

# Plasma cfDNA as a Potential Biomarker to Evaluate the Efficacy of Chemotherapy in Gastric Cancer

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**Objective:** To investigate the clinical value of plasma cell-free DNA (cfDNA) as a potential biomarker for advanced gastric cancer (GC).

**Patients and Methods:** One hundred and six cases of advanced gastric cancer patients receiving chemotherapy were selected as study objects. Another 40 healthy volunteers were included as control groups. Plasma cfDNA concentration was detected by (SuperbDNA™) hybridization. Changes in cfDNA concentration during chemotherapy in patients with gastric cancer whose efficacy was assessed as partial response (PR), stable disease (SD) and disease progression (PD) were analyzed respectively. The relationship between the level of cfDNA and the efficacy of chemotherapy and clinical characteristics was also explored. In addition, cfDNA and other tumor markers were subjected to specificity and sensitivity analyses using ROC.

**Results:** cfDNA concentration in advanced GC patients was significantly higher than that in healthy controls ( $P < 0.05$ ). The concentration of plasma cfDNA in patients with PD showed an increasing trend over time. The concentration of plasma cfDNA in patients with therapeutic effect of PR decreased over time. In patients with therapeutic effect of SD, the plasma DNA concentration showed a stable trend over time. There was no significant correlation between cfDNA concentration and factors including gender, age, pathological type, CA724, CA125, CA199, AFP and CEA. ROC results showed that the area under the curve of cfDNA was larger than other tumor markers.

**Conclusion:** Plasma cfDNA concentration was significantly increased in patients with gastric cancer, and its diagnostic efficacy was superior to that of traditional tumor markers. It can be used as a tumor biomarker to monitor the efficacy of chemotherapy for gastric cancer.

**Keywords:** advanced gastric cancer, cfDNA, tumor marker

## Introduction

Gastric cancer (GC) (5.7%) is the fifth most common malignant tumor worldwide and the third leading cause of cancer-related deaths, after lung cancer (11.6%), breast cancer (11.6%), colorectal cancer (10.2%), and prostate cancer (7.1%). More than 1 million new patients are diagnosed with GC every year.<sup>1,2</sup> Some GC patients receive surgery and adjuvant chemotherapy immediately, while some patients are already in the advanced stage at the time of diagnosis and have lost the opportunity for surgery and have to undergo palliative chemotherapy. For patients whose disease progress is hard to be improved, it is urgent and meaningful to find a method that can quickly and effectively predict the patient's condition and efficacy. Conventional examination methods such as CT, MRI, and electronic endoscopy can only reflect the tumor status of a patient at a certain timing, and do little to predict the effect of the patient.<sup>3,4</sup> Therefore, the role of GC-related

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hematological indicators will become increasingly important. For a long time, the detection of serum physiological indicators or parameters has been an important auxiliary means for clinical cancer diagnosis.<sup>5</sup> Currently, the clinically popular gastrointestinal tumor markers CEA, CA19-9 and CA 72-4 have low diagnostic efficacy.<sup>6,7</sup> Therefore, we urgently need to find simpler, more easily accepted and high diagnostic value examination methods to improve the early detection rate of GC, help clinicians to judge changes in patients' condition, and improve the survival rate of GC patients.

cfDNA is an extracellular DNA fragment that exists in plasma or serum. Relevant reports have now confirmed that cfDNA is circulated and implanted in the distant part of the body through peripheral blood circulation, and is one of the important ways for patients to develop micrometastases.<sup>8</sup> As a new tumor biomarker, peripheral blood cfDNA may have higher sensitivity and specificity.<sup>9</sup> Many studies have found that cfDNA levels in cancer patients are significantly higher than those in normal people.<sup>10,11</sup> Among them, the plasma cfDNA level of GC patients is significantly higher than that of normal people, suggesting that plasma cfDNA can be used as a predictor of GC patients.<sup>12</sup> In this study, (SuperbDNA™) hybridization technology was used to quantitatively monitor the plasma cfDNA concentration. At the same time, multiple tumor markers in serum of GC patients were measured, and their correlation with GC diagnosis and clinicopathological characteristics were analyzed and compared. In addition, cfDNA and other tumor markers' diagnostic efficacy was investigated by establishing ROC curve.

## Patients and Methods

### Specimens Collection

A total of 106 patients with advanced GC who underwent chemotherapy in Jiangsu Cancer Hospital from June 2018 to February 2019 were selected as the research subjects. The clinical baseline data are shown in Table 1. Inclusion criteria includes (1) the patient's diagnosis confirmed by pathological histology; (2) the case data completely recorded; (3) the KPS score greater than 60. Exclusion criteria includes (1) patients suffering from other malignant tumors; (2) patients suffering from heart, liver, kidney and other basic diseases; (3) patients with acute and chronic infectious diseases; (4) patients with mental illness who could not cooperate with normal medical activities. During the same period, another 40 healthy adults served as the control group, including 15

**Table 1** Clinical Baseline Data of GC Patients

Clinical Features	Advanced GC Patients Who Underwent Chemotherapy (n=106)
Sex	
Male	78
Female	28
Age	
>60	43
≤60	63
Pathological Type	
Adenocarcinoma	92
Signet ring cell carcinoma	8
Neuroendocrine cancer	6

males and 25 females. All trial participants signed the informed consent agreement before participating in the study. The clinical trial was approved by the clinical research ethics committee of the Jiangsu Cancer Hospital and was conducted in accordance with the Declaration of Helsinki.

### Plasma Separation and cfDNA Detection

All patients received GC chemotherapy were chosen to collect peripheral blood samples before each cycle of chemotherapy. Venous blood was collected in an EDTA blood tube and centrifuged at 3000 rpm for 10 minutes. 600 µL of plasma was either used directly for cfDNA detection or stored frozen at -80 ° C. The cfDNA concentration was measured from 50 µL of plasma using the QuantiDNA Direct cfDNA Test (DiaCarta) according to the manufacturer's instructions.

### Detection of Tumor Markers

Serum was obtained by centrifuging fasting venous blood using a fully automatic electrochemical luminometer E601 and a kit (Roche, Switzerland). The reference range of each detection index is as follows: CA199 <39 U/mL, CA125 <35 U/mL, CEA <3.5 ng/mL, AFP <7 ng/mL, CA724 <6.9U/L.

### Chemotherapy

The chemotherapy is as following, with at least 6 cycles of chemotherapy.

1. Apatinib + tiglo: according to the body surface area of the patient, the oral tiglo dose is 80mg to 120mg/day twice a day for two weeks and stopping for one week as a course of treatment; the apatinib oral

dose is 425mg-850mg/day until the patient's tolerated dose or the tumor progression.

- Oxaliplatin + capecitabine: Intravenous infusion of oxaliplatin is at 130mg/ for 2 hours at day 1. Capecitabine is orally administered at a dose of 1000 mg/ twice a day for 3 weeks as a course of treatment.

## Efficacy Evaluation

The patients were evaluated for efficacy after 2 cycles of chemotherapy. The evaluation criteria were: partial response (PR): the diameter of the patient's lesion was reduced by >30% compared with that before treatment, and the maintenance time was greater than 4 weeks. Stable disease (SD): The patient's lesion has a diameter of less than 30% or no increase compared with that before treatment. Disease progression (PD): The patient has a new lesion or a larger diameter than that before treatment.

## Statistical Analysis

The cfDNA quantitative results were recorded as mean  $\pm$  standard deviation ( $x \pm sd$ ). Kruskal–Wallis rank sum test was used to compare the groups. The measurement data were compared by *t* test, and the analysis of changes in plasma cfDNA concentration during chemotherapy in patients with GC was analyzed by one-way analysis of variance. SPSS 21.0 software was used for statistical analysis.  $P < 0.05$  was considered statistically significant.

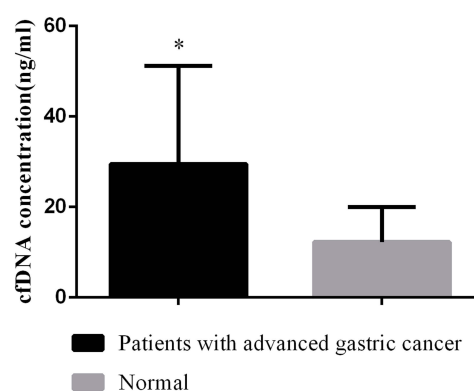
## Results

### Comparison of cfDNA Concentration in Peripheral Blood of Healthy Individuals and GC Patients

The results of detecting the cfDNA concentration are shown in Figure 1. The plasma cfDNA concentration in patients with advanced GC before chemotherapy was  $29.52 \pm 21.64$  ng/mL. The normal human plasma cfDNA concentration was  $12.28 \pm 7.72$  ng/mL. The cfDNA concentration of GC patients was significantly higher than that of healthy controls ( $P < 0.05$ ).

### Study on the Changes of Plasma cfDNA Concentration During GC Chemotherapy

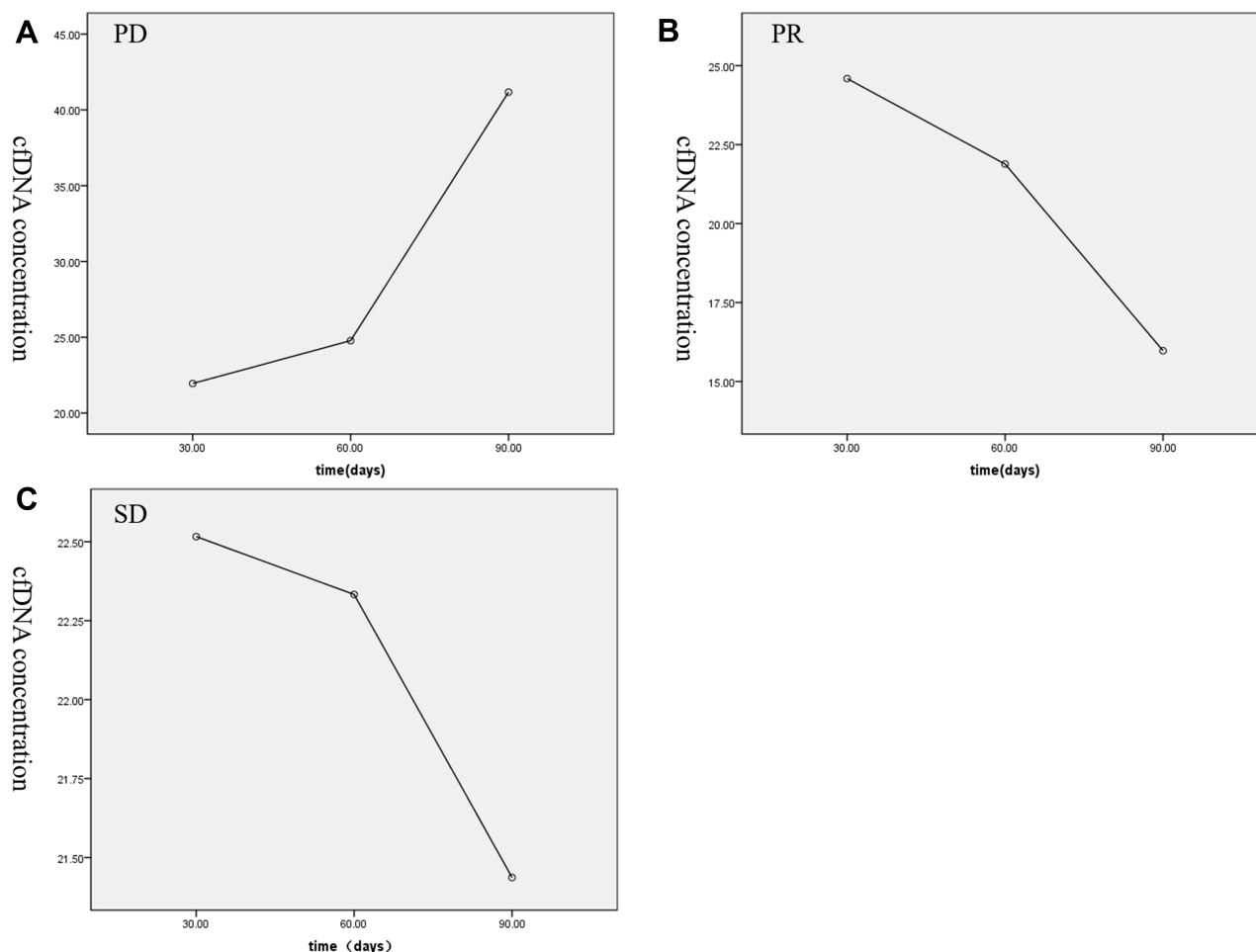
A one-way variance analysis was used to analyze the changes of plasma cfDNA concentration during chemotherapy in GC patients. It was found that: (1) The cfDNA concentrations measured in patients with advanced



**Figure 1** Comparison of plasma cfDNA concentrations in normal and advanced GC chemotherapy patients.

**Note:** \*Indicates  $P < 0.05$ , compared with the normal group.

GC chemotherapy whose efficacy was evaluated as PD at 30d, 60d, and 90d were  $21.95 \pm 13.56$  ng/mL,  $24.78 \pm 12.90$  ng/mL and  $41.17 \pm 20.56$  ng/mL, respectively. The mean value is shown in Figure 2A. The results of univariate analysis of variance showed  $F = 11.278$ , and  $P = 0.000$ . So, the overall average of the cfDNA concentration at 3 different time points was not considered as equal; the linear correspondence of the trend was  $F = 19.343$  and  $P = 0.000$ , indicating that the plasma cfDNA concentration of patients showed an increasing linear change trend over time. (2) The cfDNA concentrations measured at 30d, 60d, and 90d of patients with advanced GC chemotherapy whose efficacy was evaluated as PR were  $24.59 \pm 8.20$  ng/mL,  $21.88 \pm 8.16$  ng/mL and  $15.97 \pm 9.02$  ng/mL, respectively (Figure 2B). One-way analysis of variance showed  $F = 2.708$ , and  $P = 0.085$ . It can be considered that there is no significant difference in the overall average of cfDNA concentration at three different time points. The linear correspondence of the trend test showed  $F = 5.177$ , and  $P = 0.031$ , indicating that the plasma cfDNA concentration of patients decreased linearly with time. (3) The cfDNA concentrations measured in patients with advanced GC chemotherapy whose efficacy was evaluated as SD at 30d, 60d, and 90d were  $22.52 \pm 13.99$  ng/mL,  $22.33 \pm 11.56$  ng/mL and  $21.44 \pm 11.22$  ng/mL, respectively (Figure 2C). One-way analysis of variance showed  $F = 0.123$ , and  $P = 0.884$ . It can be considered that there is no significant difference in the overall average change of cfDNA concentration at three different time points. The linear correspondence of the trend test showed  $F = 0.215$  and  $P = 0.643$ , indicating that the plasma cfDNA concentration of patients showed no significant difference with time and presented stable trend.



**Figure 2** Mean cfDNA concentration of GC patients with different curative effects at 30d, 60d, and 90d. (A) Mean cfDNA concentration of the patients with advanced GC chemotherapy evaluated for PD at 30d, 60d, and 90d; (B) mean cfDNA concentrations of the patients with advanced GC chemotherapy evaluated as PR at 30d, 60d, and 90d; (C) mean cfDNA concentrations of the patients with advanced GC chemotherapy evaluated as SD at 30d, 60d, and 90d.

## Concentrations of Tumor Markers CA199, CA125, CEA, AFP, and CA724 in Serum

Patients with advanced GC chemotherapy showed CA199 concentration of  $30.35 \pm 25.10$   $\mu\text{mL}$ , CA125 concentration of  $32.16 \pm 23.83$   $\mu\text{mL}$ , CEA concentration of  $6.86 \pm 4.30$   $\text{ng/mL}$ , AFP concentration of  $7.71 \pm 4.59$   $\text{ng/mL}$ , and CA724 concentration of  $10.17 \pm 13.07$   $\mu\text{L}$  (Figure 3).

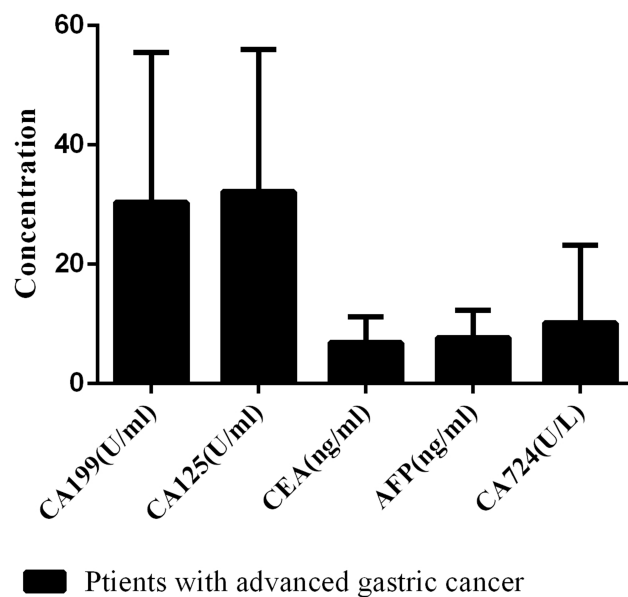
## Relationship Between cfDNA Concentration and Clinical Characteristics in Patients Receiving GC Chemotherapy

The cfDNA concentration in patients with advanced GC chemotherapy was detected and its correlation with clinical characteristics was analyzed. Related analysis results are shown in Table 2. The cfDNA concentration of patients

with advanced GC chemotherapy was not significantly related to the expression of CA724, CA125, CA19-9, AFP and CEA in gender, age, and pathological type ( $P > 0.05$ ).

## Establishing ROC Curves of cfDNA and Traditional Markers

According to the plasma cfDNA concentration and the tumor biomarkers content in patients with advanced gastric cancer, their specificity and sensitivity in gastric cancer diagnosis were calculated, and then the corresponding ROC curves were drawn. The results are shown in Figure 4. The AUC of cfDNA is larger than that of CA199, CA125, CEA, AFP and CA724. The best cut-off value of cfDNA was  $15.36\text{ng/mL}$ . At this time, the sensitivity and specificity to evaluate the efficacy of GC chemotherapy were 93.7% and 45.2%, respectively.



**Figure 3** Serum CA199, CA125, CEA, AFP, and CA724 concentrations in patients with advanced GC chemotherapy.

## Discussion

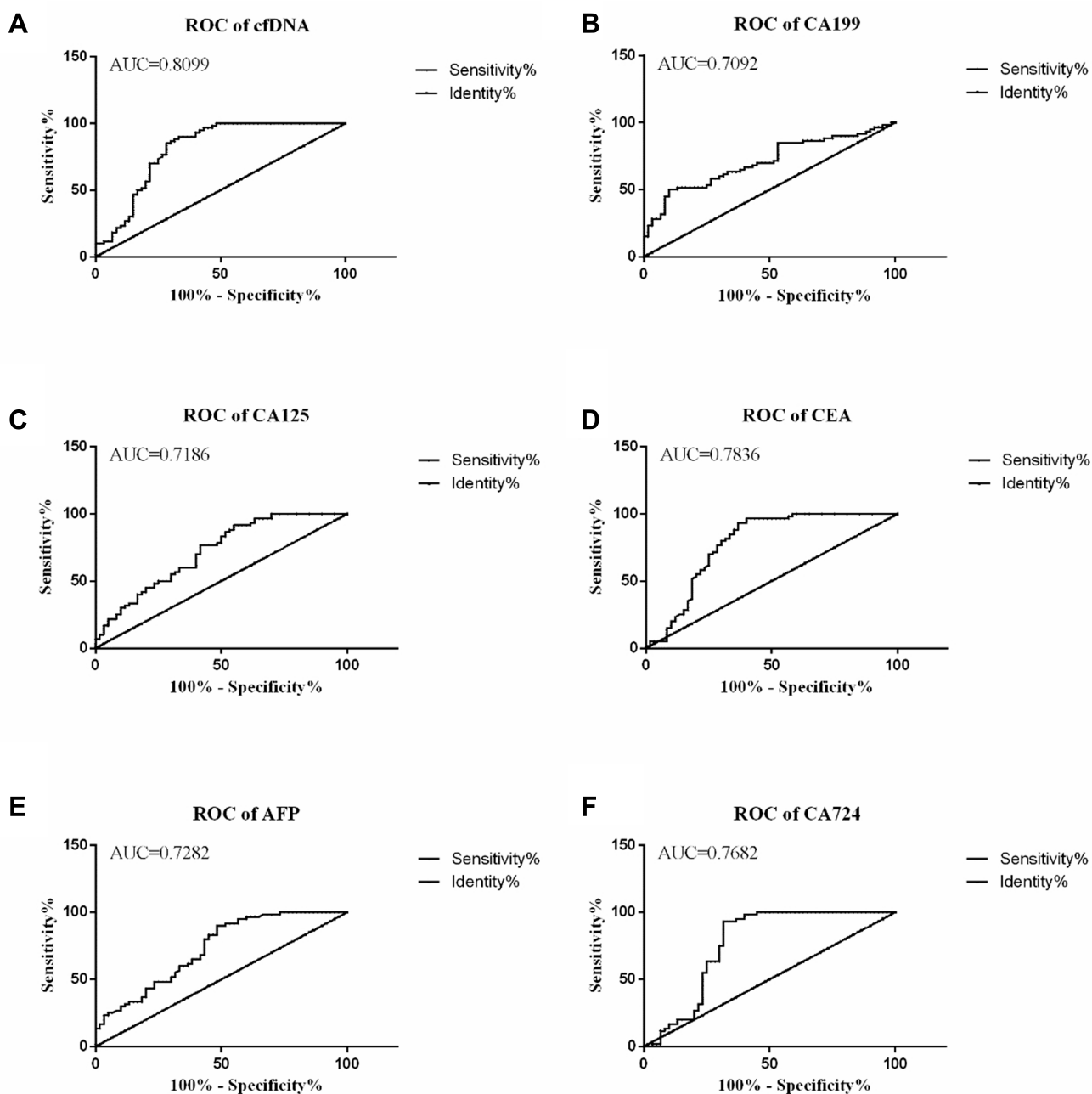
At present, the diagnosis of GC mainly depends on imaging examinations such as electronic endoscopy and X-ray barium meal. Gastroscopy can directly observe the situation in the cavity, and fine needle aspiration biopsy can be performed at the same time as the examination, but the damage to the patient is large and the cost is high, which is not suitable as a tumor screening method. X-ray barium meal examination can dynamically observe the morphology and peristalsis of the stomach, with less pain, but it cannot take a biopsy for histological examination, and it is difficult to make a clear diagnosis of GC. The clinical serum biological indicators (CA19-9, CA125, CA72-4, CEA, etc) which are commonly used in clinical practice today are helpful to the diagnosis and detection of GC, and the specificity is not high.<sup>13</sup> Therefore, finding serum biological indicators that are more meaningful for GC diagnosis and disease detection has become a research hotspot in recent years. In this era, the application of cfDNA in the clinical treatment of malignant tumors has attracted an increasing attention.<sup>14</sup>

In the plasma of healthy people, cfDNA mainly comes from apoptotic cells, and in addition to apoptotic cells, the cfDNA in cancer patients' plasma also comes from DNA actively released by tumor cells and by tumor cell necrosis and lysis.<sup>15</sup> The half-life of cfDNA in the blood is very short, only about 16 min,<sup>16</sup> so cfDNA can be used for dynamic monitoring. The related report of gallbladder

**Table 2** Correlation Between Plasma cfDNA Concentration and Clinical Characteristics Before Chemotherapy in Patients with Advanced GC (n = 106)

Clinical Features	n	cfDNA (ng/mL)	P
Sex			
Male	78	24.18±9.13	0.1799
Female	28	21.52±8.39	
Age			
<60	43	22.58±9.54	0.2983
≥60	63	24.51±9.19	
Pathological Type			
Adenocarcinoma	92	23.18±10.05	0.7793
Signet ring cell carcinoma	8	21.95±6.25	
Neuroendocrine cancer	6	20.57±6.10	
CA724			
<6.9 μ/mL	39	20.95±8.84	0.1354
≥6.9 μ/mL	67	23.75±9.46	
CA125			
<35 μ/mL	72	24.58±9.32	0.4388
≥35 μ/mL	34	23.11±8.57	
CA19-9			
<39 μ/mL	69	22.79±11.02	0.0968
≥39 μ/mL	37	26.16±7.21	
AFP			
<7ng/mL	68	23.59±9.23	0.0674
≥7ng/mL	38	27.19±10.28	
CEA			
<3.5ng/mL	30	21.59±10.15	0.0750
≥3.5ng/mL	76	25.94±10.34	

cancer in 2016 pointed out that the plasma cfDNA level of patients with gallbladder cancer was significantly increased, and the difference level could be used as a diagnostic marker to distinguish gallbladder cancer from cholecystitis.<sup>17</sup> Normando et al<sup>12</sup> found that compared with normal people, the cfDNA concentration of patients with advanced GC was significantly increased. It was speculated that the cfDNA in patients was derived from tumors. Further survival analysis showed that patients with lower cfDNA had longer Disease-free survival. In addition, in recent years, many reports have confirmed the important role of cfDNA in the diagnosis and prognosis of renal cancer, colorectal cancer, neuroblastoma, and pancreatic cancer.<sup>18–21</sup> Based on the above research results, cfDNA may be a specific, non-invasive and cost-effective new biomarker, which has great potential value for clinical cancer detection.



**Figure 4** ROC curve of cfDNA and traditional tumor markers. (A) ROC curve of cfDNA; (B) ROC curve of CA199; (C) ROC curve of CA125; (D) ROC curve of CA125; (E) ROC curve of AFP; (F) ROC curve of CA724.

This study performed a quantitative study on the plasma cfDNA concentration changes in patients with advanced GC chemotherapy during chemotherapy. The results showed that the plasma cfDNA concentration of patients with advanced GC was significantly different from that of healthy people. Therefore, we can speculate that the loss of inhibited division, proliferation, and strong metabolism of cancer cells has caused a large amount of DNA in apoptotic or necrotic cancer cells to be released

into the blood,<sup>22</sup> resulting in a significantly higher plasma cfDNA concentration in GC patients than that in healthy people. Long-term follow-up of patients with advanced GC chemotherapy can be followed to observe the subsequent changes in cfDNA concentration. In future studies, we can correlate the cfDNA concentration level of GC patients with the size and metastasis of cancer lesions to further explore the relationship between plasma cfDNA concentration and tumor burden treatment, which will

help us to analyze the number of tumor cells, tumor size and total amount of cancer lesions in GC patients.

Lan et al measured preoperative and postoperative cfDNA levels in 18 GC patients who did not receive adjuvant chemotherapy, and found that patients had higher cfDNA levels at 6 months after operation and recurrence, and tumor recurrence after gastric cancer CfDNA levels continued to increase in patients,<sup>23</sup> suggesting that increased cfDNA levels might indicate a worsening of the disease. In this study, we analyzed the changes in plasma cfDNA concentration during the treatment of patients with advanced GC chemotherapy and found that the plasma cfDNA concentration showed an increasing trend over time in patients with a curative effect evaluation of PD. The plasma cfDNA concentration of patients with curative effect evaluation showed a decreasing trend over time, but there was no significant difference in the change trend. Based on the source and release characteristics of cfDNA, it was speculated that PR patients released cfDNA due to the rapid reduction of tumor tissue during chemotherapy. The content also increased temporarily and the overall tumor burden of PR patients gradually decreased over time. Further research could extend the experimental period and observe the trend of plasma cfDNA concentration changes in PR patients for a long time. The plasma cfDNA concentration of patients with SD as the curative effect had no significant difference in the trend over time, indicating that the plasma cfDNA concentration showed a stable trend when the cancer patients were stable and the tumor load was either significantly increased or decreased. The above research results show that there is a close relationship between the plasma cfDNA concentration and the occurrence and development of tumors. Monitoring cfDNA concentration changes can help clinicians predict the chemotherapy effect of patients and show their potential as GC tumor markers.

After a systematic analysis of the clinicopathological characteristics of patients with advanced GC chemotherapy, we found that plasma cfDNA has no significant correlation with gender, age, pathological type and expression of CA724, CA12-5, CA-19-9, AFP and CEA. In future research, the combined detection of cfDNA and current tumor biomarkers can be used as a method to monitor GC patients, and by long-term observation, to explore the relationship between the level of cfDNA concentration and changes and the long-term survival rate of GC patients.

The ROC curve can comprehensively and accurately assess the sensitivity and specificity of tumor markers.

Miao et al<sup>11</sup> studied the clinical value of plasma cfDNA in breast cancer patients. The results of establishing the ROC curve of cfDNA showed that the sensitivity of cfDNA concentration and integrity was high. Both sex and specificity are higher than those of traditional tumor biomarkers, indicating that cfDNA can be used as a biomarker for breast cancer. Lan et al monitored post-operative cfDNA levels in patients with gastrointestinal cancer and found that they are more sensitive than carcinoembryonic antigen levels in predicting recurrence.<sup>23</sup> CA125, CA19-9, CA724, AFP, CEA are traditional tumor markers commonly used in GC monitoring in clinical practice. In this study, we also established a ROC curve of cfDNA concentration and traditional tumor markers to compare the diagnostic efficacy of each indicator. The results showed that the AUC value of cfDNA is higher than other traditional tumor markers, and its sensitivity at the cut-off value is as high as 93.7%, indicating that the diagnostic accuracy of cfDNA is superior to CA125, CA19-9, CA724, AFP and CEA, and has the potential of tumor markers. Subsequent trials can examine the sensitivity and specificity of cfDNA combined with traditional tumor marker detection for advanced GC monitoring, in order to find the best detection method for clinically effective evaluation of chemotherapy in patients with advanced GC.

In summary, the monitoring of plasma cfDNA concentration can provide important clues for clinicians to judge the changes of patients with advanced GC chemotherapy, provide a theoretical basis for the correlation between cfDNA and tumor burden, and provide new evidence for the diagnosis and evaluation of GC. This idea is expected to become a non-invasive molecular diagnostic method for screening high-risk GC populations.

## Disclosure

The authors report no conflicts of interest in this work.

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