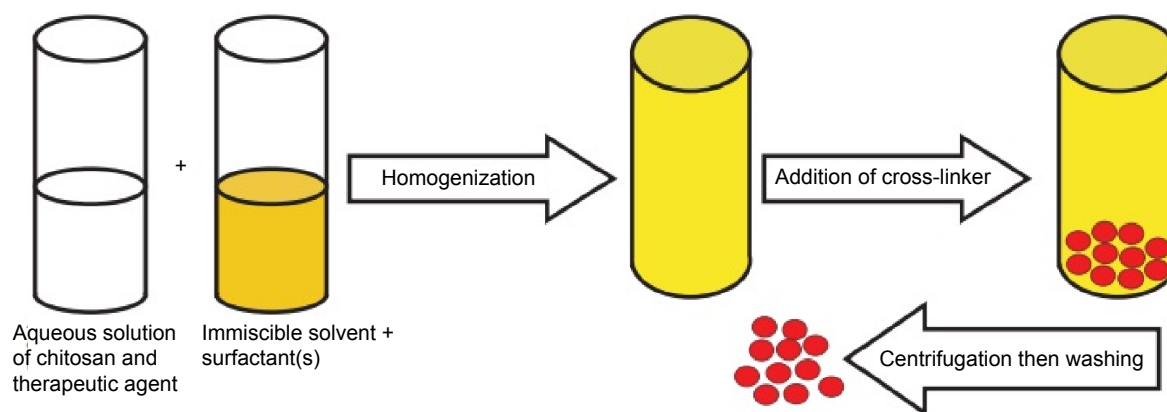


Figure 2 Schematic representation of production of chitosan micro/nanoparticles by chemical cross-linking.

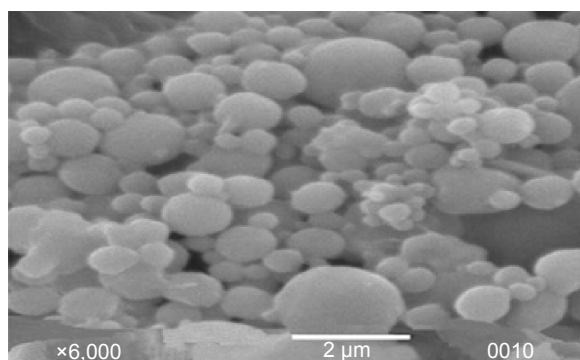


Figure 3 Scanning electron micrograph of chitosan nanoparticles produced using nanoemulsion internal cross-linking technique.

Drying techniques

Drying is the process of water/solvent removal from liquids, solids, or semisolids by evaporation, where the vapor that is produced is removed by vacuum. Hot air, microwave, spray drying, freeze drying, supercritical drying, and natural air drying represent the general methods of drying. Spray drying and supercritical drying are the most commonly used in the preparation of chitosan particulate systems as they are simple, adapt well with the incorporated drug, are easily scaled up, and have the ability to produce particles of different size and high stability.

Spray drying

Spray drying has been reported for manufacturing of granules and dry powders from drug-excipient mixtures either in a solution or suspension.¹²⁴ The expediency of this method extended to include preparation of microparticles from different polymeric materials loaded with protein,¹²⁵ vaccine antigens,¹²⁶ and drugs.¹²⁷ Spray drying offers an easy, efficient, one-step, and protein-friendly method for protein-loaded chitosan micro-/nanoparticles. The basic principle of spray drying relies on using a stream of hot air to

dry atomized droplets. As illustrated in Figure 4, the process involves preparation of aqueous chitosan–protein solution that is sprayed through a nozzle into a drying chamber to get the desired particles. BSA and salmon calcitonin are examples of proteins loaded into chitosan microparticles by this method.^{128,129} Drugs from different pharmacotherapeutic groups have been loaded into chitosan microparticles and studied for their effect as potential drug carrier. Chitosan has been used as a micro-/nanocarrier for corticosteroid, antimicrobial, proton pump inhibitor, NSAID, and antiemetic drugs that have been formulated by this method.¹³ Examples of drugs loaded into chitosan particles by this method are listed in Table 1. The prepared particles were spherical, of smooth surface and narrow size distribution and showed good drug stability and high drug-entrapment efficiency. Other advantages of this method are the possibility of surface cross-linking and coating the spheres during the manufacturing steps, which could enhance stability and control drug release. He et al¹³⁰ reported on the preparation of nizatidine, cimetidine, and famotidine cross-linked and un-cross-linked chitosan microspheres using the spray drying technique, and ethyl cellulose-coated chitosan microspheres–loaded ergocalciferol were successfully produced by the same method.¹³¹ The size of the prepared particles is influenced by spray flow rate, extent of cross-linking, and inlet air flow rate. Large particles were produced using high spray flow rate from large-sized nozzles and when there was a decrease in the extent of cross-linking, while smaller particle size was obtained at greater airflow rates and not by the inlet air temperature being between 140°C and 180°C. Most recently, vildagliptin nanospheres, of smooth surface, were prepared using the spray-drying technique. The prepared nanospheres were characterized by high drug content and nanospheres percentage yield of 76.2%±4.6% and 83%±2%, respectively.¹³²

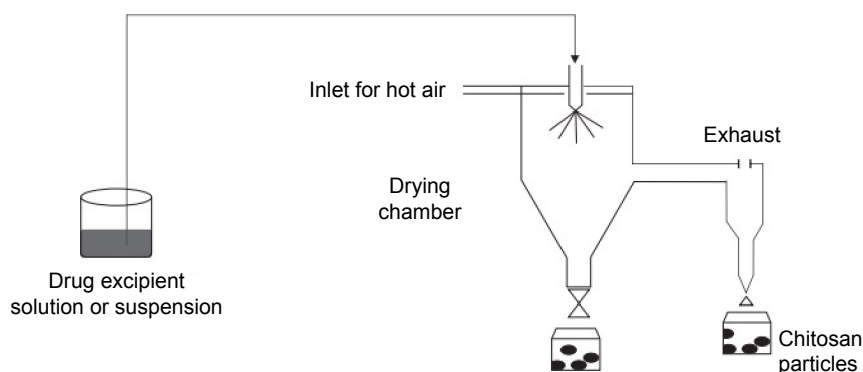


Figure 4 Schematic representation of the spray-drying technique used for the manufacturing of chitosan particulate systems.

Supercritical drying

This is a process of drying the liquid in a substance by converting it into a gas without crossing the phase boundary between the liquid and gas and without affecting the substance nanostructure network. A critical point, of specific temperature and pressure, is a characteristic feature of pure substance at which gaseous and liquid states of the substance has liquid-like viscosity and density, and so are difficult to differentiate. Substances possessing this property easily penetrate into substances like a gas and dissolve materials like a liquid.¹³³ Some fluids such as carbon dioxide, Freon, and nitrous oxide are suitable for drying by this process, and they can easily penetrate into the material like gas and dissolve the substance similarly as liquid.¹³³ Chitosan microparticles loaded with insulin have been successfully prepared using supercritical fluid drying method suitable for inhalation.¹³⁴ This method is fast, economical, and could produce much smaller particles suitable for delivery by the inhalational route.^{135,136}

Reverse micellar method

Reverse micelles are water droplets in the nanometer size (1–10 nm) dispersed in organic solvent because of the effect of surfactants.¹³⁷ The aqueous core of these nanosized droplets can be used as a reactor to prepare nanoparticles. The preparation method includes preparation of aqueous drug–chitosan solution that is to be added under stirring to a mixture of organic solvent and surfactant molecules. A cross-linking agent is added, and the mixture is left on the stirrer overnight for complete cross-linking. The organic solvent is then evaporated to get a dried mass. To remove the surfactant, the obtained dried mass is dispersed in water and a suitable salt is added to precipitate the surfactant out, and finally the drug-loaded chitosan nanoparticles are recovered by centrifugation. Figure 5 represents the steps involved in this method. The reverse micellar method has been used to prepare BSA-loaded chitosan nanoparticles in a size range of 80–180 nm.¹³⁸ The doxorubicin dextran complex was loaded

into chitosan nanoparticles using *n*-hexane as organic solvent, sodium *bis*(ethyl hexyl) sulfosuccinate as surfactant, and glutaraldehyde as cross-linker. The prepared nanoparticles were of 100 ± 10 nm size and enhanced the permeability and retention effect of doxorubicin, which was reflected in improving the drug therapeutic effect and reduction of the side effect in solid tumor.¹³⁹

Sieving method

Preparation of chitosan microparticles by this method involves formation of 4% chitosan hydrogel containing the drug, after which a cross-linking agent such as glutaraldehyde is added to produce a cross-linked chitosan hydrogel that is passed through a sieve of definite size to get the drug-loaded microparticles. The excess glutaraldehyde is removed by washing the obtained microparticles with 0.1 N sodium hydroxide, and then the particles are dried in an oven at 40°C.¹⁴⁰ Clozapine microparticles of the size range 543–698 μm showed an extended drug release up to 12 hours when prepared by this method.

Solvent evaporation

In this method, a polymeric drug solution in a volatile solvent such as acetone is prepared and emulsified into a nonaqueous phase such as liquid paraffin. The mixture is kept under stirring until complete evaporation of the solvent, and the formed microspheres are filtered, washed with suitable solvent such as petroleum ether, and finally dried. Drying is usually achieved by air or under vacuum. Metformin-loaded chitosan microspheres were successfully obtained using this procedure.¹⁴¹

In general, the entrapment efficiency and particle size of the prepared particulate systems are affected by various processing and formulation parameters such as chitosan concentration, chitosan molecular weight, type of the chitosan derivative, nature of the drug, initial drug concentration used, drug–polymer ratio, nature of the cross-linking agent,

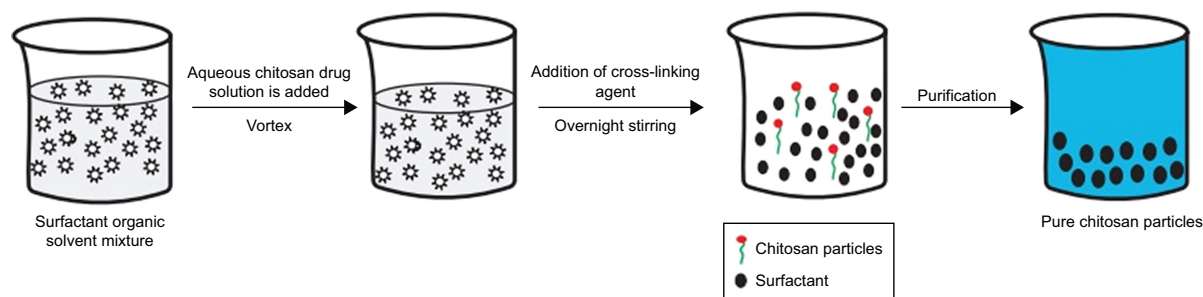


Figure 5 Schematic representation of reverse micellar technique.

type and concentration of the surfactant, and stirring speed. Optimizing these parameters using suitable optimization software is helpful in achieving the desired particles.

Characterization of chitosan micro-/nanoparticles

After preparation of the chitosan particulate system, parameters such as particle size analysis, zeta potential, morphological and surface characteristics, drug content, encapsulation and loading efficiency, specific surface properties, *in vitro* release, and characterization of the targeting action are analyzed.

Perhaps the most important physical property of particulate systems is particle size. Measurement of this property is critical to the success of technique employed during manufacturing. Malvern has developed instruments for all types of particle size analysis and characterization of particles ranging from the subnanometer to millimeter range. Table 2 lists the technology and particle size instruments suitable for each measuring particle size. Information about the technology employed in each instrument could be found in the website for Malvern.¹⁴² In addition to the technologies listed in Table 2, photon correlation spectroscopy is a technique widely used to characterize the nanoparticles' particle size.

Morphology, particle size distribution, and mean particle diameter characterization of the prepared microparticles could be carried out using optical microscope of suitable magnification power. A randomly chosen dried microparticles sample is examined under the eyepiece of the microscope that was calibrated so that the unit in the eyepiece micrometer is equal to a definite size in μm . The individual particle size is calculated, from which the size distribution and mean particle diameter are determined. For microparticles of size greater than $90\ \mu\text{m}$, the micromeritic properties are studied by determining the particle size distribution, geometric mean diameter, and geometric standard deviation.¹⁴³ The particle size distribution is determined using the sieve analysis. To do so, a definite weight of microparticles is placed on top

of a standard set of sieves having a determined size range (eg, $710\text{--}90\ \mu\text{m}$) and is shaken for a specified time, usually 10 minutes, using an electric shaker. After the shaking period, the weight of the microparticles retained on each sieve is collected and weighed. The mean particle size is calculated using the following equation:

$$\text{Mean particle size} = \frac{\sum \left(\frac{\text{Mean particle size of the fraction}}{\text{Weight fraction}} \right)}{\sum \text{Weight fraction}} \quad (1)$$

The geometric mean diameter and geometric standard deviation are estimated from the probability scale plot obtained by plotting the logarithm of the particle size against the cumulative percent frequency.

When microparticles are of very low range, $5\text{--}10\ \mu\text{m}$, sieving is not an accurate way to analyze particle size distribution. Techniques such as Feret, Martin, Krumbein, or Heywood diameter are very helpful in this case. Feret's diameter, the most common among these, analyzes the particle size and size distribution through measuring the distance between two parallel tangential lines on the particle along a specified direction.¹⁴⁴

For nanoparticulate system, the morphological and surface characteristic of the prepared particles are usually evaluated using a scanning electron microscope (SEM), which provides image of the sample and information about the sample's surface through scanning it with a focused beam of electrons that interact with the sample atoms and produce various signals that are detected using a highly sensitive detector. Unlike the optical microscope and telescope, the detector is not a camera and so has no diffraction limit for resolution. Samples of the dried particles are mounted in a metal stub and coated with a thin film of gold, or other conducting material, under vacuum, before the sample is observed by SEM, as observed in Figure 3. SEM

Table 2 The technology, instrument, and particle size range suitable for each particle size

| Technology | Particle size range | Instrument |
|--------------------------------|---------------------------------------|--|
| Taylor dispersion analysis | 0.2 nm to $>20\ \text{nm}$ | Viscosizer |
| Dynamic light scattering | $<1\ \text{nm}$ to $>1\ \mu\text{m}$ | Zetasizer |
| Nanoparticle tracking analysis | $<30\ \text{nm}$ to $>1\ \mu\text{m}$ | NanoSight |
| Resonant mass measurement | $50\ \text{nm}$ to $5\ \mu\text{m}$ | Archimedes |
| Laser diffraction | $<100\ \text{nm}$ to $>2\ \text{mm}$ | Mastersizer, Insitex, Spraytech |
| Spatial filter velocimetry | $<50\ \mu\text{m}$ to $6\ \text{mm}$ | Parsum |
| Automated imaging | $<1\ \mu\text{m}$ to $>3\ \text{mm}$ | Morphologi (G3 and G3-ID), Sysmex FPIA |

also provides information about the surface smoothness, roughness, or porous structure, all parameters that might be helpful on the interpretation of subsequent analyses, such as the dissolution behavior or in vivo responses.¹⁴⁵ SEM has been used to study the shape and occurrence of chitosan aggregates¹⁴⁶ and also for studying alginate/chitosan nanoparticles.¹⁴⁷ Transmission electron microscope (TEM) was also used to examine the shape of doxorubicin-loaded chitosan nanoparticles in which a drop of the prepared nanoparticles was deposited onto a formvar-coated copper grid and was vacuum dried before TEM specification.¹⁴⁸

Zeta potential, which reflects the surface electric charges of the particles, are determined using zeta potential measurement, ZetaMeter (Staunton, VA, USA), which applies the principle of electrophoresis. An electric field is applied across the dispersed particles in which the particles will migrate to the oppositely charged electrode with a velocity that is proportional to the value of the zeta potential. The velocity of migration is measured using the technique of Laser Doppler Anemometer. The magnitude of zeta potential reflects the degree of electrostatic repulsion between charged particles. For dispersion system, high degree of zeta potential, negative or positive, indicates resistance of the particles to aggregation, and this denotes an apparently stable system. Rodrigues et al¹⁴⁹ reported the use of laser Doppler anemometry in the measurement of zeta potential for chitosan/carrageenan nanoparticles.

Drug content, encapsulation efficiency, loading efficiency, and micro-/nanoparticles yield are other characteristics that should be calculated for the prepared micro-/nanoparticles before therapeutic administration. First, the drug content has to be determined using a suitable drug analytical method such as spectrophotometry or high-performance liquid chromatography (HPLC). Then, drug encapsulation efficiency and loading efficiency are determined by the following equations:

$$\text{Encapsulation efficiency (\%)} = \frac{\text{Amount of drug in a definite mass of the prepared particles (mg)}}{\text{Theoretical amount of drug in the same mass (mg)}} \times 100 \quad (2)$$

$$\text{Loading efficiency (\%)} = \frac{\text{Amount of drug in a definite mass of the prepared particles (mg)}}{\text{Total mass of the particles (mg)}} \times 100 \quad (3)$$

Micro-/nanoparticles yield, however, is calculated from the following equation;

$$\text{Yield (\%)} = \frac{\text{Total weight of the prepared particles (mg)}}{\text{Total weight of drug and polymers used (mg)}} \times 100 \quad (4)$$

For microparticles, the drug content is determined after crushing of the microparticles and extracting the drug in suitable solvent or after complete drug release from the microparticles that was suspended in water or buffer and left shaking for 24 or 48 hours. For nanoparticles, usually, the obtained dispersion of the nanoparticulate system is centrifuged at high speed, up to 100,000 rpm according to the nanoparticle size, and the amount of the drug in the supernatant is analyzed, after which the drug content is determined indirectly.

The specific surface properties of the prepared particulate system could be examined using X-ray photoelectron spectroscopy (XPS) and time-of-flight secondary ion mass spectrometry (ToF-SIMS). Both provide information about the elements from which the particles originate, chemical bonding, and detailed molecular information with high sensitivity. The former identifies the size-dependent distributions and the chemical states of the elements, while the latter provides detailed surface and near-surface composition analysis, and so characterization and composition of the surface chemistry of the particles are illustrated. Casettari et al¹⁵⁰ studied the surface properties of PLGA/chitosan microparticles using XPS and ToF-SIMS to identify the presence of chitosan on the prepared particles surface. Another research group used XPS and ToF-SIMS to study the surface analysis and matrix chemical composition of chitosan/carrageenan nanoparticle.¹⁴⁹

Deformability of the prepared nanoparticles is measured by a simple filtration test method. A suspension of nanoparticles labeled with a fluorescent dye is prepared in phosphate buffer and passed through syringe filter membranes of decreasing pore sizes (0.8–0.2 μm). The filtrate is observed for the presence of the dye using near-infrared fluorescent (NIRF) images, and the stability of the particles is confirmed by measuring the change in the molecular weight of the sample after incubation in PBS with or without 10% weight of serum at 37°C for 6 hours.¹⁵¹

In vitro release test is used to determine the drug release profile from the prepared chitosan micro-/nanoparticles. The mechanism of drug release from these particles is related to 1) drug present on the particle surface, 2) drug diffusion from chitosan matrix, and 3) chitosan degradation and erosion.¹³ Generally, the drug release is diffusion controlled and follows the Higushi model, depending on the chitosan molecular weight,

particle size and density, degree of cross-linking, excipients, polarity, pH, and the presence of enzymes in the dissolution media.^{13,19} The diffusion mechanism of drug release involves penetration of water into the polymeric particulate system, which results in swelling of the matrix, then formation of rubbery polymeric matrix, and, finally, diffusion of the drug from the produced swollen rubbery polymeric matrix.¹³ Deviation of the drug release from diffusion to zero order could be achieved from large-sized particles, usually in the microsize range, prepared using higher chitosan concentration at specific pH medium. Indomethacin chitosan microspheres exhibited this behavior at pH 7.4.¹¹⁷

Recently, characterization of the targeting action of drug-loaded chitosan nanoparticles particularly to the cancer cell has been reported. Kim et al¹⁵¹ developed a paclitaxel-loaded chitosan nanoparticles containing Cy5.5, a near-infrared fluorescent (NIRF) dye, and evaluated their cytotoxicity on a culture of murine squamous carcinoma cells, as well as their targeting ability by performing in vivo and ex vivo NIRF imaging using a CCD camera image station in which the NIRF emission data of the tumor and major organs were recorded.

Potential applications of chitosan micro-/nanoparticles

Drugs of different classes such as anticancer, anti-inflammatory, cardiovascular, antibiotics, antihistaminic, antithrombic, steroids, antiosteoporotic, antidiabetics, CNS acting, opioid analgesics, corticosteroids, antihyperlipidemic, antiemetics, proton pump inhibitors, enzymes, toxoids, DNA, hormones, growth factors, proteins, and amino acids have been loaded or encapsulated into chitosan particulate systems.²² Apart from the mentioned classes of drugs, chitosan/chitosan derivative nanoparticles have been used as a potential carrier for vaccines and as a nonviral vector for gene delivery. Both local and systemic immune responses have been produced following administration of vaccine-loaded chitosan nanoparticles. Immune response could be achieved by induction of antibodies;¹⁵² production of inflammatory mediators such as cytokines, interleukins, colony-stimulating factors, and granulocyte-macrophage colony-stimulating factor;¹⁵³ enhancement of the capability of the antigen presentation to dendritic cells and stimulation of greater allogeneic T-cell proliferation;¹⁵⁴ and increasing the retention of the administered vaccine at the injection site, which results in the induction of transient cellular expansion in the draining lymph nodes.¹⁵⁵ Influenza vaccine, Cholera toxins, hepatitis B surface protein, *Streptococcus equi* bacterial extract containing antigen protein, and β -galactosidase are examples of vaccine antigens that have been loaded into

these nanoparticles.^{155–159} The important feature in chitosan, particularly when formulated in particulate form and administered through parenteral or mucosal routes, is that it can be taken up and then processed by antigen-presenting cell, the effect that initiates an immune response against the loaded antigen.¹⁶⁰ The role of these particles in gene delivery has sparked the use of these as a nonviral gene delivery vector. Delivery of genes by viruses suffers from low transfection, low amount of the gene introduced into the mammalian cell, and cell toxicity.¹⁶¹ These drawbacks could be overcome by delivering the gene in chitosan particles, which act as a nonviral carrier.^{162,163} Treatment of diseases, caused by a genetic defect, is the target for gene-loaded chitosan nanoparticles. Nanoparticles loaded with siRNA, especially when prepared using naturally occurring, positively charged chitosan of high molecular weight and degree of acetylation exhibited higher stability, easy adsorption onto the cell surface, and protection and maintenance of the activity of the loaded siRNA gene, resulting in enhanced effectiveness of gene silencing.¹⁶³ Nevertheless, the gene transfection efficacy remains low and needs further improvement.

Chitosan and its derivatives have been studied as an efficient nonviral vector involved in plasmid DNA delivery.^{157–164} The chemically modified chitosan derivatives have been reported to improve chitosan transfection efficiency without affecting its biodegradability and biocompatibility. Nanoparticles prepared with chitosan of a specific degree of deacetylation and ligand-mediated cell uptake chitosan nanoparticles have illustrated enhancement in cell internalization and transfection efficiency.^{164–167} Galactose, transferrin, folate, and mannose are common cell-specific ligands that promote cell uptake through receptor-mediated endocytosis. Transferrin receptor is a universal ligand as it is found on many mammalian cells.¹⁶⁵ Folate is overexpressed on macrophage surface as well as many human cancer cell surfaces,¹⁶⁶ whereas galactose ligand modification has been shown to target HepG2 cells through its interaction with asialoglycoprotein receptors.¹⁶⁴ Plasmid DNA-loaded chitosan nanoparticles were successfully formulated and showed transgene expression that was nearly equivalent to those of the two marketed products, Lipofectamine™ and FuGENE® 6.¹⁶⁷ Mansouri et al¹⁶⁸ tried to improve the rate of gene transfection by formulation of folic acid–chitosan–DNA nanoparticles. Incorporation of folic acid did not affect the properties of the particles and has promoted internalization of DNA into the cell through the membrane receptors both in vitro and in vivo. The incorporation of DNA into the nanoparticles permitted the preservation of the molecule,

which was demonstrated to be intact within the carrier. Lower cytotoxicity against HEK 293 cell and good condensation of the loaded DNA were also observed, eliciting the potential of this system as a nonviral DNA vector.

The most important benefit of chitosan modification is the active targeting of the loaded therapeutic agent, which could be accomplished through chemical modification. Self-assembled chitosan–doxorubicin conjugate was prepared using succinic anhydride as a cross-linker, then the monoclonal antibody, trastuzumab, was conjugated to the chitosan–doxorubicin conjugate nanoparticles that showed enhanced drug targeting by selective uptake of doxorubicin by Her2+ cancer cells when compared to either unmodified chitosan nanoparticles or free drug.¹⁶⁹ *N*-Caproyl chitosan nanoparticles, whose surface was chemically modified with glycyrrhizin by acylation of the chitosan amino group, were synthesized and effectively delivered in a targeted manner the chemotherapeutic agent, adriamycin, to the hepatocytes.¹⁷⁰ The hydrophobic moiety, cholanic acid, was used to modify the nanosized cisplatin-loaded glycol chitosan drug delivery system that released the drug in a controlled manner for 1 week, and the prepared system showed a tumor-targeting ability in tumor-bearing mice with higher antitumor effect and lower side effects when compared to free cisplatin.¹⁷¹ The same finding was reported for the anticancer agent camptothecin, using the same system, glycol chitosan, in human breast cancer cells.¹⁷² Avidin and biotin were used to form a complex with chitosan during the preparation of resveratrol chitosan nanoparticles to target the liver and hepatoma cells, the effect which results in enhancing the

drug antioxidant and anticancer activity and minimizing the toxicity and side effects.¹⁷³

According to the route of administration, considerable researches have focused on the application of chitosan micro-/nanoparticles as a potential drug delivery system for parenteral and nonparenteral routes, such as oral, nasal, ocular, pulmonary, topical, and transdermal. The research findings of this review are illustrated in the following sections.

Parenteral route of administration

In practice, parenteral administration refers to introduction into the body of product(s) that are implanted, injected, or infused into blood vessels, tissue spaces, or body compartments. From the site of administration, the drug is then transported to the site of action. Since the administration procedure involves puncture or incision of the body, parenteral route is considered an invasive route of drug administration. Intradermal (ID), subcutaneous (SC), intramuscular (IM), intravenous (IV), and intra-arterial are the common routes of parenteral administration. Figure 6 shows these routes.

The size, surface charges, and hydrophilic/hydrophobic nature of the prepared particles control the IV parenteral administration and biodistribution.¹⁷⁴ Particles in the nano-size range are easily administered by IV route, because the smallest body microvessels are in the range 5–10 μm in diameter. Following IV administration of nanoparticles, the reticuloendothelial system (RES) in the spleen, lung, liver, and bone marrow entraps particles greater than 100 nm, whereas those of smaller size are characterized

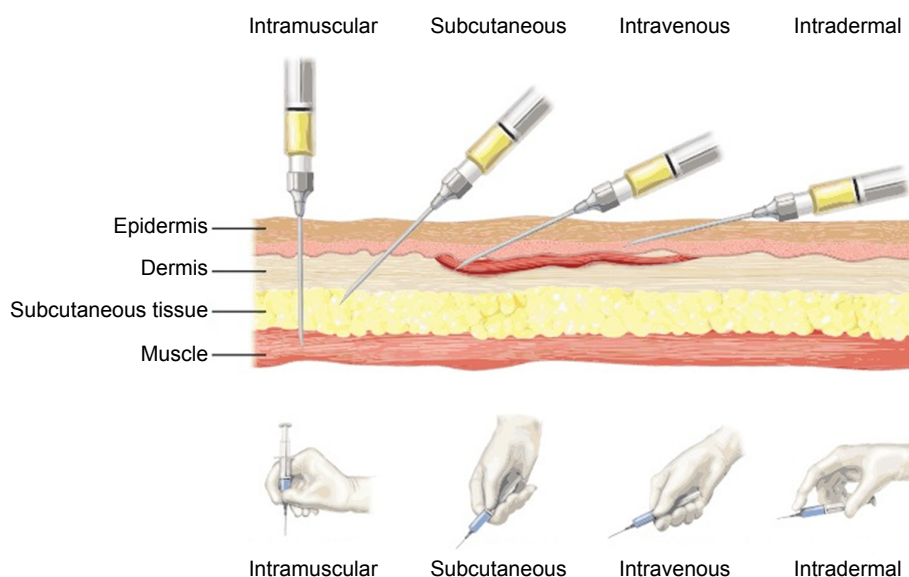


Figure 6 Structure of the skin and subcutaneous layers and common routes of parenteral drug administration.

by prolonged circulation time.¹⁷⁵ Although many attempts have been made to illustrate the effect of nanoparticles' surface charges on pharmacokinetics and tissue distribution, the controversy remains, and no clear interpretation has been reported. Hydrophobic particles easily penetrate into the tissues, which is not the case for hydrophilic ones. On the contrary, nanoparticles that are hydrophilic, even through coating with PEG or surfactants of nonionic type, and additionally, smaller than 100 nm, will bypass the phagocytosis performed by macrophages. Coating the prepared particles by PEG – PEGylation – has been reported to prolong the circulation time and avoid entrapment in the RES. Several attempts have been studied to use other polymers such as poloxamers, polyvinyl alcohol, and polysaccharides, yet PEGylation is still the effective and widely used technique.¹⁷⁶ There are two types of conformation for the process of PEG covering the nanoparticle surface – mushroom conformation at low PEGylation and brush conformation, which is formed when PEGylation is increased to a certain high level. The latter is the ideal mode to protect nanoparticles from serum absorption. PEGylation reduces opsonization, the process of binding of a specific serum protein to a substance that facilitates uptake of this serum protein substance–binding complex by RES, and so prevents recognition of the nanoparticles by the cells of the RES and allows nanoparticles to remain in the blood pool.¹⁷⁷ In addition to the IV route, but still in the parenteral route, nanoparticles are possibly administered by the subcutaneous and intramuscular routes.

Different drugs in the form of chitosan particles have been administered by the parenteral route and showed enhanced pharmacological effects and reduction in systemic toxicity. IV administration of doxorubicin chitosan nanoparticles illustrated marked regression in tumor growth and improved survival rate in experimental animal.¹⁷⁵ Patel et al¹⁷⁸ studied the pharmacokinetic and tissue distribution of doxorubicin chitosan nanoparticles after IV injection and reported enhanced drug distribution to the RES and lower drug systemic toxicity and cardiotoxicity. Paclitaxel was successfully loaded into modified chitosan carrier that has been administered by IV route, and this chitosan carrier system was reported to be less toxic and was characterized by increased tolerated dose when compared to commercial drug formulation.¹⁷⁹

Nonparenteral routes of administration

Nonparenteral routes of drug administration have tremendous advantages over injections. These routes represent

alternatives for those drugs that cannot be delivered or for patients suffering problems associated with parenteral administration. Variation of serum drug concentration and high plasma concentration, problems often noticed with IM and IV administration, can be overcome by long-term transdermal delivery system. Bypassing the hepatic first-pass metabolism and prevention of the GIT degradation are advantages of the transdermal and transmucosal systems. Intranasal, ocular, buccal/sublingual, pulmonary, topical, and transdermal routes are the most promising, noninvasive systemic delivery options.

Oral route

Drugs administered orally must survive different pH and numerous GIT secretions such as potentially degrading enzymes. The process of oral drug absorption involves transport across the GIT membrane via passive diffusion, carrier-mediated transport, or pinocytosis. Carrier-mediated transport may be active transport or facilitated diffusion. In general, the process is affected by some GIT physiological factors, although physicochemical and formulation factors related to the administered drug and dosage account for some effects. Surface area of the absorption site, pH of the GIT fluids, blood perfusion, natural GIT secretions, and gastric emptying rate are the most important physiological factors. The oral mucosa is characterized by a thin epithelium and rich vascularity, which is a good place for buccal and sublingual administration. Although the stomach has a large epithelial surface, its thick mucous membrane, the effect of the gastric juice and food content on the administered drug, and gastric emptying rate may affect or even limit drug absorption. Perhaps the most important site for drug absorption in the GIT is the small intestine; because of its large surface area, high blood perfusion, its membrane being more permeable than that of the stomach, and wide pH range.¹⁸⁰

Drug delivery via the oral route is considered the easiest and the most convenient for patients. Therefore, great attention has been focused on this route for the enhancement of the oral bioavailability, particularly for those drugs presenting GIT problems. The mucoadhesive nature, protection of labile drugs from GIT enzymatic degradation, and the enhancement of absorption of the administered therapeutic agent without damaging the biological system, all result in prospective applications of the chitosan micro-/nanoparticles as oral delivery systems. Chitosan micro-/nanoparticles demonstrated benefits in the treatment of both local GIT and systemic diseases. Intestinal disinfection, suppression of *Helicobacter pylori*, and treatment of ulcerative colitis are

examples of local GIT conditions following treatment with chitosan particles loaded with antibiotics. Amoxicillin and clarithromycin have been loaded into chitosan particles and showed local GIT effect for eradication of *H. pylori*.^{181,182} The mucoadhesive properties of chitosan have resulted in prolonged delivery of acyclovir, an antiviral agent, with considerable oral bioavailability improvement due to enhanced retention of the drug in the upper GIT following administration of acyclovir chitosan microspheres.¹⁸³ Protection against GIT degradation, enhancement of bioadhesion, and improvement of oral bioavailability of insulin have also been reported following encapsulation into chitosan microspheres.¹⁸⁴

Drug colon targeting is useful for treatment of some diseases such as irritable bowel syndrome, ulcerative colitis, and Crohn's disease. Chitosan-based delivery systems have been reported to protect the loaded drugs, such as insulin, from degradation in the upper GIT and, therefore, release these drugs at the colon because of degradation of the chitosan glycosidic linkage by the specific microflora of the colon.¹⁸⁵ Chitosan microspheres coated with cellulose acetate butyrate and loaded with 5-aminosalicylic acid (5-ASA) have been developed for treatment of ulcerative colitis in which the bioadhesion character of the microspheres was significantly increased by increasing the molecular weight of chitosan and decreasing the coating content.¹⁸⁶ Furthermore, localization of 5-ASA in the colon and low drug systemic bioavailability were reported after oral administration of 5-ASA-loaded chitosan-Ca-alginate microparticles to Wistar male rats.¹⁸⁷ Although chitosan is highly soluble in the acidic medium, which results in drug burst in the stomach, coating of the prepared particles with pH-sensitive polymers such as Eudragit S-100 and L-100 was successfully used to overcome this problem, with drugs such as prednisolone,¹⁸⁸ satranidazole,¹⁸⁹ and metronidazole.¹⁹⁰

Nasal route

The mucosa of the nasal cavity is thin and well vascularized, and so the administered drug can be transferred into the systemic circulation quickly. Other advantages of drug delivery via this route are the avoidance of the hepatic first-pass metabolism and the possibility of systemic and local effect. Limitations for this route include the limited volume of the administered formulation, which restricts the application for potent drugs, and also it is not suitable for long-term or frequently administered drugs because of the harmful effect on the nasal epithelium.¹⁹¹ Drugs of low and high molecular weight have been successfully delivered

using this route, steroids, antiasthmatic, anesthetics, antihistaminic, antiemetic, sedatives, antimigraine, peptides, peptide analogs such as desmopressin, hormones, vaccines, and most recently drug delivery to the brain for primary meningoencephalitis.^{192,193}

The process of drug delivery via the nasal route is challenging due to the short residence time of the drug, high nasal secretion rate, and low permeability of the nasal membrane. Drug physicochemical properties such as surface charges, hydrophilicity/hydrophobicity, partition coefficient, degree of ionization, and molecular size are very important factors that could affect the drug transport by this route.¹⁹⁴ Different nasal drug delivery systems have been studied and illustrated a potential application in this field. Among those, chitosan particles demonstrated some achievement in the nasal delivery of proteins, vaccines, and other therapeutic agents. The mucoadhesive properties accompanied with the small particle size facilitate both retention and permeation of the administered drug-loaded particles. Insulin-loaded chitosan micro- and nanoparticles showed a considerable decrease in blood glucose level after their nasal administration into rats and rabbits, respectively.^{195,196} Chitosan nanoparticles adsorbed with ovalbumin and cholera toxins induced systemic immune response in rats and were effective for targeting to nasal-associated lymphoid tissues in nasal vaccine delivery.¹⁵⁷ Induction of IgA antibodies at different mucosal sites in the body such as upper and lower respiratory tract, and small and large intestine as a result of the distribution of the intranasal administered antigen-loaded chitosan particles have been reported.^{197–199} Mice that were intranasally immunized with chitosan microspheres loaded with *Bordetella bronchiseptica* antigens showed significantly higher *B. bronchiseptica*-specific IgA antibody responses in saliva and serum, with high mice survival rate.^{197,198}

Ocular route

Anatomically, the eye can be divided into two main parts – anterior and posterior. The former region comprises approximately one-third of the eye and consists of cornea, conjunctiva, aqueous humor, iris, ciliary body, and lens, while the latter includes the sclera, choroid, retinal pigment epithelium, neural retina, optic nerve, and vitreous humor. Glaucoma, cataract, allergic conjunctivitis, and anterior uveitis are common diseases affecting the anterior part, whereas diabetic retinopathy and age-related macular degeneration are prevalent diseases of the posterior part. Diseases affecting the anterior eye segment are usually

treated using topical instillation of conventional ophthalmic dosage forms such as eye drops, but it is difficult to achieve effective drug concentration in the posterior part because of tear turnover, reflex blinking, nasolachrymal drainage, ocular static, and dynamic barriers that resist access/permeation of the administered drug to deeper segment, and, therefore, less than 5% of topically applied drug dose reaches the deeper ocular tissues.²⁰⁰ Nanoparticle-based ophthalmic formulations are currently of high interest for both anterior and posterior-segment drug delivery because of low irritation, ocular biocompatibility, and convenient bioavailability.

The prolonged ocular residence time, due to mucoadhesiveness, and the absorption-promoting effect of the chitosan nanoparticles compared to the conventional ophthalmic preparations contribute to an improvement of drug ocular efficacy and bioavailability. β -blockers, antibiotics, anti-inflammatory, and antiallergic drugs are common categories of therapeutic agents loaded into chitosan nanoparticles. These nanoparticles improved the delivery of cyclosporin A, selected as a model drug for treatment of local eye disease, for the ocular mucosa in which the *in vitro* release data illustrated fast drug release in the first hour, followed by a gradual slow-release stage lasting for 24 hours.²⁰¹ Dorzolamide HCl and timolol maleate, drugs used for treatment of glaucoma, have been loaded into hyaluronic acid–modified chitosan nanoparticles, and the prepared nanoparticles potentially improved the mucoadhesiveness and significantly reduced the intraocular pressure compared to plain drug solution.²⁰²

Recently, chitosan and its derivatives have been studied as a nonviral vector for retinal gene therapy. Ultrapure oligochitosans polyplexes have been prepared, characterized, and were reported to be efficient in protecting the incorporated plasmid against enzymatic digestion and transfection efficiency.²⁰³ Chitosan–DNA nanoparticles based on ultrapure chitosan oligomers are another example that have been recently marketed and used as carriers for nonviral gene therapy. It has been mentioned that these newly developed nanoparticles, ultrapure chitosan–DNA nanoparticles, could be examined for the treatment of various ocular diseases outside the cornea and for different gene therapy applications.²⁰⁴ In another study, a novel hyaluronan–chitosan nanocarrier-loaded DNA has been developed for topical ophthalmic gene therapy. The prepared nanoparticles were considered an efficient tool for delivery of the loaded plasmid DNA into the cells through reaching significant transfection levels and so was

mentioned to be a new strategy toward gene therapy for various ocular diseases.²⁰⁵

Pulmonary route

Owing to the large absorptive surface area (up to 70–140 m²), thin alveolar absorptive mucosal membrane (100–200 nm), and good blood supply due to the highly vascularized extensive capillary network, the pulmonary route can be used to administer drugs.²⁰⁶ Low enzymatic activity and avoiding first-pass metabolism are other advantages of this route. So, growing attention has been given to the pulmonary route as a noninvasive pathway for both systemic and local drug delivery. These include topical treatment of lung infections, pulmonary hypertension, and asthma and systemic administration of hormones, peptides, and proteins such as insulin, oxytocin, and growth hormone.²⁰⁷ The lung is composed of two regions namely conducting airways and the respiratory region. The airway is divided into nasal cavity and associated sinuses, pharynx, larynx, trachea, bronchi, and bronchioles, while the respiratory region consists of respiratory bronchioles, alveolar ducts, and alveolar sacs. Drug absorption from the upper airways is limited due to low blood supply, small surface area, the high filtering function of this part that can remove up to 90% of the administered drug, and the viscous mucus that coats the wall of the airway and expels foreign substance upward and out of the lung by the action of the ciliated cells present in this region.²⁰⁸ Other obstacles that control the transport of drug from this route are the size of pores and tight junction depth of alveolar and endothelial cells and the pulmonary blood–gas barrier system.²⁰⁹

Administration of drugs through this route could be achieved through intranasal or oral inhalation. The narrow lumen airway of the former limit the drug delivery compared to the latter, which allows administration of very small particles even in low concentration. The particle size, shape, and surface electrical charges of the administered drug particles influence drug diffusion through this route.²¹⁰ Small-sized particles diffuse rapidly based on the Brownian motion. Alveolar macrophages are predominantly responsible for nanoparticles clearance through phagocytosis of the administered particles. Macrophages that entrap the particles are then moved toward the mucociliary escalator to start the clearance process. Several studies reported the inefficiency of the alveolar macrophages to phagocytose ultrafine particles, and so these particles are not cleared in the alveolar region.²¹¹ In this case, toxicity is a consequence of the long-term accumulation of these particles in the lung.

Nanoparticles of chitosan and its derivatives were studied for pulmonary administration of some drugs to treat different pulmonary diseases²¹² and infections such as tuberculosis.^{213,214} The biocompatibility of chitosan nanoparticles administered as respirable powder was evaluated by Grenha et al²¹⁵ using human respiratory epithelial Calu-3 and A549 cell lines, and the results demonstrated the mucoadhesive feature of the chitosan particles, no signs of overtotoxicity, and no change in transepithelial electrical resistance or solute permeability. Both Calu-3 and A549 respiratory epithelial cell lines have been previously used to evaluate the *in vitro* efficacy and safety of particulate drug delivery systems. Calu-3 cell layers form tight junctions *in vitro* and, under suitable culture conditions, exhibit acceptable *in vivo*-like barrier properties.²¹⁵ Inhalation of chitosan microparticles has been reported to be of potential interest in pulmonary pharmacotherapy as indicated by the inflammation response produced in rat lungs, which was 1.8-fold greater in the group administered microparticles than the control group without chitosan microparticles. These effects were documented by an increase in bronchoalveolar lavage fluid protein and lactate dehydrogenase activity and also with an increase in lung tissue myeloperoxidase activity and leukocyte migration.²¹⁶ Intratracheal instillation of hydrophobically modified glycol chitosan nanoparticles to mice exhibited rapid uptake into systemic circulation and induced transient neutrophilic pulmonary inflammation in the tested animals from 6 hours to 3 days after instillation.²¹⁷ So, chitosan micro- and nanoparticles could be considered successful vehicles for pulmonary drug delivery. Recently, chitosan/carrageenan/tripolyphosphate nanoparticle-loaded BSA were prepared using spray drying technique to meet the required geometric diameter and mass density and aerodynamic character essential to pulmonary drug delivery and were evaluated for their capacity as protein carrier for nasal and pulmonary transmucosal delivery. A biocompatibility study was also conducted on Calu-3 and A549 cells, respiratory cell lines, in which no inflammatory response or change in the transepithelial electrical resistance of the cell lines were noticed.²¹⁸

Topical and transdermal routes

Physiologically, the skin is composed of four layers – stratum corneum, epidermis, dermis, and subcutaneous tissue. In addition to these layers, several pilosebaceous and sweat glands are dispersed throughout the skin. Although the skin has relatively a large surface area, it acts as a penetration barrier that represents a challenge for the

delivery of any therapeutic agent, either into the skin strata regarding topical application or into the systemic circulation through transdermal application. This barrier function is mainly due to the stratum corneum, the outer most layer of the epidermis, which consists of aggregated keratin filaments covered with a cornified envelope surrounded by multiple lamellar lipid bilayer. This structure prevents both excessive water loss from the body and entry of topically applied drugs, which represents challenge for drugs applied for local or systemic effect. Drugs of low molecular weight and macromolecules have been formulated as topical and transdermal delivery systems, and many systems are undergoing clinical trials.²¹⁹ Skin permeation of the administered drug may be accomplished by intercellular or transcellular penetration of the stratum corneum layer, or across hair follicles, sebaceous glands, and sweat glands. Substances smaller than 500 kDa that exhibit sufficient oil solubility and high partition coefficient are absorbed easily through the skin. However, in contrast, larger drug molecules (molecular weight >500 kDa) cannot pass the skin cutaneous barrier.²²⁰ Topical and transdermal routes offer many advantages compared to the other drug delivery routes. Transdermal is a noninvasive, inexpensive, and self-administered drug delivery system that provides extended drug-release effect without significant first-pass effect, whereas the topical route allows direct application of the drug to the site of action with minimal risk of systemic side effect.

Chitosan nanoparticles have been extensively used in topical as well as transdermal drug delivery. Retinol, a derivative of vitamin A, has been encapsulated in chitosan nanoparticles and was efficiently used in the treatment of acne and wrinkles.²²¹ Fabrication of acyclovir-loaded chitosan nanoparticles leads to a decrease in the photodegradation of the drug, thus contributing to increased drug stability and an improvement in drug penetration through porcine skin.²²² Delivery of macromolecules such as plasmid DNA and antisense oligonucleotides previously loaded into chitosan nanoparticles has been reported. Suppression of β -gal expression following administration of antisense oligonucleotides at 6 days posttransfection in rats has also been demonstrated.²²³ Transfection of DNA in baby rat skin subsequent to topical application of DNA–chitosan nanoparticles was also described by the same authors.²²⁴

Generally speaking, nonparenteral routes of therapeutic administration have gained intense interest, especially for the administration of macromolecules such as peptides, proteins, hormones, and antigens. Chitosan and its derivatives have been extensively used for mucosal as

well as parenteral biotherapeutics delivery owing to the mucoadhesive properties and enhanced local and systemic therapeutic effect. The size of the prepared particles, which could be controlled through the processing and formulation parameters, is pivotal for the efficient delivery of the loaded substances.

Chitosan metal nanoparticles

Metal nanoparticles of copper (Cu), silver (Ag), and gold (Au) have demonstrated a wide spectrum of activity against gram-negative and gram-positive bacteria as well as fungi. However, there are great concerns about the human and environmental safety of these metal nanoparticles. In addition, the stability of these particles is also under discussion, especially regarding copper nanoparticles, which undergo rapid oxidation upon exposure to the air.²²⁵ Synthesis of these metal nanoparticles in the presence of biocompatible polymers (such as PEG, polyvinyl pyrrolidone, and chitosan) and surfactants that are used as stabilizers could help overcome these limitations. The coating of the particles' surface using polymeric materials, such as chitosan, has been reported to enhance the antimicrobial activity of these particles,²²⁵ owing to chitosan antimicrobial activity.

Chitosan-coated silver nanoparticles were prepared by a chemical reduction method and have reported in applications as a biosensor and in cancer therapy. The prepared nanoparticles exhibited biodegradable character, good antimicrobial activity, and prolonged action of silver on the affected cells.²²⁶ Honary et al²²⁷ synthesized chitosan-coated silver nanoparticles by the same method and by utilizing chitosans of different molecular weight. The authors demonstrated that the nanoparticle characteristics were influenced by the molecular weight of chitosan, as well as by the process conditions, such as stirring speed and temperature. They also mentioned that higher antibacterial activity against *Staphylococcus aureus* was achieved with smaller particle size due to the increase in the particle surface area.

Gold nanoparticles have been reported to be useful in diagnosis and drug delivery. The incorporation of chitosan during the synthesis of these metal nanoparticles offers better penetration and uptake of therapeutic agents such as insulin, across the mucosal membrane, and chitosan itself acts as a reducing agent during gold nanoparticle synthesis.²²⁸ The prepared insulin-loaded chitosan gold nanoparticles were stable, did not show any sign of aggregation for 6 months, and significantly lowered the blood glucose level in diabetic rats following oral and nasal administration.²²⁸ Recently,

Salehizadeh et al²²⁹ mentioned the formation of Fe₃O₄–gold–chitosan nanostructure by the chemical coprecipitation method and reported the usefulness of the prepared nanoparticles in different biotechnological and biomedical applications.

Chitosan copper-loaded nanoparticles were prepared by ionic gelation between chitosan and TPP and showed a marked growth inhibition of a wide range of microorganisms, such as *S. aureus*, *Salmonella typhimurium*, *Salmonella choleraesuis*, and *Escherichia coli*, in which the minimum inhibitory concentration was less than 0.25 µg/mL.²³⁰ Green synthesis is the technique widely used to prepare this type of chitosan metal nanoparticles, involving reduction of copper in an aqueous solution of chitosan and an organic acid, such as ascorbic acid, which prevents the formation of copper oxides.²³¹

More recently, chitosan cobalt oxide nanoparticles were developed, and their activity on human leukemic cells was investigated.²³² The authors demonstrated increase in the reactive oxygen species and caspase activation following exposure of the leukemic cells to these chitosan-coated metallic nanoparticles, effects that are known to lead to cancer cell death. Therefore, there is an indication of the potential of these nanoparticles for an application in cancer therapy.

Conclusion

This review shows that extensive research activities have been focused on the applications of chitosan-based micro- and nanoparticles. Successful loading and delivery of different molecules, including low-molecular-weight drugs and macromolecules, such as proteins, peptides, vaccines, hormones, and genes by these systems via different routes of administration, find potential therapeutic applications. The development of chitosan derivatives has extended these applications due to the enhancement of bioavailability accomplished by an increase in the stability, solubility, mucoadhesiveness, cellular permeability, absorption, biodistribution, and tissue targeting achieved when particulate carriers are based on these derivatives. This review has addressed the different techniques that could be utilized in the development of these particulate systems and methods of characterization of the obtained particles. An overview on the parenteral and nonparenteral applications of these chitosan and chitosan derivatives-based particulate system has been illustrated. Chitosan–metal nanoparticles are another type of particle that has demonstrated the ability to improve antimicrobial and pharmacodynamic activity when compared with metal nanoparticles devoid of chitosan coating.

Future challenges

Gene transfection efficiency for gene-loaded polymeric nanoparticles, such as chitosan, remains the most challenging in the development of most polymer-based nanoparticles for gene delivery. Although some researchers have made several attempts in this area, as illustrated in this review, the rate of transfection still remains low. Moreover, currently, several drugs of plant origin, especially those possessing antitumor activity, are being discovered and the process of loading these therapeutic agents into a suitable carrier and targeting to the active site of action needs improvement. Finally, although that chitosan particulate systems have been studied for more than 2 decades, always with good results and promise in delivery of different therapeutic agents, both in vitro and in vivo in experimental animals, no commercial formulations of these systems are available. This could be attributed to poor bioavailability, possible immunogenicity and long-term toxicity, low target specificity, limited stability, and lack of clinical trials in human, and these items need further investigation.

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Disclosure

The authors report no conflict of interest in this work.

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