

Investigation of endocytosis and cytotoxicity of poly-*d, l*-lactide-poly(ethylene glycol) micro/nano-particles in osteoblast cells

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Abstract: Biodegradable polymer particles present a promising approach for intracellular delivery of drugs, proteins, and nucleic acids. Poly-*d, l*-lactide-poly(ethylene glycol) (PELA) copolymers with different weight ratios of polyethylene glycol (PEG) were used as drug carriers in the present study. PELA particles entrapped with fluorescein isothiocyanate (FITC) as a fluorescent marker were formulated using a double emulsion-solvent evaporation technique. The size and morphology of the particles were observed with scanning electron microscope (SEM), atomic force microscope (AFM), and laser diffraction particle size analyzer (LDPSA). The purpose in the present work was to investigate the cytotoxicity and the process of endocytosis of PELA particles with different contents of PEG and variable particle size using rat osteoblasts (OBs). The cytotoxicity of the particles was investigated using 5-dimethylthiazol-2-yl-2,5-diphenyltetrazolium bromide (MTT) assay and flow cytometry. Results indicate that as the content of PEG in the polymer increased, so did cell survival. Endocytosis was observed through a light microscope and a fluorescence microscope; intracellular uptake and retention were determined quantitatively using fluorescence spectrophotometer (FSP). The results showed that as PEG content in PELA copolymer increased, there was a reduction in endocytosis of nanoparticles in osteoblasts.

Keywords: nanoparticles, endocytosis, cytotoxicity, intracellular trafficking, drug delivery

With the development of modern medicine and pharmacology, gene therapy becomes of increasing concern in the treatment of bone disease because it can increase therapeutic effects. Modern nanotechnology allows for a variety of choices in developing efficient systems for delivering diverse therapeutic agents ranging from small molecule drugs to biomacromolecules (eg, recombinant proteins, genes).¹ Most of these therapeutic agents require intracellular uptake to deliver their therapeutic effect because their site of action is within the cell. However, the major limitation with macromolecular therapeutics is their inefficient intracellular uptake and susceptibility to degradation during uptake by endocytosis.² Endocytosis is a conserved process in eukaryotes whereby extracellular substances are taken up into the cells usually by the invagination of plasma membrane forming vesicles. In addition to the classical clathrin- (or receptor-) mediated pathway, other endocytic mechanisms have been identified: phagocytosis, caveolae-mediated endocytosis, macropinocytosis, and clathrin- and caveolae-independent endocytosis.³⁻⁵ These various endocytic routes vary in the composition of coat, size of detached vesicles, and fate of internalized material.⁴⁻⁶

Development of nanoparticles as an efficient carrier for intracellular drug and macromolecular delivery is dependent on their efficient internalization and sustained

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retention inside the cell and drug activity. Over the past two decades, there has been a steady rise in the number of commercially available nanoparticle (NP) therapeutics.⁷⁻⁹ Several reports have included discussions of the internalization of polymeric nanoparticles into the cells by endocytic pathways.¹⁰⁻¹² Biodegradable polymers such as poly(*d,l*-lactic acid) (PLA), poly(*d,l*-lactic-co-glycolic acid) (PLGA), and poly(ϵ -caprolactone) and their diblocked or multiblocked copolymers with PEG have been commonly used to form core-shell structured NPs to encapsulate a variety of therapeutic compounds.¹³⁻²⁰ It has been shown that biodegradable nanoparticles formulated from PLGA rapidly escape the degradative endo-lysosomal compartment and are capable of delivering a variety of payloads into the cytoplasm.²¹⁻²³ PLGA nanoparticles for delivery of therapeutics are of particular interest due to their biocompatibility, biodegradability, and ability to maintain therapeutic drug levels for sustained periods of time. The polymer matrix prevents the degradation of the drug and the duration and levels of drug released from the NPs can be easily modulated by altering the formulation.²⁴ The application of biodegradable polymeric NPs for drug delivery has been gaining momentum and shows significant therapeutic potential.²⁵⁻²⁷

In our research group PELA microspheres used as drug delivery systems have been widely studied, from the preparation method to the factors influencing the *in vitro* degradation profiles and *in vitro* drug release profiles.^{13,28} However, the biological evaluation for PELA microspheres was rarely referred to in our previous report. In this paper, four kinds of microspheres based on biodegradable polymers such as PLA, and PELA with PEG content of 10%, 20%, or 30%, were prepared by a double emulsion-solvent evaporation technique to investigate cytotoxicity and phagocytosis. Here the abbreviations PELA10, PELA20, and PELA30 stand for PELA with PEG content of 10%, 20%, and 30%, respectively. In addition, PELA10 particles with an average size of 1 μm and 10 μm were also prepared to study the effect of particle size on the endocytosis in osteoblast cells.

Materials and methods

Materials

Poly(*d, l*-lactide) (PLA) and poly-*d, l*-lactide-poly(ethylene glycol) (PELA) with PEG weight ratios of 10%, 20%, and 30% and molecular weight (Mw) of 4 kDa were synthesized by ring-opening polymerization in our laboratory as our previous report.¹⁴ The Mw and its distribution were measured with gel permeation chromatograph (GPC, Waters ALC/GPC 244, USA) using polystyrene as standards.

Polyvinyl alcohol (PVA, M_n of 130,000 $\text{g}\cdot\text{mol}^{-1}$, degree of hydrolysis 88) was purchased from Shanghai Petrochemical Industry Company (China). 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) was purchased from Sigma. The cells were obtained from the neonatal mandibular osteoblasts of a rat. All the chemicals used in this research were of analytical reagent grade from the commercial market and have not been further purified.

Fabrication of PELA particles

Both microspheres and nanoparticles were prepared by double emulsion method (W/O/W) based on solvent evaporation.¹³ Here, we fabricated the particles with micro/nano scaled sizes by adjusting the stirring speed and PVA concentrations. Briefly, 0.1 g PLA or PELA was dissolved in 5 mL mixed solution (methylene chloride: acetone = 1:4 v/v) and emulsified with 500 μL of FITC as a fluorescent marker under stirring for 15 minutes at 700 rpm to form a stable initial emulsion. The resulting emulsion was then added dropwise into 50 mL 3% PVA solution and emulsified for 1 hour at 1000 rpm using overhead whisk (R-S, Shanghai Shenshun Biotechnology Company, China) to form a double emulsion system. Finally, 10 mL 1% isopropanol solution was poured into the double emulsion and stirred for about 6 hours in fuming cupboard. After the organic solvent evaporated completely, the particles were collected by centrifugation (Avanti™ J-301, Beckman Coulter, USA) at 15,000 rpm. The resultant particles were rinsed with distilled water and centrifuged three more times, then lyophilized overnight and stored at 4 °C.

Characterization of these particles

The mean size and distribution of these microspheres as determined using a laser diffraction particle size analyzer (LA-920, Horiba, Japan). Microspheres were resuspended in distilled water and ultrasonic to prevent microspheres aggregation. The microsphere solution was then poured into the sample tank of particle size analyzer. The mean size and size distribution of the nanoparticles (1.0 mg/mL) were determined by dynamic light scattering (DLS) using a Zeta-Sizer, Malvern Nano-ZS90 (Malvern Ltd., Malvern, UK). Each measurement was also repeated three times and the average value reported.

The surface morphology of the particles was examined by SEM (FEI, Quanta 200) and AFM (CSPM 5000, China). Several solution droplets containing particles were placed on the SEM sample stage. The properties of the nanoparticles were also measured by tapping-mode atomic force

microscopy (AFM) (CSPM5000, Being, China). The AFM sample was prepared by casting a dilute micelles solution on a solid silicon piece, which was then dried under vacuum. AFM technique is an effective, widely applied method for obtaining surface morphological information.³⁰ Tapping mode atomic force microscopy is a technique for measuring the topography and properties of surfaces involving a microcantilever with a tip at one end that is excited into an oscillation near its resonance frequency. The cantilever-tip assembly is positioned vertically such that the tip touches the surface at the bottom of its down-stroke and is then scanned over the surface. The oscillation of the cantilever is affected by the topography of the surface, the local surface properties, and the feed back controller, which maintains the amplitude of the oscillation at a fixed set-point value. The vertical movements of the stage needed to maintain constant oscillation amplitude are used to draw the topography image and the phase lag of the oscillation relative to the excitation force is used to draw the phase image.

Cytotoxicity assay

The cytotoxicity of the particles was evaluated using an MTT assay and flow cytometry. OBs from SD rat were cultured in Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% fetal bovine serum (FBS) at 37 °C in a 5% CO₂ incubator. Cells were seeded in 24-well plates in 2 mL medium per well at a density of 10,000 cells/well for 6 hours. The medium was then replaced with 2 mL of medium-containing particles at 0.3 mg/mL and 0.6 mg/mL³⁰ and incubated for 24 hours under 5% CO₂ at 37 °C. After 24 hours, the culture medium was removed from cell monolayers and cultured in 96-well plates. Each well was reconstituted with 0.2 mL supplemented RPMI-1640 containing 1 mg/mL MTT and incubated the cultures for 2–4 hours at 37 °C. The supernatants were removed from the wells, and then 0.2 mL dimethyl sulfoxide (DMSO) per well was added in isopropanol and mixed with the content of the wells thoroughly. Finally, the plates were read in an automated microplate spectrophotometer (ELX800 Biotek, USA) at 570 nm as reference. Every 24 hours the same tests was performed as mentioned above until 72 hours. Cell viability was determined by the following formula:

$$\text{Cell viability(\%)} = \frac{\text{OD (test well)}}{\text{OD (reference well)}} \times 100\%$$

In vitro compatibility of the blank nanoparticles was also determined by MTT assays using OBs. All the results

obtained from MTT assays were confirmed by repeating the experiment on at least three independent occasions and testing in triplicate each time.

The flow cytometer employed was a Coulter EPICS-XL (Coulter, Miami, FL, USA). The cells were seeded in 6-well plates in 2 mL medium per well at a density of 10,000 cells/well for 6 hours for flow cytometry. The medium was then replaced with 2 mL of medium-containing particles at 0.3 mg/mL and incubated under 5% CO₂ at 37 °C. When the amount of the cells reached 10⁵ or more for each sample, the cells were gathered and frozen for preservation.³¹

Endocytosis of nanospheres in OBs

OBs from SD rat were seeded in 12-well plates (Falcon®, Becton Dickinson) in 2 mL medium per well at a density of 50,000 cells/well for 6 hours. The medium was then replaced with 2 mL of medium-containing nanospheres and incubated under 5% CO₂ at 37 °C. The time-dependent cellular uptake of nanoparticles was further determined. To study it, cells were incubated with a suspension of nanoparticles (100 µg/mL) for different time periods. The endocytosis nanospheres in OBs were studied using fluorescence microscope. A quantitative analysis of the nanoparticles level was used to follow endocytosis of nanoparticles by fluorescence spectrophotometer.³² The fluorescent solutions with predetermined concentrations were employed to establish the standard curves, and subsequently, we could obtain the fluorescence intensity inside the particles, which further reflected the endocytosis of nanospheres in OBs.

Intracellular translocation studies

The cell culture was incubated with nanoparticles (25 µg/mL) for 12 hours at 37 °C. After washing three times with PBS, the cells were collected by centrifugation and subsequently fixed with 3% glutaraldehyde for 2 hours at 4 °C, and finally treated by osmium tetroxide for 30 minutes. The sample was dehydrated using a graded acetone series. The cells were placed in a pure acetone and Epon812 epoxy, respectively, for 30 minutes and then embedded in Epon812 epoxy at 60 °C for 48 hours. Ultrathin (70 nm) sections were cut with a diamond knife and stained with lead and uranium. The sample was examined using TEM (Hitachi H-7650).

Statistical analysis

Statistical analysis was performed using SPSS version 13.0. ANOVA was used to analyze statistical comparisons between groups. The level of significance was set at $P < 0.05$.

Results and discussion

Characterization of the particles

All particles were prepared by double emulsion method (W/O/W) based on solvent evaporation.²⁸ Our research group has previous experience with preparing nano- and micro-scaled particles. The average size of the particles can be controlled by adjusting the monomer ratio in copolymers' backbone and altering the parameters of preparation technology.^{13,28} Here, based on our previous research, the particles with different average size were easily fabricated. Figure 1A displays the mean diameter and its polydispersity (Pdi) of the particles. PLA-n, PELA10-n, PELA20-n, PELA30-n denote nanospheres while PELA10-1, PELA10-10 represent the microspheres with real average size of 1.85 and 9.92 μm , respectively, as shown in Figure 1A. The average diameters of PLA-n, PELA10-n, PELA20-n, and PELA30-n are 207 ± 21 nm, 208 ± 8 nm, 202 ± 17 nm, and 183 ± 12 nm, respectively (Figure 1B).

Typical scanning electron micrographs (SEM) (Figure 2) illustrate that all particles have a characteristic round shape and take on a good dispersion. The diameter and distribution of the particles are very consistent with the result detected by the laser diffraction particle size analyzer (Figure 1B). Meanwhile, PLA-n, PELA10-n, PELA20-n, and PELA30-n are further observed by AFM (Figure 3), which also indicate that they have excellent dispersibility in aqueous system and good spherical morphology.

Cytotoxicity assay of the particles

PELA is widely used in drug delivery system because of its good biocompatibility. The main purpose in this experiment was to study the treatment of bone diseases. So osteoblast cells were used to assess cellular cytotoxicity of PELA copolymer in form of particles. Following 6 hours of exposure to the particles, cell viability was assessed by the MTT assay. The results were plotted onto a fitted curve and expressed as treatment over control (T/C) values for cell survival. Both nano- and micro-particles did not cause significant cytotoxicity and cells had a normal shape as observed through inverted light microscope (Figure 4a). In addition, the letters A, B, C, D, E, and F were used to represent PLA-n, PELA10-n, PELA20-n, PELA30-n, PELA10-1, and PELA10-10, respectively. From Figure 4a, it can be seen that osteoblasts in the nanosphere groups have a better growth compared to the microspheres group in the original period of 3 days of incubation, which indicates that these nanospheres are more biocompatible. In the microspheres group there

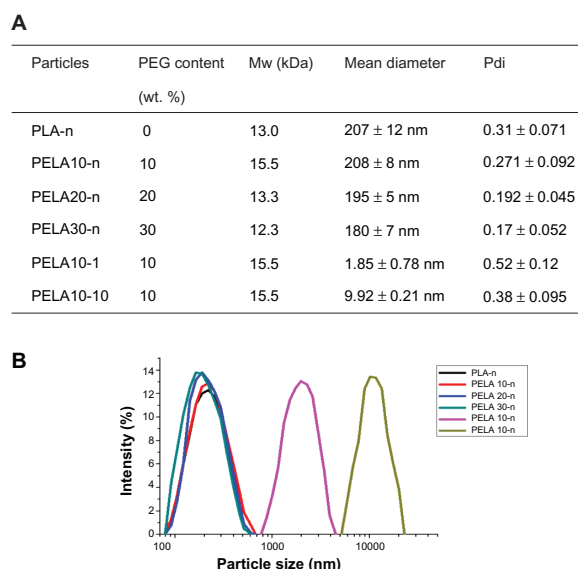


Figure 1 **A)** Summary of characterization of particles. **B)** Size distribution detected by laser diffraction particle size analyzer.

are many particles in the culture medium. It can be seen that more and more particles are aggregated from days 1 to 3 of incubation. This could indicate that microspheres are hardly phagocytized due to their large size. Figure 4b shows that for all nanosphere groups, as the PEG content in the polymer increased, the cell viability also increased from 1 to 3 days ($P < 0.05$). The cell viability of PELA30-n group was about 85% while the others were near 80% on the first day. It demonstrates that PELA30-n has a better biocompatibility compared to the other nanospheres. The reason may be that with the increase of PEG content in the copolymer, the amount of particles phagocytized by the cells was decreased, which resulted in less cell cytotoxicity. The mechanism may be that PEG interacts with the cell membrane probably by association with its phospholipids, and this interaction may stabilize the membrane.²⁴ For these particles with the same

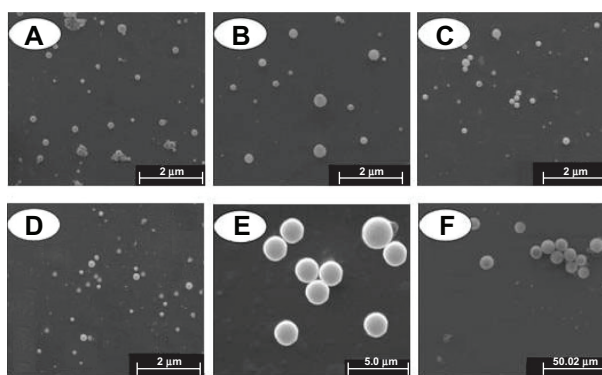


Figure 2 Morphology of particles detected by SEM. A, B, C, D, E, and F denote PLA-n, PELA10-n, PELA20-n, PELA30-n, PELA10-1, and PELA10-10, respectively.

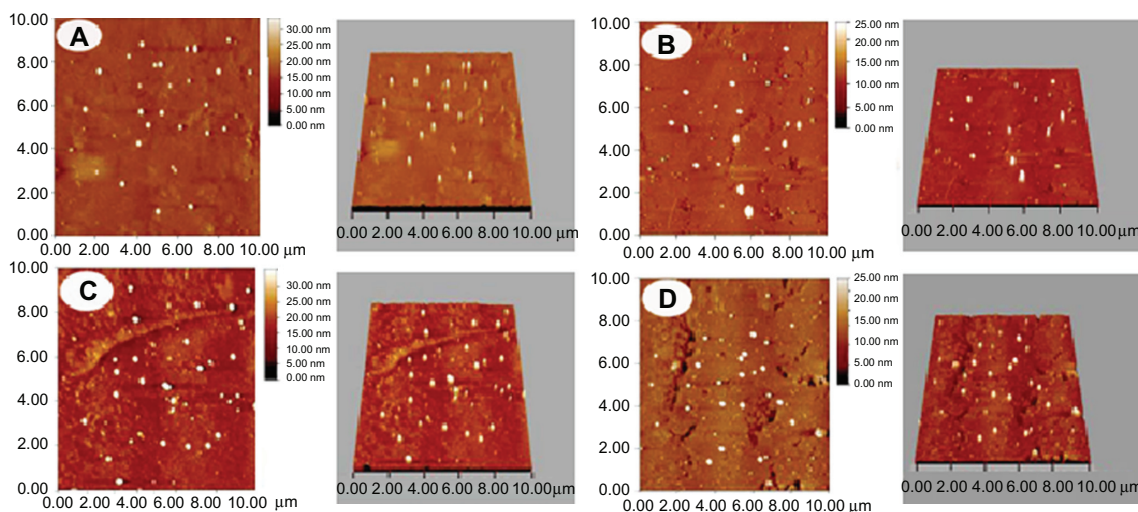


Figure 3 Morphology of nanospheres detected by AFM. A, B, C, and D denote PLA-n, PELA10-n, PELA20-n, PELA30-n, respectively. Samples were prepared for AFM investigation.

polymer (PELA10-1 and PELA10-10), it can be deduced that as the size and concentration of these particles increased, cell survival was reduced. It has been reported that the particle concentration influences cell survival.³⁴ With the increase in size, the cell phagocytosis reduced but in the culture medium and the microspheres may be aggregated, which causes the concentration around osteoblast to be higher. Consequently, cell survival was 5%–10% lower than that of other particles at the same period. These results were also demonstrated through flow cytometry.

To support the MTT data further, flow cytometry was also employed. In the experiment, the cells were seeded in 6-well plates in 2 mL medium per well at a density of 10,000 cells/well for 6 hours. The medium was then replaced with 2 mL of medium-containing particles at 0.3 mg/mL and incubated under 5% CO₂ at 37 °C. When the amount of cells reached 10⁵ or more, the cells were gathered. In Figure 5A, B, C, D, E, and F represent the same as those in Figure 4. As shown in Figure 5A, there appeared to be two peaks in each figure from 1 to 3 days. Gates were set to distinguish the staining of the cells in the gates and all cells, which was measured as mean fluorescence intensity (MFI) and peak position of fluorescence intensity or relative number of positive cells (%), depending on the agent.³² Simultaneously, from the data in Figure 5A, both the amount of total cells and the cell apoptosis can be calculated. It can also be seen that the amount of cell apoptosis in the microsphere groups was generally higher than those in the nanosphere groups at the same time. Figure 5B shows the cell apoptosis rate derived from flow cytometry. The peak position of fluorescence intensity of the cells in population of the cell apoptosis stained

with PI was 14.1 ± 0.7 , 15.6 ± 1.21 , 10.9 ± 0.3 , 10.8 ± 0.28 , 15.9 ± 1.06 , and 21.7 ± 1.04 , respectively, after 24 hours, and 9.6 ± 0.54 , 6.2 ± 2.13 , 7.1 ± 0.14 , 5 ± 1.31 , 8.7 ± 0.5 , and 14.5 ± 0.82 , respectively, after 48 hours, and 8.3 ± 0.85 , 3.7 ± 0.24 , 3.7 ± 0.096 , 3.7 ± 0.4 , 7.4 ± 0.34 , and 10.4 ± 0.83 , respectively, after 72 hours (Figure 5B). Incubated from 1 to 3 days, the cell apoptosis rate decreased gradually. The results were in strong agreement with those gained in the MTT assay.

Endocytosis of the nanospheres in OBs

In recent years, nanoparticles have attracted attention for use as delivery vehicles for therapeutic drugs since they can be designed to slip between intercellular spaces, enter cells, or transport directly through biological barriers to access disease sites.^{1,34} Particles loaded with fluorescent dyes are frequently used to study cellular uptake.³³ So FITC was loaded in the nanospheres. Figure 6A shows the cellular uptake of PLA-n, PELA10-n, PELA20-n, and PELA30-n. As FITC was assumed to disperse evenly in the particles, the fluorescence intensity was linearly proportional to the amount of particles in the cells. So the amount of cellular uptake was given at each time point by testing the fluorescence intensity. The time-dependent increase of uptake was observed for all nanoparticles between 2 to 24 hours of incubation. Nanoparticle uptake was relatively rapid during the original 2 hours of incubation from slope rate of curve. PELA30-n showed the lowest uptake dose while PLA-n had the highest uptake dose. PELA10-n and PELA20-n were engulfed into cells of a similar amount. It can be seen that from 0 to 24 hours, the number of nanoparticles phagocytized by cells was significant

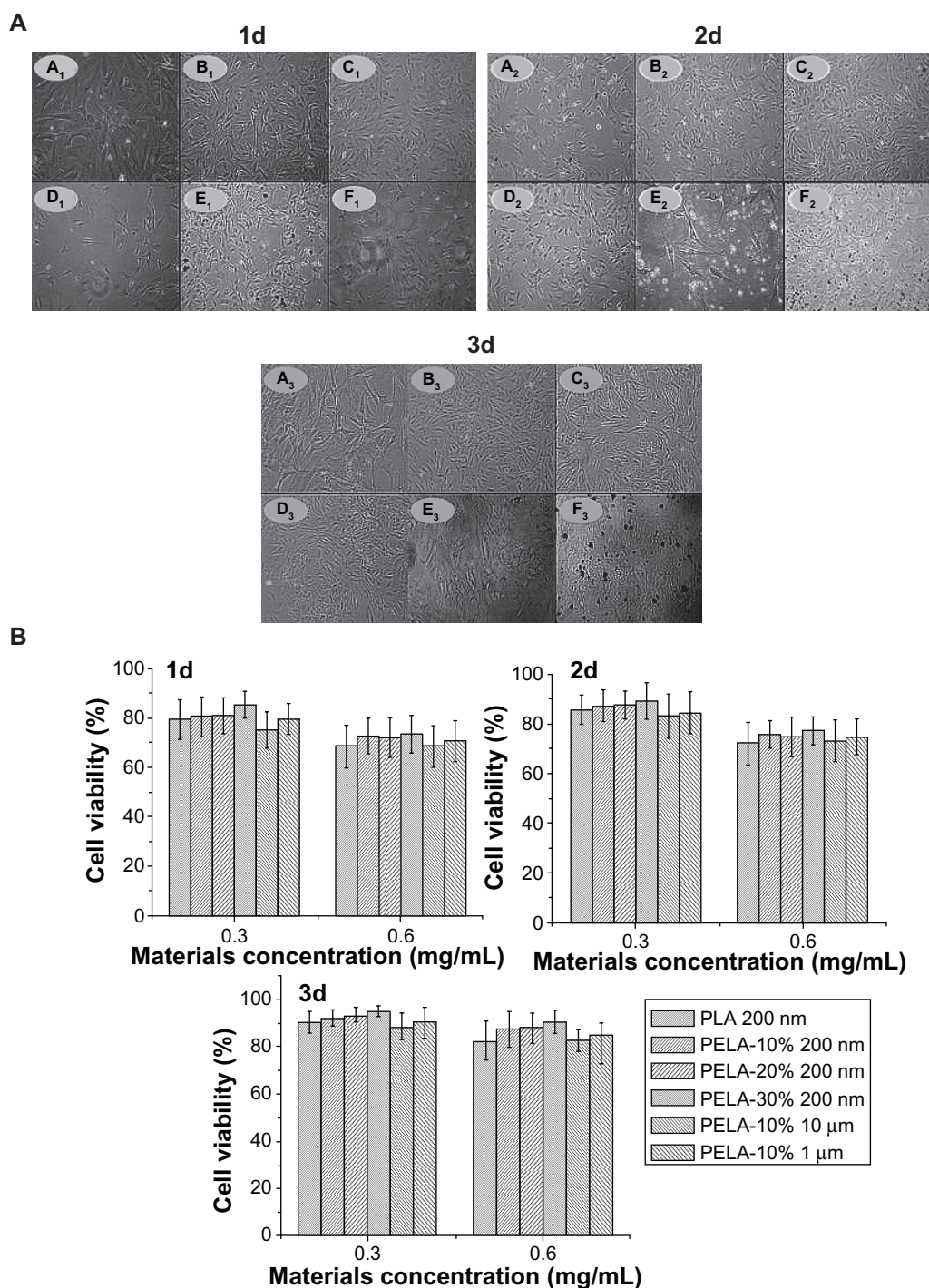


Figure 4 A) Optical microscopic observation ($\times 200$) of osteoblasts incubated with PLA-n (A), PELA10-n (B), PELA20-n (C), PELA30-n (D), PELA10-I (E), and PELA10-10 (F) particles from 1 to 3 days. **B)** Viability of osteoblasts from 1 to 3 days by MTT assay, $P < 0.05$.

different. The reason may be that the nanospheres were recognized with difficulty as a foreign body by osteoblasts due to the existence of the hydrophilic PEG. Due to the hydrophilicity of PEG, the nanoparticles may be adhered to osteoblasts difficultly. So the number of nanospheres phagocytized is low. Cells would prefer to attach to more hydrophobic polymers and PEG causes the polymer of choice to render the surfaces

of particles repellent to the adsorption of proteins and resistant to cellular recognition. Another aspect is the decoration of the PEGylated surfaces with suitable ligands for cell-specific recognition and targeting.^{35,36} These results were further supported by fluorescence microscopy observation.

Fluorescence micrographs of osteoblasts indicated that nanoparticle uptake was an endocytic process. To follow the

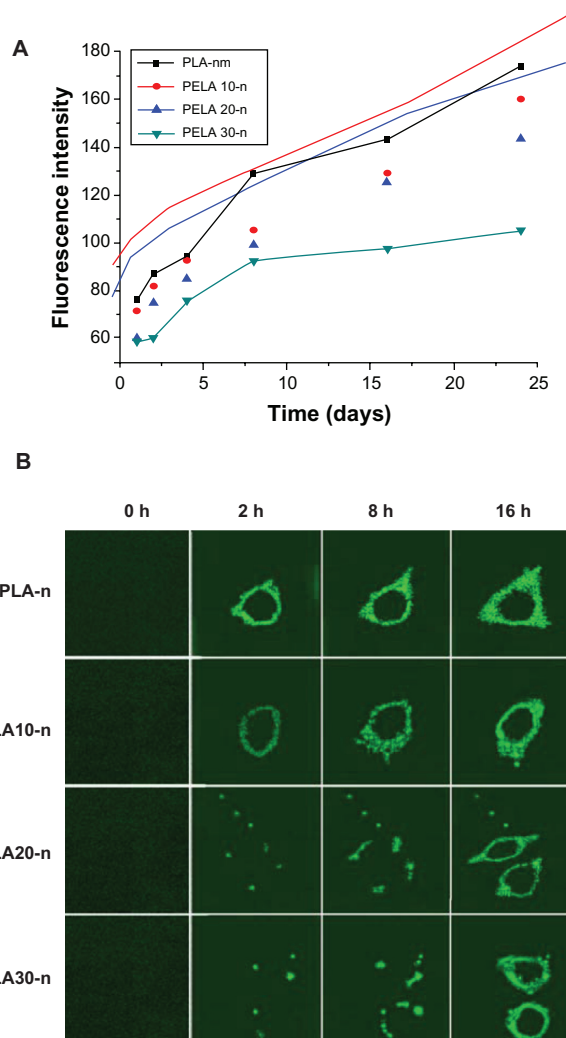


Figure 6 **A)** Fluorescence intensity of nanospheres in osteoblasts detected by fluorescence spectrophotometer. **B)** Fluorescent microscopy utilized to assess cellular uptake of nanospheres as a function of incubation time (0.3 mg/mL, 37 °C). Osteoblasts were incubated with the nanospheres under the condition as indicated above each image, and samples were prepared for fluorescence microscope ($\times 200$) investigation. Bars = 20 μ m.

molecular drugs entrapped in the nanoparticles can enter the cells through endocytosis rather than passive diffusion.³⁵ Therefore, cellular uptake efficiency of drug-loaded nanoparticles affects the therapeutic effects. From Figure 4A, these nanosphere groups display the highest uptake dose while the microsphere group has the lowest uptake dose. The reason may be that the particles with smaller size (200 nm) were phagocytized easily by osteoblast compared to the particles with larger size (1 and 10 μ m). It could prove that osteoblasts have selective phagocytosis depending on the size of foreign body. From Figure 6, it was found that the cellular uptake of PLA-n was the most efficient at all time points while the cellular uptake proportion of PELA30-n was the lowest. A number of factors have been reported as influencing the uptake

efficiency of nanoparticles including particle size,³⁷ cell lines and cell densities, hydrophilic proportion of copolymers, and surface charge.³⁸ According to our study results, particle size was found to be the main character in determining the cellular uptake efficiency. Moreover, the hydrophilic proportion of the nanoparticles also had an effect on the uptake dose, as PLA-n, PELA10-n, PELA20-n, and PELA30-n (similar in particle size but different in the hydrophilic ratio) exhibited different uptake proportion.

Generally, the cellular uptake of nanoparticles is completed by enclosing them into endosomes. The early endosomes mature into late endosomes or multivesicular bodies, and then to lysosomes, which accompanies a significant drop in pH.^{39,40} Next, the intracellular fate of these nanoparticles was inspected by TEM. As shown in the TEM images (Figure 7), some of the nanoparticles could be localized in the endosomes and lysosomes. Around the cell membrane, it can be seen that some organelles were emerging inside of which have nanospheres. More nanospheres could be found inside the cell.

Conclusions

In this study micro- and nanospheres were prepared using PLA, PELA10, PELA20, and PELA30 polymers as the matrix. The particles with average diameter of about 200 nm, 1.85 μ m, and 9.92 μ m were obtained using the W/O/W emulsion method. The cytotoxicity of particles with different sizes and PEG contents were investigated

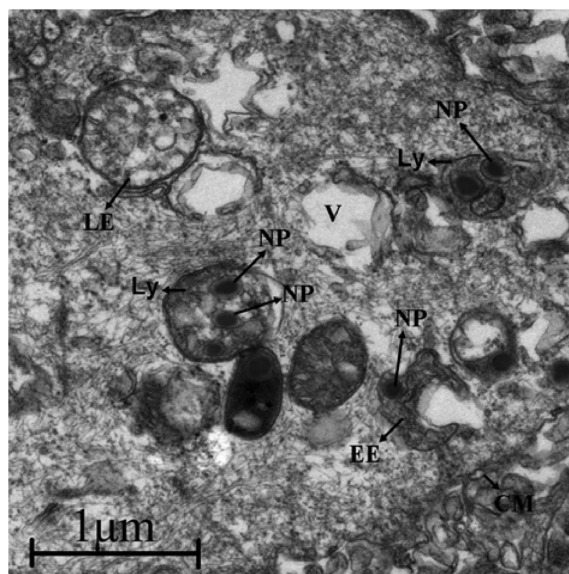


Figure 7 TEM photo showing intracellular fate of nanospheres. Some nanospheres were localized in endosomes and lysosomes.

Abbreviations: Ly, lysosome; LE, late endosomes; EE, early endosomes; V, vacuole; CM, cell membrane; NP, nanoparticles.

using MTT assay and flow cytometry. Results indicated that as the PEG content in the polymer increased, cell survival also increased. In particular PELA30 polymers had a better cell compatibility compared to the other materials. For the same polymer, when the size and concentration of the particles were increased, cell survival was reduced. The intracellular uptake and retention of nanoparticles were further performed in osteoblasts. The fluorescence data illustrated that as the PEG content in the polymer increased, the content of nanoparticles in the osteoblast was reduced. Therefore, the nanoparticulate delivery system would be useful in exploring nanoparticles for intracellular delivery of therapeutic agents, as they promise to overcome many of the obstacles inherently associated with the administration of certain drugs, vaccines, plasmid DNA, and RNAi.^{41–45}

Acknowledgment/disclosure

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