

# Effects of Air Pollutant Exposure on Exacerbation Severity in Asthma Patients with or without Reversible Airflow Obstruction

Wei Fang<sup>1,\*</sup>  
Yu Zhang<sup>1,\*</sup>  
Sinian Li<sup>1,\*</sup>  
Aiming Liu<sup>2,\*</sup>  
Yin Jiang<sup>2</sup>  
Dandan Chen<sup>1</sup>  
Binbin Li<sup>3</sup>  
Can Yao<sup>3</sup>  
Rongchang Chen<sup>1</sup>  
Fei Shi<sup>3</sup>

<sup>1</sup>Key Laboratory of Shenzhen Respiratory Diseases, Institute of Shenzhen Respiratory Diseases, Shenzhen People's Hospital (The First Affiliated Hospital of Southern University of Science and Technology, The Second Clinical Medical College of Jinan University), Shenzhen, Guangdong, People's Republic of China;

<sup>2</sup>Shenzhen National Climate Observatory, Meteorological Bureau of Shenzhen Municipality, Shenzhen Key Laboratory of Severe Weather in South China, Shenzhen, Guangdong, People's Republic of China; <sup>3</sup>Emergency Department, Institute of Shenzhen Respiratory Diseases, Shenzhen People's Hospital (The First Affiliated Hospital of Southern University of Science and Technology, The Second Clinical Medical College of Jinan University), Shenzhen, Guangdong, People's Republic of China

\*These authors contributed equally to this work

Correspondence: Rongchang Chen; Fei Shi  
Email [chenrc@vip.163.com](mailto:chenrc@vip.163.com);  
[shi.feis@szhospital.com](mailto:shi.feis@szhospital.com)

**Background:** Reversible airflow obstruction (RO) and fixed airflow obstruction (FO) are two important clinical phenotypes of asthma. However, the relationship between air pollutant exposure and exacerbation of the two phenotypes is unknown.

**Objective:** To study the effects of air pollutant exposure on exacerbation severity in asthma patients with or without FO.

**Methods:** A total of 197 severe asthma patients were enrolled, and divided into two groups: the FO group (n=81) and the RO group (n=116). We collected the demographic data, laboratory parameters, pulmonary function test parameters, and the daily average concentrations of different air particles in Shenzhen on the different lag days of each subject. The receiver operating characteristic (ROC) curve was used to identify the effects of major air pollutants on the severity of asthma patients with RO.

**Results:** Compared with the RO group, the FO group had fewer women, lower body mass index (BMI), longer disease duration, higher smoking history rate, allergic family history rate, FeNO level, and lower levels of large airway parameters. The median exposure levels of PM10 and PM2.5 in the severe RO subgroup were both higher than those in the mild-to-moderate RO subgroup on Lag0, 1 and 3, and the median exposure level of PM1 on Lag0 in the severe RO subgroup was significantly higher than that in the mild-to-moderate RO subgroup. Logistic regression modeling indicated exposure to PM2.5 and PM1.0 on Lag0, and PM10 on Lag0-2 were the independent risk factors for hospital admissions for asthma patients with RO. By performing an ROC curve analysis, PM2.5 on Lag0 (AUC = 0.645,  $p = 0.027$ ) provided a best performance to predict severe asthma exacerbations with RO, with a sensitivity of 36.0% and a specificity of 91.2%.

**Conclusion:** Short-term exposure to PM10, PM2.5 and PM1 may play a role in exacerbation severity among asthma patients with RO.

**Keywords:** air particles, asthma, reversible airflow obstruction, fixed airflow obstruction

## Introduction

Asthma is a common chronic respiratory disease characterized by airway inflammation, hyper-responsiveness of the respiratory tract and reversible airway obstruction (RO).<sup>1</sup> Among the world population, about one-third are suffering from asthma, and there are annual deaths of almost 2.5 million asthma patients because of severe exacerbation. In recent years, the prevalence of asthma has been increasing, resulting in substantial economic costs of patients due to frequent hospitalization.<sup>2</sup>

Airway remodeling is a major pathological change, and can be observed from early onset of asthma, leading to fixed airflow obstruction (FO) in some asthma patients.<sup>3</sup> The phenotype of FO (as defined by forced expiratory volume in 1 second [FEV1]/forced vital capacity (FVC)  $\leq 0.7$  at baseline after appropriate asthma treatment for 6 months) is associated with greater disease burden and higher frequency of asthma exacerbations.<sup>4,5</sup> The underlying mechanisms of FO phenotype are poorly understood, while the research evidence suggests that airway remodeling, increased lung compliance and air-trapping may contribute to FO.<sup>6</sup> Therefore, airflow limitation may be partially reversible in asthma with FO. Indeed, patients with severe and difficult-to-treat asthma may be associated with an accelerated decline in lung function that causes FO. These patients have fewer sensitivities to asthma medication, and may find it difficult to recover from an asthma attack even after taking regular medication.<sup>7</sup>

It has been confirmed that asthma is likely to result from an interaction between genetic and environmental factors.<sup>8</sup> Aside from some common allergens including pollen, dust mites and perfume, air pollution exposure also plays an important role for severe exacerbations that result in increased in-hospital and short-term mortality.<sup>9</sup> At present, it has been found that inhaling certain particles in the atmosphere may be enough to induce acute asthma attacks, and exacerbate small airways injury and dysfunction among

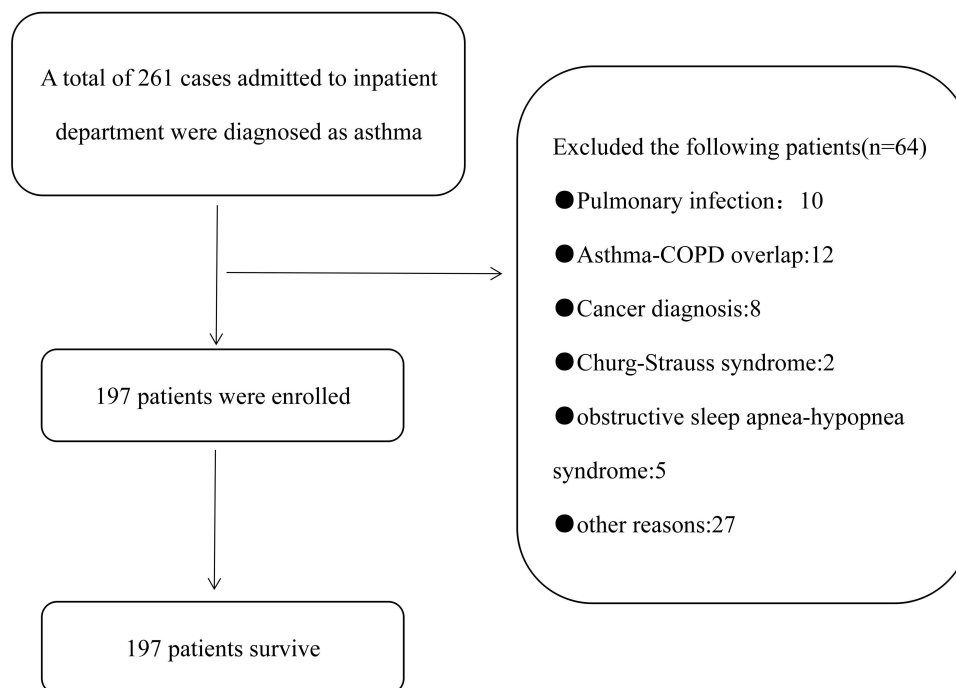
some patients with asthma.<sup>10</sup> However, the potential adverse health effects of exposure to airborne particles on asthma patients with different clinical phenotypes is not well known.

Shenzhen, located in the south of China, is one of the most developed Chinese cities, with a population exceeding 20 million. According to the reports of Shenzhen government, ambient concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> are out of bounds of the World Health Organization standard. Moreover, exposure to some environmental factors can trigger and exacerbate asthma. Therefore, we aimed to study the relationship between the exposure to airborne particles and asthma patients with or without FO in Shenzhen, and target asthma prevention more precisely through environmental intervention strategies.

## Methods

### Study Subjects

This study was retrospectively carried out with 197 subjects with acute exacerbation of asthma (Figure 1) admitted to Pulmonary Department, Shenzhen People's Hospital (the First Affiliated Hospital of Southern University of Science and Technology, the Second Clinical Medical College of Jinan University), from January 2018 to August 2019. The medical records were consecutively collected and reviewed. Inclusion criteria were physician-diagnosed asthma according to 2017 Global Initiative for Asthma (GINA)



**Figure 1** Screening for and enrollment in the study.

guidelines.<sup>11</sup> Subjects were excluded if they had a history of autoimmune or other lung diseases, including but not limited to COPD, asthma-COPD overlap, pneumonia, bronchiectasis, obstructive sleep apnea-hypopnea syndrome, cystic fibrosis, interstitial lung disease, and lung cancer. In addition, patients with unavailable PM<sub>2.5</sub> data were also excluded. The included subjects were classified into two major groups: those with FO (post-bronchodilator [BD] and on-treatment ratio of FEV<sub>1</sub>/FVC persistently below 0.7), and those with RO (post-BD and/or on-treatment ratio of FEV<sub>1</sub>/FVC greater than 0.7).<sup>12,13</sup> Both groups were then divided into two subgroups based on their illness severity (mild-to-moderate or severe) according to GINA-2017: (1) the mild-to-moderate fixed limitation group included 42 subjects with FO due to mild-to-moderate asthma; (2) the severe fixed limitation group included 39 subjects with FO due to severe asthma; (3) the mild-to-moderate reversible obstruction group included 91 subjects with RO due to mild-to-moderate asthma; and (4) the severe reversible limitation group included 25 subjects with RO due to severe asthma. The clinical retrospective data were retrieved from the medical records of all subjects, including demographic characteristics, clinical features, pulmonary function tests (PFTs), laboratory results, radiological findings, therapies and 28-day clinical outcomes. Two physicians (S.L. and Y.Z.) independently reviewed the data. The

time course of the main air pollutants in Shenzhen from September 2017 to August 2019 is shown in Figure 2.

The study was conducted according to the principles of the Declaration of Helsinki, and approved by the Ethics Committee of Shenzhen People's Hospital (LL-KT-20151230). No analyzed data contained personally identifiable information and therefore no informed consent was required.

## PFT Measurements

Post-BD and on-treatment PFT were performed using a V6200 Series Autobox (Sensor Medics, USA) spirometer device following ATS/ERS standards.<sup>14</sup> PFT parameters like FEV<sub>1</sub>, FEV<sub>1</sub> as a percentage of predicted FVC (FEV<sub>1</sub>%pred), FVC as a percentage of predicted FVC (FVC%pred), and FEV<sub>1</sub>/FVC ratio were collected. A predicted ratio for each parameter was calculated based on age, sex, height, and race.

## Measurement of Ambient PM<sub>2.5</sub> Concentrations

Data on ambient particulate matter (PM) 2.5 and other air pollutants (including PM<sub>10</sub>, PM<sub>1.0</sub>, sulfur dioxide [SO<sub>2</sub>], nitrogen dioxide [NO<sub>2</sub>], ozone [O<sub>3</sub>], and carbon monoxide [CO]), temperature, and humidity on the different lag days of each subject were obtained from Shenzhen National

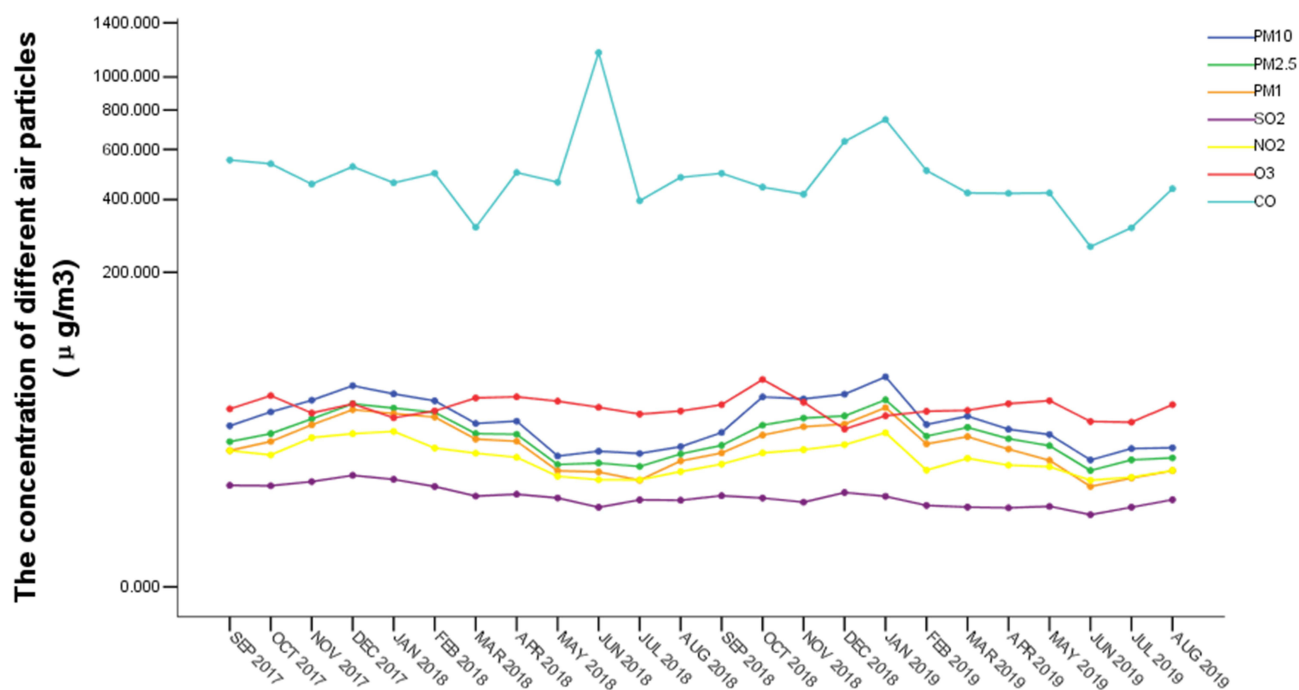


Figure 2 The time course of main air pollutants in Shenzhen from September 2017 to August 2019.

Climate Observatory. We used a single-day lag (from Lag0 to Lag3) model to explore the short-term effects of air pollutant exposure. A 0–3-day lag refers to asthma onset due to air pollutant exposure on the same day (Lag0), 1 day before (Lag1), 2 days before (Lag2), and 3 days before (Lag3). The 24-hour mean concentrations of the ambient air pollutants were analyzed using real-time monitoring data within Shenzhen.

## Statistical Evaluation

SPSS 13.0 software (SPSS Inc., Chicago, IL, USA) was used for statistical evaluations. Parametric and non-parametric data are presented as means (standard deviation, SD) and medians (quartile 1–quartile 3). For comparison of 4 groups for parametric and non-parametric variables, the one-way analysis of variance (ANOVA) or Kruskal–Wallis test was used and the  $\chi^2$  test was used for proportions. Linear correlation regression was used to certify whether there was a correlation between each air pollutant's concentrations and the severity of disease. Receiver operating characteristic (ROC) curves were generated, and the area under the curve (AUC) was calculated to identify suitable parameters for distinguishing between two groups. A *p*-value of less than 0.05 (*p* < 0.05) was considered a statistically significant difference.

## Results

### Baseline Characteristics of All Subjects

We enrolled a total of 197 asthma patients (82 men and 115 women) aged 23–73 years in this study (Figure 1). The obtained medical data and demographic characteristics of the four study populations are presented in Table 1. The asthma patients with FO comprised fewer women (46.91 vs 66.38%; *p*=0.006), lower body mass index (BMI; 23.19±3.12 vs 24.41±3.25 kg/m<sup>2</sup>; *p*=0.009), longer disease duration (60 [12.0, 240.0] vs 36 [5.0, 96.0] month; *p*=0.002), and higher smoking history rate (35.80 vs 15.52%; *p*=0.002) than those with RO. It is interesting to note that the family history of allergy and FeNO levels were significantly higher in the group with FO in comparison to the group with RO (family history of allergy: 25.93 vs 6.03%, FeNO: 35.00 [16.00, 64.25] vs 20.50 [12.00, 43.50]; *p*<0.001 and *p*=0.006, respectively). However, there was no statistical difference in ages, length of hospital stay, and pack-years of smoking between the two groups (*p*=0.554, 0.475, and 0.191, respectively). Moreover, the PFT parameters including FEV1 (1.85±0.74 vs 2.60±0.74 L; *p*<0.001), FEV1%pred (63.54±17.78 vs 95.06±14.59%; *p*<0.001), FVC (3.08±1.00 vs 3.38±0.90;

*p*=0.029), FVC%pred (87.72±17.48 vs 103.75±15.87%; *p*<0.001), and FEV1/FVC ratio (58.41±8.54 vs 76.45±4.52; *p*<0.001) of the FO group were all lower than those of the RO group. There was no difference between the two groups in the use of short-term inhaled budesonide and systemic corticosteroids (*p*=0.141 and 0.940, respectively). The details of the clinical data in the four subgroups are shown in Table 1.

### Different Levels of Air Pollutant Exposure Between the Subgroups

We compared the results of ambient air monitoring within the four subgroups (Table 2). Suppose that lag indicates exposure-time period defined by time since exposure. There was a statistically significant difference between the two RO subgroups in terms of PM10, PM2.5, PM1 and NO2 on different lag days. The median exposure levels of PM10 and PM2.5 in the severe RO subgroup were both higher than those in the mild-to-moderate RO subgroup on the day of asthma onset (Lag0) (PM10: 32.76 vs 20.70, PM2.5: 23.15 vs 16.08; *p*=0.031 and 0.027, respectively), one day before asthma onset (Lag1) (PM10: 34.89 vs 19.47, PM2.5: 24.96 vs 16.24; *p*=0.032 and 0.033, respectively) and three days before asthma onset (Lag3) (PM10: 32.72 vs 19.67, PM2.5: 22.71 vs 15.69; *p*=0.008 and 0.023, respectively). Meanwhile, the median exposure level of PM1 on Lag0 in the severe RO subgroup was significantly higher than that in the mild-to-moderate RO subgroup (18.09 vs 12.71; *p*=0.043). Furthermore, exposure levels of NO<sub>2</sub> on Lag3 showed a higher median level in the severe RO subgroup than in the mild-to-moderate RO subgroup (14.48 vs 7.97; *p*=0.019). Moreover, no significant differences in the levels of main air pollutants (including PM2.5, PM10, PM1.0, SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub>, CO) on different lag days were found between the two FO subgroups.

### Risk Factors of Hospital Admissions for Asthma Patients with or without RO

With the univariate and multivariate logistic data analysis, we found that exposure to PM10, PM2.5, PM1.0 on Lag0 were the independent risk factors for hospital admissions for asthma patients with RO (PM10: OR=1.057, 95% CI 1.002–1.115; PM2.5: OR=1.130, 95% CI 1.033–1.236; PM1.0: OR=1.150, 95% CI 1.035–1.277; *p*=0.042, 0.007, 0.009, respectively). Moreover, exposure to PM10 on Lag1 (OR=1.127;

**Table I** Comparison of Demographic Characteristics Between Fixed Airflow Obstruction Group and Reversible Airflow Obstruction Group

	Fixed Airflow Obstruction Group (n=81)			Reversible Airflow Obstruction Group (n=116)			p-value
	Total	Mild-to-Moderate	Severe	Total	Mild-to-Moderate	Severe	
Age (year), mean (SD)	49.83 (12.32)	46.31 (12.17)	53.62 (11.47)	48.66 (15.15)	47.98 (15.16)	51.16 (15.19)	0.554
Sex, male, n (%)	43 (53.09)	24 (57.14)	19 (48.72)	39 (33.62)	32 (35.16)	7 (28)	0.006*
BMI (kg/m <sup>2</sup> ), mean (SD)	23.19 (3.12)	22.99 (3.37)	23.40 (2.85)	24.41 (3.25)	24.33 (3.30)	24.69 (3.14)	0.009*
Atopy, yes, n (%)	63 (77.78)	34 (80.95)	29 (74.36)	74 (63.79)	58 (63.74)	16 (64.00)	0.036*
Smoking history							
Yes, no quit, n (%)	18 (22.22)	10 (23.81)	8 (20.51)	14 (12.07)	12 (13.19)	2 (8)	0.002*
Yes, quit, n (%)	11 (13.58)	5 (11.90)	6 (15.39)	4 (3.45)	2 (2.19)	2 (8)	
No, n (%)	52 (64.20)	27 (64.29)	25 (64.10)	98 (84.48)	77 (84.62)	21 (84)	
Pack years of cigarette smoking (pack-years), mean (SD)	6.66 (4.38)	6.47 (4.41)	6.86 (4.50)	5.17 (2.31)	5.00 (2.35)	5.75 (2.36)	0.191
Length of hospital stay, (days), mean (SD)	6.75 (2.76)	6.29 (2.63)	7.26 (2.84)	7.09 (3.50)	6.79 (2.81)	8.16 (5.23)	0.475
Asthma duration, (months), median (Q1, Q3)	60 (12.00, 240.00)	42 (11.75, 240.00)	96 (24.00, 240.00)	36 (5.00, 96.00)	24 (3.00, 84.00)	72 (24.00, 120.00)	0.002*
Family history of asthma, n (%)	21 (25.93)	12 (28.57)	9 (23.08)	7 (6.03)	6 (6.59)	1 (4.00)	0.000*
ACT score, Median (Q1, Q3)	19.00 (18.00, 20.00)	19.5 (19.00, 21.00)	18.00 (17.00, 18.00)	20.00 (19.00, 21.00)	20.00 (19.00, 21.00)	19.00 (17.00, 19.50)	0.000*
FeNO, (ppb), median (Q1, Q3)	35.00 (16.00, 64.25)	29.50 (17.00, 59.25)	38.50 (15.25, 82.25)	20.5 (12.00, 43.50)	22.00 (12.00, 45.00)	17.00 (12.00, 32.00)	0.006*
Lung function							
FEV <sub>1</sub> , (L), mean (SD)	1.85 (0.74)	1.99 (0.68)	1.70 (0.78)	2.60 (0.74)	2.66 (0.75)	2.35 (0.65)	0.000*
FEV <sub>1</sub> %pred, (%), mean (SD)	63.54 (17.78)	65.36 (17.31)	61.57 (18.27)	95.04 (14.59)	96.24 (14.94)	90.66 (12.54)	0.000*
FVC, (L), mean (SD)	3.08 (1.00)	3.34 (0.94)	2.81 (1.01)	3.38 (0.90)	3.44 (0.91)	3.19 (0.88)	0.029*
FVC%pred, (%), mean (SD)	87.72 (17.48)	90.60 (18.33)	84.62 (16.17)	103.75 (15.87)	104.03 (16.70)	102.70 (12.62)	0.000*
FEV <sub>1</sub> /FVC, (%), mean (SD)	58.41 (8.54)	58.23 (9.25)	58.60 (0.78)	76.45 (4.52)	76.92 (4.61)	74.74 (3.81)	0.000*
Corticosteroids use for wheezing							
Systemic corticosteroids, (mg), median (Q1, Q3)	0 (0, 0)	0 (0, 0)	0 (0, 40)	0 (0, 0)	0 (0, 0)	0 (0, 40)	0.940
Inhaled budesonide, (mg), mean (SD)	2.90 (0.98)	2.59 (1.03)	3.23 (0.81)	2.64 (1.24)	2.35 (1.16)	3.36 (1.15)	0.141

**Note:** \*Represents  $p < 0.05$ ; suggests statistical significance.

**Abbreviations:** SD, standard deviation; BMI, body mass index; ACT, asthma control test; Q1, quartile 1; Q3, quartile 3; FEV<sub>1</sub>, forced expiratory volume in 1 s; FEV<sub>1</sub>%pred, FEV<sub>1</sub> percentage of predicted; FVC, forced vital capacity; FVC%pred, FVC percentage of predicted.

95% CI: 1.044–1.216;  $p=0.002$ ), and two days before asthma onset (Lag2) (OR=1.099; 95% CI: 1.019–1.185;  $p=0.014$ ) was also the independent risk factor for hospital admissions for asthma patients with RO, while other air pollutants, including SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub> and CO, could not be proven significant in multivariate analysis. However, no significant association was found between major air pollutant exposure and hospital admissions for asthma patients with FO (Tables 3 and 4).

## ROC Curves to Predict Severe Exacerbation in Asthma Patients with RO

We performed an ROC curve analysis for identifying the effects of major air pollutants on the severity of asthma in patients with RO. PM<sub>2.5</sub> on Lag0 (AUC = 0.645;  $p=0.027$ ) provided the best performance to predict severe asthma exacerbations with RO, with a sensitivity of 36.0% and a specificity of 91.2%. The AUC of PM<sub>10</sub> on Lag0 percentage was 0.641 ( $p=0.031$ ), and its optimal cut-off



**Table 2** Comparison of Air Particle Levels Within Fixed Airflow Obstruction Group and Reversible Airflow Obstruction Group

	Fixed Airflow Obstruction Group			Reversible Airflow Obstruction Group		
	Mild-to-Moderate	Severe	p-value	Mild-to-Moderate	Severe	p-value
<b>Air particles</b>						
PM10 (ug/m <sup>3</sup> ), Median (Q1, Q3)						
Lag0	18.14 (11.38, 33.12)	24.81 (18.27, 42.58)	0.094	20.7 (12.65, 38.35)	32.76 (16.64, 63.84)	0.031*
Lag1	19.02 (12.87, 28.12)	29.22 (13.64, 41.64)	0.178	19.47 (12.98, 34.83)	34.89 (17.34, 49.43)	0.032*
Lag2	19.26 (12.17, 27.47)	26.06 (11.86, 42.49)	0.342	19.47 (13.40, 34.89)	32.36 (16.28, 46.57)	0.069
Lag3	17.81 (12.60, 33.54)	26.78 (13.02, 37.22)	0.502	19.67 (11.33, 38.47)	32.72 (19.31, 52.46)	0.008*
PM2.5 (ug/m <sup>3</sup> ), Median (Q1, Q3)						
Lag0	14.11 (8.86, 23.12)	19.80 (14.82, 24.60)	0.133	16.08 (9.51, 25.02)	23.15 (12.27, 44.18)	0.027*
Lag1	14.44 (10.42, 20.96)	20.48 (10.38, 25.95)	0.166	16.24 (10.02, 22.71)	24.96 (13.09, 31.20)	0.033*
Lag2	15.20 (10.49, 21.80)	20.61 (9.59, 28.54)	0.275	15.69 (9.65, 26.29)	22.42 (12.69, 29.30)	0.115
Lag3	14.34 (9.63, 23.54)	20.54 (10.59, 22.01)	0.473	15.69 (8.66, 26.56)	22.71 (14.41, 34.77)	0.023*
PM1.0 (ug/m <sup>3</sup> ), Median (Q1, Q3)						
Lag0	11.42 (7.06, 19.03)	15.17 (12.07, 21.20)	0.148	12.71 (7.72, 21.02)	18.09 (8.72, 38.76)	0.043*
Lag1	11.52 (7.21, 17.91)	16.42 (7.96, 22.68)	0.147	12.82 (7.14, 18.11)	21.62 (8.86, 27.32)	0.071
Lag2	10.55 (7.52, 17.45)	16.70 (7.67, 23.47)	0.184	12.88 (7.66, 22.27)	18.89 (8.64, 26.11)	0.243
Lag3	11.27 (7.03, 19.48)	15.86 (8.33, 19.32)	0.326	12.81 (7.00, 22.57)	19.96 (8.92, 29.28)	0.087
SO <sub>2</sub> (ug/m <sup>3</sup> ), Median (Q1, Q3)						
Lag0	3.09 (2.22, 4.08)	3.08 (2.05, 4.64)	0.860	3.13 (2.31, 4.26)	3.61 (2.68, 4.89)	0.289
Lag1	3.13 (2.41, 4.16)	3.00 (2.37, 4.11)	0.941	3.11 (2.28, 4.13)	3.14 (2.25, 4.76)	0.562
Lag2	3.21 (2.26, 4.16)	3.07 (2.14, 4.53)	0.857	3.20 (2.27, 4.47)	3.07 (2.33, 4.86)	0.727
Lag3	3.29 (2.39, 4.10)	3.16 (2.06, 4.38)	0.859	2.96 (2.18, 4.50)	3.55 (2.75, 4.61)	0.170
NO <sub>2</sub> (ug/m <sup>3</sup> ), Median (Q1, Q3)						
Lag0	8.74 (5.70, 13.33)	11.05 (5.92, 15.38)	0.427	10.03 (5.27, 13.61)	13.55 (7.51, 17.33)	0.061
Lag1	9.04 (5.67, 14.26)	10.62 (5.78, 14.26)	0.864	9.33 (6.19, 14.49)	13.13 (6.01, 17.27)	0.166
Lag2	8.23 (6.04, 12.70)	8.53 (6.02, 13.33)	0.918	9.64 (5.80, 14.21)	12.45 (7.04, 15.90)	0.163
Lag3	10.19 (6.86, 15.22)	11.17 (6.36, 13.20)	0.473	7.97 (4.92, 12.63)	14.48 (5.64, 19.17)	0.019*
O <sub>3</sub> (ug/m <sup>3</sup> ), Median (Q1, Q3)						
Lag0	28.91 (16.56, 46.86)	27.72 (18.45, 45.94)	0.739	30.20 (22.61, 39.92)	28.83 (21.34, 40.57)	0.976
Lag1	28.74 (17.34, 44.68)	28.10 (18.56, 42.53)	0.895	29.26 (20.64, 40.14)	24.90 (18.40, 39.64)	0.273
Lag2	26.34 (16.87, 46.86)	33.79 (15.95, 43.82)	0.728	29.60 (20.21, 38.94)	31.99 (20.19, 44.32)	0.687
Lag3	22.98 (17.11, 36.74)	25.23 (13.28, 42.83)	0.836	31.73 (21.18, 42.70)	28.08 (14.45, 39.49)	0.178
CO (mg/m <sup>3</sup> ), Median (Q1, Q3)						
Lag0	0.46 (0.39, 0.61)	0.49 (0.35, 0.58)	0.992	0.47 (0.38, 0.59)	0.48 (0.36, 0.74)	0.341
Lag1	0.47 (0.35, 0.58)	0.45 (0.38, 0.60)	0.973	0.48 (0.40, 0.58)	0.49 (0.34, 0.71)	0.321
Lag2	0.49 (0.39, 0.60)	0.46 (0.37, 0.58)	0.593	0.47 (0.38, 0.57)	0.44 (0.34, 0.64)	0.732
Lag3	0.45 (0.39, 0.58)	0.49 (0.35, 0.61)	0.799	0.46 (0.37, 0.56)	0.47 (0.34, 0.70)	0.760
<b>Weather</b>						
Temperature (°C), Median (Q1, Q3)						
Lag0	26.09 (22.03, 28.86)	22.88 (18.00, 26.89)	0.049*	24.69 (21.10, 29.09)	23.50 (18.01, 28.63)	0.317
Lag1	25.62 (22.51, 28.79)	22.89 (17.42, 26.82)	0.056	25.15 (20.81, 28.88)	21.95 (17.38, 27.39)	0.157
Lag2	24.95 (22.98, 29.06)	22.59 (17.53, 26.92)	0.057	25.62 (20.66, 28.58)	21.87 (18.15, 27.14)	0.188
Lag3	25.40 (23.15, 28.94)	22.03 (16.81, 27.84)	0.025*	25.23 (20.90, 28.67)	21.00 (16.81, 27.98)	0.086
Humidity (%), Median (Q1, Q3)						
Lag0	79.38 (75.70, 82.31)	76.25 (67.21, 82.75)	0.247	77.00 (70.79, 84.33)	75.46 (59.52, 80.63)	0.103
Lag1	80.17 (73.40, 83.60)	76.83 (65.83, 80.88)	0.098	77.79 (77.21, 84.58)	75.35 (67.65, 81.55)	0.170
Lag2	78.48 (74.77, 82.45)	74.29 (68.67, 81.04)	0.333	79.96 (72.08, 84.17)	75.00 (68.31, 84.34)	0.268
Lag3	79.85 (72.45, 84.06)	77.21 (68.96, 84.63)	0.985	79.42 (70.79, 84.54)	74.38 (68.90, 82.17)	0.227

**Note:** \*Represents p<0.05; suggests statistical significance.

**Abbreviations:** PM, particulate matter; SO<sub>2</sub>, sulfur dioxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone, CO, carbon monoxide; Q1, quartile 1; Q3, quartile 3; Lag0, the day of asthma onset; Lag1, one day before asthma onset; Lag2, two days before asthma onset; Lag3, three days before asthma onset.

**Table 3** Univariate Logistics Regression Analysis for Fixed Airflow Obstruction Group and Reversible Airflow Obstruction Group

	Fixed Airflow Obstruction Group			Reversible Airflow Obstruction Group		
	OR	95% CI	p-value	OR	95% CI	p-value
<b>Air particle</b>						
PM10 (ug/m <sup>3</sup> )						
Lag0	1.025	0.995~1.057	0.108	1.032	1.009~1.055	0.006*
Lag1	1.019	0.991~1.048	0.180	1.027	1.002~1.052	0.031*
Lag2	1.009	0.984~1.036	0.476	1.028	1.001~1.055	0.038*
Lag3	1.006	0.981~1.033	0.625	1.037	1.012~1.063	0.004*
PM2.5 (ug/m <sup>3</sup> )						
Lag0	1.027	0.980~1.076	0.273	1.051	1.016~1.087	0.004*
Lag1	1.023	0.982~1.065	0.273	1.036	0.999~1.073	0.054
Lag2	1.013	0.975~1.052	0.513	1.030	0.993~1.069	0.108
Lag3	1.005	0.969~1.043	0.781	1.044	1.007~1.081	0.018*
PM1.0 (ug/m <sup>3</sup> )						
Lag0	1.028	0.978~1.080	0.273	1.053	1.016~1.092	0.005*
Lag1	1.026	0.982~1.071	0.250	1.036	0.996~1.076	0.076
Lag2	1.016	0.976~1.059	0.435	1.026	0.987~1.067	0.186
Lag3	1.008	0.970~1.048	0.678	1.039	1.000~1.078	0.047*
SO <sub>2</sub> (ug/m <sup>3</sup> )						
Lag0	1.029	0.747~1.417	0.863	1.184	0.898~1.561	0.232
Lag1	0.966	0.717~1.301	0.820	1.105	0.855~1.429	0.445
Lag2	0.933	0.705~1.233	0.625	1.102	0.839~1.446	0.485
Lag3	0.958	0.702~1.309	0.789	1.150	0.891~1.484	0.283
NO <sub>2</sub> (ug/m <sup>3</sup> )						
Lag0	1.013	0.941~1.092	0.725	1.049	0.997~1.104	0.064
Lag1	1.011	0.942~1.085	0.761	1.016	0.964~1.071	0.558
Lag2	0.977	0.914~1.044	0.489	1.039	0.973~1.109	0.257
Lag3	0.955	0.882~1.034	0.259	1.091	1.025~1.161	0.006*
O <sub>3</sub> (ug/m <sup>3</sup> )						
Lag0	0.996	0.974~1.018	0.712	1.000	0.971~1.030	0.998
Lag1	0.996	0.974~1.019	0.738	0.986	0.956~1.018	0.392
Lag2	1.003	0.980~1.027	0.799	1.002	0.973~1.032	0.883
Lag3	1.003	0.977~1.029	0.846	0.978	0.949~1.008	0.153
CO (mg/m <sup>3</sup> )						
Lag0	0.522	0.035~7.907	0.639	4.425	0.429~45.636	0.212
Lag1	0.846	0.064~11.190	0.889	6.460	0.443~94.178	0.172
Lag2	0.389	0.022~6.814	0.518	0.881	0.066~11.754	0.923
Lag3	0.622	0.055~7.047	0.702	0.879	0.368~2.098	0.772
<b>Weather</b>						
Temperature (°C)						
Lag0	0.919	0.841~1.005	0.064	0.947	0.867~1.033	0.218
Lag1	0.899	0.817~0.988	0.028*	0.937	0.858~1.022	0.143
Lag2	0.895	0.816~0.982	0.019*	0.941	0.863~1.026	0.168
Lag3	0.890	0.814~0.973	0.011*	0.919	0.845~0.999	0.049*
Humidity (%)						
Lag0	0.978	0.943~1.015	0.978	0.971	0.937~1.006	0.106
Lag1	0.963	0.921~1.008	0.102	0.975	0.941~1.011	0.173
Lag2	0.981	0.943~1.020	0.331	0.981	0.949~1.015	0.269
Lag3	1.000	0.964~1.037	0.985	0.981	0.951~1.012	0.229

**Note:** \*Represents  $p < 0.05$ ; suggests statistical significance.

**Abbreviations:** PM, particulate matter; SO<sub>2</sub>, sulfur dioxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone, CO, carbon monoxide; Lag0, the day of asthma onset; Lag1, one day before asthma onset; Lag2, two days before asthma onset; Lag3, three days before asthma onset; OR, odds ratio; CI, confidence interval.

**Table 4** Multivariable Logistics Regression Analysis for Reversible Airflow Obstruction Group

	PM10			PM2.5			PM1		
	OR	95% CI	p	OR	95% CI	P	OR	95% CI	p
PM10 (ug/m <sup>3</sup> )									
Lag0	1.057	1.002~1.115	0.042*	/	/	/	/	/	/
Lag1	1.127	1.044~1.216	0.002*	/	/	/	/	/	/
Lag2	1.099	1.019~1.185	0.014*	/	/	/	/	/	/
Lag3	1.058	0.988~1.133	0.106	/	/	/	/	/	/
PM2.5 (ug/m <sup>3</sup> )									
Lag0	/	/	/	1.130	1.033~1.236	0.007*	/	/	/
Lag3	/	/	/	1.038	0.951~1.132	0.401	/	/	/
PM1.0 (ug/m <sup>3</sup> )									
Lag0	/	/	/	/	/	/	1.150	1.035~1.277	0.009*
Lag3	/	/	/	/	/	/	1.004	0.912~1.105	0.936
NO <sub>2</sub> (ug/m <sup>3</sup> )									
Lag3	1.023	0.881~1.188	0.765	1.079	0.943~1.235	0.269	1.120	0.981~1.279	0.095
Temperature (°C)									
Lag3	0.487	0.911~1.216	0.487	1.033	0.897~1.190	0.652	1.009	0.875~1.163	0.900

**Note:** \*Represents  $p < 0.05$ ; suggest statistical significance.

**Abbreviations:** PM, particulate matter; SO<sub>2</sub>, sulfur dioxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone, CO, carbon monoxide; Lag0, the day of asthma onset; Lag1, one day before asthma onset; Lag2, two days before asthma onset; Lag3, three days before asthma onset; OR, odds ratio; CI, confidence interval.

value was 57.659  $\mu\text{g}/\text{m}^3$ , with 28.0% sensitivity and 98.9% specificity, and the AUC of PM1.0 on Lag0 percentage was 0.633 ( $p=0.043$ ), and its optimal cut-off value was 29.271  $\mu\text{g}/\text{m}^3$ , with 36.0% sensitivity and 92.3% specificity. Moreover, at a cut-off value of 26.419  $\mu\text{g}/\text{m}^3$ , the sensitivity and specificity of PM10 on Lag1 (AUC=0.640;  $p=0.032$ ) were 68.0% and 68.1%, respectively. The diagnostic performance of major air pollutant exposure for severe asthma exacerbations with RO is shown in Table 5 and Figure 3.

## Discussion

In the study, we observed that short-term periods of PM10, PM2.5 and PM1.0 elevation were matched with the timing increases in severe asthma exacerbations with RO. Our

study also demonstrated that PM10 on Lag0, PM2.5 on Lag0, PM1 on Lag0, PM10 on Lag1 and Lag2 were the health risk factors of severe asthma patients with RO, which suggested that short-term exposure to higher concentrations of PM 10, PM2.5 and PM1 is associated with exacerbation severity of asthma patients with RO.

Our study assessed 197 adult patients who had acute exacerbation of asthma, and 58.9% of them presented with asthma with RO. We observed that the asthma group with RO had shorter disease duration, a lower percentage of men, a lower rate of smoking and family history and lower FeNO levels when compared with the group with FO. Since smoking habits might be more risk-inducing in men, it was suggested that the asthma with FO was linked with smoking-induced airway damage, longer courses of

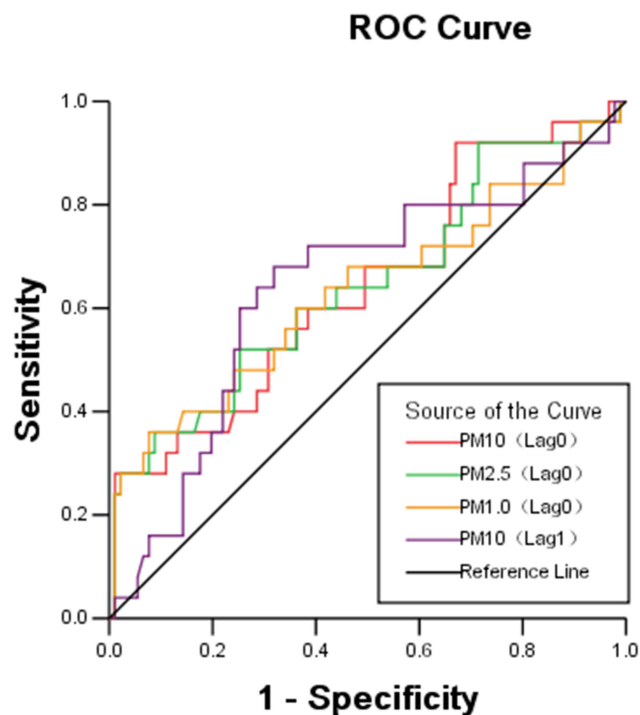
**Table 5** ROC Curves to Predict Severe Exacerbations in Asthma Patients with Reversible Airflow Obstruction

	AUC	95% CI	Cut-Off Value	Sensitivity	Specificity	p
PM10 (Lag0)	0.641	0.514~0.769	57.659	0.280	0.989	0.031*
PM10 (Lag1)	0.640	0.511~0.976	26.419	0.680	0.681	0.032*
PM2.5 (Lag0)	0.645	0.513~0.777	32.059	0.360	0.912	0.027*
PM1.0 (Lag0)	0.633	0.494~0.772	29.271	0.360	0.923	0.043*

**Note:** \*Represents  $p < 0.05$ ; suggests statistical significance.

**Abbreviations:** PM, particulate matter; Lag0, the day of asthma onset; Lag1, one day before asthma onset; Lag3, three days before asthma onset; AUC, the area under the ROC curve; CI, confidence interval.





**Figure 3** Receiver operating characteristic (ROC) curves for PM exposure on different lag days (Lag0) to affect severity in asthma patients with reversible airway obstruction (RO).

disease and more eosinophilic inflammation. All these factors were implicated as playing an important role in airway remodeling, and ultimately FO in asthma patients. Moreover, we also found that the levels of large airway parameters including FEV<sub>1</sub>, FEV<sub>1</sub>%pred, FVC, FVC%pred, and FEV<sub>1</sub>/FVC were significantly decreased in the FO group compared with the RO group. These results showed the declines in ventilatory function among the patients, which are consistent with the prolonged disease duration of asthma patients with FO. Some researchers found there was an increased risk of FO in child patients.<sup>15</sup> It may indicate that long-term complications of asthma can lead to airway remodeling, and be responsible for the accelerated loss of lung function.

Previous reports have shown that exposure to ambient air pollution was associated with acute exacerbation of asthma and severe asthma attack,<sup>16–18</sup> but less is known about the relationship between the exposure levels of particle pollutants and asthma patients with or without FO. In the study, we found that short-term exposure to PM<sub>10</sub>, PM<sub>2.5</sub> and PM<sub>1</sub> (on lags of 1–3 days) was associated with severe exacerbations in asthma patients with RO, and may be the independent risk factor for these adverse asthma events. However, no significant difference was found in the exposure levels of air particles (on lags

of 1–3 days) between severe and mild-to-moderate asthma patients in the FO group. These data provide new evidence that short-term exposure to PM (10–1) may be closely linked to severe exacerbation in asthma with RO but not FO.

Studies have also shown that exposure to ambient PM collected in urban Baltimore increased the pathophysiological features of asthma via activation of lymphocyte-dependent pathways.<sup>19</sup> It is speculated that PM exposure may play a significant role in the increased severity of allergic asthma. Other studies demonstrated that short-term PM exposure might also increase asthma mortality risk.<sup>20</sup> If these severe exacerbations are coupled with deleterious effects caused by acute PM exposure, they could inform an intervention to reduce hospital admissions and avoid lung function decline for asthma patients with RO. We then analyzed whether acute PM exposure was responsible for increased severity for asthma patients with RO by performing AUC calculations. The results showed that, on Lag0, PM<sub>2.5</sub> had the best AUC value, of 0.645 (cut-off value 32.059  $\mu\text{g}/\text{m}^3$ ), with a sensitivity of 36.0% and a specificity of 91.2%. Moreover, when the concentration of PM<sub>10</sub> reached the cut-off values (57.659 and 26.419  $\mu\text{g}/\text{m}^3$ ) on Lag0 and Lag1, the sensitivity and specificity for Lag0 were 28.0% and 98.9% and for Lag1 were 68.0% and 68.1%, respectively. It was suggested that exposure to higher concentrations of PM (PM<sub>1</sub>, 2.5 and 10) on different lag days might increase the severity of asthma patients with RO, and have a harmful impact on severe acute exacerbations of asthma. Thus, asthma patients with RO should restrict outdoor activities when ambient PM levels are high, so that they may reduce the chance of having a severe asthma attack. Interestingly, our study did not find a statistically significant association between short-term exposure to PM and increased severe exacerbations in asthma with FO. Further research is essential to find out whether or not PM exposure may affect exacerbation severity of asthma with FO.

There are some limitations in this study. First, it is a single-centre study with a limited number of subjects, which may be a source of potential bias or a confounding factor. Additionally, due to the small number of patients in the RO group, it is difficult for the ROC curve to explicitly show the effect of air pollutant exposure on severe asthma. Second, we did not study the clinical phenotype like early-onset asthma and late-onset asthma due to the limitations of time, manpower, and cost. Third, we did not explore the mechanism through which ambient air particles cause acute exacerbation of asthma with RO. Finally, several important factors that might affect the association between air pollutant

exposure and asthma exacerbation may be considered, such as health insurance coverage, housing quality, outdoor activities, holidays and peak flu periods. Nevertheless, the retrospective design of this study limits the validity of relevant data, and recall accuracy is uncertain for up to two years. In the future, we will enlarge the sample size, consider more factors that interfere with the trend in pollutants, and conduct outpatient follow-up visits after hospital discharge.

In conclusion, this study showed that short-term exposure to PM<sub>10</sub>, PM<sub>2.5</sub> and PM<sub>1</sub> on different lag days may be the risk factors for acute exacerbations, and may also be associated with worse severity of asthma patients with RO. However, no significant association between PM exposure on lag 0–3 days and acute exacerbations in asthma patients with FO was found. These results suggest that PM exposure in the short term may play a role in frequency of attacks and severity of symptoms among asthma patients with RO, and education and intervention are needed to reduce environmental triggers and enhance respiratory outcomes. Further longitudinal studies are needed to verify and confirm the results.

## Statement of Ethics

This research protocol was approved by the Medical Ethics Committee of Shenzhen People's Hospital, and all subjects have signed written informed consent forms before enrolment in the study.

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## Disclosure

The authors have no conflicts of interest to declare.

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