

# NLRP3 inflammasome-activating arginine-based liposomes promote antigen presentations in dendritic cells

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**Purpose:** The NLRP3 inflammasome activation has been proposed as a common mechanism for some adjuvants to boost the immune system, and cationic liposomes were reported to potentially activate the NLRP3 inflammasome. Herein, we questioned whether the NLRP3 inflammasome-activating cationic liposomes could promote antigen presentation and be applied as an immune adjuvant. In addition, we aimed to investigate the structure effect of lipid on triggering these immune responses.

**Materials and methods:** A series of structurally similar lipids, consisting of arginine (Arg) head group and varied lengths of alkyl chains or spacers in between were used to prepare cationic liposomes. Lipopolysaccharide-primed human or murine macrophages or phorbol 12-myristate 13-acetate-primed THP-1 cells were treated with these liposomes, and interleukin (IL)-1 $\beta$  secretion was measured to quantify the NLRP3 inflammasome activation. Lysosome rupture was examined in THP-1 cells by the fluorescence loss of acridine orange, a lysosome dye. Further, chicken ovalbumin (OVA) was loaded on the liposome surface and applied to murine bone marrow-derived dendritic cells (BMDCs), which activate OT-I and OT-II lymphocytes upon major histocompatibility complex (MHC) class I- and class II-mediated antigen presentation, respectively. OT-I and OT-II cell division and IL-2 secretion were measured to evaluate the antigen presentation efficiency. The expressions of MHC molecules and co-stimulatory molecules ie, CD80, CD86, and CD40 on BMDCs were investigated by flow cytometry.

**Results:** All the liposomes showed size distributions of 80–200 nm and zeta potentials of around 50 mV. A3C14 liposomes, consisting of Arg-C3-Glu2C14 lipids induced the most potent lysosome rupture and NLRP3 inflammasome activation. OVA-A3C14 also exhibited the most potent MHC class I- and class II-mediated antigen presentation in BMDCs without interfering MHC and co-stimulatory molecules.

**Conclusion:** The hydrophobic moieties of arginine-based liposomes are crucial in stimulating innate immune cells. A3C14 liposomes were non-immunogenic but strongly activated innate immune cells and promoted antigen presentation, and therefore can be applied as immune adjuvants.

**Keywords:** arginine, cationic liposomes, NLRP3 inflammasome activation, antigen presentation, lysosome rupture

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## Introduction

Cationic liposomes are widely used for protein delivery and gene transfection due to their electrostatic interaction with negatively charged macromolecules. To date, many attempts on modifying the structures of cationic lipids have been accumulated

to enhance their drug delivery efficiency and meanwhile hurdle the toxicity.<sup>1–3</sup> However, recent findings have shown that some cationic liposomes could cause the NLRP3 inflammasome activation in macrophages,<sup>4–6</sup> revealing a potential of these liposomes being applied as immune stimuli.

The NOD-like receptors (NLRs) are intracellular sensors of invading microbes and “danger” molecules that are derived from the hosts such as ATP. The NLRP3 inflammasome is a multiprotein assembly of NLR-family protein NLRP3, pro-caspase-1, and an apoptosis-associated speck-like protein containing a CARD (ASC) adaptor, which is formed upon activation. It is crucial for innate immunity to trigger the release of pro-inflammatory cytokines such as interleukin (IL)-1 $\beta$ , and two sequential signals are needed for its secretion: one is the activation of toll-like receptor (TLR) such as TLR4, which accelerates the production of pro-IL-1 $\beta$ , and the other is the NLRP3 inflammasome activation, which activates caspase-1 to process pro-IL-1 $\beta$  into its matured form IL-1 $\beta$ . Hitherto knowledge regarding the molecular mechanism of the NLRP3 inflammasome activation proposes the involvement of reactive oxygen species (ROS) and/or lysosomal components released into cytosol upon phagolysosome rupture.<sup>7</sup> Charged nanoparticles, in particular, cationic compositions, might induce the NLRP3 inflammasome activation through phagolysosome membrane destabilization following the phagoendocytosis,<sup>6</sup> well-known example of which includes conventional adjuvant alum, QuilA, and chitosan particles.<sup>8,9</sup> The released IL-1 $\beta$  recruits other immune cells to the site so that the immune responses can be amplified. Accordingly, the NLRP3 inflammasome activation is critical for these adjuvants to elicit immunological effects.

Upon the activation of the innate immune system, adaptive immune responses can be triggered through efficient antigen presentation by antigen presenting cells (APCs) such as dendritic cells (DCs) to activate T cells. There are mainly two pathways regarding the antigen presentation: major histocompatibility complex (MHC) class I molecules-mediated antigen presentation, which is recognized by the T cell receptor (TCR) on immature CD8<sup>+</sup> T cell surface and promotes the maturation of CD8<sup>+</sup> T cells (cytotoxic T cells, CTLs); and MHC class II molecules-mediated antigen presentation, which activates CD4<sup>+</sup> T cells (T helper cells, Th). The activation of T cells results in the cell division as well as the secretion of IL-2, which further drives the proliferation and differentiation of T cells. In addition to TCR signaling, binding of co-stimulatory molecules is also synchronized to

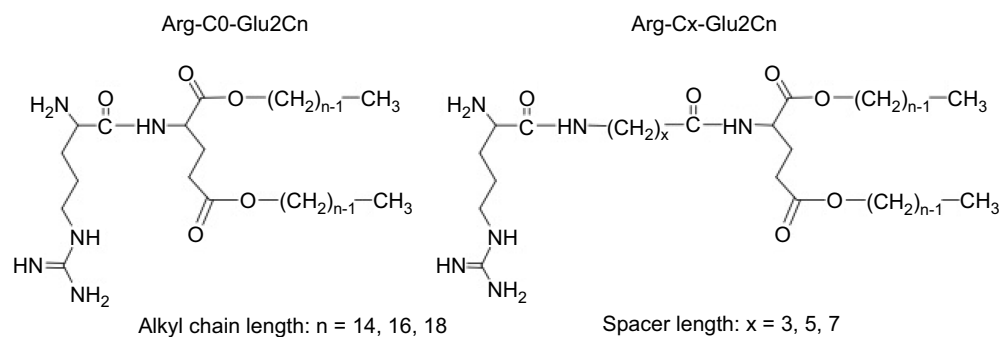
fully activate T cells. For example, CD80/CD86 recognizes CD28, and CD40 recognizes CD40-L on T cells. Deficient expression or recognition of co-stimulatory molecules on APCs will otherwise compromise the T cell activation.

Herein, we report an investigation of a series of structurally similar arginine-based cationic liposomes on the NLRP3 inflammasome activation in macrophages, as well as their potential application as antigen carriers and adjuvants in terms of antigen delivery to bone marrow-derived dendritic cells (BMDCs). MHC class I- and class II-mediated antigen presentations were studied by examining the secretion of IL-2 and proliferations of OT-I (OVA<sub>257–264</sub> recognizing CD8<sup>+</sup>) and OT-II (OVA<sub>323–339</sub> recognizing CD4<sup>+</sup>) T cells, respectively. Lysosome rupture induced by cationic liposomes was studied by flow cytometry, and the expressions of MHC and co-stimulatory molecules on BMDCs were evaluated after treatment with naked liposomes.

## Material and methods

### Reagents, antibodies, and mice

The following reagents were purchased: phorbol 12-myristate 13-acetate (PMA) and chicken ovalbumin (OVA) from Sigma–Aldrich; OVA conjugated with Alexa Fluor® 555 (OVA555) from Thermo Fisher Scientific; acridine orange (AO) from Dojindo Laboratories; adenosine 5'-triphosphate disodium salt hydrate (ATP) from TCI; lipopolysaccharide (LPS) *Escherichia coli* (*E. coli*) O111:B4 from InvivoGen; NLRP3 inhibitor CP-456773 (also known as CRID3) from Pfizer Inc; Caspase-1 inhibitor VX765 from Selleck Chemicals LLC; FITC-conjugated anti-mouse CD86 mAb (clone PO3) from Serotec; PE-conjugated anti-mouse MHC-I (H-2Db) mAb (clone 28-14-8), FITC-conjugated anti-mouse MHC-I (H-2Kb) mAb (clone AF6-88.5.5.3), eFluor® 450-conjugated anti-mouse CD80 mAb (clone 16-10A1), PE-conjugated anti-mouse CD40 mAb (clone 1C10) and PerCP/Cy5.5-conjugated anti-mouse CD11c mAb (clone N418) from eBioscience; and PerCP/Cy5.5-conjugated MHC-II (I-A/I-E) anti-mouse mAb (clone AF6-120.1) from BioLegend. Arginine-containing cationic lipids were synthesized according to the previous research.<sup>1–3</sup> The cationic lipids were named according to the chemical structure, where Arg indicates the lipids with an arginine head group; the number in the middle indicates the carbon number of the spacers, and the last number indicates the carbon number of the alkyl chains (Figure 1).



**Figure 1** Chemical structures of the arginine-containing cationic lipids.  $n$  indicates the carbon number of the alkyl chains, and  $x$  indicates the carbon number of the spacers between the hydrophilic and hydrophobic parts.

C57BL/6, OT-II, and OT-I/RAG2-KO mice (female, 8–12 weeks old) were bred under specific pathogen-free conditions, and the experiments were carried out at the University of Bonn in compliance with institutional guidelines and the German law for Welfare of Laboratory Animals.

## Cell culture

Human peripheral blood mononuclear cells were isolated from the buffy coat of healthy donors at University Hospital Bonn after written consent was given in accordance with the Declaration of Helsinki, and the protocol was approved by the Institutional Review Board at University of Bonn. Positive selection of monocytes by CD14 MicroBeads (Miltenyi Biotec) was performed, followed by differentiation into macrophages using GM-CSF.<sup>6</sup> These cells were cultured in RPMI1640 medium (Gibco®, Life Technologies) supplemented with 10% fetal calf serum (FCS) and 1% penicillin-streptomycin (PS) at 37°C under 5% CO<sub>2</sub> atmosphere. Human macrophages ( $2.5 \times 10^5$ /mL) were primed with 1 ng/mL LPS for 2 hrs before being treated with liposomes.

Immortalized murine macrophages were cultured in DMEM medium (Gibco®, Life Technologies) supplemented with 10% FCS and 1% PS at 37°C under 5% CO<sub>2</sub> atmosphere. These cells ( $1.0 \times 10^5$ /well) were seeded in 96-well plate and primed with 100 ng/mL LPS for 2 hrs before use.

Human leukemic THP-1 monocytes obtained from JCRB Cell Bank, Osaka, Japan were cultured in RPMI1640 medium supplemented with 10% fetal bovine serum and 1% PS at 37°C under 5% CO<sub>2</sub> atmosphere. THP-1 cells ( $4 \times 10^5$ /mL) were primed with 100 nM PMA for 18 hrs before use. Then, the cells became adherent and the excess PMA was washed off thrice using pre-warmed Dulbecco's Phosphate-Buffered Saline .

BMDCs were generated according to the reported protocol.<sup>10</sup> Briefly, bone marrow of mice (C57BL/6) was isolated by flushing the hind limbs with PBS. Extracted cells were re-suspended and filtered through a 40  $\mu$ m cell strainer. After centrifugation at 300 $\times$ g for 5 mins, cells were re-suspended in BMDC culture medium, which was made from Iscove's Modified Dulbecco's Medium, 10% FCS, 2.5% GM-CSF-containing J558L cell culture supernatant, 50  $\mu$ M beta-mercaptoethanol, 100 U/mL penicillin, and 0.1 mg/mL streptomycin. Cells were cultured in 10 cm petri dishes at 37°C under 5% CO<sub>2</sub> atmosphere for 3 days. Then, all the cells were harvested, centrifuged, re-suspended in fresh BMDC culture medium, and cultured in 10 cm petri dishes for 7–8 days before use.

OT-I and OT-II cells were collected from the spleen of OT-I/RAG2-KO and OT-II mice, respectively. Briefly, the spleen was homogenized in PBS and filtered through a metal mesh. After centrifugation at 1,500 rpm for 10 mins at 4°C, cells were resuspended in 2 mL of red blood cell lysis buffer and incubated for 5 mins at room temperature (rt). Then, 8 mL of PBS was added, and cells were filtered through 100  $\mu$ m mesh and centrifuged at 1,500 rpm for 10 mins at 4°C. The cells were resuspended in T cell culture medium (RPMI medium containing 10% FCS and 1% PS) before use.

## Preparation of cationic liposomes and loading with antigens

The cationic liposomes were prepared by hydration and bath-type sonication as previously reported.<sup>6</sup> The lipid powder Arg-C0-Glu2C14, Arg-C3-Glu2C14, Arg-C5-Glu2C14, Arg-C7-Glu2C14, Arg-C0-Glu2C16, or Arg-C0-Glu2C18 was employed to fabricate A0C14, A3C14, A5C14, A7C14, A0C16, or A0C18 liposomes in 20 mM HEPES buffer, respectively. The liposome dispersions

were stored at 4°C and homogenized by a vortex mixer for 10 s or re-suspended using a bath-type sonicator for 2–5 mins before use.

Antigen (ie, OVA)-loaded liposomes were freshly made by mixing soluble OVA and liposomes in HEPES buffer and standing at rt for 5 mins. Then, the resulting complex as well as the same volume of HEPES buffer (used for control groups) was diluted in culture medium and added to cells instantly.

## Characterization of arginine-containing cationic liposomes

A dynamic laser scattering (DLS) spectrophotometer (N4 PLUS Submicro Particle Size Analyzer, Beckman Coulter) and a Zetasizer Nano S90 (Malvern Instruments) were employed to measure the particle diameter and zeta potential, respectively. Repeated measurements (n=3) were performed by using a dilution of cationic liposome dispersion containing 5 µg/mL lipids in 1 mL of HEPES buffer.

## The NLRP3 inflammasome activation

Primed human or murine macrophages or THP-1 cells were exposed to a 100 µM (final concentration, fc) liposome-containing culture medium at 37°C for 18 hrs incubation and the supernatants were collected for ELISA tests (DuoSet®, R&D Systems) as previously reported.<sup>6</sup> 1 mM ATP was added 1 hr before the cytokine detection as a positive control. For inhibition assays, NLRP3 inhibitor CP-456773 (fc: 5 µM) or casp-1 inhibitor VX765 (fc: 25 µM) was added to primed cells 2 hrs prior to the addition of liposomes. Silica (fc: 400 µg/mL) was used as a positive control and kept with cells for 20 hrs. The secretion of IL-1β was detected by homogenous time-resolved fluorescence and the inhibition rate was calculated according to equation (1):

$$\text{Inhibition rate} = (1 - [\text{IL-1}\beta]_{\text{inhibitor + liposomes}} / [\text{IL-1}\beta]_{\text{liposomes}}) \times 100\% \quad (1)$$

where  $[\text{IL-1}\beta]_{\text{liposomes}}$  indicates the concentration of IL-1β released to the supernatant after treatment with liposomes, and  $[\text{IL-1}\beta]_{\text{inhibitor + liposomes}}$  indicates the concentration of IL-1β released to the supernatant after treatment with each inhibitor and liposomes.

## Lysosome rupture assay

AO was used to label and examine the rupture of lysosomes.<sup>11</sup> Briefly, primed THP-1 cells were treated with 5 µg/mL AO for 15 mins at 37°C, followed by washing and

overnight incubation with 100 µM liposomes. The cell population with AO fluorescence loss was gated and recorded using flow cytometry (FACSARIA II Cell Sorter, BD Biosciences). The signals from AO-labeled and non-labeled THP-1 cells without exposure to liposomes were gated to reflect intact lysosomes and total lysosome loss, respectively.

## Antigen uptake in BMDCs

BMDCs ( $1.6 \times 10^5$ /well) were seeded in a 48-well cell culture plate for 2 hrs adhesion. Then, the culture medium was exchanged to that containing OVA555 (fc: 2.5 µg/mL)-loaded cationic liposomes (fc: 25 µM) and incubated with BMDCs for 2 hrs. After washing off twice with PBS, the cells were detached from the plate by Trypsin-EDTA, and the amount of internalized OVA was measured by the fluorescence intensity of Alexa Fluor® 555 using flow cytometry.

## T cell activation and proliferation

BMDCs ( $7.5 \times 10^4$  cells/well) were seeded in a 96-well cell culture plate for 2 hrs adhesion prior to medium exchange with that containing OVA (fc: 25 µg/mL)-loaded cationic liposomes (fc: 25 µM). Likewise, free OVA without liposomal association and naked liposomes were added to the BMDCs culture plate as controls. The OVA was allowed to be internalized by BMDCs for 2 hrs. To label the splenocytes of mice, 1 µM carboxyfluorescein succinimidyl ester (CFSE) was used for 15 mins incubation at 37°C. The labeling was stopped with ice-cold PBS and washed off thrice with PBS by centrifugation at 1,500 rpm for 10 mins at 4°C. Then, BMDCs were washed twice with PBS and CF-labeled splenocytes from OT-I mice ( $1.25 \times 10^5$ /well) or CF-labeled splenocytes from OT-II mice ( $1.6 \times 10^5$ /well) were added into the BMDC culture plate. The supernatants were partially collected after overnight incubation for ELISA assay (ie, IL-2). After 48 hrs incubation, the cells were collected for flow cytometric analysis. OT-I cells and OT-II cells were gated by using anti-CD8 or anti-CD4 mAb, respectively. The T cell proliferation was evaluated by CF fluorescence intensity, and the average number of cell divisions (ie, division index, D. I.) was calculated using the formula (2):

$$\text{D.I.} = \frac{\sum_0^i i \times \frac{N_i}{2^i}}{\sum_0^i \frac{N_i}{2^i}} \quad (2)$$

where  $i$  is the generation number (0 indicates the undivided cell population), and  $N_i$  is the cell number of generation  $i$ .

## Expression of activation markers on BMDCs

BMDCs ( $4.5 \times 10^5$  cells/well) were seeded in a 24-well cell culture plate for 2 hrs adhesion. Then, 50  $\mu$ M (fc) of each type of liposomes was added to the culture plate and kept with cells for 2 hrs. After washing off the liposomes twice with PBS, fresh culture medium was added for overnight incubation. Finally, the cells were detached from the culture plate and stained with two cocktails of activation markers at 4°C for 10 mins separately. One cocktail contained FITC-conjugated anti-CD86 mAb, PE-conjugated anti-MHC-I (H-2Db) mAb, and PerCP/Cy5.5-conjugated anti-MHC-II (I-A/I-E) mAb; and the other contained FITC-conjugated anti-MHC-I (H-2Kb), eFluor® 450-conjugated anti-CD80 mAb and PE-conjugated anti-CD 40 mAb. After labeling, the cells were washed with PBS thrice and analyzed by flow cytometry.

## Statistic analysis

Student's *t*-tests were applied to examine the significant differences of A3C14 or A5C14 liposomes with the controls or other arginine-containing cationic liposomes independently in Figures 2, 3, 5 and 6 using Microsoft Excel. A *P*-value of less than 0.05 was considered statistically significant.

## Results

### Preparation and characterization of arginine-containing cationic liposomes

As listed in Table 1, the sizes of the cationic liposomes could be regulated to nanometer-magnitude, ranging from 80 to 200 nm by sonication. The zeta potentials, which reflect the surface electronic property of nanoparticles are basically determined by the head group of the composing lipids facing the aqueous environment. Because the head groups of these lipids are the same arginine group, similar zeta potentials were obtained, ranging from 43 to 60 mV, which are thought to be highly cationic to provide sufficient dispersion stability. To evaluate the homogeneity of the size of liposomes, polydispersity index (PDI) was employed to investigate the mono-dispersed state of the liposomes, the values of which varied from 0.15 to 0.22. In the DLS analysis, a single sharp peak was also observed for all the liposomes (Figure S1), indicating the mono-dispersed property of the liposomes. However, during long time storage (eg, one month) at 4°C, large aggregations of A0C14 liposomes (>1  $\mu$ m) were frequently observed. In

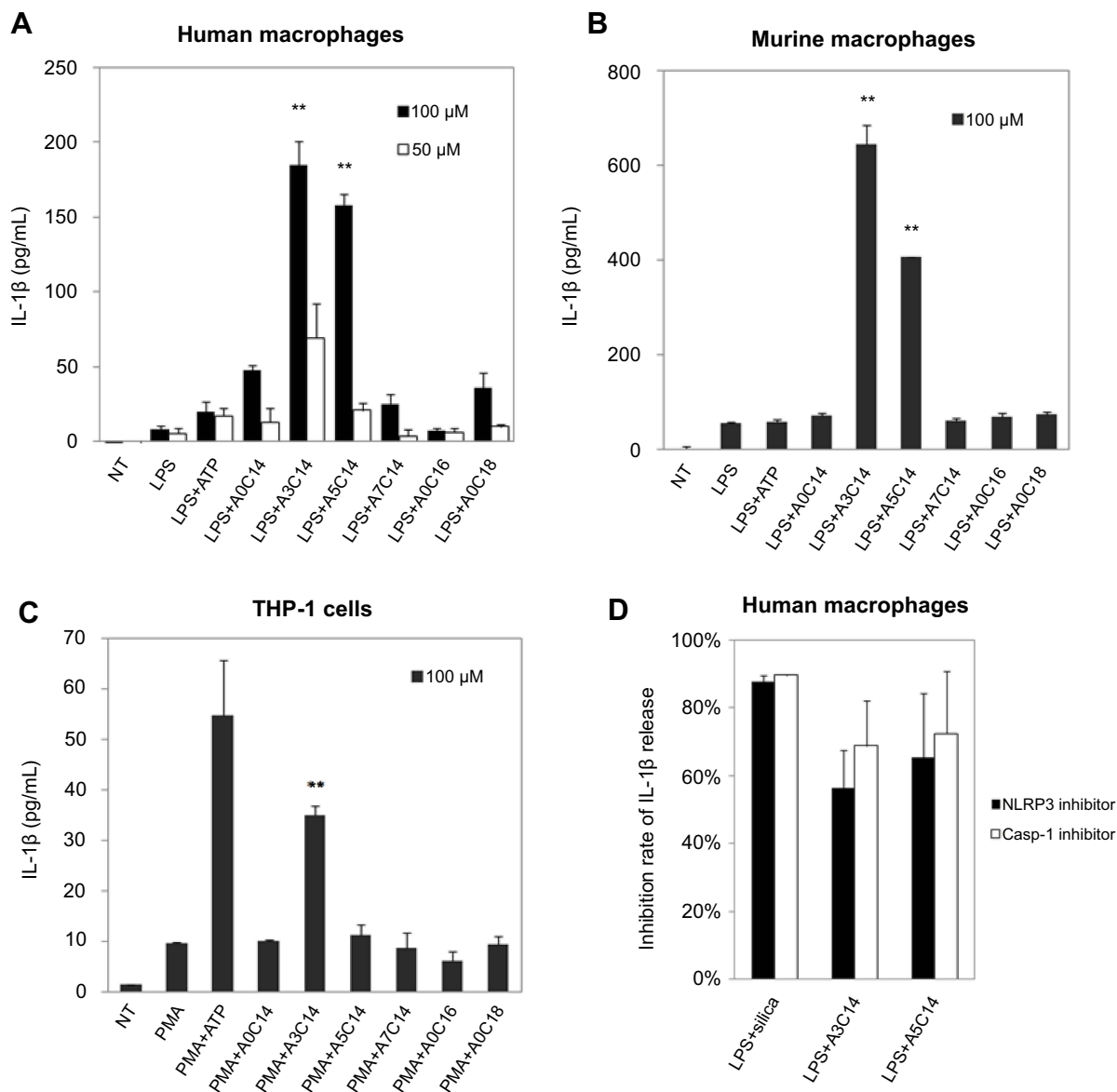
this case, it was difficult to acquire the liposome dispersion with the original size. Other liposomes were relatively stable during storage at least for one month, and the sizes could keep consistent after simple vortex or bath-type sonication shortly.

### The NLRP3 inflammasome activation

As shown in Figure 2, A3C14 and A5C14 liposomes induced a significantly stronger release of IL-1 $\beta$  than all the other tested liposomes in both human and murine macrophages; while in THP-1 cells, A3C14 liposomes were the most potent to trigger IL-1 $\beta$  release. Decreasing the liposome concentration from 100  $\mu$ M to 50  $\mu$ M resulted in remarkably decreased secretion of IL-1 $\beta$  (Figure 2A). Thus, the NLRP3 inflammasome activation by arginine-containing cationic liposomes was concentration-dependent. However, none of these liposomes could trigger IL-1 $\beta$  release in unprimed immune cells (data not shown). Although the human macrophages from different donors may exhibit different sensitivities to liposomes, A3C14 liposomes were always the most potent to activate the NLRP3 inflammasome, followed by A5C14 liposomes; and the inhibitors of NLRP3 and casp-1 led to 55–75% decrease of IL-1 $\beta$  release in A3C14 and A5C14 liposome-treated cells (Figures 2D and S2). On the other hand, the secretion of other cytokines such as TNF- $\alpha$  and IL-6 was not largely influenced upon liposome exposure (Figure S3), thereby indicating that the IL-1 $\beta$  release caused by these liposomes depends on the NLRP3 inflammasome activation. Because higher concentrations (>100  $\mu$ M, data not shown) or longer exposure periods (eg, 48 hrs, Figure S4) of liposomes caused severe death of macrophages and monocytes at least partially due to the pyroptosis induced by casp-1 activation, the condition of liposomes applied to cells was set to 100  $\mu$ M (fc) and 18 hrs incubation time as maximum.

### Lysosome rupture

The cellular lysosomes were labeled with AO, and the loss of AO fluorescence was acquired by flow cytometry to evaluate lysosome rupture (Figure 3A). As shown in Figure 3B, A3C14 liposomes which triggered the most potent NLRP3 inflammasome activation, induced the most potent lysosome rupture in primed THP-1 cells; ie, approximately 45% of the cell population exhibited significant lysosomal damage. In comparison, A5C14 liposomes caused moderate (approximately 20%) and other liposomes performed relatively weak (5–12%) potency of lysosome rupture.



**Figure 2** NLRP3 inflammasome activation of macrophages and monocytes induced by arginine-containing cationic liposomes. LPS (1 ng/mL)-primed human macrophages (A), LPS (100 ng/mL)-primed immortalized murine macrophages (B), and PMA (100 nM)-primed THP-1 cells (C) were incubated with 100 μM or 50 μM cationic liposomes for 18 hrs. The NLRP3 inflammasome activation was evaluated by the release of IL-1β using human or murine IL-1β ELISA kit. Data show the mean ± SD of three replicates; \*\**P*<0.01. (D) NLRP3 inhibitor CP-456773 and caspase-1 (casp-1) inhibitor VX765 suppressed NLRP3 inflammasome activation induced by A3C14 and A5C14 liposomes. 5 μM NLRP3 inhibitor CP-456773 (also known as CRID3) or 25 μM casp-1 inhibitor VX765 was added to the LPS-primed human macrophages prior to the addition of 100 μM liposomes. The release of IL-1β was detected by HTRF and the inhibition rate was calculated to indicate the suppression level of IL-1β by each inhibitor. Data show the mean ± SE of two independent experiments (ie, macrophages were collected from two different donors and the measurements were performed at different days) with two replicates, respectively.

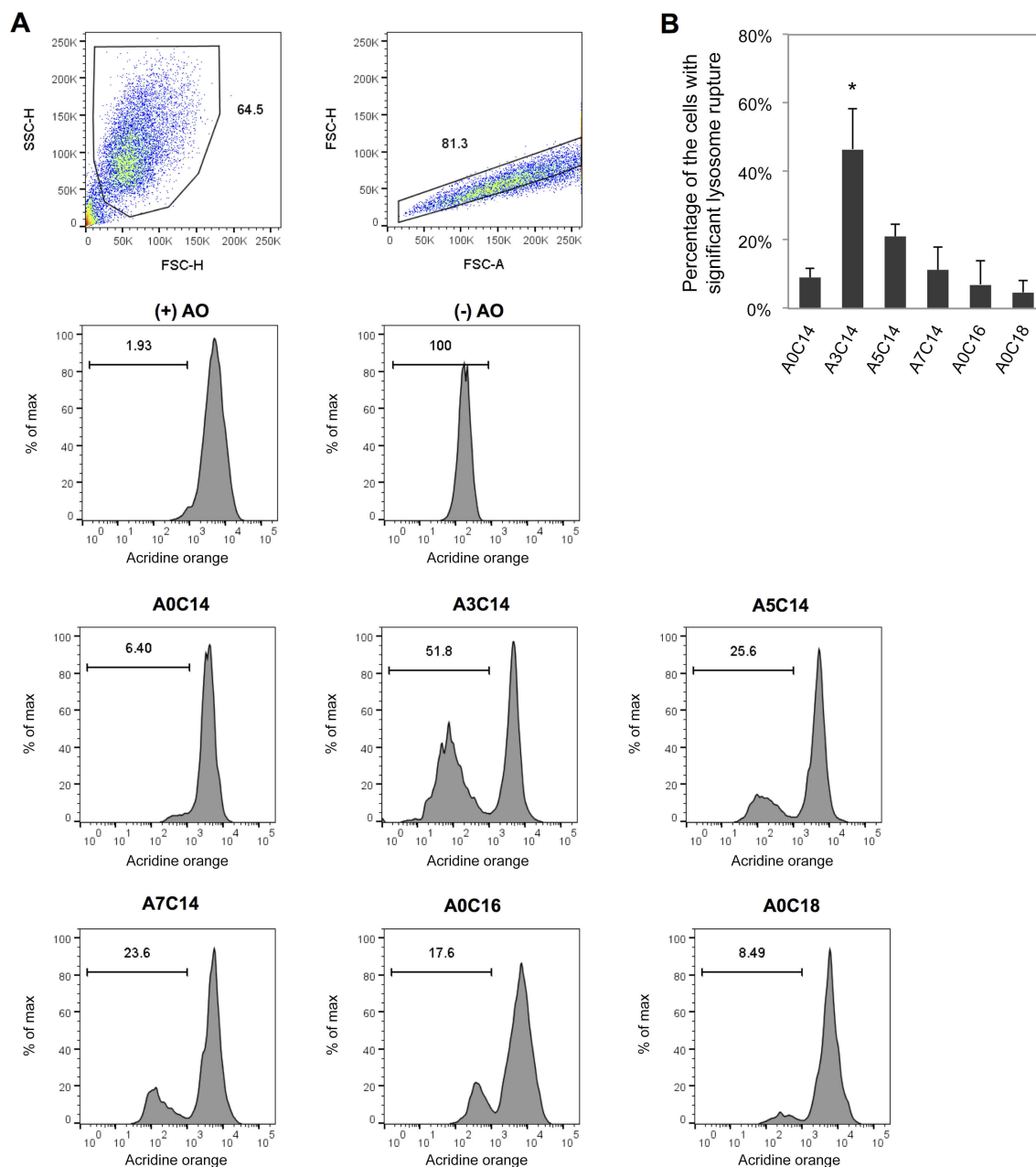
## Antigen uptake in BMDCs

Assuming that the isoelectric point (*pI*) value of OVA is around 4.6–4.9,<sup>12</sup> OVA shows a negative charge at neutral pH, allowing the loading on the surface of cationic liposomes through electrostatic association. In comparison to naked liposomes, all the OVA/liposome complexes exhibited size increases of approximately 50 nm in diameter and zeta potential decreases to 20–30 mV, indicating the fact of OVA loading and a similar association pattern of OVA on these cationic liposomes (Table S1).

As shown in Figure 4, the OVA uptake in BMDCs was increased when they were loaded to A3C14, A5C14, and A7C14 cationic liposomes. By contrast, A0C18, A0C16 or A0C14 liposomes did not increase the cellular uptake of associated OVA.

## Antigen presentation and activation of T cells

Antigen presented by APCs will consequently stimulate T cells that they encounter to release IL-2. Thus, MHC-I



**Figure 3** Arginine-containing cationic liposomes induced the lysosome rupture in PMA-primed THP-1 cells. Acridine orange (AO) was incubated with cells for 15 mins prior to overnight exposure to cationic liposomes. (A) Gating strategies of THP-1 cells using flow cytometry. Cells were first gated by forward scatter (FSC)-height (H) vs side scatter (SSC)-H, and then gated by FSC-area (A) vs FSC-H. The fluorescence intensities of AO were recorded to evaluate the percentage of the cells with significant lysosome rupture (B). Data show the mean + SD of three independent experiments; \* $P < 0.05$ .

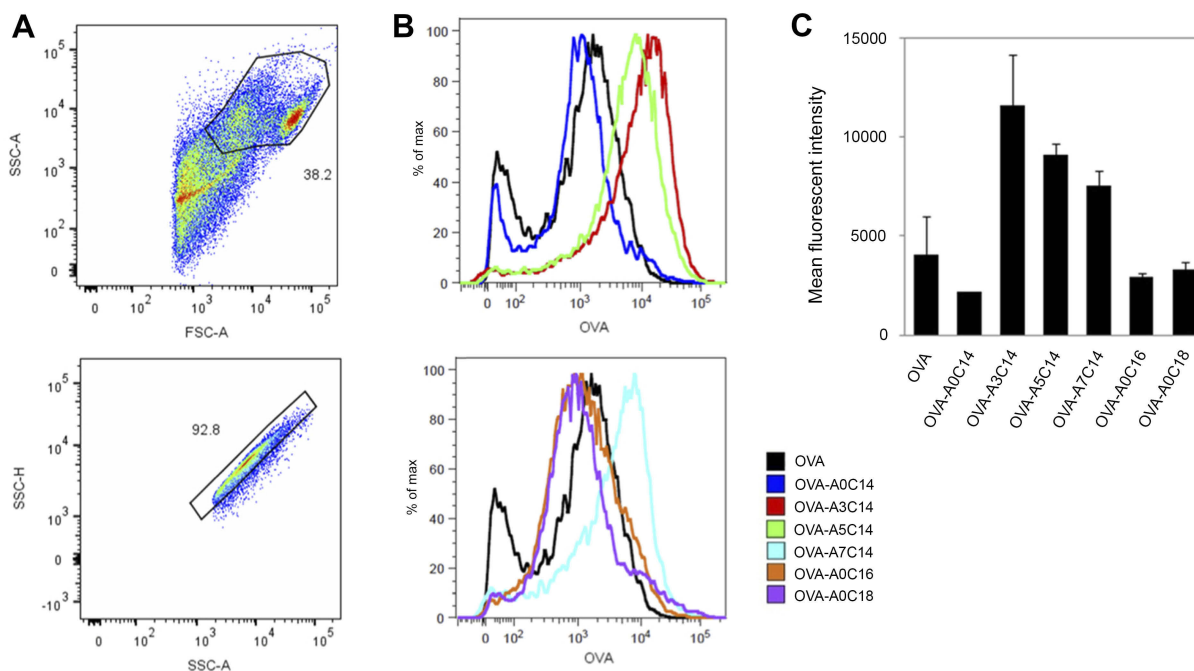
and MHC-II mediated antigen presentations can be evaluated by the secretion of IL-2 from OT-I and OT-II cells, respectively. J558L-GM-CSF derived BMDCs have been established and used for the study of cross-presentation,<sup>20</sup> therefore we applied these cells to study the antigen presenting pathways of the liposomes. As shown in Figure 5, liposomes alone did not lead to T cell activation. However, OVA-associated A3C14 liposomes remarkably enhanced

IL-2 secretion in OT-I cells, whereas other OVA-liposomes exhibited medium or slight enhancement in comparison to that of free OVA (Figure 5A). Similarly, the promotion of MHC-II mediated antigen presentation was also observed in OVA-A3C14 liposomes (Figure 5B).

Furthermore, T cell proliferation was investigated to evaluate the liposomal effect on antigen presentation. CF-labeled OT-I and OT-II cells were gated by anti-

**Table 1** Formulation and characterization of cationic liposomes. Data show the mean  $\pm$  SD (n=3)

Liposomes	Composing lipids	Size distribution (nm)	Zeta potential (mV)	PDI
A0C14	Arg-C0-Glu2C14	157.0 $\pm$ 68.3	55.5 $\pm$ 1.1	0.15 $\pm$ 0.02
A3C14	Arg-C3-Glu2C14	192.8 $\pm$ 94.7	59.3 $\pm$ 4.5	0.18 $\pm$ 0.02
A5C14	Arg-C5-Glu2C14	126.4 $\pm$ 41.5	45.1 $\pm$ 0.4	0.10 $\pm$ 0.02
A7C14	Arg-C7-Glu2C14	79.9 $\pm$ 31.4	51.7 $\pm$ 1.4	0.19 $\pm$ 0.02
A0C16	Arg-C0-Glu2C16	124.4 $\pm$ 52.1	43.8 $\pm$ 2.1	0.18 $\pm$ 0.02
A0C18	Arg-C0-Glu2C18	152.9 $\pm$ 70.6	46.7 $\pm$ 0.7	0.22 $\pm$ 0.01



**Figure 4** Antigen delivery efficiency of arginine-containing cationic liposomes in BMDCs. Alexa Fluor 555-conjugated OVA (fc: 2.5  $\mu$ g/mL) was freely dispersed or loaded on cationic liposomes (fc: 25  $\mu$ M), and incubated with BMDCs for 2 hrs. The extracellular OVA-liposomes were washed off, and the intracellular OVA was measured by flow cytometry. (A) Cells were first gated by FSC-A vs SSC-A, and then gated by SSC-A vs SSC-H. (B) Representative histograms and (C) mean fluorescent intensities of intracellular OVA. Data show the mean  $\pm$  SD of two independent experiments with two replicates, respectively.

**Abbreviations:** BMDCs, bone marrow-derived dendritic cells; OVA, ovalbumin; FSC, forward scatter; SSC, side scatter; A, area; H, height.

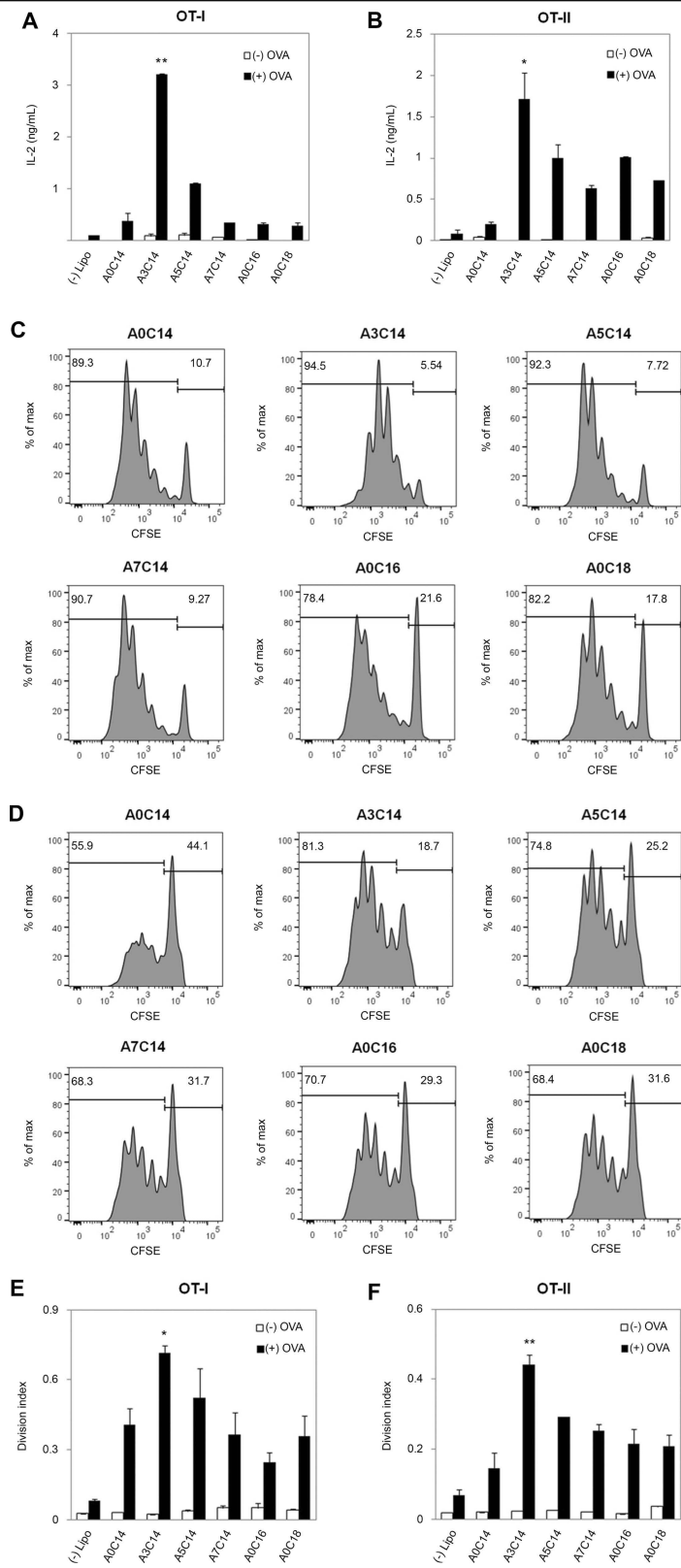
CD8 or anti-CD4 mAb, respectively (Figure S5). The sequentially half-decreased CF fluorescence was recorded by flow cytometry to reflect the cells of different generations (Figure 5C and D). Herein, mean division times per cell (division index, D.I.) was employed to denote the potential of T cell proliferation. As shown in Figure 5E and F, enhanced proliferation of OT-I and OT-II cells was observed for all the liposomes only when they were associated with OVA. Among all the arginine-containing cationic liposomes, OVA-A3C14 liposomes exhibited the highest D.I. values, as well as

the % of divided T cells in both MHC-I and MHC-II mediated antigen presentation.

### Influence of liposomes on the expression of MHC and co-stimulatory molecules

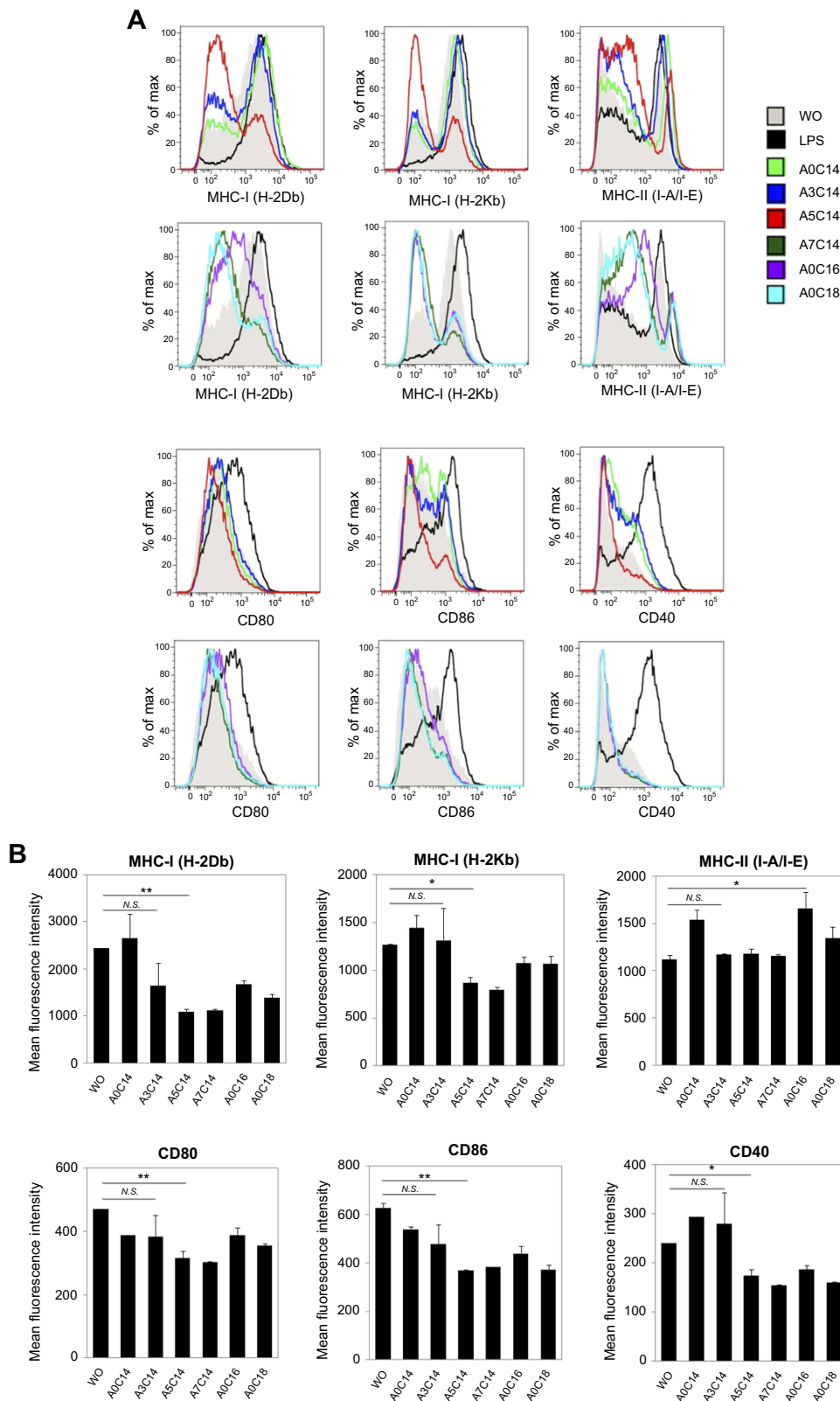
The expression of co-stimulatory molecules such as CD80, CD86, and CD40 on the surface of APCs is necessary to efficiently activate T lymphocytes during antigen presentation by MHC molecules. To investigate the influence of liposomes, the expression levels of these markers were examined using flow cytometry (see gating strategies in





**Figure 5** Activation of OT-I and OT-II cells through MHC-I and MHC-II mediated antigen presentation pathways, respectively. BMDCs were incubated with OVA (fc: 25 μg/mL)-loaded cationic liposomes (fc: 25 μM) or the same concentration of soluble OVA, or the same concentration of liposomes for 2 hrs, and then extracellular OVA or liposomes were washed off with DPBS. CFSE was used to label splenocytes from OT-I mice (**A, C, E**) or OT-II mice (**B, D, F**) and then labeled cells were added into the BMDC culture plate. The supernatant was partially collected after overnight incubation to detect the secretion of IL-2 using an ELISA kit (**A, B**); and the cells were collected after 48 hrs incubation to analyze the OT-I cell and OT-II cell division using flow cytometry (**C, D, E, F**, see gating strategies of OT-I and OT-II cells in Figure S5). (-) Lipo indicates the BMDCs without treatment [(-) OVA] or treated with soluble OVA [(+) OVA]; other experimental groups were treated with naked liposomes [(-) OVA], or OVA-loaded liposomes [(+) OVA]. Data show the mean±SD of two independent experiments with two replicates, respectively; \*P<0.05, \*\*P<0.01.

**Abbreviations:** BMDCs, bone marrow-derived dendritic cells; OVA, ovalbumin; CFSE, carboxyfluorescein succinimidyl ester.



**Figure 6** Flow cytometry of activation markers on BMDCs. BMDCs were incubated with each type of the liposomes (fc: 50  $\mu$ M) for 2 hrs and then washed off. After further incubation overnight in the fresh culture medium, the cells were labeled with fluorescent antibodies against MHC-I (H-2Db), MHC-I (H-2Kb), MHC-II (I-A/I-E), CD80, CD86, and CD40 followed by flow cytometry (A, see gating strategies in Figure S6). (B) The mean fluorescent intensities were measured and data show the mean + SD of two independent experiments with two replicates, respectively. \* $P < 0.05$ , \*\* $P < 0.01$ . Note that the liposomes were not associated with OVA. LPS was used as a positive stimulus. **Abbreviations:** BMDCs, bone marrow-derived dendritic cells; LPS, lipopolysaccharide; OVA, ovalbumin.

Figure S6). Note that BMDCs were treated with naked liposomes without OVA loading.

As shown in Figure 6A, A3C14 liposomes did not interfere with any of the tested markers on BMDCs, including MHC-I and MHC-II molecules, CD80, CD86, and CD40 co-stimulatory molecules. However, treating with other liposomes might have influenced the expression of these markers (Figure 6B). A resemblance of A5C14, A7C14, A0C16, and A0C18 liposomes was observed in the down-regulation all of the tested activation markers except MHC-II molecules; on the contrary, A0C16 liposomes amplified the expression of MHC-II molecules. For A0C14 liposomes, MHC-II molecules and CD40 have been up-regulated, whereas the expressions of CD80 and CD86 were suppressed.

## Discussion

Arginine-containing cationic liposomes were prepared using a hydration of the arginine-type cationic lipid powder followed by a bath-type sonication method as previously reported.<sup>6</sup> The advantage of this method is the easy-handling and manipulation of the size of liposomes by adjusting the time of sonication. Herein, all the arginine-containing lipids could be hydrated and sonicated for the same time. The resulting liposomes showed similar zeta potentials but various sizes, suggesting the influences of spacers and hydrophobic tails of the composing lipids in their molecular assembling properties. As with lysine-containing cationic lipids in our previous report,<sup>6</sup> increasing the length of hydrophobic tails of arginine-containing lipids from 14 carbons to 16 or 18 carbons or inserting a spacer (ie, 3, 5, or 7 carbons) between the head group and the hydrophobic part, could increase the stability of the liposomes in view of their constant size distributions after storage at 4°C for one month. Otherwise, aggregation and sedimentation of the liposomes (ie, A0C14) could be observed. Besides the surface electrostatic repulsion among the cationic liposomes, a more stable liposome in aqueous solution was thought to be obtained by increasing the hydrophobicity of the bilayer, that was strengthened enough to overcome the tendency of fusion with each other.

Cationic liposomes can be readily internalized into the cells and applied as protein or gene delivery systems.<sup>1,3,13,14</sup> To induce the NLRP3 inflammasome activation, cellular internalization of the arginine-

containing cationic liposomes was indispensable (Figure S7A). Inhibition of the endocytic pathway of A3C14 and A5C14 liposomes such as clathrin-mediated endocytosis would lead to a decreased NLRP3 inflammasome activation (Figure S7B). However, priming of the immune cells using LPS or PMA was a premise to trigger IL-1 $\beta$  release (data not shown), indicating the role of these liposomes as second signals in the NLRP3 inflammasome activation. It is conceivable that cationic liposomes have a high potential to fuse with anionic biomembrane via electrostatic interaction; thereby, lysosome rupture after the endocytosis/phagocytosis of cationic liposomes may occur owing to the disruption of the membrane lipids. Among all the tested liposomes, the strongest NLRP3 inflammasome activator A3C14 induced the most potent lysosome rupture. The subsequent release of lysosomal proteases, such as cathepsin B, was thought to trigger the formation of NLRP3 complex and maturation of IL-1 $\beta$  by caspase-1 activity.<sup>8,15</sup> On the other hand, ROS have also been hypothesized as an alternative upstream signaling of NLRP3 inflammasome activation.<sup>7</sup> However, the level of ROS was not increased by A3C14 or A5C14 liposomes; on the contrary, reduced ROS was obtained (Figure S8) and the removal of ROS did not suppress the NLRP3 inflammasome activation neither (Figure S7B). Therefore, lysosome rupture was proposed as the pathway of NLRP3 inflammasome activation utilized by the tested arginine-containing cationic liposomes, which is consistent with that of lysine-containing cationic liposomes bearing the same hydrophobic moieties and spacers.<sup>6</sup>

Classically, MHC-I molecules process the endogenous antigens distributed in the cytosol, whereas the presentation of exogenous antigens is restricted to MHC-II mediated pathway, which undergoes endocytosis/phagocytosis and loads antigens derived from lysosomes. However, cross-presentation of the endocytosed antigens by MHC-I molecules also occurs, leading to the activation of CD8<sup>+</sup> T cells.<sup>16,17</sup> Recent evidence has indicated that the endocytosis mechanisms of antigens greatly influence the antigen presentation pathway.<sup>18</sup> For example, soluble antigens uptaken by the mannose receptor (MR) tend to be routed toward the early endosomal compartment, where a mildly acidic environment facilitates the MHC class I-restricted antigen presentation. In contrast,

pinocytosis or scavenger receptor (SR)-mediated endocytosis directs the antigens toward the lysosomal compartment, where MHC-II-restricted antigen presentation takes place. Since OVA can target MR and SR,<sup>19,20</sup> it can be presented by both MHC-I and MHC-II molecules, and similar presenting capacity through these two routings was obtained under our experimental conditions (Figure 5). Interestingly, although A3C14 liposomes enhanced the total uptake of loaded OVA and meanwhile facilitated both MHC-I and MHC-II mediated antigen presentation, more enhanced T cell activation and proliferation via MHC-I was observed than that of MHC-II mediated antigen presentation. Obviously, A3C14 liposomes altered the intracellular routing of OVA so that they contributed to the increased ratio of cross-presentation. OVA association on the surface of cationic liposomes was achieved through electrostatic interaction at a neutral pH (7.4, HEPES buffer), and this force could be diminished at lower pHs and eliminated when the pH drops to the *pI* of OVA (4.6–4.9).<sup>12</sup> Thus, the unloading of OVA would gradually occur as the acidification of endosome compartments continues, and fully expose the cationic liposomes at lysosomal pH. It is reasonable that A3C14 liposomes were the most capable of lysosome rupture, thereby the release of carried antigens into cytosol consequently endowed the antigen presentation by MHC class I molecules.

Similar discussion has also been proposed by Welsby et al, that adjuvant saponin QS-21 directly activated human monocyte-derived DCs and promoted a pro-inflammatory transcriptional program through lysosomal destabilization, which contributed to its adjuvanticity to antigens for both cellular and humoral immune responses.<sup>21</sup> Vaccine adjuvants such as alum which are widely used to boost the immune response of antigens were also reported to trigger the NLRP3 inflammasome activation.<sup>9,22</sup> Although the intrinsic relevance between NLRP3 inflammasome activation and antigen presentation is still unclear, we found that A3C14 and A5C14 liposomes, which remarkably activated NLRP3 inflammasome in macrophages, were also capable to promote antigen presentation in BMDCs in the same order of potency. Herein, we propose that NLRP3 inflammasome activation can be utilized as an indicator in the preliminary screening of similar particulate adjuvants to estimate their immune activities and adjuvanticities.

To fulfill the T cell activation, docking with the co-stimulatory molecules on APCs is crucial to strengthen and prolong the cell–cell interaction so as to efficiently and specifically present the antigens to T cells. Deficient expression or recognition of these co-stimulatory molecules would otherwise lead to a compromised or failed T cell activation. In contrast to A3C14 liposomes, which did not affect the expressions of MHC and co-stimulatory molecules on BMDCs, A5C14, and A7C14 liposomes decreased the expressions of CD80, CD86, and CD40 (Figure 6). Accordingly, the T cell activation was not enhanced as much as that of A3C14 liposomes (Figure 5) although comparable antigen delivery efficiencies were obtained (Figure 4). However, these liposomes could still facilitate antigen presentation in comparison to free OVA through both MHC-I and MHC-II pathways, indicating the importance of the amount of antigens that have been internalized and processed by the APCs. On the other hand, A0C16 liposomes moderately increased MHC-II mediated antigen presentation despite their mediocre antigen uptake and negative impact on CD80, CD86, and CD40 expressions. This phenomenon can be explained by the upregulation of MHC-II molecules on BMDCs after exposure to A0C16 liposomes. Surprisingly, OVA-A0C18 liposomes also moderately enhanced T cell activation although the amount of antigen uptake by APCs was similar to that of free OVA and the expressions of MHC and co-stimulatory molecules were partially suppressed. There is a possibility that the interaction of liposomes with intracellular organelles, for example, lysosome destabilization, facilitated the antigen processing in BMDCs as discussed above.<sup>21</sup> It should be noted that although some naked arginine-containing cationic liposomes have shielded some activation markers on BMDCs, OVA-loaded liposomes significantly increased T cell activation and proliferation as final outcomes, indicating their predominant contribution to antigen presentation (See the summary of Figures in Tables S2 and S3).

In consistent with lysine-based cationic liposomes, the size (80–200 nm) and zeta potential (40–60 mV) of arginine-based cationic liposomes were not the key factors that determine their reactivity with the immune cells; instead, the chemical structures of the hydrophobic tails greatly contributed to the NLRP3 inflammasome activation and the promotion of antigen presentation by APCs for T cell activation. However, in comparison to lysine-based liposomes, A3C14 showed more improved antigen delivery efficacy to

BMDCs than L3C14 bearing the same spacer and hydrophobic moiety in terms of T cell activation (approximately ten-fold in IL-2 secretion and two-fold in D.I. of those of L3C14 in both OT-I and OT-II cells, Figure S9A and B). Although their NLRP3 inflammasome activation potencies were similar,<sup>6</sup> A3C14 were found to outweigh L3C14 in OVA delivery to BMDCs (Figure S9C). It was also reported that A3C14 were more potent than L3C14 in plasmid DNA delivery.<sup>3</sup> Therefore, arginine-based liposomes were thought to be superior to lysine-based liposomes for the application as an immune adjuvant. Further investigation on how the structure gives an impact on the bioactivity of the liposomes is necessary for better understanding of the phenomenon, for example, whether the spacer or the two-tailed hydrophobic part of the lipids regulate the fusion potential with plasma membrane that causes lysosome rupture.

Unlike conventional vaccine adjuvants such as alum, which is toxic and has a long-lasting cellular exposure,<sup>23</sup> A3C14 liposomes are easy to be metabolized owing to the hydrolytic properties of the composing lipids, and they did not show significant cytotoxicity in vitro when the concentration was less than 100  $\mu$ M. Another advantage of A3C14 liposomes is the high potential to activate CTLs through MHC-I mediated antigen presentation, of which conventional adjuvants often lacks in anticancer treatment.<sup>24,25</sup> Therefore, in the future, in vivo investigations are expected to validate the adjuvanticity and to study other immune effects that may be elicited by A3C14 liposomes.

## Conclusion

The structure of hydrophobic moieties of cationic lipids is crucial for liposome-immune cell interaction. Slight variation of the alkyl chains could lead to different biological outcomes of the liposomes or the loaded cargos, eg, NLRP3 inflammasome activation and antigen presentation. Among all the tested arginine-based cationic liposomes, A3C14, bearing propyl spacers (C3) between the arginine head group and ditetradecyl tails (C14) exhibited the strongest NLRP3 inflammasome activation and promotion of both MHC class I- and class II-mediated antigen presentations without interfering the MHC and co-stimulatory molecules. The potent cellular uptake and inducement of lysosome rupture are proposed as a common mechanism for arginine-based cationic liposomes in the inflammasome activation and enhanced antigen presentation.

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## Disclosure

EL is a co-founder and consultant of IFM Therapeutics. ST reports a patent JP2007073266 issued to Waseda University. The authors report no other conflicts of interest in this work.

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