

# Oxymatrine Liposomes for Intervertebral Disc Treatment: Formulation, in vitro and vivo Assessments

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**Purpose:** Intervertebral disc degeneration (IVDD) is the main cause of modern low back pain, leading to high societal economic costs. To find an effective medical treatment for this disease, oxymatrine liposomes (OMT-LIP) were prepared with the pH-gradient method.

**Materials and Methods:** Nucleus pulposus (NP) cells from Sprague–Dawley rats were used for the cell experiments. Kunming mice were used for in vivo imaging. LIP were employed to deliver OMT, and the particle size,  $\zeta$ -potential, morphology, in vitro stability and in vitro release characteristics were evaluated. The OMT-LIP targeting effect was measured by in vivo imaging. Cell Counting Kit-8 assays were used to detect the cytotoxicity of OMT and OMT-LIP on NP cells. Therapeutic efficacy was measured by Western blot, real-time quantitative polymerase chain reaction, and apoptosis assays. Radiologic analysis was performed to evaluate the therapeutic effects in vivo.

**Results:** Orthogonal test results revealed that the mass ratio of egg yolk phosphatidylcholine to cholesterol was the key factor to effectively trap OMT in LIP. Optimal OMT-LIP showed multivesicular structure with entrapment efficiency of  $73.4 \pm 4.1\%$ , particle size of  $178.1 \pm 2.9$  nm, and  $\zeta$ -potential of  $-13.30 \pm 2.34$  mV. OMT-LIP manifested excellent stability in vitro and presented significantly longer sustained release compared to OMT solution in phosphate-buffered saline (pH 7.4). OMT-LIP conspicuously increased OMT accumulation in the degenerative disc, attenuated NP cell apoptosis, reduced the expression of matrix metalloproteinases 3/9 and interleukin-6, and decreased degradation of type II collagen. In in vivo study, X-ray demonstrated that OMT-LIP inhibited IVDD.

**Conclusion:** OMT-LIP may be a useful treatment to alleviate disc inflammation and IVDD.

**Keywords:** intervertebral disc degeneration, liposomes, oxymatrine

## Introduction

Low back pain (LBP) is one of the most common diseases leading to a low quality of life and high societal economic costs.<sup>1</sup> The most direct cause of LBP is intervertebral disc (IVD) degeneration (IVDD).<sup>2</sup> An imbalance of extracellular matrix (ECM) anabolism and catabolism in the nucleus pulposus (NP) induced by inflammation could lead to IVDD.<sup>3,4</sup> During inflammation pathogenesis, matrix metalloproteinase (MMP) expression positively correlates with many inflammatory mediators such as interleukin (IL)-1 $\beta$  and the degradation of ECM components such as collagen type II (Col2).<sup>5</sup> IL-1 $\beta$  reportedly exacerbates the generation of apoptosis-associated proteins<sup>6</sup> and various inflammatory molecules;<sup>7</sup> this leads to apoptosis and fibrotic matrix synthesis and ultimately causes severe inflammatory responses around IVD tissue.<sup>8</sup>

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Oxymatrine (OMT) is derived from the roots of the traditional Chinese medicine named *Sophora flavescens* Ait (Kushen).<sup>9</sup> OMT exhibits antiviral, anti-fibrosis, and anti-inflammatory effects and has been successfully used to treat chronic hepatitis B in clinical practice.<sup>10</sup> Moreover, OMT can prevent synovial inflammation and migration in rheumatoid fibroblast-like synoviocytes.<sup>11</sup> Since IVDD is also related to inflammation, OMT was thought to have great potential as an IVDD treatment.<sup>12</sup>

However, due to the wide biodistribution and rapid elimination after intravenous OMT injection,<sup>13</sup> treatment is limited by low drug concentration and short residence time, especially since the IVD is the largest avascular tissue in the human body.<sup>14,15</sup> Therefore, increasing OMT accumulation in IVD is crucial to improving therapeutic efficacy. Liposomes (LIP) are a biocompatible nanocarrier that have been employed to deliver various drugs.<sup>16</sup> It improved the clinical applications of a wide range of drugs by stabilizing their structures, enhancing cellular internalization, and improving drug biodistribution in targeted areas.<sup>17,18</sup> As a drug carrier system, LIP possess the following advantages: sustained release, ability for self-assembly, capacity to load large quantities of drugs, and other biophysical and physicochemical properties.<sup>16,18,19</sup> We hypothesized that OMT LIP (OMT-LIP) could be used as an efficient intervention for IVDD.

In this study, OMT was loaded into LIP using a pH-gradient method. Orthogonal testing was used to optimize the formula. The particle size,  $\zeta$ -potential, morphology, in vitro stability and in vitro release were evaluated. The in vitro stabilities of OMT-LIP stored at 4°C for 7 days or 3 months were investigated by particle size. Drug accumulation was detected by in vivo imaging. Hoechst 33258 staining and Annexin V-fluorescein isothiocyanate (FITC)/propidium iodide (PI) doubling staining were performed to assess NP cell apoptosis. MMP3/9, IL-6, and Col2 mRNA and protein levels were measured by real-time quantitative polymerase chain reaction (RT-qPCR) and Western blot, respectively.

## Materials and Methods

### Materials

OMT (98% purity) was purchased from Aladdin, Co., Ltd (Shanghai, China). Egg yolk phosphatidylcholine (EPC) was purchased from Sigma Aldrich (St. Louis, MO, USA). Cholesterol (Chol) was purchased from Avanti Polar Lipids, Inc. (Alabaster, AL, USA). mPEG2000-DSPE (DSPE) was

purchased from Corden Pharma (Liestal, Switzerland). High-performance liquid chromatography (HPLC)-grade acetonitrile was purchased from Fisher Chemical (Waltham, MA, USA). Cell Counting Kit-8 (CCK8) was purchased from Beyotime Biotechnology (Nanjing, China).

Kunming mice weighing 30–35 g and Sprague–Dawley rats weighing 200–250 g were both purchased from Beijing HFK Bioscience Co., Ltd (Beijing, China). All animal experimental procedures were performed in accordance with the International Guiding Principles for Animal Research and were approved by the Animal Experimentation Committee of Huazhong University of Science and Technology.

### OMT-LIP Preparation

OMT-LIP were prepared by the pH-gradient method. Briefly, the lipids (EPC/Chol/DSPE) were dissolved in dichloromethane and dried to a thin film with a rotary evaporator under reduced pressure. Then, it was placed in a vacuum oven for 30 min for further drying. The lipid film was hydrated using an ultrasound machine with 0.1 mol/L Lemon/Na buffer (pH 5.0). The obtained LIP were extruded three times through a polycarbonate membrane (pore size: 0.22  $\mu\text{m}$ , Whatman, Maidstone, Kent, UK). After the formation of the empty LIP, the external pH was adjusted to pH 7.0 with saturated  $\text{Na}_2\text{HPO}_4$  solution. Then, OMT was added to the LIP solution. The LIP/OMT were then incubated for 1 h at 60°C with occasional shaking. After the solution cooled down, it was transferred into dialysis tubes (MWCO: 1000 Da) and dialyzed against phosphate-buffered saline (PBS, pH 7.4) to remove free OMT. The dialysate was changed every 30 min for a total of 3 times. After completion of dialysis, the resulting solution was centrifuged at 3000 r/min for 5 min, and the bottom precipitate was discarded. The desired OMT-LIP was obtained as the supernatant.

Factors affecting the encapsulation efficiency (EE) of OMT-LIP such as EPC/Chol and EPC/DSPE mass ratio were investigated with orthogonal testing.

### OMT-LIP Characterization

Particle size and  $\zeta$ -potential of OMT-LIP were measured by dynamic light scattering (DLS; ZetaPlus, Brookhaven Instruments, Holtsville, NY, USA). OMT-LIP ultrastructure was determined using transmission electron micrography (TEM; JEM-1230, JEOL Ltd., Tokyo, Japan) after negative staining with sodium phosphotungstate solution (2%, w/v). The EE of OMT was detected with an HPLC system (mobile phase: mixture of 0.1 mol/L  $\text{KH}_2\text{PO}_4$  solution [adjusted to

pH 2.5 with phosphoric acid] and acetonitrile, 95:5; Hitachi, Tokyo, Japan) at a wavelength of 220 nm. EE was calculated by the following formula:

$$EE(\%) = \frac{\text{(weight of OMT loaded)}}{\text{(weight of OMT fed)}} \times 100\%$$

In vitro OMT release was determined with the dialysis method. Briefly, 5 mL OMT-LIP was placed in the dialysis bag (MWCO: 1000) and immersed in 50 mL PBS (pH 7.4) at 37°C with constant shaking (75 rpm). At pre-set time intervals, 1 mL of the dialysis solution was removed, and an equal volume of fresh media was added. OMT concentrations in the media were measured by HPLC. All experiments were repeated three times, and the results expressed as mean  $\pm$  SD (n = 3).

## Surgical Technique and Radiological Analysis

The model operation was conducted in mice (IVDD group). After anesthetizing with 2% (w/v) pentobarbital (50 mg/kg), the position of a tail disc at a specific level was determined by palpation on the caudal vertebra. Puncture was vertically performed on levels Co7–8 and Co8–9 of the coccyx with a 26G needle to a depth of 2/3 of the disc thickness based on previous research.<sup>20</sup> To eliminate the influence of different individuals on the experimental results, the rats punctured at disc Co7–8 were defined as the injection group (n = 15), those punctured at disc Co8–9 as the normal saline injection (IVDD) group (n = 15), and rats with non-punctured disc at Co9–10 as the control group (n = 15). Moreover, the injection group was equally divided into OMT, LIP and OMT-LIP (10  $\mu$ L, 100  $\mu$ g/ $\mu$ L, n = 5) groups and the same amount of normal saline was injected at disc Co8-9. Radiographs were taken at a collimator-to-film distance of 66 cm, an exposure of 63 mAs, and a penetration power of 65 kV. X-ray was performed on rats at 0, 2 and 4 weeks after surgery. Disc heights were measured using the ImageJ software and expressed as the disc height index (DHI) using the method as previously described.<sup>21</sup>

## In vivo Imaging

The in vivo distribution of OMT-LIP at the inflammation site was characterized using the fluorescent dye DiR with coupled LIP. Twelve female Kunming mice (30–35 g) were randomly divided into four groups (Control DiR, Puncture DiR, Control DiR-LIP, and Puncture DiR-LIP).

The Puncture group underwent the model operation. The first two groups were intraperitoneally injected with free DiR (300  $\mu$ L, 0.17 mg/mL), and the latter two with DiR-LIP (300  $\mu$ L, 0.17 mg/mL). Later, near-infrared fluorescent (NIRF) imaging of mice was performed with an in vivo imaging system (Pearl<sup>®</sup> Trilogy, LI-COR Inc., Lincoln, NE, USA) at different time points (2, 8, 24, and 36 h) after the intervention.

## NP Cell Isolation and Culture

NP cells were collected from the IVD tissue of Sprague–Dawley rats (200–250 g). First, the disc tissue was cut and separated with 0.25% trypsin (Biothink Biological Technology, Nanjing, China) including 0.01% EDTA for about 45 min at 37°C. Then, we used 0.25% Col2 (Gibco, Grand Island, NY, USA) to digest it for 3 h at 37°C. Finally, cells were expanded in Dulbecco's minimum essential medium (DMEM)/F12 containing 10% fetal bovine serum (FBS, Gibco) and 1% penicillin/streptomycin (Biothink Biological Technology, Nanjing, China) at 37°C under 21% O<sub>2</sub> and 5% CO<sub>2</sub>. The NP cells used in the experiment were from the first three passages, and there were no obvious changes in cell morphology between the primary cells (passage 0) and later passaged cells (passage 2).

## Cell Viability Assay

Cell viability was determined using CCK8 kits in accordance with the manufacturer's protocol. In brief, second-passage NP cells were expanded in 96-well plates (1000 cells/cm<sup>2</sup>) and incubated in DMEM/F12 with 10% FBS at 37°C for 24 h. Then, OMT (0, 0.25, 0.5, and 1 mg/mL) and OMT-LIP (0, 0.25, 0.5, and 1 mg/mL) were added to the 96-well plates with cells and place in an incubator for 48 h. After the intervention, the cells were washed twice with PBS, then each well was treated with 100  $\mu$ L of DMEM including 10  $\mu$ L of CCK-8 solution, and the plate was incubated for another 2 h. The absorbance of the wells was then measured at 450 nm with a microplate reader.

## Apoptosis Analysis

IL-1 $\beta$  was used to induce apoptosis in NP cells. They were seeded on sterile coverslips in 6-well plates. Upon reaching 90% confluence, the cells were treated for 72 h and analyzed with two methods. (1) Hoechst 33258 staining: Cells were washed twice with PBS and stained for 20 min at 37°C with Hoechst 33258 staining solution (Beyotime Biotechnology) in accordance with the manufacturer's

protocol after the NP cell intervention. (2) Flow cytometry: NP cells were isolated and harvested using trypsin (0.25%) and then washed twice with PBS. After treatment with the FITC Annexin V Apoptosis Detection Kit in line with the manufacturer's instructions (BD Pharmingen, Franklin Lakes, NJ, USA), NP cell apoptosis was analyzed by flow cytometry, and the ratios of apoptotic cells were calculated.

## RT-qPCR

Total RNA was extracted from NP cells in 6-well plates using RNAiso Plus (Takara Bio Inc, Kusatsu, Japan). cDNA was synthesized using reverse transcriptase (Toyobo, Japan) with 2 µg of total RNA in accordance with the manufacturer's instructions. The cDNA was amplified using specific primers and SYBR Premix Ex Tap (Tli RNaseH Plus) (2x) (Toyobo, Osaka, Japan). The cycle threshold (Ct) values were collected and normalized to the level of the housekeeping gene glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The relative mRNA levels of each target gene were measured with the  $2^{-\Delta\Delta Ct}$  method. The primer sequences are listed in Table 1.

## Western Blot

Total protein extracted from NP cells was isolated by radioimmunoprecipitation assay buffer with 1% phenylmethylsulfonyl fluoride (Biothink Biological Technology), and then protein concentration was determined with the bicinchoninic acid protein assay kit (Biothink Biological Technology). The protein samples were separated by sodium dodecyl sulfate polyacrylamide gel electrophoresis and transferred to polyvinylidene fluoride membranes (Bio-Rad, Hercules, CA, USA). After blocking with 5% nonfat milk, the membranes were

incubated with the diluted primary antibody on a shaker at 4°C overnight. Then, the membrane was washed with Tris-buffered saline with Tween three times for 10 min each and then incubated with the corresponding secondary antibody at 25°C for 1 h. The proteins were visualized by enhanced chemiluminescence (Biothink Biological Technology) using the molecular imager Chemidoc xrs Imaging System (Bio-Rad). The protein bands were analyzed with ImageJ software (version 1.48; National Institutes of Health, Bethesda, MD, USA). The primary antibodies used in this study are listed in Table 2.

## Statistical Analysis

Student's t-tests were used to compare two groups. Three or more groups were analyzed with one-way analysis of variance. For all tests,  $p < 0.05$  was considered statistically significant. Graphs were generated using GraphPrism software 7.0 (GraphPad Software Inc., San Diego, CA, USA). All data are expressed as mean  $\pm$  SD.

## Results

### OMT-LIP Characterization

LIP composed of EPC/Chol/DSPE obtained an EE of 73.4%. The effects of lipid composition on EE were investigated, and the results are displayed in Tables 3 and 4. The range analysis showed that factor A (EPC:Chol) had the most significant effect on EE. The order of influencing factors was A>C>B. The range of factor B was the smallest, with the least significant effect. Based on the influence of various factors on

**Table 1** Primer Sequences

Gene Name	Forward (5'-3')	Reverse (5'-3')
MMP3	GCTCATCCTACCCAT TGCA	GCTTCCTGTCATC TTCAGC
MMP9	TCCTTGCAATGTGGAT GTTT	CGTCCTTGAAGAA ATGCAGA
IL-6	GAGGATACCACTCCCAAC AGACC	AAGTGCATCATC GTTGTTCATACA
Collagen 2	CTGGTGAGCAGCAA GAGC	GTGGACAGTAGAC GGAGGAAAG
GAPDH	GGTGAAGGTCGGTGTG AACG	CTCGTCTCTGGA AGATGGTG

**Table 2** Primary Antibodies

Name	Source	Brand	Catalog Number	Dilution
GAPDH	Mouse	Proteintech	60004-1-Ig	1:5000
MMP3	Mouse	Proteintech	66338-1-Ig	1:5000
MMP9	Rabbit	Proteintech	10375-2-AP	1:500
IL-6	Rabbit	Proteintech	21865-1-AP	1:200
Collagen 2	Rabbit	Proteintech	15943-1-AP	1:500

**Table 3** Levels of Various Factors

Level	Factor		
	A	B	C
1	3:1	3:1	1
2	4:1	4:1	2
3	5:1	5:1	3

**Abbreviations:** A, EPC:Chol; B, EPC:DSPE; C, concentration of OMT (mg/mL).

**Table 4** Arrangement and Results of Orthogonal Test According to L9 (3<sup>4</sup>)

Number	A	B	C	EE%
1	3:1	3:1	1	43.09
2	3:1	4:1	2	33.99
3	3:1	5:1	3	61.26
4	4:1	3:1	2	63.34
5	4:1	4:1	3	73.37
6	4:1	5:1	1	58.91
7	5:1	3:1	3	55.71
8	5:1	4:1	1	43.82
9	5:1	5:1	2	54.07
K1	138.34	162.14	145.82	
K2	195.62	151.18	151.40	
K3	153.60	174.24	190.34	
R	57.28	23.06	44.52	

**Abbreviations:** A, EPC: Chol; B, EPC: DSPE; C, concentration of OMT (mg/mL); EE, encapsulation efficiency.

EE, the optimized preparation conditions were determined as A<sub>2</sub>B<sub>2</sub>C<sub>3</sub>, that is, the concentration of OMT was 3 mg/mL, and the mass ratio of EPC:Chol:DSPE was 4:1:1.

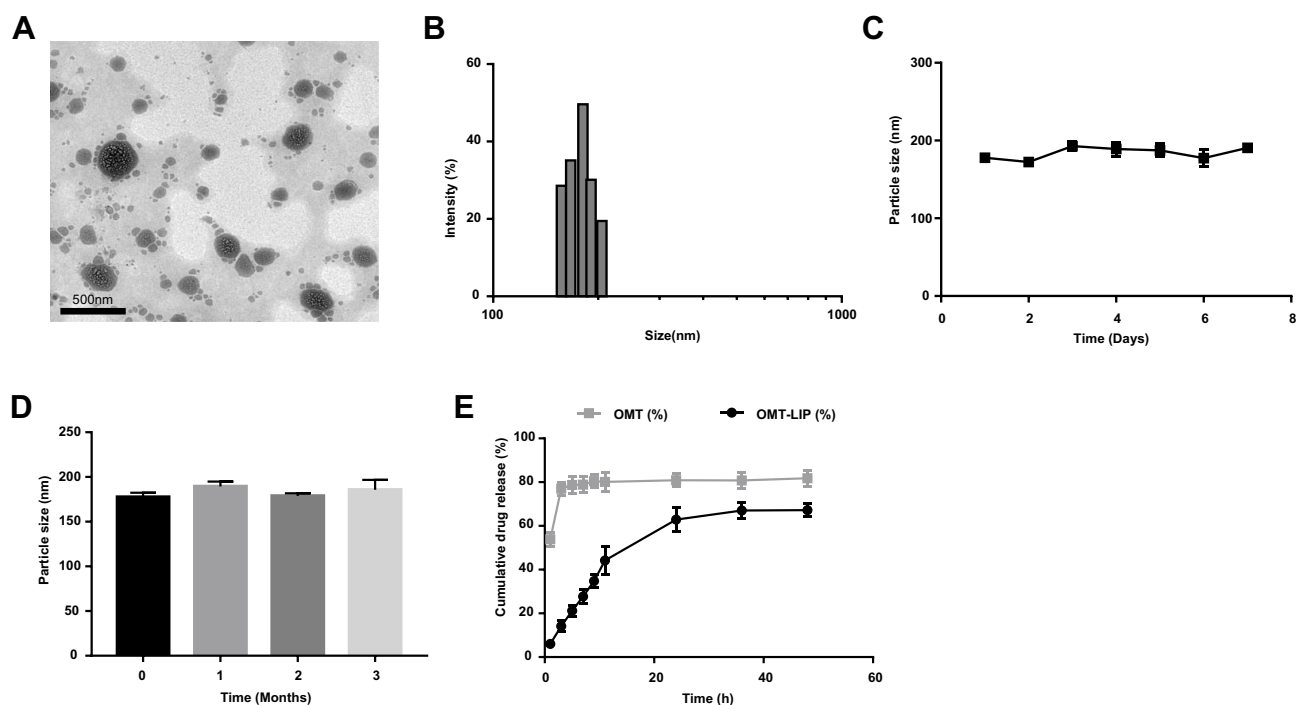
The morphology of OMT-LIP was found to be multivesicular structure with a spherical shape (Figure 1A). The OMT-LIP particle size was found to be 178.1 ± 2.9nm with a polydispersity index of 0.167 ± 0.034 and ζ-potential of -13.30 ± 2.34 mV (Figure 1B). After incubation for 7 days or 3

months at 4°C, the formulated LIP showed minimal size change (Figure 1C and D). These results suggest that OMT-LIP exhibit excellent physical stability.

Next, the in vitro drug release for a 48-h period was evaluated; samples were collected at 1, 3, 5, 7, 9, 11, 24, 36, and 48 h (Figure 1E). The cumulative release of OMT was 67.2 ± 3.0% in 48 h, suggesting that OMT-LIP could achieve sustained release in vitro and increase the acting time of the drug compared to the immediate and spontaneous release of free OMT. The drug-release mechanism was further investigated. Table 5 shows that the in vitro drug-release kinetic model for OMT-LIP in PBS (pH 7.0) fits well with Weibull's equation.

## Degenerative IVD Targeting

To investigate the targeting effect of LIP in IVDD model mice after intraperitoneal administration, DiR dye was incorporated during liposome preparation. Figure 2 shows the images of the control and puncture groups after treatment with DiR or DiR-LIP at different time points (2, 8, 24, and 36 h). The images demonstrated that fluorescence intensity in the Puncture DiR-LIP group gradually (2 h) accumulated at the inflamed IVD, with a more obvious fluorescence signal later on (36 h) (Figure 2A). However, the other three groups did not show specific fluorescence intensity enhancement compared to the Puncture DiR-LIP group (Figure 2B and C).



**Figure 1** OMT-LIP characteristics. (A) TEM image of OMT-LIP by negative staining with sodium phosphotungstate solution (50,000×). (B) Particle size of OMT-LIP. (C-D) Particle size of OMT-LIP during storage at 4°C for 7 days and 3 months. (E) In vitro release of OMT-LIP and free OMT in PBS (pH 7.4). Scale bar = 500 nm.

**Table 5** Release Kinetic Parameters for OMT from OMT-LIP in PBS (pH 7.4)

	OMT-Loaded Liposome
	Equation Correlation Coefficient (R)
Zero-order equation	$Q = 0.0121t + 0.1335$ 0.8786
First-order equation	$\ln(1-Q) = -0.0204t - 0.1203$ 0.9346
Higuchi	$Q = 0.1047t_{1/2} - 0.0417$ 0.9677
Weibull's equation	$\ln\ln(1/[1-Q]) = 0.8051nt - 2.944$ 0.9863

## OMT-LIP Protected NP Cells Against IL-1 $\beta$ -Induced Apoptosis

The cytotoxic effects of OMT and OMT-LIP on NP cells were first determined at various concentrations (0, 0.25, 0.5, and 1 mg/mL) for 48 h. As shown in [Figure 3A](#), proliferation decreased very slightly with increasing OMT concentration up to 1 mg/mL. Therefore, 1 mg/mL OMT-LIP was used for subsequent experiments. To evaluate the effect of OMT-LIP on IL-1 $\beta$ -induced apoptosis, Hoechst 33258 staining and flow cytometry were used to observe the morphology and apoptotic rate of NP cells, respectively. As shown in [Figure 3B](#), obvious morphological changes and up-regulation of nuclear fragmentation occurred after stimulation with IL-1 $\beta$  (10 ng/mL). OMT-LIP (1 mg/mL) significantly attenuated this phenomenon. Next, apoptosis markers were detected by flow cytometry ([Figure 3C and D](#)). The results showed that the rate of apoptosis significantly increased under IL-1 $\beta$  induction compared with the normal group; however, this was significantly attenuated by OMT-LIP. These data indicated that OMT-LIP prevented IL-1 $\beta$ -induced apoptosis of NP cells.

## OMT-LIP Inhibited IL-1 $\beta$ -Induced Increases in MMP and IL-6 Expression and Col2 Loss in NP Cells

To evaluate the effects of OMT-LIP on ECM components in NP cells, the protein levels of matrix-degrading enzymes (MMP3 and MMP9) and a matrix component (Col2) were detected. As shown in [Figure 4A and B](#), IL-1 $\beta$  increased the expression of MMP3/9 and IL-6 but decreased that of Col2. However, OMT-LIP (1 mg/mL) reduced the loss of Col2 and inhibited the expression of MMP3/9 and IL-6. The transcript levels of MMP3/9, IL-6, and Col2 in NP cells were detected by RT-qPCR after IL-1 $\beta$  (10 ng/mL) treatment and OMT-LIP intervention. As shown in [Figure 4C](#), mRNA levels of MMP3/9 and IL-6

were obviously upregulated and Col2 was decreased after the addition of IL-1 $\beta$ . Following OMT-LIP treatment, we observed obvious downregulation of MMP3/9 and IL-6 and recovery of Col2 ([Figure 4C](#)). These data indicated that OMT-LIP suppressed the expression of catabolic enzymes and promoted ECM synthesis.

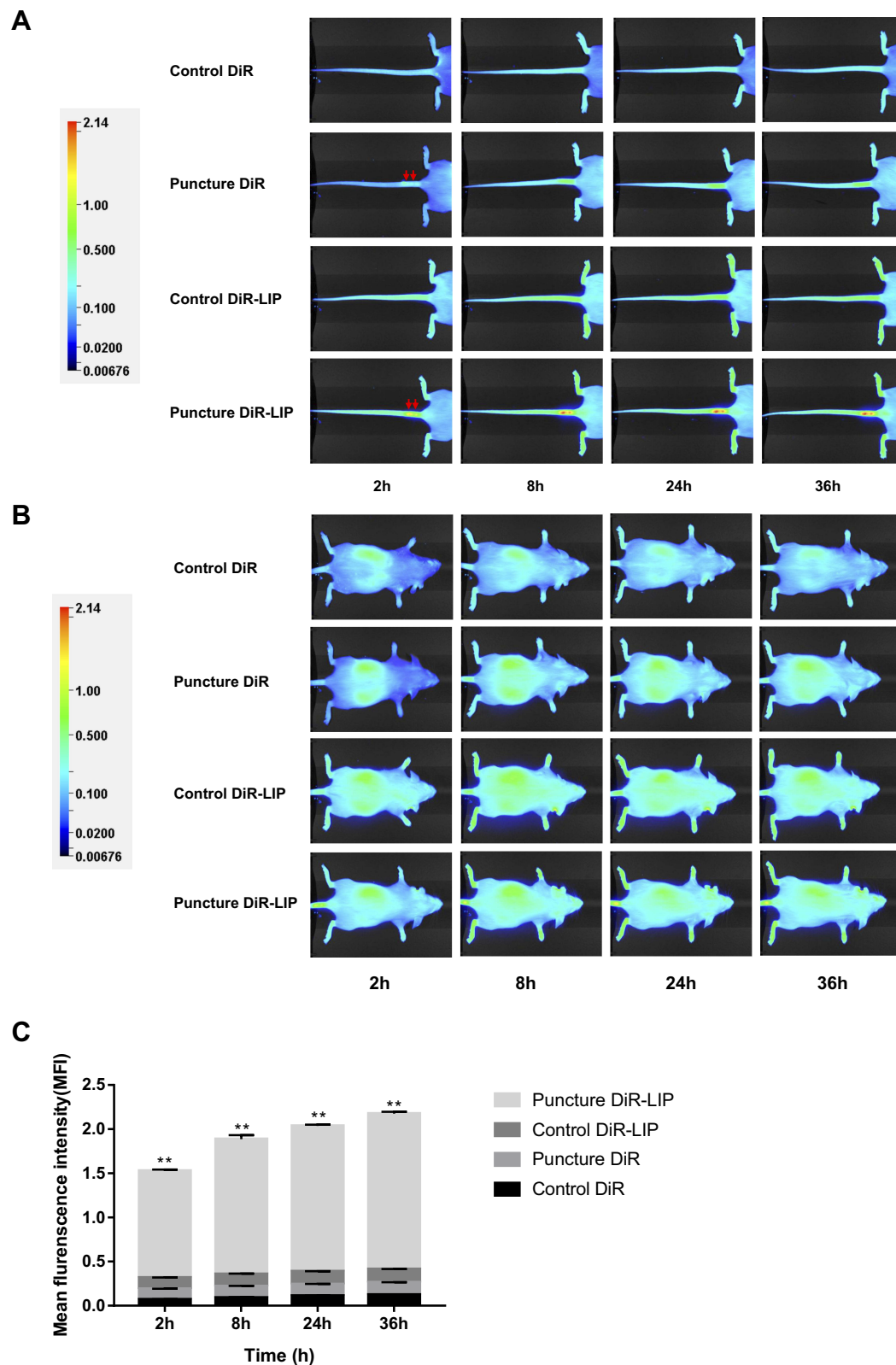
## OMT-LIP Prevented IVDD in vivo

To examine whether OMT-LIP has protective effects on degenerative intervertebral disc, we had the caudal vertebrae of rats underwent X-ray inspection. Prior to the puncture (0 weeks), all groups showed normal disc height ([Figure 5A](#)). However, the X-ray results at 2 weeks revealed a loss of disc height in IVDD group, indicating progressive degeneration. In contrast, OMT-LIP injection delayed its loss conspicuously ([Figure 5A](#)). At 4 weeks, the same results could be found that OMT-LIP group slowed down the narrowing of disc height and the percent disc height index (%DHI) in the OMT and OMT-LIP treatment groups was 1.39- and 1.75-fold higher than that in the IVDD group ([Figure 5B](#)). These results suggested that OMT-LIP treatment could alleviate the progression of IVDD in vivo.

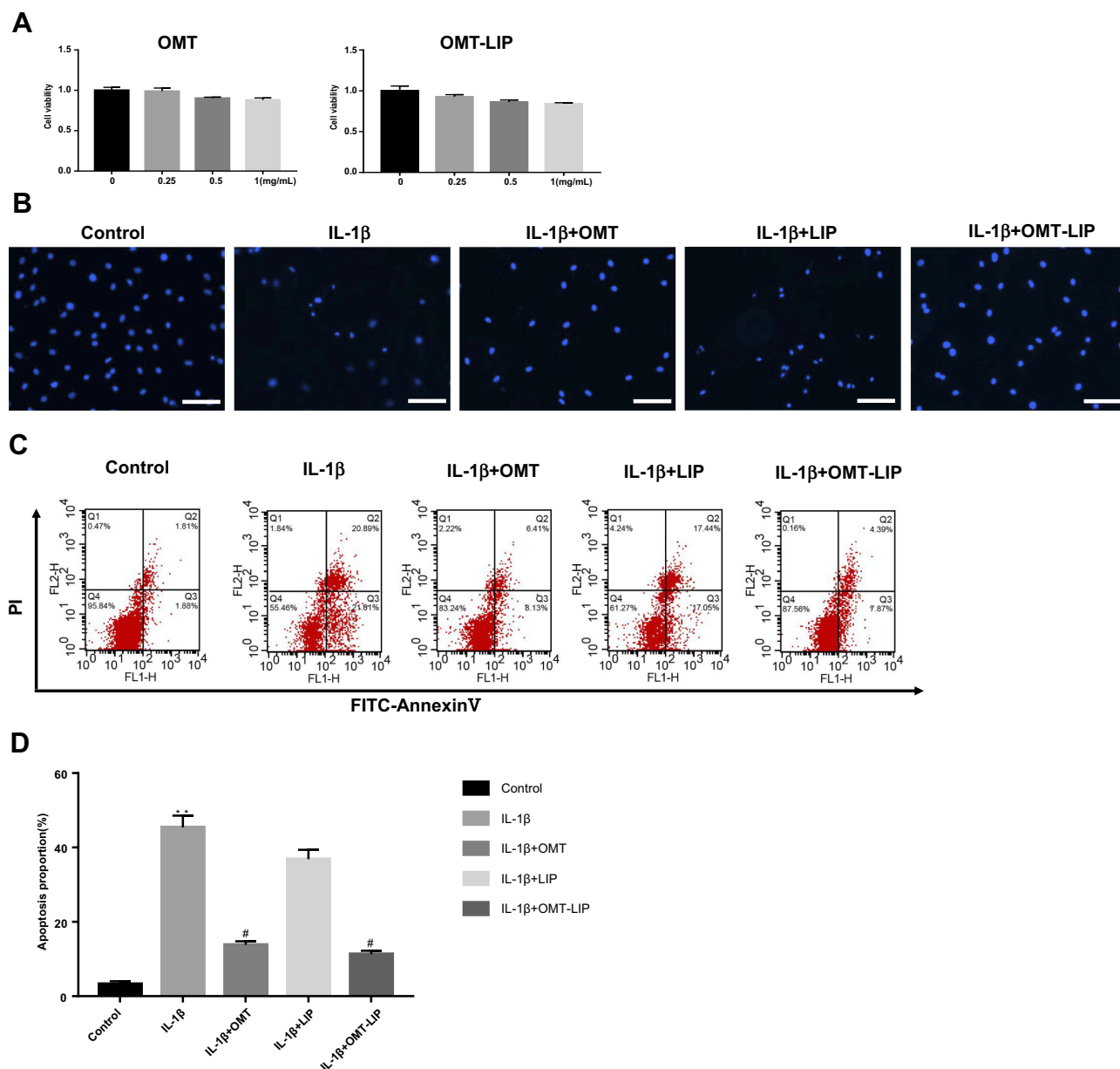
## Discussion

Currently, the main treatments for IVDD are pharmacologic interventions and surgery.<sup>22</sup> The challenges for effective treatment are low drug concentration and inability to accumulate at the IVD tissue. Here, we developed OMT-LIP to increase local drug concentration and enhance the efficacy of interventions for IVDD. Increasing the EE of water-soluble drug LIP has always been one of the difficulties in their preparation.<sup>23</sup> OMT is a small molecule with high water solubility. The EE of liposome was <20% by ethanol injection but reached >50% with the pH-gradient method.<sup>24</sup> In our study, LIP composed of EPC/Chol/DSPE achieved a maximum EE of 73.4%. Citrate buffer was used during drug preparation. Citrate is a pH gradient used in the drug-loading process for weakly basic drugs,<sup>25</sup> and this markedly improved OMT entrapment.<sup>26</sup>

Higher EE occurs in LIP with a moderate mass ratio of EPC to Chol (4:1) compared to the groups with mass ratios of 3:1 and 5:1 ([Table 4](#)). Chol influences the mechanical properties of cell membranes, increasing its mechanical strength, elasticity, and density through ordering and condensing effects.<sup>27-29</sup> Due to the above modifications, small molecules and ions have less access to the membrane with increasing Chol.<sup>30</sup> Therefore, a suitable Chol concentration is needed to achieve maximum EE of the drug.



**Figure 2** Biodistribution of the liposome delivery system was detected by NIRF imaging. The images were obtained after intraperitoneal administration of free DiR or DiR fabricated with LIP at different time intervals (2, 8, 24, and 36 h). **(A)** Tail images. **(B)** Whole-body images. **(C)** Histogram of quantitative analysis of mean fluorescence intensity at the degenerative intervertebral discs. Red arrows indicate puncture sites. \*\* $p < 0.01$  vs Puncture DiR group,  $n = 3$ .



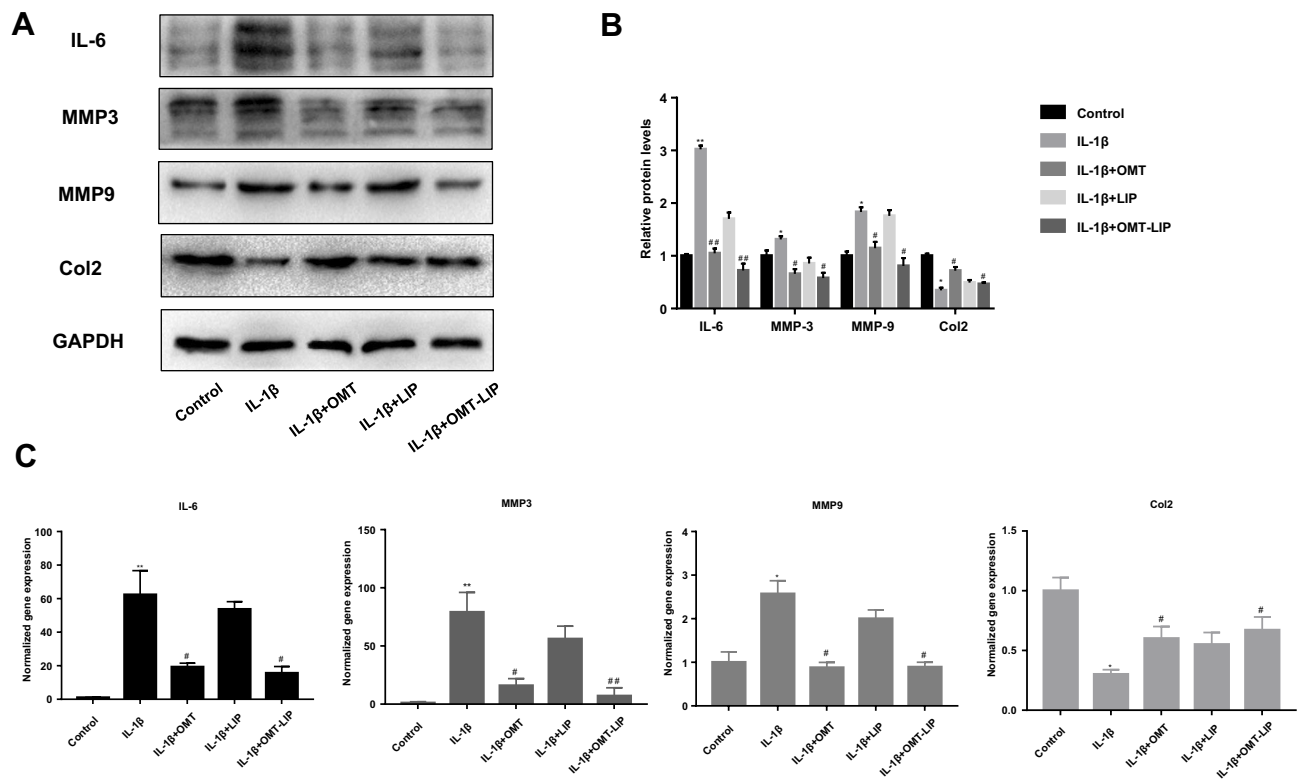
**Figure 3** OMT-LIP ameliorated IL-1 $\beta$ -induced apoptosis in NP cells. **(A)** CCK-8 results of NP cells treated with different concentrations of OMT and OMT-LIP for 48 h. **(B)** Representative images for nuclei staining with Hoechst 33258 of NP cells observed by fluorescence microscope. **(C)** NP cell apoptosis was evaluated by flow cytometric analysis after Annexin-V/PI double staining. **(D)** Quantitative analysis of apoptosis by flow cytometry. Scale bar = 200  $\mu$ m. \*\* $p$  < 0.01 vs Control group,  $n$  = 5; # $p$  < 0.05 vs IL-1 $\beta$  group,  $n$  = 5.

In vivo imaging demonstrated that LIP could target degenerative IVDs. LIP were previously shown to exhibit targeting capability in a rat model of osteoarthritis.<sup>31</sup> When LIP enter circulation, they are easily phagocytosed by macrophages as foreign bodies, meaning they naturally target the monocyte-macrophage-enriched organs of the liver and spleen.<sup>32</sup> Macrophages accumulate at sites of inflammation where they participate in the inflammatory response.<sup>33</sup> This may explain why LIP have a role in inflammation targeting. Among the four groups, puncture

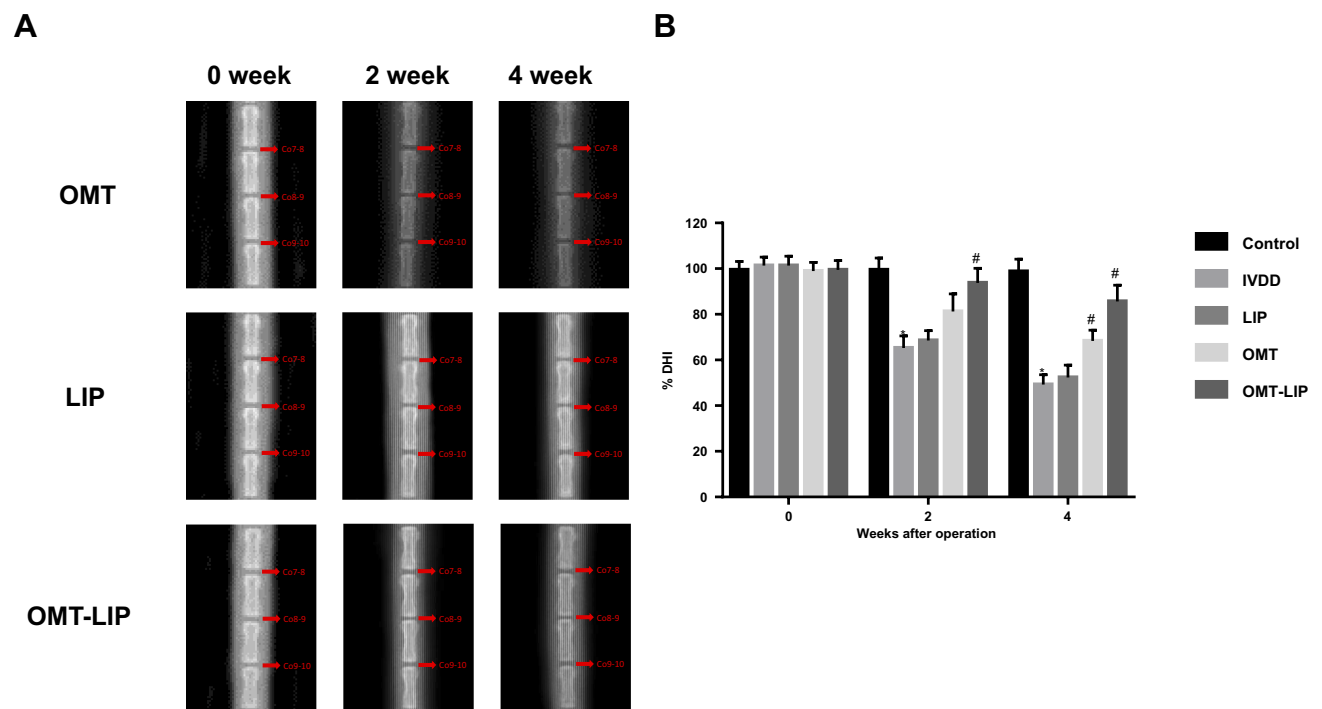
DiR-LIP mice showed a gradual and specific accumulation of fluorescence signal where the surgery was performed. This was not observed in the other three groups, excluding an effect of DiR or injection method. In summary, LIP effectively targeted IVD.

Nano-LIP are the most commonly used nano-carrier to deliver drugs to human tissues in clinical applications and have been approved by the US Food and Drug Administration.<sup>34</sup> OMT has been reported to ameliorate agomelatine-induced hepatocyte toxicity<sup>35</sup> and is safe for the





**Figure 4** OMT-LIP inhibited MMP3/9, IL-6, and Col2 expression at mRNA and protein levels. **(A)** Western blot results for MMP3/9, IL-6, and Col2. **(B)** Band densitometric analysis. **(C)** mRNA levels of MMP3/9, IL-6, and Col2 as determined by RT-qPCR. The values are presented as mean  $\pm$  SD. \* $p < 0.05$ , \*\* $p < 0.01$  vs Control group,  $n = 6$ ; # $p < 0.05$ , ### $p < 0.01$  vs IL-1 $\beta$  group,  $n = 6$ .



**Figure 5** X-ray radiological analysis manifested OMT-LIP could alleviate IVDD in vivo. **(A)** X-ray results of 0, 2 and 4 weeks after disc puncture surgery. **(B)** The sequential changes of DHI at 0, 2 and 4 weeks after disc puncture surgery. \* $p < 0.05$  vs Control group,  $n = 5$ ; # $p < 0.05$  vs IVDD group,  $n = 5$ .

treatment of hepatitis B cirrhosis.<sup>36</sup> Furthermore, OMT improved lesions in patients with severe psoriasis without obvious toxicity.<sup>37</sup> CCK8 assays confirmed no obvious toxicity of OMT-LIP to NP cells, indicating that both OMT and OMT-LIP are safe within a reasonable concentration gradient (Figure 3A). Furthermore, OMT-LIP obviously repressed IL-1 $\beta$ -induced apoptosis detected by fluorescence microscope and flow cytometry, as shown in Figure 3B–D.

Inflammation plays an important role in IVDD pathogenesis. In this context, MMPs and IL-6 could be upregulated by pro-inflammatory cytokines such as IL-1 $\beta$ , leading to the decreased expression of ECM components such as Col2. We found that OMT-LIP markedly reduced the mRNA and protein levels of MMP3/9 and IL-6 and mitigated ECM degeneration, indicating that OMT-LIP obviously inhibited IL-1 $\beta$ -induced disc degeneration (Figure 4).

Although OMT has clear therapeutic effects in many diseases, its mechanisms are still not completely understood. NF- $\kappa$ B is a nuclear transcription factor that enters the nucleus upon activation to regulate the expression of inflammation-associated genes in response to injury or stress. OMT may enhance the expression of I $\kappa$ B $\alpha$  to prevent NF- $\kappa$ B from translocating into the nucleus, thus inhibiting NF- $\kappa$ B signaling.<sup>38,39</sup> One group reported that OMT ameliorated l-arginine-induced acute pancreatitis and intestine injury through down-regulating MAPK/NF- $\kappa$ B activation.<sup>40</sup> In addition, OMT could prevent synovial inflammation by blocking the NF- $\kappa$ B pathway.<sup>11</sup> Hence, OMT may attenuate IVDD by suppressing NF- $\kappa$ B signaling. Further studies are needed to fully understand the beneficial effects of OMT. In in vivo study, we demonstrated that OMT-LIP could effectively ameliorate the exacerbation of IVDD by X-ray radiological analysis. Overall, the OMT-LIP formulation showed desirable physical stability and properties, with high loading efficiency, appropriate particle size, and  $\zeta$ -potential for degenerative intervertebral disc targeting. And also, OMT-LIP could increase drug accumulation in the intervertebral disc. The study demonstrated that OMT-LIP ameliorated NP cell apoptosis and the ECM degeneration, providing a new perspective for IVDD treatment.

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## Author Contributions

HF and SWT conceived and designed research. HW and YFD conducted experiments. WZ, KW, YPP and CMZ contributed analytical tools. CZ and JHD analyzed data. HF wrote the manuscript. All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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