

# Conceptualizing type 2 diabetes and its management

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**Abstract:** Type 2 diabetes is growing worldwide due to population growth, increased rates of obesity, unhealthy diet, and physical inactivity. Risk assessment methods can effectively evaluate the risk of diabetes, and a healthy lifestyle can significantly reduce risk or prevent complications of type 2 diabetes. However, risk assessment alone has not significantly improved poor adherence to recommended medical interventions and lifestyle changes. This paper focuses on the challenge of nonadherence and posits that improving adherence requires tailoring interventions that explicitly consider the social determinants of health.

**Keywords:** type 2 diabetes, nonadherence, tailored interventions, data mining and cluster analysis

## Introduction

Type 2 diabetes is becoming a global pandemic. Recent estimates from the International Diabetes Federation suggests that 8.3% of the world's population between the ages of 20 and 79 have type 2 diabetes and that by 2035 this will increase to 10.1%.<sup>1</sup> The global burden of type 2 diabetes is especially concerning – it is the eighth leading cause of death in the world<sup>2</sup> and its associated costs are estimated to exceed \$548 billion annually or 11.0% of world health spending.<sup>1</sup> Significant complications associated with type 2 diabetes, including kidney failure, stroke, heart disease, and blindness, further add to the burden of the disease.

The increasing incidence and prevalence of type 2 diabetes is influenced by an aging population and increased life expectancy, but more largely attributed to modifiable risk factors including rising obesity rates,<sup>3</sup> unhealthy diets,<sup>4</sup> low physical activity,<sup>5</sup> and smoking.<sup>6</sup> Nonmodifiable risk factors, in particular race/ethnicity, are also associated with type 2 diabetes.<sup>7,8</sup> Multiple lines of evidence suggest that modest changes in modifiable risk factor levels can improve type 2 diabetes outcomes. In a recent review of lifestyle, pharmacological and surgical therapies targeted at preventing and managing type 2 diabetes, Khavandi et al<sup>9</sup> reported on a number of studies where modest weight loss (eg, losing 4 kg over 3–6 years) showed prevention or significant delays in onset of type 2 diabetes in high-risk populations. However, the ability to adapt and adhere to healthy behaviors and lifestyle changes that reduce risk and better manage type 2 diabetes has proven to be especially challenging.

In this paper, we focus on the particularly challenging issue of nonadherence to recommended medical management of type 2 diabetes. We posit that improving adherence requires tailored interventions that explicitly consider the social determinants of health. Finally, we describe how big data, data mining, and cluster analysis can serve as the foundation for tailored adherence interventions.

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## Type 2 diabetes management and the issue of nonadherence

Management of type 2 diabetes concentrates on keeping blood sugar levels as close to normal range as possible and includes key elements of 1) diabetes education, 2) exercise and weight management, 3) nutrition, 4) medication adherence, 5) stress and lifestyle management, and 6) blood pressure regulation. Patients with type 2 diabetes, like those with many chronic conditions, are their own primary caregivers, and successful management of the disease relies largely on the decisions patients themselves make about their behavior and lifestyle.<sup>10</sup>

People with type 2 diabetes can expect to enjoy independent and active lives if they make a lifelong commitment to diabetes self-management. Despite this compelling justification, nonadherence to health care provider recommendations is of great concern. Estimates of nonadherence in the type 2 diabetes population range from 50%<sup>11</sup> to as high as 93%.<sup>12</sup> The consequences of nonadherence are significant – type 2 diabetes patients who did not adhere to management recommendations had almost twice the annual health care costs of patients with higher levels of adherence.<sup>13</sup>

Many recent interventions aimed at improving adherence have taken advantage of web-based technologies. Interventions include interactive websites and social media to encourage and maintain lifestyle modifications like exercising and healthy eating,<sup>14,15</sup> real-time feedback on blood glucose levels and other performance indicators,<sup>16,17</sup> mobile phone applications that connect personal coaches and other care providers with patients,<sup>18,19</sup> and text message reminders aimed at improving medication adherence.<sup>20</sup> These interventions have a common goal of increasing information, ownership, support, and peer connectedness to the type 2 diabetes population. Interventions have shown for the most part positive, albeit not always significant, results in improving adherence and patient outcomes. We posit that interventions would be more effective and their findings more conclusive if social determinants of health were explicitly incorporated in intervention design and implementation.

## Social determinants of health influence health behaviors like adherence

There is growing evidence to support the notion that to fundamentally improve type 2 diabetes adherence behavior, management practices and interventions need to incorporate the social determinants of health as core components of their strategies.

Social determinants of health include any nonmedical factors influencing health, including the social and economic environment, the physical environment, and the person's individual characteristics and behaviors.<sup>21</sup> The association between social determinants including income, stress, work conditions, social support, values and beliefs on health status, and outcomes has been well documented. In particular, individuals who are socioeconomically challenged have increased risk of developing type 2 diabetes,<sup>22</sup> poorer diabetes self-management,<sup>23</sup> and worse health outcomes.<sup>24</sup> For example, Waqar et al<sup>25</sup> found an association between socioeconomic deprivation and nonattendance to a diabetic retinopathy screening program. Additionally, in a study of Hispanic and non-Hispanic white type 2 diabetes patients, Walsh et al<sup>26</sup> found that a composite variable, representing patient adaptation or ability to cope with one's own illness, accounted for more variation in adherence, quality of life, clinical outcomes, and service utilization than did ethnicity, which often serves merely as a proxy for patient values and beliefs.

These studies highlight that while professionals are experts about diseases and the recommended course of action, patients are experts about their own lives. As such, their beliefs and values, knowledge and skills, and social and economic situation can heavily influence their decision to adhere to recommendations or not. Notwithstanding the compelling evidence, currently there exists few adherence interventions that recognize and explicitly integrate the broad range of important social determinants of health into their strategies for type 2 diabetes or, for that matter, other chronic conditions. This may reflect limitations with data availability, the dynamic complexity of social determinants and health behavior, or the lack of innovative approaches to analyze and uncover meaningful patterns and relationships that can be used to tailor adherence interventions.

## How big data, data mining, and cluster analysis can be used to improve adherence

We propose the use of big data about consumer lifestyle and social determinants of health, combined with advanced data mining technologies and innovative cluster analysis techniques, to segment the type 2 diabetes population into meaningfully distinct adherence behavior clusters that will respond differently to varied management approaches. We believe that tailored communications to distinct behavioral clusters is the foundation for efforts aimed at improving self-management adherence of type 2 diabetes and other chronic conditions.

While the use of big data – massive amounts of structured and unstructured data that can be captured and analyzed – and data mining and cluster analysis techniques have been used successfully by many industries for targeted communication, health care has lagged behind in such efforts. However, there is growing evidence of its use to target health promotion efforts and personalize medicine.<sup>27–29</sup> Additionally, cluster analysis has been used to help identify irritable bowel syndrome (IBS) patients at higher risks for ineffective communication and nonadherence to medication.<sup>30</sup> In another study, IBS patients were clustered according to physiological, physical, and psychological parameters. Three markedly different clusters were generated and authors reported that inclusion of psychosocial factors in the analysis enabled more clinically meaningful groups to be identified than those traditionally identified using clinical parameters.<sup>31</sup> In a study of hypertensive patients, researchers analyzed the relationship between the attitudes and self-reported behavior of patients and found six distinct patient segments (proactive, confident, concerned, confused, resigned, and skeptical) that can be used in tailored interventions.<sup>32</sup>

Successfully employing big data, data mining and cluster analysis requires 1) access to rich databases that include at minimum national demographic, geographic, household spending, and community health survey data, 2) innovative techniques to fuse these diverse data sources and transform the big data into relatively homogeneous clusters with powerful predictors, and 3) rigorous refinement and validation of clusters, including choosing the optimal number of clusters, measuring stability of the clusters, and studying the longitudinal trends for clustered data.

## Conclusion

There is a need to leverage health care related big data, state-of-the-art data mining technologies, and cluster analysis techniques so that social determinants of health – key drivers of adherence – can be explicitly incorporated in the design and implementation of interventions to improve self-management of type 2 diabetes. Via tailored communications distinct to behavioral clusters, we strongly believe there is true potential to improve care and health outcomes of patients with type 2 diabetes.

We also believe this brings a new way of thinking, acting, and organizing practice. Operationalizing interventions for chronic diseases such as diabetes requires establishing patient ownership through behaviors that denote cognitive participation, shared knowledge and meaning, and the belief in the value of the intervention within the patient's lifestyle

and personal preference.<sup>11</sup> As a result, health care interventions need to take a more holistic approach, giving emphasis to the social norms and support connections in which the interventions are enacted.

Focusing on the patient-centered nature of the intervention in addressing behavioral, emotional, situational, and cognitive barriers may be a more effective way of making treatment and behavior adherence recommendations. In our efforts to move in this direction, we are using a data-driven algorithm that interlinks patient data on physical activity, mental attitudes, health behavior, diet patterns, lifestyle, social network connections, and economic, demographic, and geographic data. By identifying clusters of patients, we can engage patients with customized coaching strategies to minimize risk factors, giving emphasis to the conditions (attitudes and beliefs, mental state, and perceived stress) and social norms (community connections and family supports) in which the intervention is enacted. We can monitor change over time by measuring the health behavior change process components identified in the interventions for each cluster of patients.

Future research is needed to provide structured guidelines for health professionals to use at any point in the patient consultation process within the cluster groupings of the intervention. This will require changes in the way we use patient-level data in primary health care delivery and in the way health care professionals deliver health care. By focusing on understanding patient attributes, clustering patients in distinct patterns of health behavior, health perceptions and social supports, and tailoring interventions to those patterns, we can achieve improved health outcomes for patients with type 2 diabetes.

## Disclosure

The authors report no conflicts of interest in this work.

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