

# Optimized vinpocetine-loaded vitamin E D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate-alpha lipoic acid micelles as a potential transdermal drug delivery system: in vitro and ex vivo studies

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**Background:** Vinpocetine (VNP), a semisynthetic natural product, is used as a vasodilator for cerebrovascular and age-related memory disorders. VNP suffers from low oral bioavailability owing to its low water solubility and extensive first-pass metabolism. This work aimed at utilizing D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate (TPGS) and alpha lipoic acid (ALA) to develop efficient micellar system for transdermal delivery of VNP.

**Materials and methods:** VNP-TPGS-ALA micelles were prepared, characterized for particle size using particle size analyzer, and investigated for structure using transmission electron microscope. Optimization of VNP-TPGS-ALA micelles-loaded transdermal films was performed using Box-Behnken experimental design. The investigated factors were percentage of ALA in TPGS ( $X_1$ ), citral concentration ( $X_2$ ), and propylene glycol concentration ( $X_3$ ). Elongation percent ( $Y_1$ ), initial permeation after 2 hours ( $Y_2$ ), and cumulative permeation after 24 hours ( $Y_3$ ) were studied as responses.

**Results:** Statistical analysis revealed optimum levels of 16.62%, 3%, and 2.18% for  $X_1$ ,  $X_2$ , and  $X_3$ , respectively. Fluorescent laser microscopic visualization of skin penetration of the optimized transdermal film revealed marked widespread fluorescence intensity in skin tissue after 0.5, 2, and 4 hours compared with raw VNP transdermal film formulation, which indicated enhancement of VNP skin penetration.

**Conclusion:** The obtained results highlighted the potentiality of VNP nanostructure-based films for controlling the transdermal permeation of the drug and improving its effectiveness.

**Keywords:** bioavailability, box behnken design, citral, fluorescent laser microscope, nanostructured-based films, permeation

## Introduction

Vinpocetine (VNP), derived from the natural vinca alkaloid vincamine, is widely utilized as a vasodilator and a nootropic agent.<sup>1,2</sup> VNP is used for improving memory and cerebral metabolism in patients with cerebrovascular and age-related memory disorders.<sup>3,4</sup> In addition, it has shown potential in the treatment of Parkinson's and Alzheimer's diseases. The low oral bioavailability due to extensive first-pass metabolism and low aqueous solubility hinders the full utilization of VNP oral dose.<sup>5</sup> These challenges of low solubility and bioavailability attracts the attention of researchers to design a more efficient dosage form to deliver VNP.<sup>5-9</sup>

D- $\alpha$ -Tocopherol polyethylene glycol 1000 succinate (TPGS) is a nonionic surfactant, which is a mixed ester of vitamin E succinate and polyethylene glycol 1000.<sup>10</sup>

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TPGS forms stable micelles in aqueous vehicles due to its amphiphilic structure at a concentration as low as 0.02 wt% with a hydrophile/lipophile balance (HLB) value of 13.2.<sup>10,11</sup> TPGS has been widely investigated for its emulsifying and solubilizing effects on poorly water-soluble drugs.<sup>11–13</sup> It can also act as a P-glycoprotein inhibitor and has been used as an excipient for overcoming multidrug resistance and for increasing the oral bioavailability of anticancer drugs.<sup>12,14</sup> It has been approved as a pharmaceutical excipient by the US Food and Drug Administration (FDA).<sup>11</sup> TPGS has also been utilized in the preparation of functionalized nanoparticles with poly lactic-co-glycolic acid for drug delivery.<sup>15</sup>

Alpha lipoic acid (ALA) is an antioxidant that protects membranes through recycling vitamin E.<sup>16</sup> ALA can function as a redox regulator and has shown effects in various oxidative stress models such as ischemia–reperfusion injury and diabetes models.<sup>17–20</sup> It is clinically used for the treatment of diabetes complications and for the cure of alcohol, mushroom, and heavy metal poisoning.<sup>21,22</sup> In addition, ALA has been proven to enhance cognitive functioning in patients with Alzheimer's disease.<sup>23,24</sup> ALA has also been utilized as a part of nanostructure matrix for improving drug delivery.<sup>25,26</sup>

Bioavailability problems during oral delivery have attracted the attention of formulators to look for alternative and effective formulations. This work presents the utilization of TPGS and ALA as a novel combination to form micellar structure for transdermal delivery of VNP utilizing a mathematical experimental design. This approach to improve the diffusion of VNP using nanostructure-based transdermal film system could be useful for improving treatment effectiveness of the delivered therapeutic agents and represents a promising alternative for the oral route. In this regard, this work aims to utilize an experimental design to study the effect of formulation parameters of VNP-TPGS-ALA micelles-loaded transdermal films on elongation percent, initial permeation after 2 hours, and cumulative permeation after 24 hours. The *in vitro* characteristics, *ex vivo* skin permeation, and skin layers penetration of the optimized formulation were also investigated.

## Materials and methods

### Materials

VNP, TPGS, citral, propylene glycol (PG), ethanol, hydroxypropyl methylcellulose (viscosity 4,000 cP, 2% [w/v] solution), and fluorescein isothiocyanate (FITC)-dextran (average molecular weight 20,000 Da) were purchased from Sigma-Aldrich Co. (St Louis, MO, USA).

### Preparation of VNP-TPGS-ALA micelles

VNP-loaded TPGS-ALA micelles were prepared by dissolving VNP, TPGS, and ALA in ethanol using a magnetic stirrer for 5 minutes.<sup>27</sup> Distilled water was then added to the prepared solution. After dispersion of the components, ethanol was removed using a rotary evaporator (BÜCHI Labortechnik AG, Flawil, Switzerland). The prepared dispersion was then centrifuged at 30,000 rpm for 45 minutes at 4°C. The residue was lyophilized using a freeze dryer (alpha 1-2 LD plus lyophilizer; Christ, Osterode am Harz, Germany) for 48 hours and stored for further characterization.

### Evaluation of VNP-TPGS-ALA micelles

#### Particle size

The prepared VNP-TPGS-ALA dispersion was investigated for mean particle size by light scattering technique using a Zetatrac particle size analyzer (Microtrac Inc., Montgomeryville, PA, USA).<sup>28</sup>

#### Transmission electron microscopy

A sample of VNP-TPGS-ALA micelles preparation was exposed to a transmission electron microscope (100CX; JEOL, Tokyo, Japan). A drop of diluted micelles was added onto a microscope grid and then stained with 2% uranyl acid. The grid was dried before investigation.<sup>29</sup>

### Box–Behnken experimental design for VNP-TPGS-ALA transdermal films

Based on the preliminary investigation of VNP-TPGS-ALA transdermal formulations, a three-factor three-level Box–Behnken experimental design (Statgraphics Centurion XV version 15.2.05 software; StatPoint Technologies Inc., Warrenton, VA, USA) was used to investigate the effect of the studied variables.<sup>30</sup> A total of 15 runs was constructed with a fully randomized order of experiments. The investigated independent variables (factors) were percentage of ALA in TPGS ( $X_1$ ), citral concentration ( $X_2$ ), and PG concentration ( $X_3$ ). The studied dependent variables (responses) were elongation percent ( $Y_1$ ), initial permeation after 2 hours ( $Y_2$ ), and cumulative permeation after 24 hours ( $Y_3$ ) of the prepared VNP-TPGS-ALA films. The levels of the investigated independent variables and the constraints for the dependent variables are shown in Table 1. The design was constructed to maximize the elongation percent and to achieve sustained permeation profile of drug from the transdermal films with VNP initial permeation of 20% after 2 hours and cumulative permeation of 75% after 24 hours.

**Table 1** Independent and dependent variables of VNP-TPGS-ALA transdermal film formulations in Box–Behnken design

Independent variables	Unit	Levels		
		-I	0	I
ALA in TPGS ( $X_1$ )	%	0	15	30
Citral concentration ( $X_2$ )	%	1	2	3
PG concentration ( $X_3$ )	%	1	3	5
Dependent variables	Unit	Constraints		
		Low	High	Goal
Elongation percent ( $Y_1$ )	%	5	140	72.5
Initial permeation after 2 hours ( $Y_2$ )	%	10.24	30.36	20.3
Cumulative permeation after 24 hours ( $Y_3$ )	%	57.72	97.29	77.5

**Abbreviations:** ALA, alpha lipoic acid; PG, propylene glycol; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate; VNP, vinpocetine.

## Preparation of VNP-TPGS-ALA transdermal films

According to the formulation composition depicted in the experimental design (Table 2), VNP-TPGS-ALA transdermal films were prepared by the dispersion of the prepared micelles containing VNP equivalent to 40 mg in 50 mL distilled water. Hydroxypropyl methylcellulose (2%, w/v) and specified amounts of the penetration enhancer (citral) and PG, as plasticizer, were added.<sup>31</sup> The dispersion was stirred using a magnetic stirrer and then kept for 24 hours in the fridge.

**Table 2** Composition of VNP-TPGS-ALA transdermal film formulations and their observed responses

Runs	$X_1$	$X_2$	$X_3$	$Y_{1*}$	$Y_{2*}$	$Y_{3*}$
	(%)	(%)	(%)	(%)	(%)	(%)
1	15.0	1.0	5.0	125.0	20.89	71.85
2	0.0	2.0	5.0	130.0	22.3	92.15
3	15.0	1.0	1.0	25.0	14.86	66.43
4	15.0	3.0	1.0	5.0	19.67	73.1
5	15.0	2.0	3.0	95.0	17.9	80.89
6	30.0	2.0	1.0	20.0	11.84	64.41
7	15.0	2.0	3.0	90.0	17.72	78.96
8	0.0	1.0	3.0	85.0	21.58	86.65
9	15.0	3.0	5.0	140.0	18.44	89.66
10	0.0	3.0	3.0	110.0	30.36	97.29
11	30.0	1.0	3.0	95.0	10.24	57.72
12	30.0	2.0	5.0	125.0	14.1	64.69
13	15.0	2.0	3.0	95.0	17.67	77.85
14	30.0	3.0	3.0	115.0	12.3	66.21
15	0.0	2.0	1.0	10.0	19.39	88.15

**Notes:** \*The observed values of  $Y_1$ ,  $Y_2$ , and  $Y_3$  represent the means of three determinations; SDs were <5% of the mean and thus are omitted from the table.  $X_1$ : ALA in TPGS;  $X_2$ : citral concentration;  $X_3$ : PG concentration;  $Y_1$ : elongation percent;  $Y_2$ : initial permeation after 2 hours; and  $Y_3$ : cumulative permeation after 24 hours.

**Abbreviations:** ALA, alpha lipoic acid; PG, propylene glycol; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate; VNP, vinpocetine.

After that, the preparation was poured in a glass petri dish (9 cm diameter) and stored at 40°C until complete evaporation of water and formation of the films.

## Characterization of the prepared VNP-TPGS-ALA transdermal films

The physical appearance of the prepared films was investigated using a magnifying glass for observing surface appearance and defects. Film thickness was measured using a micrometer (Mitutoyo Co., Kawasaki, Japan).

## Film elongation percent

The prepared films were evaluated for elongation percent as previously described.<sup>32</sup> Briefly, rectangular film strips of 1×4 cm were fixed in such a way that the length of the film between the jaws was 2 cm under a weight of 200 g for a minute. The elongation percent was calculated according to equation 1.

$$\text{Elongation percent} = \frac{\text{Final film length} - \text{Original film length}}{\text{Original film length}} \times 100 \quad (1)$$

## Ex vivo diffusion study of the prepared VNP-TPGS-ALA transdermal films

The study protocol was approved by the Research Ethics Committee, Faculty of Pharmacy, King Abdulaziz University, that ensured the care and use of animals according to the EU Directive 2010/63/EU on the protection of animals used for scientific purposes and Guiding Principle in Care and Use of Animals (DHEW publication NIH 80-23). Full-thickness skin samples of 3×3 cm area were excised from the abdominal region of shaved Wistar rats and freed from any subcutaneous fats. The prepared skin was mounted between the donor and receptor compartments of the diffusion cells with the dermal side in direct contact with the receptor medium. The diffusion of VNP from the prepared transdermal films (15 formulations) was carried out using automated Franz diffusion cell apparatus MicroettePlus™ (automated Franz diffusion cell apparatus; Hanson Research, Chatsworth, CA, USA) with a diffusion area of 1.77 cm<sup>2</sup>.<sup>31</sup> Buffered saline solution (pH 7.4) was used as a receiver medium in the receptor chamber in which the temperature was kept at 37°C and the stirring rate was 400 rpm. VNP samples were analyzed using a previously reported HPLC method validated and adopted to our laboratory.<sup>33</sup>

## Optimization of VNP-TPGS-ALA micelles-loaded transdermal film

The results obtained for the responses ( $Y_1$ – $Y_3$ ) of the 15 prepared formulations were subjected to statistical analysis, and the optimum combination of these factors was deduced. The predicted VNP-TPGS-ALA micelles-loaded transdermal film, deduced by the design, utilizing the optimum level of factors was prepared and evaluated for elongation percent and diffusion parameters. The observed data obtained were compared with the predicted values for validation of the optimized formulation.

## Visualization of skin penetration for the optimized TPGS-ALA-loaded transdermal film using fluorescence laser microscope

FITC-dextran (permeability tracer, 0.15  $\mu\text{mol/mL}$ ) was used instead of VNP in the preparation of the optimized transdermal formulation.<sup>34–36</sup> FITC-dextran-loaded micelle transdermal films were added on the rat skin, and the penetration of FITC-dextran across the rat skin was investigated. Transdermal film loaded with raw FITC-dextran (control), that is, no micelles included, was prepared and treated as described for labeled optimized transdermal formulation. The treated skin was removed after 0.5, 2, and 4 hours and kept in 10% buffered formalin as a fixative.<sup>37,38</sup> Blocks of skin sample (paraffin wax sections of 4  $\mu\text{m}$  thickness) were prepared using a microtome. The prepared samples were observed using Zeiss Axio Observer D1 Inverted Dic Fluorescence Microscope (Carl Zeiss AG, Oberkochen, Germany). Filter used was 470/40 nm excitation, 495 beam splitter, and 525/50 nm emission. Images were acquired with identical acquisition parameters, with minimum excitation and gain.

## Results and discussion

### Preparation and characterization of VNP-TPGS-ALA micelles

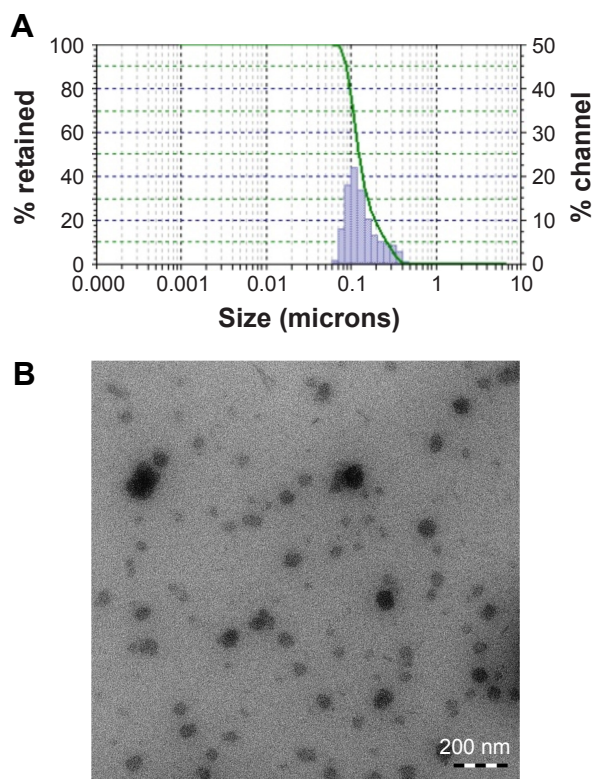
The main factors that affect the micelles ability to deliver drugs include solubilizing capacity and stability. The choice of TPGS in this study was based on its ability to form stable micelles and to efficiently solubilize poorly soluble drugs owing to its low critical micelle concentration and high HLB. TPGS has also been reported as a skin permeation enhancer.<sup>39,40</sup> ALA is a powerful antioxidant that could enhance the cognitive function in patients with Alzheimer's disease. ALA molecules act as surface active agents, reduce the surface energy, and spontaneously aggregate in aqueous medium to form micelles.<sup>26</sup> Li et al proved the ability

of ALA to stabilize and enhance the drug loading of polymeric micelles.<sup>41</sup> Accordingly, incorporation of ALA could provide combined advantages of micelles stabilization and drug-loading enhancement, in addition to augmenting the cognition-improving action of VNP.

The prepared VNP-TPGS-ALA micelles showed an average particle size, measured by the dynamic light scattering technique, of  $129 \pm 18$  nm with a polydispersity index of 0.59 (Figure 1A). The photomicrographs of VNP-TPGS-ALA micelles preparation examined by TEM, shown in Figure 1B, revealed a wide range of sizes of clusters for VNP-TPGS-ALA micelles with larger clumps that were interpreted as micelle aggregates.

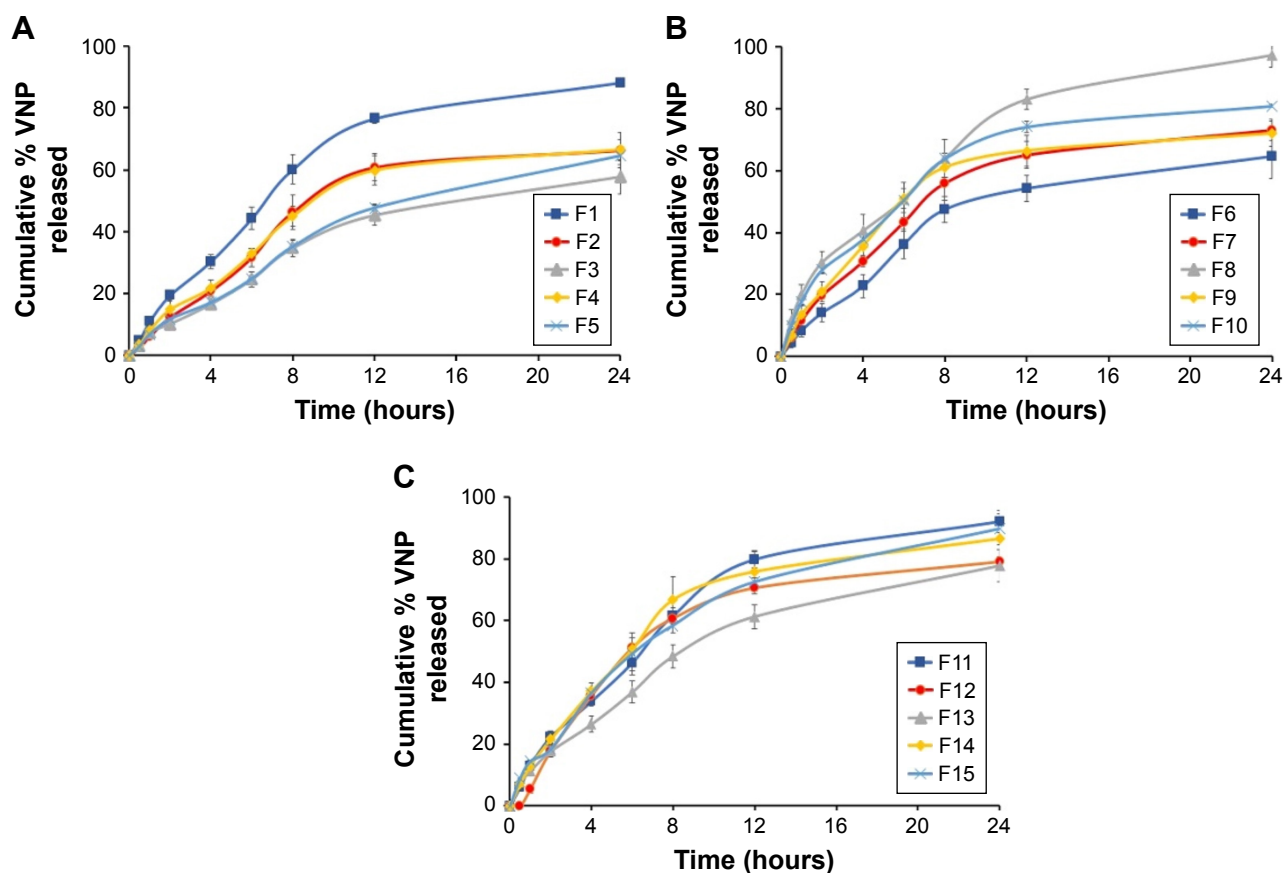
### Preparation and characterization of VNP-TPGS-ALA transdermal films

The prepared transdermal films were smooth in appearance and uniform in thickness without visible cracks. The results of the elongation percent ( $Y_1$ ), the initial permeation after 2 hours ( $Y_2$ ), and the cumulative permeation after 24 hours ( $Y_3$ ) of the VNP-TPGS-ALA transdermal films are presented in Table 2 and Figure 2.



**Figure 1** Particle size distribution measured by particle size analyzer (A) and TEM photomicrographs of VNP-TPGS-ALA micelles (B).

**Abbreviations:** ALA, alpha lipoic acid; TEM, transmission electron microscopy; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate; VNP, vinpocetine.



**Figure 2** Ex vivo permeation profile of VNP-TPGS-ALA transdermal patch formulations: F1–F5 (A); F6–F10 (B); and F11–F15 (C).  
**Abbreviations:** ALA, alpha lipoic acid; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate; VNP, vinpocetine.

## Box–Behnken experimental design for VNP-TPGS-ALA transdermal films

The quantitative effects of the independent variables ( $X_1$ – $X_3$ ) on the dependent variables ( $Y_1$ – $Y_3$ ) were fitted into regression quadratic equations (equations 2–4).

Elongation percent

$$= -19.531 + 0.347 X_1 - 27.708 X_2 + 59.063 X_3 + 0.012 X_1^2 - 0.083 X_1 X_2 - 0.125 X_1 X_3 + 5.208 X_2^2 + 4.375 X_2 X_3 - 6.198 X_3^2 \quad (2)$$

Initial permeation after 2 hours

$$= 12.5297 - 0.089 X_1 + 1.224 X_2 + 3.278 X_3 - 0.002 X_1^2 - 0.112 X_1 X_2 - 0.005 X_1 X_3 + 1.207 X_2^2 - 0.908 X_2 X_3 - 0.126 X_3^2 \quad (3)$$

Cumulative permeation after 24 hours

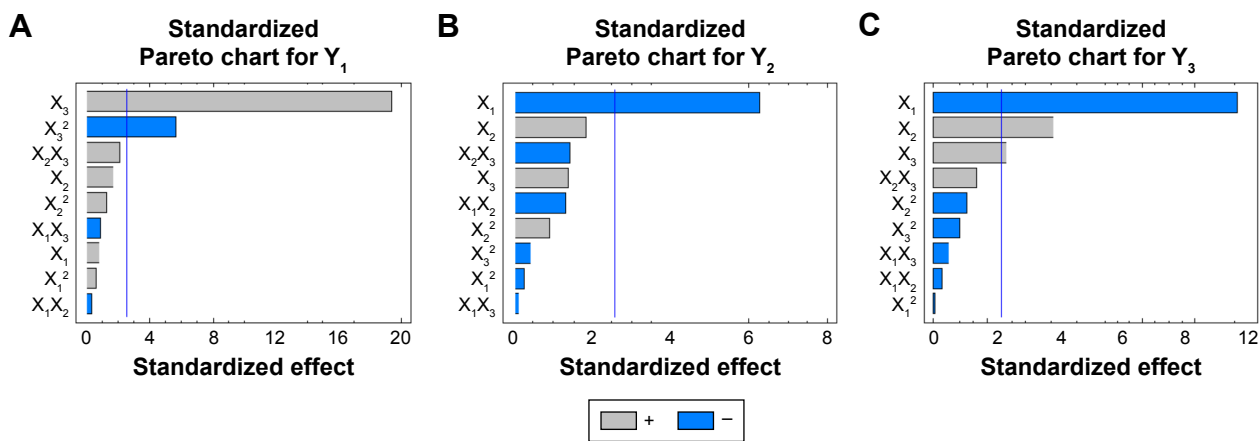
$$= 70.354 - 0.750 X_1 + 10.523 X_2 + 2.014 X_3 - 0.0004 X_1^2 - 0.036 X_1 X_2 - 0.031 X_1 X_3 - 2.178 X_2^2 + 1.393 X_2 X_3 - 0.449 X_3^2 \quad (4)$$

The significance and magnitude of the effects of the investigated dependent variables on the studied responses are represented using Pareto charts and three-dimensional (3D) response surface plots in Figures 3 and 4, respectively. The synergistic and antagonistic effects of the independent variables are indicated by the positive and negative signs in the Pareto charts.

The estimated effects of factors and associated  $P$ -values for the dependent variables are presented in Table 3. A  $P$ -value less than 0.05 was considered significant. The estimate values in Table 3 reflect the magnitude of the effect of each factor on the response relative to the other factors. The greater the absolute value of the estimate, the more the effect of that factor on the studied response. The estimate's sign designates the trend's direction. A positive sign of an estimate denotes a direct correlation of the variable with the studied response, while a negative sign denotes an inverse one.

### Effect on the elongation percent ( $Y_1$ )

Generally, the elongation percent reflects the mechanical properties of the prepared transdermal film. A hard and brittle



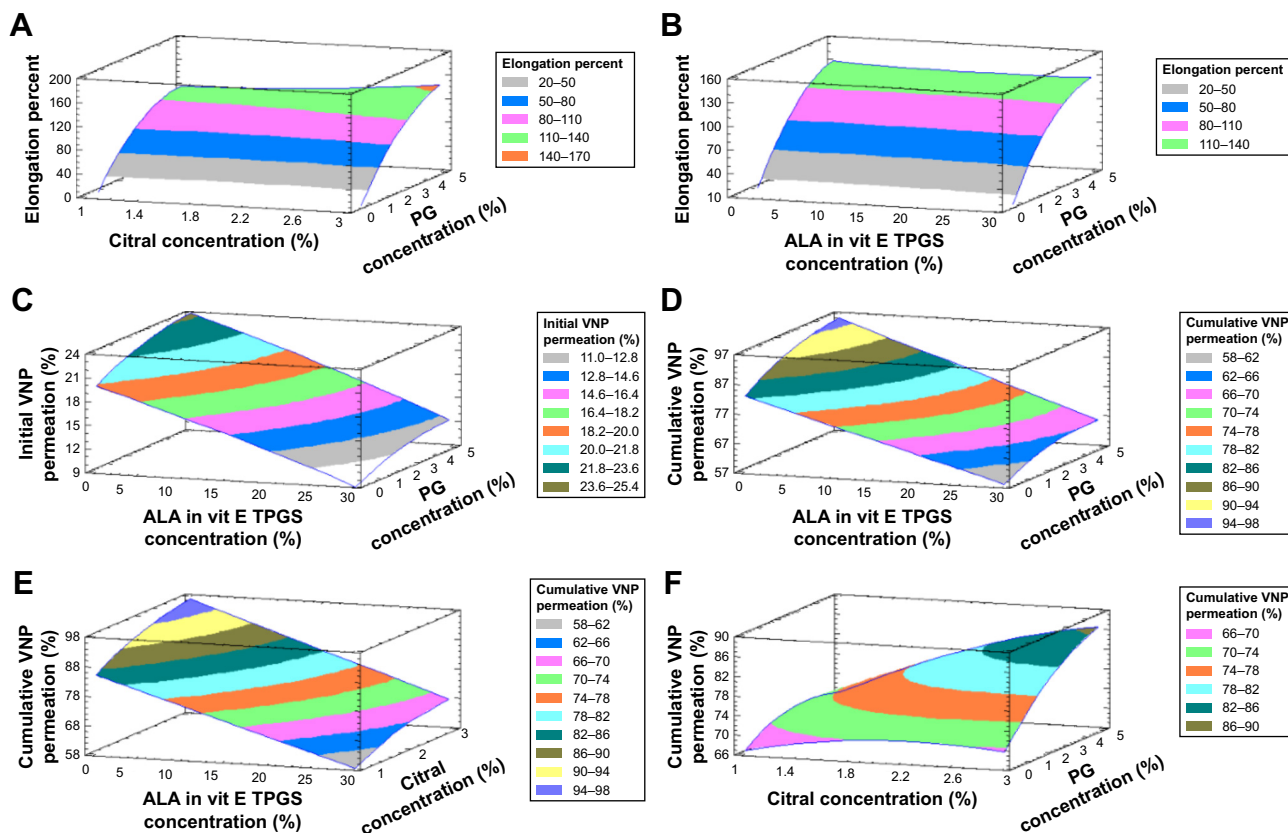
**Figure 3** Standard Pareto charts revealing the significance of the independent variables ( $X_1$ ,  $X_2$ , and  $X_3$ ) and their combined effects on the investigated dependent variables  $Y_1$  (A),  $Y_2$  (B), and  $Y_3$  (C).

**Notes:**  $X_1$ : ALA in TPGS;  $X_2$ : citral concentration;  $X_3$ : PG concentration;  $Y_1$ : elongation percent;  $Y_2$ : initial permeation after 2 hours; and  $Y_3$ : cumulative permeation after 24 hours.

**Abbreviations:** ALA, alpha lipoic acid; PG, propylene glycol; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate.

film is characterized by moderate tensile strength and low elongation, but a soft and tough film is described by high tensile strength and high elongation.<sup>42</sup> So, the incorporation of the appropriate plasticizer concentration is important to

improve the elasticity, increase toughness, and reduce the brittleness of the prepared film.<sup>43</sup> To obtain a tough but flexible film, the current study aimed to investigate the effect of PG at different levels from 1% to 5%. The obtained results



**Figure 4** Three-dimensional response surface plots showing the effects of the independent variables  $X_1$  and  $X_2$  at midpoint of  $X_3$  on the investigated dependent variables  $Y_1$  (A and B),  $Y_2$  (C), and  $Y_3$  (D–F).

**Notes:**  $X_1$ : ALA in TPGS;  $X_2$ : citral concentration;  $X_3$ : PG concentration;  $Y_1$ : elongation percent;  $Y_2$ : initial permeation after 2 hours; and  $Y_3$ : cumulative permeation after 24 hours.

**Abbreviations:** ALA, alpha lipoic acid; PG, propylene glycol; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate; VNP, vinpocetine.

**Table 3** Estimated effects of factors and associated *P*-values for dependent variables ( $Y_1$ ,  $Y_2$ , and  $Y_3$ )

Factors	Response					
	$Y_1$		$Y_2$		$Y_3$	
	Estimated effect	<i>P</i> -value	Estimated effect	<i>P</i> -value	Estimated effect	<i>P</i> -value
$X_1$	5.0	0.4391	-11.2875	0.0015*	-27.8025	0.0001*
$X_2$	10.0	0.1537	3.3	0.1259	10.9025	0.0061*
$X_3$	115.0	0.0000*	2.4925	0.2243	6.565	0.0405*
$X_1^2$	5.41667	0.5634	-0.700833	0.8017	-0.175833	0.9621
$X_1X_2$	-2.5	0.7784	-3.36	0.2436	-1.075	0.7634
$X_1X_3$	-7.5	0.4137	-0.325	0.9033	-1.86	0.6060
$X_2^2$	10.4167	0.2878	2.41417	0.4035	-4.35583	0.2708
$X_2X_3$	17.5	0.0921	-3.63	0.2128	5.57	0.1604
$X_3^2$	-49.5833	0.0024*	-1.01083	0.7182	-3.59083	0.3544
$R^2$	98.8172		90.8485		97.1272	
Adj. $R^2$	96.6882		74.3758		91.9562	
SEE	8.41625		2.54266		3.38154	
MAE	4.11111		1.21089		1.69456	

**Notes:** \*Significant effect of factors on individual dependent variables.  $X_1$ : ALA in TPGS;  $X_2$ : citral concentration;  $X_3$ : PG concentration;  $Y_1$ : elongation percent;  $Y_2$ : initial permeation after 2 hours;  $Y_3$ : cumulative permeation after 24 hours.  $R^2$ : coefficient of determination; Adj.  $R^2$ : coefficient of determination adjusted for degrees of freedom; MAE: mean absolute error; SEE: standard error of estimate.

**Abbreviations:** ALA, alpha lipoic acid; PG, propylene glycol; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate.

in Table 2 showed that elongation percent varied from 5% (F4) to 140% (F9). The statistical analysis revealed that PG ( $X_3$ ) and its quadratic term showed positive significant effects on the elongation percent ( $Y_1$ ) (Figure 3A) with *P*-values of 0.0001 and 0.0024, respectively (Table 3). These results indicated that the plasticizer percentage ( $X_3$ ) was the most significant factor affecting the elongation percent ( $Y_1$ ). The 3D response surface graph illustrated in Figure 4A and B demonstrated the magnitude of this effect. This finding could be explained by polymer chain interruption by the action of PG that leads to softening and extension of the film matrix. This finding on increasing the elongation percent of films by the action of PG is in accordance with the previously reported data.<sup>32,44,45</sup>

#### Effect on initial drug permeation after 2 hours ( $Y_2$ )

The permeation profiles of VNP-TPGS-ALA transdermal films with no ALA in their content displayed the highest initial VNP permeation after 2 hours ranging from 19.39% (F15) to 30.36% (F10), whereas formulations containing the highest percentage of ALA (30%) displayed the lowest initial VNP permeation after 2 hours ranging from 10.24% to 14.1% for F11 and F12, respectively (Figure 2). At the same levels of  $X_2$  and  $X_3$ , when  $X_1$  increased from 0% to 30%,  $Y_2$  values decreased from 21.58% (F8) to 10.24% (F11), from 19.39% (F15) to 11.84% (F6), from 30.38% (F10) to 12.3% (F14), and from 22.3% (F2) to 14.1% (F12). As illustrated in Figures 3B and 4C, the percentage of ALA

in TPGS ( $X_1$ ) is the only factor that significantly affected the initial permeation after 2 hours ( $Y_2$ ) in a negative trend with a *P*-value of 0.0015 (Table 3). This finding could be attributed to the poor aqueous solubility of ALA that could result in reduced hydrophilicity of TPGS-ALA micelles, and consequently, reduced permeation rate of VNP from VNP-TPGS-ALA films.<sup>22</sup>

#### Effect on the cumulative drug permeated after 24 hours ( $Y_3$ )

The permeation of VNP from the prepared transdermal films showed a marked variation in the cumulative drug permeated after 24 hours ranging from 57.72% to 97.29% according to the level of factors in the formulations as shown in Figure 2. All the studied factors ( $X_1$ ,  $X_2$ , and  $X_3$ ) showed a significant effect on the cumulative amount of VNP permeated after 24 hours ( $Y_3$ ) as illustrated in Figure 3C. The percentage of ALA in TPGS ( $X_1$ ) showed an antagonistic significant effect on the cumulative drug permeation after 24 hours ( $Y_3$ ) with a *P*-value of 0.0001. On the other hand, the percentage of citral ( $X_2$ ) and the percentage of PG ( $X_3$ ) showed a synergistic significant effect on the same response with *P*-values of 0.0061 and 0.0405, respectively (Table 3). The magnitude of the effect of the three factors on  $Y_3$  is illustrated in Figure 4D–F.

Incorporation of  $X_1$  at high level (30%) in VNP-TPGS-ALA transdermal films led to a controlled-release pattern. At the same levels of  $X_2$  and  $X_3$ , when the  $X_1$  increased from

0% to 30%,  $Y_3$  decreased from 86.65% (F8) to 57.72% (F11), from 88.15% (F15) to 64.41% (F6), from 97.29% (F10) to 66.21% (F14), and from 92.15% (F2) to 64.69% (F12). This could be due to low aqueous solubility of ALA.

On the other hand, as the percentage of citral ( $X_2$ , penetration enhancer) increased, the percent of VNP permeated via the rat skin increased. At the same levels of  $X_1$  and  $X_3$ , when  $X_2$  increased from 1% to 3%,  $Y_3$  increased from 86.65% (F8) to 97.29% (F10), from 66.43% (F3) to 73.1% (F4), and from 57.72% (F11) to 66.21% (F14). This finding could be attributed to the presence of citral in the film which may interact with some components of the skin causing increased fluidity in the intercellular lipid lamellae, and/or leach out some of the structural components, which increases the level of drug penetrated through the barrier membrane.<sup>46,47</sup> The penetration enhancer may also reduce the capacity of drug binding to the skin, thereby improving drug transport.<sup>43,48</sup> A similar permeation-enhancing effect of citral was reported by Ali et al, who developed glibenclamide transdermal matrix system.<sup>49</sup>

The same finding was found with  $X_3$ ; as the percentage of PG ( $X_3$ , plasticizer) increased, the percent of VNP permeated via the rat skin increased. At the same levels of  $X_1$  and  $X_2$ , when the  $X_3$  increased from 1% to 5%,  $Y_3$  increased from 88.15% (F15) to 92.15% (F2), from 66.43% (F3) to 71.85% (F1), and from 64.41% (F6) to 64.69% (F12). It has been reported that the selection of a suitable plasticizer and its proper percentage have an impact not only on the mechanical properties of the film but also on its permeability of drugs.<sup>50</sup> So, the incorporation of PG ( $X_3$ ) as a plasticizer increases the mobility of the chain of the film-forming polymer leading to increased amount of drug release. The plasticizer will interpose itself between the polymer chains and interact with the forces held together by extending and softening the polymer matrix.<sup>47,51</sup> Another explanation that may enforce the obtained finding is the presence of hydroxyl groups in PG that would strongly interact with water and/or with hydroxypropyl methylcellulose via hydrogen bonding. Hence, the use of PG allowed for more water absorption by the film, thus facilitating VNP diffusion.<sup>32,52</sup> This result was in accordance

with Vora et al who observed a permeation-enhancing effect of PG when used as a plasticizer in carvedilol transdermal systems.<sup>53</sup>

## Optimization of VNP-TPGS-ALA transdermal films

The optimum combination of the factors was obtained by numerical optimization. The results deduced optimum VNP-TPGS-ALA transdermal film formulation of 16.62% for ALA percentage in TPGS, 3.0% for citral concentration, and 2.18% for PG concentration. The deduced optimized VNP-TPGS-ALA transdermal film formulation was prepared and evaluated. The results of the observed and the predicted values are presented in Table 4. According to these results, the optimized combination of independent factors of VNP-TPGS-ALA transdermal film formulation produced the desired elongation percent and controlled drug permeation.

## Visualization of skin penetration of the optimized VNP-TPGS-ALA transdermal film using fluorescence laser microscope

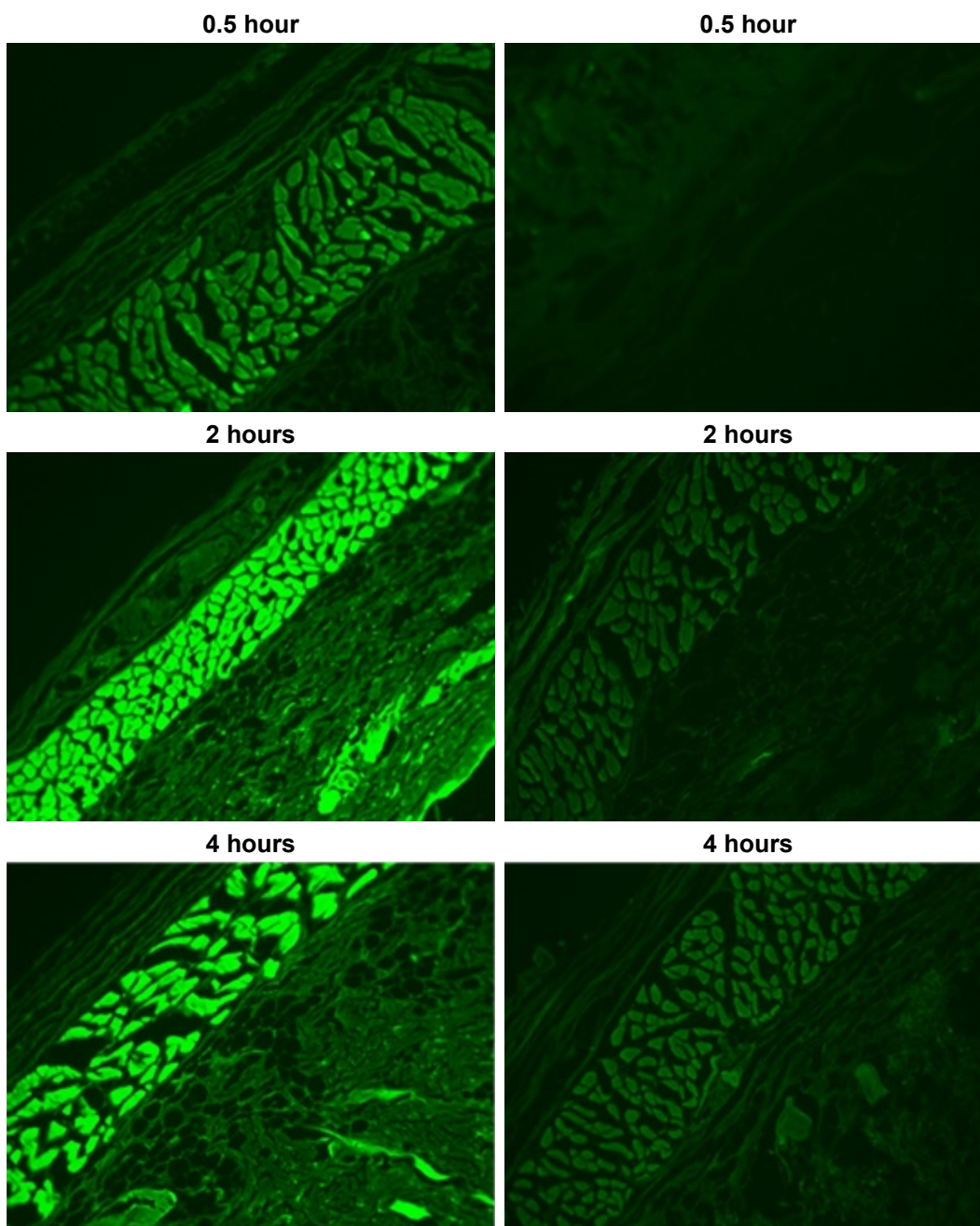
Visualization of the permeation extent of the prepared optimized FITC-dextran-loaded TPGS-ALA transdermal film was carried out using a fluorescence laser microscope in comparison with raw FITC-dextran-loaded transdermal film. The images revealed marked widespread fluorescence intensity in skin tissue from FITC-dextran-loaded TPGS-ALA transdermal film formulation after 0.5, 2, and 4 hours compared to raw FITC-dextran-loaded transdermal formulation as shown in Figure 5. Laser microscope results clearly revealed penetration enhancement from FITC-dextran-loaded TPGS-ALA transdermal film formulation through different skin layers. This result could be attributed to the entrapment of drug in the micellar structure of TPGS-ALA that enhances its penetration through skin layers compared with raw film. The results revealed the ability of penetration enhancement through skin tissue attained by the optimized TPGS-ALA transdermal film formulation when compared with raw transdermal formulation.

**Table 4** Optimal calculated variables and observed, predicted, and residual values for responses

Independent variables	Optimum	Dependent variables	Predicted	Observed	Residual
ALA in TPGS (%)	16.62	Elongation percent (%)	72.5	75.69	3.19
Citral concentration (%)	3.0	Initial permeation after 2 hours (%)	19.98	18.89	1.09
PG concentration (%)	2.18	Cumulative permeation after 24 hours (%)	78.2	74.74	3.46

**Abbreviations:** ALA, alpha lipoic acid; PG, propylene glycol; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate.





**Figure 5** Visualization of skin penetration of the optimized TPGS-ALA-loaded transdermal (left column) and control (right column) films after 0.5, 2, and 4 hours using fluorescence laser microscope (magnification 400 $\times$ ).

**Abbreviations:** ALA, alpha lipoic acid; TPGS, D- $\alpha$ -tocopherol polyethylene glycol 1000 succinate.

## Conclusion

TPGS and ALA were utilized as a nanostructured micellar formulation for transdermal penetration enhancement of VNP. The Box–Behnken experimental design proved to be a useful tool to optimize and validate the results of the desired formulation. The optimized TPGS-ALA micelles-loaded transdermal film showed ability to improve permeation of VNP through skin layers compared with raw VNP-loaded

transdermal film. The results deduced optimum VNP-TPGS-ALA transdermal film formulation of 16.62%, 3.0%, and 2.18% for  $X_1$ ,  $X_2$ , and  $X_3$ , respectively. The marked widespread fluorescence intensity clearly revealed penetration enhancement through skin tissue attained by the optimized TPGS-ALA transdermal film formulation after 0.5, 2, and 4 hours compared with raw transdermal formulation. These findings highlighted the potential of VNP-TPGS-ALA

micelles-loaded film for enhancing the transdermal delivery of the loaded drug.

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## Disclosure

The authors report no conflicts of interest in this work.

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