

Potential of ramucirumab in treating hepatocellular carcinoma patients with elevated baseline alpha-fetoprotein

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Abstract: Hepatocellular carcinoma (HCC) represents ~90% of primary liver cancers and constitutes a major global health problem. Since a decade ago, the management of advanced disease that cannot be locally treated has mainly been based on multi-targeted antiangiogenic therapies. Some have demonstrated improvement in overall survival over best supportive care in first- and second-line treatment. This study focused on the efficacy of antiangiogenics in patients with advanced HCC and particularly the rising role of ramucirumab in patients with elevated alpha-fetoprotein at diagnosis.

Keywords: hepatocellular carcinoma, antiangiogenic drugs, ramucirumab

Introduction

Hepatocellular carcinoma (HCC) is the third leading cause of cancer-related deaths with the incidence on the rise worldwide.¹ The incidence/mortality ratio is approximately 1:1, indicating that most of the patients who develop HCC die because of it.² Five-year survival rates have improved modestly to ~15%–20%, an improvement that is believed to be associated, on the one hand, with improved surveillance in identifiable high-risk patients (ie, those with hepatitis B and C viruses) and on the other hand, with surgical intervention (resection or transplant) for patients with early stage disease.³ The majority of HCCs occur in patients with chronic liver disease from viral hepatitis, alcohol abuse, and/or nonalcoholic steatohepatitis. HCC is currently the leading cause of death among patients with cirrhosis.⁴ Indeed, once cirrhosis has developed, HCC will occur at a rate of 2%–7% per year.⁵

To standardize the approach to diagnosis and treatment, consensus guidelines have been published by several organizations, including the National Comprehensive Cancer Network (NCCN), American Association for the Study of Liver Disease (AASLD), and European Association for the Study of the Liver (EASL).^{6–8} Similar to most other cancers, HCC is more effectively treated when it is diagnosed at an early stage leading to recommended regular surveillance and early diagnosis screening in patients known to be at high risk, including patients with cirrhosis from any cause and carriers of hepatitis B. Several biomarkers for the detection of early HCC are promising⁹ but alpha-fetoprotein (AFP) is the only one that remains of possible interest for clinical use. Elevated AFP of more than 20 ng/mL associated with abnormal imaging currently remains the most discriminant tool for the diagnosis of HCC. The 2012 NCCN guidelines recommend screening high-risk patients with serum AFP and liver ultrasound (US)

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every 6–12 months, whereas the new surveillance guidelines recommend liver US every 6 months.¹⁰ A rising AFP level associated with a liver nodule measuring larger than 1 cm should raise suspicion for HCC and warrants evaluation with cross-sectional imaging.

Multiple therapeutic options are currently available for the treatment of HCC but liver function, tumor extension, and performance status need to be taken into account. Several algorithms have been used to attempt to stratify patients into subgroups and help to determine specific therapies. The Cancer of the Liver Italian Program system includes tumor morphology (uninodular, multinodular, or extensive), Child-Pugh score, AFP, and the presence or absence of portal vein thrombosis.¹¹ The Barcelona Clinic Liver Cancer (BCLC) system¹² includes the Child-Pugh score, clinical performance status, and tumor stage (solitary, multinodular, vascular invasion, or extrahepatic spread) and categorizes patients into: 1 – early HCC (BCLC stage A1–A4), including well compensated (Child-Pugh score A) liver reserve with an excellent performance status and limited tumor burden; 2 – intermediate HCC (BCLC stage B) including moderate liver reserve (Child-Pugh score A and B), excellent performance status, and multinodular tumors; and 3 – advanced HCC (BCLC stage C) including moderate liver reserve (Child-Pugh score A and B), vascular invasion or extrahepatic spread, and a vulnerable performance status (Eastern Cooperative Oncology Group 1–2). The BCLC staging system has been repeatedly modified and recently validated¹³ as recommended algorithm for prognostic prediction and treatment allocation. In its last version, it was recommended that AFP serum level could be taken into account in future versions of the BCLC system. Our review focused on systemic therapies for advanced disease and, in particular, documented the recent challenging role of ramucirumab, a monoclonal antibody specifically targeting VEGFR-2.

Systemic therapies for advanced HCC

HCC and chemotherapies

Advanced HCC is recognized to be chemo-resistant to most common chemotherapies which, as single agents, have shown modest anti-tumoral responses.¹⁴ Systemic doxorubicin is the most commonly evaluated agent in clinical trials with response rates of ~20%.¹⁵ The phase III CALGB trial 80802 study failed to show the benefit of adding doxorubicin to sorafenib. The median overall survival (OS) with sorafenib monotherapy was 10.5 months vs 9 months with doxorubicin plus sorafenib.¹⁶ Two other regimens, the PIAF (cisplatin/

interferon 2b/doxorubicin/fluorouracil) and FOLFOX regimens have also shown negative results with no improvement in OS.^{17,18}

Use of chemotherapy is a category 2B recommendation as per NCCN guidelines although they are not commonly used in daily practice. Moreover, with the new data regarding tyrosine kinase inhibitors (TKI) and immunotherapies, there is no room anymore for systemic chemotherapy in HCC in 2018.

HCC and immunotherapies

Multiple checkpoint inhibitors have gained US Food and Drug Administration (FDA) approval for many different types of malignancies,¹⁹ and several clinical trials for advanced HCC patients are currently ongoing. Nivolumab, an immune checkpoint inhibitor, was studied in a phase I/II multi-cohort trial (Check Mate 040).²⁰ A total of 262 eligible patients were enrolled, with HCC and Child-Pugh score A score cirrhosis, who were either sorafenib-naïve or had progression on sorafenib. The objective response rate was 15%–20%, irrespective of line of therapy and occurred within 3 months in 69% of responders. This study also showed 18-month OS rates of 57% in sorafenib-naïve and 44% in sorafenib-experienced patients. Nivolumab is currently being evaluated in Check Mate 459 phase III trial (NCT02576509) in comparison to sorafenib as a first-line treatment in patients with advanced HCC.

Pembrolizumab is another checkpoint inhibitor. It was studied in the single arm, open-label phase II KEYNOTE-224 study,²¹ that included a total of 105 patients with objective response rate of 16.3% (95% CI 9.8%–24.9%) and one complete response. Median progression-free survival (PFS) was 4.8 months and median OS was not reached. The 6-month PFS and OS rates were 43.1% and 77.9%, respectively. The phase III KEYNOTE-240 trial recently assessed the efficacy of pembrolizumab for pretreated patients with HCC (NCT02702401). Data from this study may soon be available.

One of the major striking points of the use of immunotherapies in HCC is duration of response, with some being very long-lasting. Many other clinical trials using immunotherapies alone or combined with other drugs are currently ongoing for advanced HCC, with promising results, especially with the use of immunotherapies combined with TKI.

HCC and antiangiogenics

Since 2007, molecular targeted therapies have changed the landscape of advanced HCC management. As the disease is characterized by vascular recruitment as well as invasion and high microvessel density predicting early recurrence

after potentially curative surgery,²² the VEGF signaling pathway is broadly involved in HCC angiogenesis and lymphangiogenesis and seems to play a crucial role in disease pathogenesis.^{23,24} The use of antiangiogenic molecules, and in particular TKI, is now well established and so far seems to be the most effective compounds to treat advanced HCC (Figure 1).

Effective first-line targeted therapies

Sorafenib (Bayer AG, Leverkusen, Germany), an oral multi-TKI, has been approved by the FDA and the European Medicines Agency (EMA) for the treatment of HCC patients with well-preserved liver function (Child-Pugh score A), advanced tumors (BCLC-C) or earlier stage tumors progressing upon or unsuitable for loco-regional therapies. Its use as a standard first-line systemic therapy is based on phase III data in Western countries and in Asia-Pacific region, showing a survival benefit of almost 3 months with an OS of 10.7 months compared to 7.9 months in the treated group and nontreated group, respectively (HR 0.69; 95% CI 0.55–0.87; $P=0.00058$).²⁵ Over 30% of the patients in the phase III SHARP study had been previously treated with liver-directed therapies (chemoembolization) prior to entry. Although the objective response rate (according to modified WHO criteria) was ~2%, most patients obtained disease control (disease control rates up to 70% in several phase III

trials). Of note, neither the use of sorafenib in the adjuvant setting after resection,²⁶ nor combined with embolization²⁷ is convincing, with reasonable toxicity profiles but a slight improvement in efficacy.²⁸ Sorafenib, like other angiogenesis inhibitors, has known therapeutic class side effects and the discontinuation due to adverse events (AEs) might reach up to 15% of patients.²⁹ The most common drug-related AEs include skin-related toxicities such as rash and pruritus, hypertension, proteinuria, diarrhea, and an increased risk for thromboembolism and bleeding events.²⁹ While initial responses can be observed with sorafenib, over time a loss of efficacy is apparent that may be due to “resistance” via escape/compensatory mechanisms.

On the other hand, the recent multicenter phase III trial of lenvatinib, an oral multi-TKI of VEGF receptors 1–3, FGF receptors 1–4, PDGF receptor α , RET, and KIT showed positive data in the first-line setting. A total of 954 eligible patients were randomly assigned to lenvatinib (12 or 8 mg/day, depending on bodyweight) ($n=478$) or sorafenib 800 mg/day ($n=476$). Median survival time for lenvatinib of 13.6 months (95% CI 12.1–14.9) was noninferior to sorafenib (12.3 months [10.4–13.9]; HR 0.92, 95% CI 0.79–1.06).³⁰ As a result, lenvatinib is also recommended in first-line therapy for HCC patients with well-preserved liver function (Child-Pugh score A), good performance status, and without main portal vein invasion.

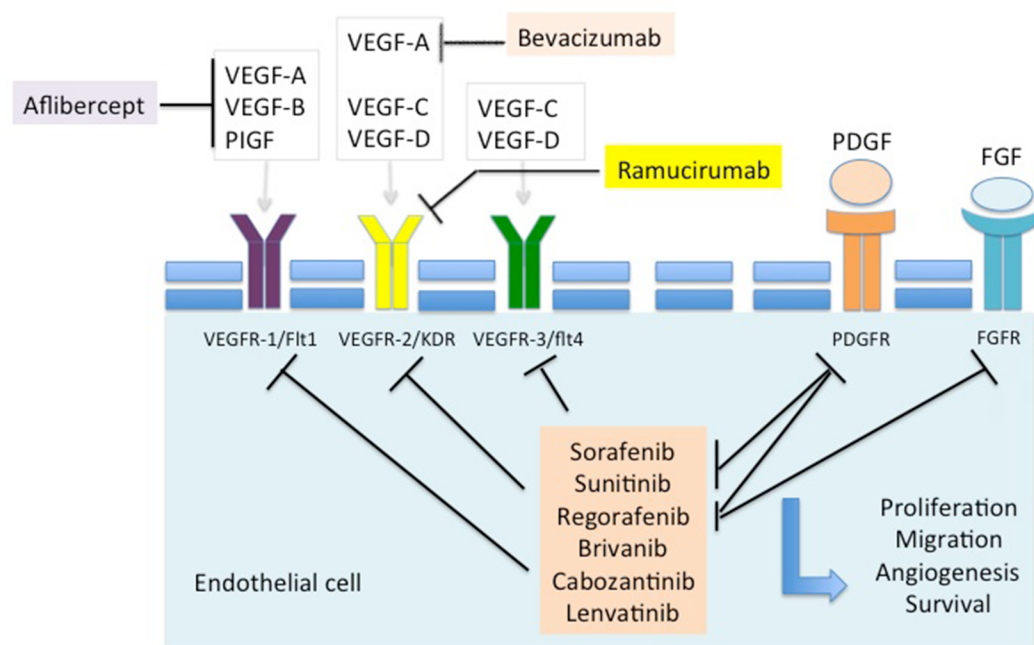


Figure 1 Targets of inhibition in approved antiangiogenic agents.

Several other antiangiogenic therapies have been tested in the advanced HCC setting. Among them, the most studied multi-targeted TKI, sunitinib (Pfizer, Inc., New York, NY, USA), was compared to sorafenib in patients with advanced HCC. The phase III SUN 1170 trial was discontinued early due to increased serious sunitinib-related AEs and futility, with improbability to achieve noninferiority.³¹ Finally, both the dual inhibitor of VEGF and FGF receptors brivanib³² and the anti-VEGF and PDGF compound linifanib³³ have also failed to improve outcome over sorafenib.

Effective second-line targeted therapies

In the second-line setting, regorafenib was approved in 2017 by the FDA and EMA due to the demonstration of an improved OS in the RESORCE study, with an HR of 0.63 (95% CI 0.50–0.79; $P < 0.0001$) over placebo in second line after failure of sorafenib in patients who can tolerate it. The median survival was 10.6 months for regorafenib vs 7.8 months for placebo.³⁴ Another agent with antiangiogenic property, cabozantinib, a multikinase inhibitor that targets c-MET, VEGFRs, AXL, RET, KIT, and FLT3, showed, in a phase II trial, clinical activity including objective tumor responses, disease stabilization, and reductions in AFP in patients with HCC in both treatment-naïve and sorafenib-treated patients.³⁵ The phase III trial, CELESTIAL, compared cabozantinib at a dose of 60 mg/day vs placebo in second or third line of advanced HCC.³⁶ The study was not only positive on primary endpoint with an improved OS of 2.2 months in the experimental arm (10.2 vs 8.0 months; HR=0.76; $P=0.0005$) but also on secondary objectives with improved PFS (5.2 vs 1.9 months; $P < 0.001$) and response rate (4% vs 0% [$P=0.009$]). In the cohort receiving sorafenib in first line then cabozantinib in second line, median OS improved from 7.2 months in the placebo arm up to 11.3 months with manageable toxicities.

Of note, some phase II clinical trials using bevacizumab, a monoclonal antibody against VEGF, demonstrated interesting results in terms of response rates, but severe toxicities including digestive hemorrhages.^{37,38} No phase III studies have been carried out, but the impressive results of synergistic clinical activity associated with checkpoint inhibitor atezolizumab in a phase I/II trial has revived this option.³⁹ An expansion of this HCC cohort and evaluation of atezolizumab+bevacizumab in a phase III study are underway (clinical trial information: NCT02715531).

Potential role of ramucirumab in HCC

Ramucirumab

Ramucirumab (IMC-1121B) is a fully human IgG1 monoclonal antibody that selectively targets VEGFR-2. This agent was discovered from an antibody phage display library constructed from the pooled B-lymphocytes of nonimmunized healthy human donors.⁴⁰ The pharmacokinetics of ramucirumab was studied in a phase I trial that enrolled 37 subjects. Patients diagnosed with advanced malignancies were treated with doses ranging from 2 to 16 mg/kg infused weekly.⁴¹ All patients demonstrated trough levels $>20 \mu\text{g/mL}$, which was the target value associated with anticancer activity. In the phase II trial with advanced HCC patients, treatment with ramucirumab 8 mg/kg every 2 weeks demonstrated an increase in serum VEGF and PlGF and a transient decrease in soluble VEGFR-2 levels,⁴² leading to the dose of 8 mg/kg every 2 weeks as the recommended dose for future trials. In 2015, the FDA and EMA first approved ramucirumab in patients with advanced gastric cancer based on two randomized, double-blind, positive placebo-controlled phase III trials, the REGARD and RAINBOW trials. In the REGARD trial,⁴³ patients with disease progression after first-line therapy, including a fluoropyrimidine and platinum agent, were treated either with ramucirumab or placebo. The median OS was 5.2 months in the experimental arm vs 3.8 months (HR 0.776, 95% CI 0.603–0.998; $P=0.047$). The RAINBOW trial⁴⁴ compared, as a second line, the combination of paclitaxel plus ramucirumab vs paclitaxel alone and demonstrated a significant improvement in survival, with a median OS of 9.6 vs 7.4 months (HR 0.807, 95% CI 0.678–0.962; $P=0.017$).

Ramucirumab and HCC

In recent years, ramucirumab was also used for treating advanced HCC. A phase II study of 42 patients with advanced HCC and well-preserved liver function (75% Child-Pugh score A status) showed that first-line ramucirumab monotherapy gave a disease control rate of 50% and median PFS of 4.3 months.⁴⁵ This positive study prompted the initiation of the phase III REACH trial,⁴⁶ which compared ramucirumab/supportive care vs placebo/supportive care in patients with advanced HCC who had received first-line sorafenib. A total of 644 patients with HCC were enrolled and initially stratified according to Child-Pugh score (A or B). However, based on the independent data monitoring committee evaluation, the protocol was amended to exclude patients with Child-

Pugh score B disease due to severe toxicities. The final intent-to-treat (ITT) population comprised only patients with Child-Pugh score A disease ($n=565$). They received either ramucirumab 8 mg/kg or placebo intravenously every 2 weeks. The study was negative in terms of primary endpoint. The experimental arm did not demonstrate a significant OS improvement over best supportive care; however, improvements in PFS and response rate were observed. A post hoc analysis of East Asians ($n=252$) and non-East Asians ($n=313$) in the ITT population was performed in 2016 and did not show any differences between the two groups neither in terms of OS nor toxicity.⁴⁷ A secondary prespecified subgroup analysis according to Child-Pugh score⁴⁸ showed a potential OS benefit for patients with a Child-Pugh score of 5 (HR 0.80, 95% CI 0.63–1.02, $P=0.06$), but no apparent benefit for patients with Child-Pugh scores of 6 or 7 and 8 (HR 0.96, 95% CI 0.71–1.28, $P=0.76$ and HR 1.00, 95% CI 0.62–1.60, $P>0.99$, respectively). The PFS HRs were 0.59 (95% CI 0.47–0.74, $P<0.001$) for patients with a Child-Pugh score of 5, 0.78 (95% CI 0.58–1.04, $P=0.09$) for patients with a Child-Pugh score of 6, and 0.74 (95% CI 0.46–1.19, $P=0.22$) for patients with Child-Pugh scores of 7 and 8. In another prespecified analysis, patients with Child-Pugh scores of 5 and 6 and baseline serum AFP of 400 ng/mL or more ($n=290$), derived a clear OS benefit (HR 0.61 and 0.64, respectively; $P<0.05$); however, the PFS was favorable only for patients with a Child-Pugh score of 5 (HR 0.66, 95% CI 0.47–0.93, $P=0.02$). In patients with baseline AFP levels less than 400 ng/mL ($n=344$), no apparent OS improvement was observed in any Child-Pugh subgroup. Due to these aforementioned observations, ramucirumab was then investigated in a designed randomized 2:1, double-blind, placebo-controlled phase III study, REACH-2, which enrolled 292 Child-Pugh score A score, performance status 0–1 patients with elevated AFP levels at baseline (≥ 400 ng/mL) with OS as primary endpoint (Figure 2). Earlier in 2018, a Lilly Press release announced that the study met the OS endpoint,⁴⁹ the

first results were presented at an ASCO Meeting in 2018 in Chicago, USA, IL. The study was positive in its primary objective as well as in its secondary objectives (disease control rate, PFS). The median OS in the ramucirumab arm was 8.5 months vs 7.3 months in the placebo arm (HR 0.710, 95% CI 0.531–0.949, $P=0.01$). The survival rate at 12 and 18 months were 36.8% and 24.5% in the ramucirumab arm vs 30.3% and 11.3% in the placebo arm. The median PFS was also improved in the ramucirumab arm (2.8 vs 1.6 months, $P<0.001$), however, even though the response rate was better in the experimental arm, it was still very low (4.6% vs 1.1%).⁵⁰ Similar to what was observed in the first REACH study, significant improvement of disease control rate was observed in the majority of patients (59.9% vs 38.9%), however, a significant improvement of objective response rate with ramucirumab treatment was only observed in a subgroup of patients with Child-Pugh scores of 5.

For both REACH and REACH-2 trials, the most frequent AEs in the ramucirumab arm included hypertension (12.2%, grade 3), decreased appetite, nausea, asthenia (7%, grade 3), headache, and peripheral edema. However, the tolerance profile of ramucirumab seemed to be globally better than TKI profile.³⁴ The incidence of any-grade liver injury and/or failure AEs including clinical and laboratory events was higher in the ramucirumab arm (81.6%) compared with the placebo arm (55.3%). The number of patients who experienced hepatic encephalopathy in the treatment arm was low ($n=4$). These results are consistent with the observed survival benefit in the ITT population with baseline AFP levels either 400 ng/mL or more or less than 400 ng/mL reported previously.⁴⁶ So far, except for this new “biomarker AFP” used in advanced HCC, no other consistent predictive cancer biomarkers are currently being used to guide patient selection for systemic antiangiogenic treatments. Surprisingly, in the BRISK-FL trial comparing brivanib, a dual inhibitor of FGF and VEGF receptors, to placebo, in second-line postsorafenib, the efficacy of brivanib was borderline significant for patients with AFP levels less than 200 ng/mL! As described previously, mounting evidence suggests that in a particular subclass of patients with HCC who have elevated AFP levels and poor prognosis, intratumoral conditions may exist that enhance sensitivity to VEGFR-2 inhibition. A recent study⁵¹ reported that TP53 mutations are strongly correlated to the novel macrotrabecular-massive subtype of HCC (MTM-HCC). This subtype, representing 10% of all HCC, is characterized by a predominant macrotrabecular growth pattern, more frequent in hepatitis virus B infected patients, with high AFP serum levels and histological features of aggressive-

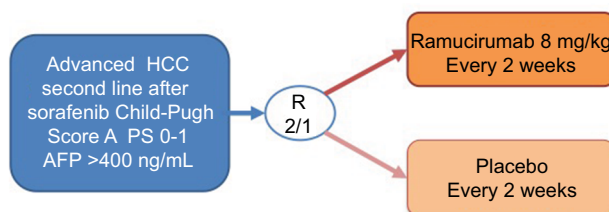


Figure 2 The REACH-2 trial design.

Abbreviations: AFP, alpha-fetoprotein; HCC, hepatocellular carcinoma; PS, performance status.

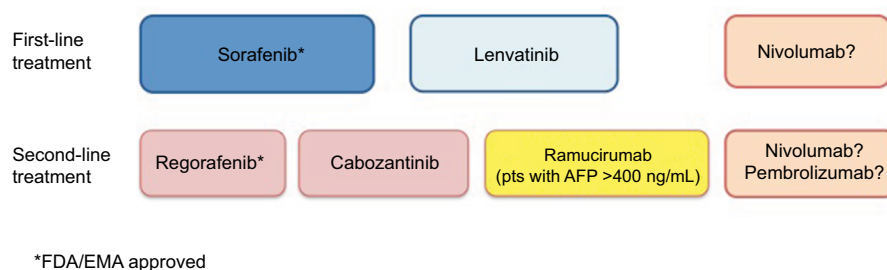


Figure 3 The hepatocellular carcinoma management landscape in 2018.

Abbreviations: AFP, alpha-fetoprotein; EMA, European Medicines Agency; FDA, US Food and Drug Administration; pts, patients.

ness (satellite nodules, macrovascular and microvascular invasion). TP53 mutations were mostly identified in poorly differentiated tumors with frequent vascular invasion and activation of cell proliferation, angiogenesis, and epithelial-to-mesenchymal transition. Fang et al demonstrated that silencing the expression of ANGPT2 growth factor, known to promote neoangiogenesis and endothelial sprouting in cooperation with VEGFA,⁵² by neoplastic cells was able to disrupt the formation of the MTM-HCC pattern and reduce both intrahepatic and pulmonary metastases.⁵³ Higher ANGPT2 mRNA levels in MTM-HCC and a trend toward an increase in VEGFA expression may open novel therapeutic strategies for this highly aggressive HCC subtype, as inhibitors of both ANGPT2 and VEGFA signaling have shown great in vivo anti-tumor efficacy if used in combination.⁵⁴

Conclusion

In mid-2018, sorafenib remains the gold standard first-line therapy for advanced HCC; recently, lenvatinib has been shown to be noninferior to sorafenib in first-line therapy. In patients who tolerated and progressed under sorafenib, regorafenib is the second-line therapy of choice. In 2018, two other drugs, a multi-TKI, cabozantinib, and a monoclonal antibody, ramucirumab (only in patients with high baseline AFP serum levels), were demonstrated as efficient in second-line therapy (Figure 3).

Currently, immunotherapy seems very promising in advanced HCC treatment, with an improved tumor response rate and durability of response in first-line (nivolumab) therapy and for patients who had failed on sorafenib (nivolumab and pembrolizumab). However, nowadays, systemic targeted therapies in the treatment of advanced HCC remain the most effective compounds even though most of them have multi-target antiangiogenic functions. This is the first time that a one-target antiangiogenic agent (ramucirumab – VEGFR-2) demonstrated positive results in a phase III trial underlining the potential

antitumor effect of these new classes of angiogenesis inhibitors in HCC patients; moreover, this drug is not efficient for all patients but works mainly in patients with high AFP levels. In the coming years, we may have many trials testing these different agents alone or in combination (TKI+immunotherapies), providing many new treatment options for patients with HCC.

Author contributions

M Gilbert and JL Raoul contributed to data analysis, drafting and revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

Disclosure

The authors report no conflicts of interest in this work.

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