

# Incidence and long-term outcome of severe asthma–COPD overlap compared to asthma and COPD alone: a 35-year prospective study of 57,053 middle-aged adults

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**Background:** Incidence and prognosis for severe asthma–COPD overlap is poorly characterized. We investigated incidence and long-term outcome for patients with asthma–COPD overlap compared to asthma and COPD alone.

**Materials and methods:** A total of 57,053 adults (aged 50–64 years) enrolled in the Danish Diet, Cancer, and Health cohort (1993–1997) were followed in the National Patients Registry for admissions for asthma (DJ45–46) and COPD (DJ40–44) and vital status. Asthma–COPD overlap was defined as at least one hospital admission for asthma and one for COPD (different time points), and incident asthma–COPD overlap as at least one of the diagnoses occurring after enrollment into the Diet, Cancer, and Health cohort.

**Results:** A total of 1,845 (3.2%) and 4,037 (7.1%) participants had admissions for asthma and COPD, respectively, with 662 (1.2%) participants with asthma–COPD overlap. Incidence rate of asthma–COPD overlap per 1,000 person-years was higher in women (0.73) than in men (0.54) ( $P<0.02$ ). Mortality rate was higher in asthma–COPD overlap (25.9 per 1,000 person-years) compared with COPD (23.1,  $P<0.05$ ) and asthma (7.9,  $P<0.001$ ) alone. Compared to COPD alone, mortality was higher in women with asthma–COPD overlap (19.6 and 25.5, respectively;  $P<0.01$ ), and the excess mortality rate for asthma–COPD overlap patients was most prominent for younger age groups (12.9 compared to 7.2 and 4.6 for COPD and asthma alone, respectively;  $P<0.01$ ).

**Conclusion:** This large population-based study revealed a higher incidence of severe asthma–COPD overlap in women compared to men, and furthermore that all-cause mortality is higher in women and younger subjects with asthma–COPD overlap compared with those with asthma or COPD alone.

**Keywords:** asthma, COPD, asthma–COPD overlap, ACOS, outcome, mortality, incidence

## Introduction

The occurrence of concomitant asthma and COPD, in recent years often referred to as asthma–COPD overlap syndrome (ACOS), is well recognized. However, despite much attention, no general consensus has been reached on defining features, or even the term itself, with some studies classifying ACO as a subphenotype of COPD,<sup>1,2</sup> and some as a distinct phenotype by itself.<sup>3,4</sup> The overlap between asthma and COPD, therefore, remains a controversial issue, possibly primarily because many conflicting aspects remain, which makes it difficult to summarize the distinctive features of this so-called syndrome.<sup>5,6</sup> In line with this, the currently available national guidelines, including guidelines from Spain,<sup>7</sup> Finland,<sup>8</sup> and the Czech Republic,<sup>9</sup> have defined

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ACO on the basis of various combinations of major and minor criteria, including positive bronchodilator reversibility test, airway hyperresponsiveness, sputum eosinophilia, elevated total immunoglobulin E (IgE), atopy, and a clinical history of asthma before age 40 years.

Previous studies have revealed wide variations in prevalence of ACO of 15%–20%<sup>4,10,11</sup> (based on physician diagnosis of both asthma and COPD) to 17%–60%<sup>12–14</sup> (based on chronic airflow limitation in subjects with a diagnosis of asthma), clearly indicating the impact of the chosen definition, which also compromises comparisons between studies. In the available (in most cases relatively small) studies, patients with features of both asthma and COPD seem to have more exacerbations,<sup>15</sup> leading to more hospitalizations,<sup>3,10,16,17</sup> and more comorbidity<sup>16,18,19</sup> than patients with either disease alone, as well as poorer health-related quality of life<sup>12</sup> and higher medical utilization.<sup>19</sup> However, studies based on either small subpopulations, likely not to be representative of the general population, or on additional diagnostic criteria in a selected group of either asthma or COPD patients hamper the interpretation of the findings. Large-scale population-based studies are thus needed to characterize patterns of morbidity and mortality further in patients with overlap between asthma and COPD. Additionally, very limited observations are at present available regarding mortality in patients with ACO. The aim of the present study was to investigate incidence and mortality of severe ACO compared to asthma and COPD only, based on patterns of hospital admissions in a large population-based cohort of middle-aged Danish adults.

## Materials and methods

### Cohort

In 1993–1997, a total of 160,725 individuals (72,729 women) 50–64 years of age living in the two largest cities in Denmark (Copenhagen and Århus), with no record of cancer in the Danish Cancer Registry, were invited to participate in the prospective Danish Diet, Cancer, and Health (DCH) study. In total, 57,053 individuals (29,875 women [52.4%]) accepted the invitation and were enrolled in the study. All participants answered a detailed questionnaire on diet, health, education, occupation, lifestyle, including smoking, reproductive characteristics, and preexisting disease, including asthma, COPD, diabetes, and cardiovascular disease. A detailed description of the DCH cohort has been published previously.<sup>20,21</sup>

### Health-outcome definitions

Using the unique identification number assigned to all Danish citizens,<sup>22</sup> the DCH cohort was linked to the Danish National

Patient Registry (DNPR)<sup>21</sup> to extract hospital contacts from 1978 until July 1, 2013. The DNPR includes all discharge diagnoses from all Danish hospitals since 1978 and from outpatient clinics since 1995.<sup>23</sup> Admissions in the present analyses also included emergency room visits and visits to pulmonary outpatient clinics, and if not stated otherwise referred to as admissions. COPD and asthma diagnoses were classified according to the International Classification of Diseases (ICD) as ICD-10 codes DJ40–44 (and 490.0–492.09, according to the ICD-8 until 1994) and ICD-10 codes DJ45–46 (and ICD-8 codes 493.00–493.09), respectively, for COPD and asthma. To allow for variations in classification procedures, patients with a primary diagnosis of DJ960 (acute respiratory failure) were also included, provided they had a secondary diagnosis of asthma or COPD. Contacts after baseline (ie, 1993–1997) for asthma and/or COPD were classified as incident admissions.

Participants were defined as having asthma if during the study period they exclusively had admissions for asthma, and likewise for participants classified as having COPD. Participants were defined as having ACO if they had at least one hospital admission for asthma together with at least one admission for COPD (two different time points, regardless of which came first). In order to be classified as having ACO at baseline, participants were required to have at least one admission for asthma and also at least one admission for COPD before enrollment into the cohort. Incident ACO was defined as at least one first admission for either asthma or COPD occurring after baseline (1993–1997), with date of earliest admission as date of ACO onset, and likewise for participants classified as having asthma or COPD alone.

### Statistical methods and ethics

For each person, person-years of follow-up were calculated separately for asthma, COPD, and ACO, with follow-up starting at the date of inclusion into the cohort (between 1993 and 1997) and ending on July 1, 2013, date of death, emigration, or at the first admission for asthma, COPD, or ACO, respectively, whichever came first. Overall and age-specific incidence rates and mortality rates were calculated for asthma, COPD, and ACO, and separately for men and women. The ages were stratified into four intervals, from age 50 years to age 75+ years. Incidence rates were calculated by dividing the number of cohort participants with an event (asthma, COPD, or ACO) in each age-group by the total person-years of observation for all participants in that stratum, overall and separately by gender, and reported as incidence rates per 1,000 person-years.

Age-specific mortality rates were calculated by dividing the number of deaths due to any cause – overall and in each age-group – by the number of person-years of observations for all participants in that stratum. Confidence intervals (95%) were calculated for incidence and mortality rates based on Poisson distribution, using the “stptime” command in Stata 13.1. The DCH-cohort study was approved by the Central Danish Ethics Committee, and the present study was approved by the Danish Data Protection Agency (2014-41-3468). All participants provided written informed consent.

## Results

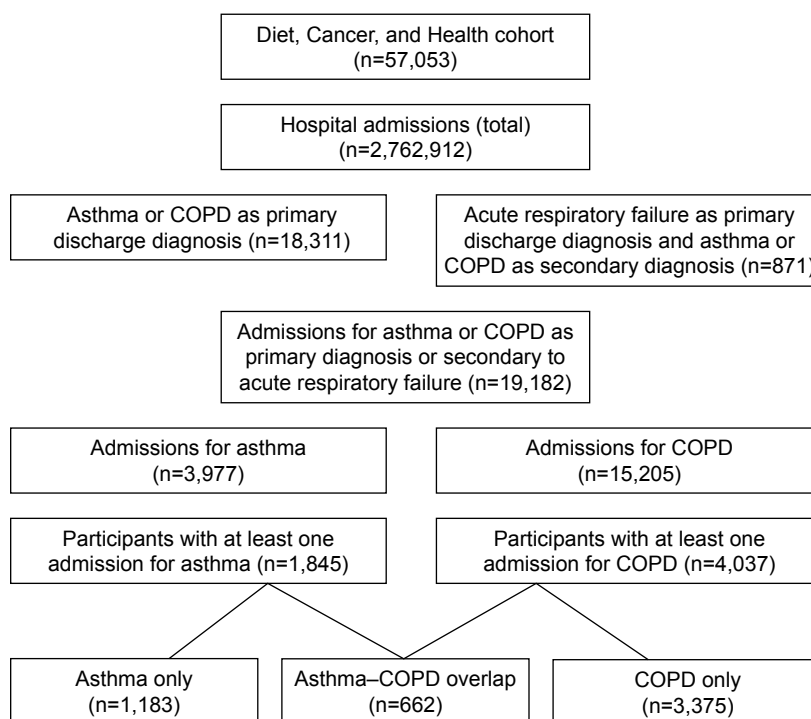
The participants (n=57,053) in the DCH cohort were registered with a total of 2,762,912 hospital discharge diagnoses in the DNPR between 1978 and 2013, with a total of 1,268,971 primary diagnoses. Of these primary diagnoses, 18,311 (1.4%) were for either asthma (n=3,961 [21.6%]) or COPD (n=14,350 [78.4%]) (Figure 1). Furthermore, a total of 1,445 admissions were identified with acute respiratory failure (DJ960) as the primary diagnosis, 871 of these with a secondary diagnosis of asthma (n=16) or COPD (n=855) (Figure 1). A total of 3,977 admissions for asthma and 15,205 admissions for COPD were thus included in the present analyses, with 1,845 participants having at least one admission for asthma and 4,037 at least one admission for COPD.

## Participants with admissions for asthma or COPD

Of 57,053 subjects in the DCH cohort, 1,183 (2.1%) had had at least one admission for asthma (and none for COPD) between 1978 and 2013, with 368 (31.1%) and 815 (68.9%), respectively, before and after baseline (1993–1997), corresponding to an incidence rate of 0.9 per 1,000 person-years, with a higher asthma-incidence rate for women (1.07 per 1,000 person-years) than men (0.72 per 1,000 person-years) ( $P<0.02$ ; Table 1). A total of 3,375 (5.9%) cohort participants had had at least one admission for COPD (and none for asthma), with 361 (10.7%) and 3,014 (89.3%), respectively, before and after baseline, and thus an incidence rate of 3.4 per 1,000 person-years. Incidence rates for COPD were similar for men (3.3 per 1,000 person-years) and women (3.4 per 1,000 person-years) (Table 1). Only a minor portion of the included admissions for asthma or COPD (14.2% and 12.7%, respectively) were emergency room visits.

## Participants with ACO

A total of 662 participants (1.2% of the cohort) had had at least one admission for both asthma and COPD, ie, fulfilled the criteria for ACO. The subjects enrolled in the cohort with at least one admission for obstructive lung disease could thus be grouped into: asthma only (n=1,183), COPD only



**Figure 1** Flowchart describing participants included in the present study from the Diet, Cancer, and Health cohort.

**Notes:** Based on discharge diagnoses of asthma and/or COPD, either as a primary diagnosis or as a secondary diagnosis to acute respiratory failure (1978–2013). Data from the Diet, Cancer, and Health cohort: Tjønneland et al<sup>20</sup> and Bonnelykke et al.<sup>21</sup>

**Table 1** Total and gender-specific incidence of asthma, COPD, and asthma-COPD overlap among individuals enrolled in the Diet, Cancer, and Health cohort (n=57,053)

Age group	Totals				Men				Women			
	New cases (n)	Person-years (n)	IR (95% CI)	IR (95% CI)	New cases (n)	Person-years (n)	IR (95% CI)	IR (95% CI)	New cases (n)	Person-years (n)	IR (95% CI)	IR (95% CI)
	<b>COPD</b>	3,014	895,338	3.37 (3.25–3.49)	3.30 (3.13–3.47)	1,390	417,449	3.30 (3.13–3.47)	3.37 (3.21–3.54)	1,624	481,637	3.37 (3.21–3.54)
50–55 years	93	61,606	1.51 (1.23–1.85)	1.51 (1.13–2.02)	45	29,801	1.51 (1.13–2.02)	1.51 (1.14–2.00)	48	31,806	1.51 (1.14–2.00)	1.51 (1.14–2.00)
55–65 years	909	394,868	2.30 (2.16–2.46)	2.27 (2.07–2.50)	427	187,803	2.27 (2.07–2.50)	2.33 (2.13–2.55)	482	207,065	2.33 (2.13–2.55)	2.33 (2.13–2.55)
65–75 years	1,589	376,545	4.22 (4.02–4.43)	4.21 (3.92–4.53)	729	173,119	4.21 (3.92–4.53)	4.23 (3.95–4.52)	860	203,427	4.23 (3.95–4.52)	4.23 (3.95–4.52)
>75 years	423	62,318	6.79 (6.17–7.47)	7.07 (6.13–8.16)	189	26,726	7.07 (6.13–8.16)	6.57 (5.78–7.47)	234	35,592	6.57 (5.78–7.47)	6.57 (5.78–7.47)
<b>Asthma</b>	815	902,606	0.90 (0.84–0.97)	0.72 (0.64–0.8)	302	422,066	0.72 (0.64–0.8)	0.73 (0.67–0.79)	513	480,541	0.73 (0.67–0.79)	0.73 (0.67–0.79)
50–55 years	51	61,494	0.83 (0.63–1.09)	0.47 (0.28–0.79)	14	29,788	0.47 (0.28–0.79)	0.47 (0.28–0.79)	37	31,705	0.47 (0.28–0.79)	0.47 (0.28–0.79)
55–65 years	348	395,251	0.88 (0.79–0.98)	0.72 (0.61–0.85)	136	188,512	0.72 (0.61–0.85)	0.73 (0.61–0.85)	212	206,739	0.73 (0.61–0.85)	0.73 (0.61–0.85)
65–75 years	338	381,577	0.89 (0.80–0.99)	0.69 (0.58–0.83)	122	176,076	0.69 (0.58–0.83)	0.69 (0.58–0.83)	216	205,501	0.69 (0.58–0.83)	0.69 (0.58–0.83)
>75 years	78	64,284	1.21 (0.97–1.51)	1.08 (0.76–1.55)	30	27,689	1.08 (0.76–1.55)	1.31 (0.99–1.74)	48	36,595	1.31 (0.99–1.74)	1.31 (0.99–1.74)
<b>Asthma-COPD overlap</b>	588	912,509	0.64 (0.59–0.7)	0.54 (0.47–0.61)	230	425,609	0.54 (0.47–0.61)	0.54 (0.47–0.61)	358	486,900	0.54 (0.47–0.61)	0.54 (0.47–0.61)
50–55 years	43	61,912	0.69 (0.52–0.94)	0.53 (0.33–0.87)	16	29,954	0.53 (0.33–0.87)	0.53 (0.33–0.87)	27	31,958	0.53 (0.33–0.87)	0.53 (0.33–0.87)
55–65 years	287	398,994	0.72 (0.64–0.81)	0.55 (0.45–0.66)	104	189,924	0.55 (0.45–0.66)	0.55 (0.45–0.66)	183	209,070	0.55 (0.45–0.66)	0.55 (0.45–0.66)
65–75 years	237	386,274	0.61 (0.54–0.70)	0.56 (0.46–0.68)	100	177,727	0.56 (0.46–0.68)	0.56 (0.46–0.68)	137	208,547	0.56 (0.46–0.68)	0.56 (0.46–0.68)
>75 years	21	65,329	0.32 (0.21–0.49)	0.36 (0.19–0.66)	10	28,005	0.36 (0.19–0.66)	0.29 (0.16–0.53)	11	37,324	0.29 (0.16–0.53)	0.29 (0.16–0.53)

**Notes:** Asthma-COPD overlap defined as at least one hospital admission for asthma and one for COPD (two different time points), prevalence with at least one admission before baseline (1993–1997), and incidence with admissions between baseline and end of follow-up (ie, July 1, 2013). Incidence rate calculated in persons at risk: those without COPD (n=56,692), asthma (n=56,685), or ACOS (n=56,979) at baseline for COPD, asthma, and asthma-COPD overlap, respectively. Data from the Diet, Cancer, and Health cohort: Tjønneland et al<sup>10</sup> and Bonnelykke et al.<sup>21</sup>

**Abbreviations:** IR, incidence rate (per 1,000 person-years); CI, confidence interval; ACOS, asthma-COPD overlap syndrome.

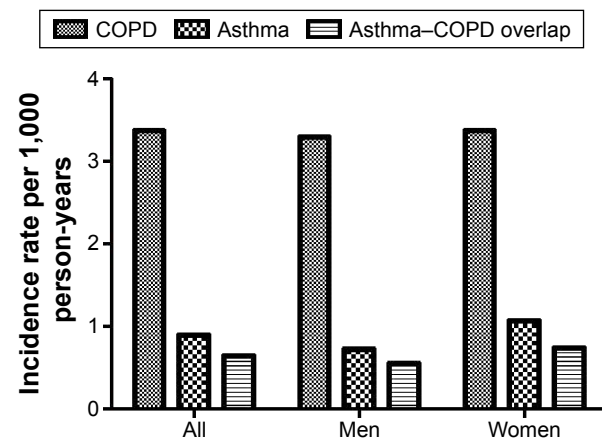
(n=3,373), and ACO (n=662). Therefore, 35.9% (662 of 1,845) of participants with at least one admission for asthma and 16.4% (662 of 4,037) of participants with at least one admission for COPD were classified as having ACO. At baseline, 74 participants had ACO (11.2% of all ACO), with no difference between men and women (5.6% each of all ACO). Overall incidence of ACO was 0.64 per 1,000 person-years, women having a higher incidence than men (0.73 vs 0.54 per 1,000 person-years; *P*<0.02) (Table 1, Figure 2).

### Mortality

The overall mortality rate (all subjects enrolled in the cohort) was 10.9 per 1,000 person-years, with men having a 63% higher mortality rate than women (13.7 vs 8.4 per 1,000 person-years) (Table 2). This gender difference in mortality was less pronounced in the ACO group, with only 4.3% higher mortality in men (not significant).

Total mortality (all participants in the three groups of interest) was higher among participants with ACO (25.9 per 1,000 person-years) than among those with asthma alone or COPD alone (7.9 [*P*<0.001] and 23.1 [*P*<0.01] per 1,000 person-years, respectively) (Table 2, Figure 3). The difference in mortality between the three groups of subjects with obstructive lung disease was observed especially in women (25.5, 6.9 [*P*<0.001], and 19.6 [*P*<0.01] per 1,000 person-years, for ACO, asthma, and COPD, respectively) and in younger participants (total mortality 12.9, 4.6 [*P*<0.01], and 7.2 [*P*<0.01], respectively, in the age-group 55–65 years). After age 75 years, mortality was higher for COPD than for ACO (75.5 vs 57.2 per 1,000 person-years, respectively).

In subjects below age 55 years, no deaths were observed in the asthma-only group, whereas the mortality rate for ACO



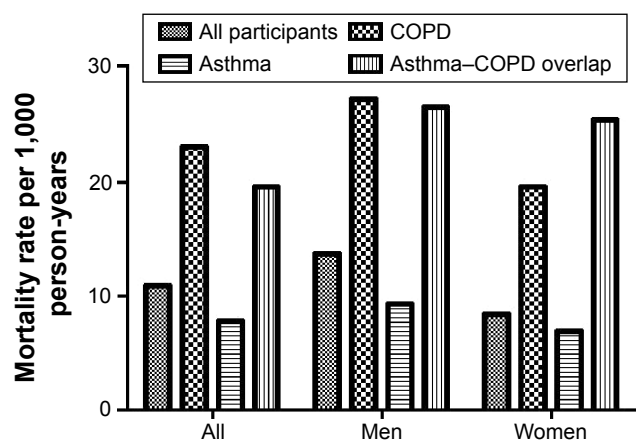
**Figure 2** Incident cases of COPD, asthma, and asthma-COPD overlap. **Note:** Participants with first-ever hospital admission for asthma, COPD, or both, ie, asthma-COPD overlap, after baseline (1993–1997) per 1,000 person-years overall and according to gender.

Table 2 Total, age-, and gender-specific all-cause mortality in total cohort, asthma, COPD, and asthma-COPD overlap

Age group	Totals				Men				Women			
	Deaths (n)	Person-years (n)	MR (95% CI)	MR (95% CI)	Deaths (n)	Person-years (n)	MR (95% CI)	MR (95% CI)	Deaths (n)	Person-years (n)	MR (95% CI)	MR (95% CI)
<b>Total</b>	9,996	918,862	10.88 (30.64–33.37)	10.88 (30.64–33.37)	5,886	428,096	13.7 (13.400–14.11)	13.7 (13.400–14.11)	4,110	490,766	8.37 (8.12–8.63)	8.37 (8.12–8.63)
50–55 years	156	62,003	2.52 (2.15–2.94)	2.52 (2.15–2.94)	107	29,995	3.57 (2.95–4.31)	3.57 (2.95–4.31)	49	32,007	1.53 (1.16–2.03)	1.53 (1.16–2.03)
55–65 years	2,398	400,855	5.98 (5.75–6.23)	5.98 (5.75–6.23)	1,508	190,617	7.91 (7.52–8.32)	7.91 (7.52–8.32)	890	210,237	4.23 (3.96–4.52)	4.23 (3.96–4.52)
65–75 years	5,324	389,769	13.66 (13.30–14.03)	13.66 (13.30–14.03)	3,093	179,102	17.27 (16.67–17.89)	17.27 (16.67–17.89)	2,231	210,667	10.59 (10.16–11.04)	10.59 (10.16–11.04)
>75 years	2,118	66,235	31.98 (30.64–33.37)	31.98 (30.64–33.37)	1,178	28,381	41.51 (39.20–43.95)	41.51 (39.20–43.95)	940	37,854	24.83 (23.29–26.47)	24.83 (23.29–26.47)
<b>COPD</b>	1,213	52,547	23.08 (21.82–24.42)	23.08 (21.82–24.42)	652	23,917	27.26 (25.25–29.44)	27.26 (25.25–29.44)	561	28,629	19.60 (18.04–21.29)	19.60 (18.04–21.29)
50–55 years	7	2,466	2.84 (1.35–5.95)	2.84 (1.35–5.95)	5	1,184	4.22 (1.76–10.15)	4.22 (1.76–10.15)	2	1,283	1.56 (0.39–6.24)	1.56 (0.39–6.24)
55–65 years	148	20,436	7.24 (6.16–8.51)	7.24 (6.16–8.51)	77	9,471	8.13 (6.50–10.17)	8.13 (6.50–10.17)	71	10,965	6.48 (5.13–8.17)	6.48 (5.13–8.17)
65–75 years	681	24,650	27.63 (25.63–29.78)	27.63 (25.63–29.78)	377	11,105	33.95 (30.69–37.55)	33.95 (30.69–37.55)	304	13,545	22.44 (20.06–25.11)	22.44 (20.06–25.11)
>75 years	377	4,994	75.48 (68.24–83.50)	75.48 (68.24–83.50)	193	2,158	89.44 (77.67–102.99)	89.44 (77.67–102.99)	184	2,837	64.87 (56.14–74.95)	64.87 (56.14–74.95)
<b>Asthma</b>	152	19,516	7.79 (6.64–9.13)	7.79 (6.64–9.13)	67	7,244	9.25 (7.28–11.75)	9.25 (7.28–11.75)	85	12,273	6.93 (5.60–8.57)	6.93 (5.60–8.57)
50–55 years	0	1,297	0	0	0	494	0	0	0	803	0.0	0.0
55–65 years	39	8,427	4.63 (3.38–6.33)	4.63 (3.38–6.33)	20	3,213	6.22 (4.02–9.65)	6.22 (4.02–9.65)	19	5,214	3.64 (2.32–5.71)	3.64 (2.32–5.71)
65–75 years	78	8,261	9.44 (7.56–11.79)	9.44 (7.56–11.79)	32	3,026	10.58 (7.48–14.95)	10.58 (7.48–14.95)	46	5,236	8.79 (6.58–11.73)	8.79 (6.58–11.73)
>75 years	35	1,531	22.86 (16.41–31.84)	22.86 (16.41–31.84)	15	511	29.36 (17.70–48.71)	29.36 (17.70–48.71)	20	1,020	19.60 (12.65–30.39)	19.60 (12.65–30.39)
<b>Asthma-COPD overlap</b>	255	9,829	25.94 (22.95–29.33)	25.94 (22.95–29.33)	104	3,914	26.57 (21.92–32.20)	26.57 (21.92–32.20)	151	5,915	25.53 (21.76–29.94)	25.53 (21.76–29.94)
50–55 years	2	460	4.35 (1.09–17.40)	4.35 (1.09–17.40)	2	185	10.79 (2.7–43.15)	10.79 (2.7–43.15)	0	274	0	0
55–65 years	49	3,811	12.86 (9.72–17.01)	12.86 (9.72–17.01)	21	1,452	14.47 (9.43–22.19)	14.47 (9.43–22.19)	28	2,359	11.87 (8.20–17.19)	11.87 (8.20–17.19)
65–75 years	149	4,598	32.4 (27.6–38.05)	32.4 (27.6–38.05)	58	1,873	30.97 (23.94–40.06)	30.97 (23.94–40.06)	91	2,725	33.39 (27.19–41.01)	33.39 (27.19–41.01)
>75 years	961	961	57.23 (43.94–74.54)	57.23 (43.94–74.54)	23	404	56.92 (37.83–85.66)	56.92 (37.83–85.66)	32	557	57.46 (40.63–81.25)	57.46 (40.63–81.25)

Note: Mortality calculated as number of deaths divided by person-years from inclusion in the cohort in 1993–1997 until last follow-up at July 1, 2013.

Abbreviations: MR, mortality rate (per 1,000 person-years); CI, confidence interval.



**Figure 3** All-cause mortality rates per 1,000 person-years.

**Note:** Rates overall and according to gender in the entire cohort ( $n=57,053$ ) and for participants with COPD ( $n=3,375$ ), asthma ( $n=1,183$ ), and asthma-COPD overlap ( $n=662$ ).

(4.4 per 1,000 person-years) was higher than for COPD only (2.8 per 1,000 person-years), although the number of events was limited (Table 2). At age 55–65 years, mortality was significantly higher among men in the ACO group compared to women (14.5 vs 11.9 per 1,000 person-years, respectively;  $P<0.05$ ). This reversed with age, as no significant differences were found in mortality between men and women in the ACO group after age 65 years (Table 2).

## Discussion

The major new findings of this prospective study of a large middle-aged population-based cohort are that incident severe ACO (also referred to as ACOS) is more common among females than males, and that patients with ACO have higher mortality rates compared to both asthma alone and COPD alone, especially among women and younger age-groups. Our study, therefore, emphasizes that individuals, and not least women, with ACO of such severity that it causes hospital admissions constitute a high-risk group for very poor outcome.

## Incidence of ACO

Although we only studied individuals with ACO leading to hospital contacts, together with the fact that comparison with previous studies was hampered by the different definitions of ACO used, we observed a prevalence of ACO similar to several previous studies, including Sorino et al,<sup>24</sup> Menezes et al,<sup>3</sup> and Miravittles et al.<sup>17</sup> In contrast to our findings, Diaz-Guzman et al<sup>25</sup> reported an overall prevalence of ACOS of 2.7%, corresponding to a prevalence of 43.8% among their COPD patients, based on self-reported asthma and COPD, very similar to a previous study by Lange et al.<sup>15</sup> These differences might be due to the use of self-reported

asthma as part of the ACOS definition, as participants might report COPD as asthma, and probably also differences in the age span of individuals studied.<sup>11,26,27</sup> Our use of registry records (with discharge diagnoses) eliminated the risk of faulty reporting by participants, but of course did not eliminate the risk of doctor misclassification. The discrepancy in incidence and prevalence of ACO observed in different studies implies an intrinsic weakness in defining this “phenotype” of obstructive lung disease,<sup>28–30</sup> and the future use of this umbrella term can be questioned, not only because it blurs the lines between asthma and COPD but also because it may allow an approach that simplifies therapy.<sup>6</sup> This assumption may seem to be supported not only by recently published clinical treatment guidelines, which by definition are not based on evidence from randomized clinical trials, as individuals with ACO have not been eligible for inclusion,<sup>31</sup> but also recently published reviews.<sup>29,32</sup> Future studies should thus (by adding to existing knowledge, including the present study) seek to define new phenotypes and underlying endotypes of obstructive lung disease with characteristics of both asthma and COPD to replace the umbrella term “ACO”.

Our study revealed a higher incidence of ACO in women compared to men, which is in line with a previous study by Diaz-Guzman et al,<sup>25</sup> but in contrast to the study by Lange et al,<sup>15</sup> based on the Danish Copenhagen City Heart study. Incident hospital admissions for asthma are common in postmenopausal women,<sup>21</sup> and we are thus confident that our observation was not caused by gender-related misclassification of obstructive lung diseases, but instead represents male–female difference in susceptibility to develop an overlapping phenotype, revealed in the present study by a combination of cohort size and disease severity studied.

## Mortality

With regard to mortality in individuals with ACO, so far only limited evidence is available. Similar to our results, Diaz-Guzman et al<sup>25</sup> found overlap patients to have a hazard ratio for mortality of 1.83 compared to subjects without obstructive lung disease. This compared with hazard ratios of 1.44 for COPD-only and 1.16 for asthma-only participants, the hazard ratio reported for COPD only being very similar to that reported by Lange et al<sup>15</sup> for ACO with late-onset asthma. In contrast, Yamauchi et al<sup>33</sup> found lower in-hospital, all-cause mortality in overlap patients than in COPD-only patients (2.3% vs 9.7%, respectively), based on physician diagnoses of both asthma and COPD. Furthermore, a recent study by Sorino et al,<sup>24</sup> investigating 15-year mortality rates in 1,065 subjects aged >65 years, reported no difference in mortality

between ACO and COPD only. In line with that, based on data from a large COPD cohort, Cosio et al<sup>34</sup> identified patients with one major or two minor criteria for asthma, and reported a lower 1-year mortality for patients in the overlap group than COPD only (cumulative survival of 94.7% and 87.3%, respectively). This is again in accordance with a study by Fu et al,<sup>35</sup> who studied prognoses in 99 adults with asthma, COPD, and ACO after a median follow-up of 4.2 years, where patients with COPD were found to have poorer prognoses than the two other groups. Possible explanations for the differences in findings, apart from the difficulties with diagnostic labeling already discussed, may be the size of the cohort and overall severity of obstructive lung disease in the studied cohort, as in the present study, where we only included individuals in the three groups of interest, provided the disease had caused admission to hospital. Our study of a very large population-based cohort focusing on individuals with obstructive lung diseases at the more severe end of the disease spectrum has shown that individuals with overlap between asthma and COPD have a poorer prognosis than individuals with either disease alone. Although, in relation to the latter, one limitation of the present study is the lack of information on cause-specific mortality.

Overall, we feel confident that our data are a sound representation of the actual hospital contacts for asthma and COPD, as we relied on physicians having seen and diagnosed the patients in a hospital setting with access to a full overview of each patient's symptoms, clinical findings, and paraclinical results, including spirometry and imaging, and not relying on either alone.

## Study limitations

The diagnoses in this study were physician-based assessments, from a registry, and might not have been confirmed by a specialist in respiratory medicine, although the diagnosis is highly likely to have been confirmed by objective assessment for patients attending a respiratory outpatient clinic. Data from registers might be vulnerable to errors, due to lack of time or clinical experience or to change in diagnostic practices over time, although diagnostic practices have been relatively stable over the years of the present study, including awareness of the differential diagnosis of asthma and COPD.<sup>36</sup> Furthermore, Thomsen et al<sup>37</sup> reported a 92% positive predictive value (PPV) for a discharge diagnosis of COPD in the DNPR, the same register as that used in the present study, and with respect to this, the diagnoses in the register are highly likely to be reliable. With regard to asthma, Jensen et al<sup>38</sup> found sensitivity of a DNPR record of asthma to be 44%, but specificity to be 98%, with a positive predictive

value of 65%. However, they found that the low sensitivity for asthma did not nullify any observed relative associations in the data set. In addition, we did not have available data on disease severity, eg, for patients with COPD according to the Global Initiative for Chronic Obstructive Lung Disease strategy document, but our classification criteria of hospital admission were highly likely to have excluded most patients with milder disease. On the other hand, although hospital admissions for obstructive lung disease represent the more severe end of the disease spectrum, the burden most likely reflects the total disease burden. Another limitation related to the use of a population-based cohort, and by that a more descriptive approach, was the lack of data for important clinical features, including level of lung function, degree of airflow limitation, type of airway inflammation, and disease-related physical limitations, all information that would have been useful to classify our patients further.

In conclusion, the present study of a large population-based cohort highlights differences in the long-term outcome of patients with more severe ACO compared to asthma and COPD alone. In particular, our study revealed important gender differences in incidence and long-term outcome of ACO, including a lower survival rate in individuals with ACO compared to both asthma and COPD only. Our findings, therefore, support the notion that patients presenting features of both asthma and COPD are at high risk of a poor long-term outcome.

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## Author contributions

Camilla Boslev Baarnes and Charlotte Supli Ulrik take overall responsibility for the content of the manuscript. Zorana Jovanovic Andersen had full access to all data in the study, analyzed the data, and takes responsibility for the accuracy of the data analysis. Camilla Boslev Baarnes wrote the first draft of the manuscript. Charlotte Supli Ulrik, Zorana Jovanovic Andersen, and Anne Tjønneland contributed substantially to study design, data analysis and interpretation, and writing of the manuscript. All authors approved the manuscript in its final form, contributed toward data analysis, drafting and critically revising the paper, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in relation to this work.

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