

# Nutrition and Non-Nutrition-Related Challenges Predict Time to Death in Long-Term Care Residents: A Retrospective Chart Review

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**Purpose:** Signals of end-of-life decline observed in daily habits, such as mealtime participation, are important for moving towards comfort-focused goals of care in the final months of life of long-term care (LTC) residents. It is unclear how eating issues observed in real-time in LTC homes are used as indicators of suspected end of life. The study quantifies nutrition and key non-nutrition related signals (eg, general decline, unstable vitals) documented to describe end-of-life decline and the subsequent time to death.

**Patients and Methods:** A retrospective chart review identified the first documented conversation where end-of-life decline was considered by members of the care team (eg, nurses, physicians, dietitian, family member) for 76 randomly selected decedents from 9 LTC homes in southwestern Ontario, Canada. Time (days) to death was calculated. A directed content analysis of the free-text description of the suspected end-of-life decline was used to categorize signals. Cox proportional hazards regression analysis tested the risk of mortality associated with each categorized signal.

**Results:** Time to death of residents (mean age =  $88 \pm 7$  years; 60% female) from the first documentation of potential end-of-life decline ranged from 0 days to over 2 years prior to death (median = 27.5 days). Seven nutrition-related and 18 non-nutrition related signals were identified. Swallowing difficulty (HR = 2.99; 95% CI = 1.41, 6.33), cognitive decline (HR = 0.40; 95% CI = 0.20, 0.77), delirium (HR = 13.23; 95% CI = 1.57, 111.69), and cancer (HR = 0.18; 95% CI = 0.07, 0.48) were associated with time to death.

**Conclusion:** This study provides insight into the signals used by care providers in LTC to suspect that residents are declining towards the end of life and identifies four signals that were associated with time to death. When identified by care providers as indicators of end-of-life decline, swallowing difficulty and delirium predicted a shorter time to death, while cancer and cognitive decline predicted a longer time to death. Recognition of nutrition and non-nutrition related signals may be leveraged to systematically introduce timely comfort care conversations.

**Plain language summary:** This study is part of a larger project exploring the experience of older adults living in residential long-term care (LTC) facilities around nutrition-related challenges (eg, refusing to eat, weight loss) in the final months of life. It is well known that residents experience more complex nutrition-related challenges with proximity to the end of life and that such challenges are associated with increased risk of death. Furthermore, LTC staff rely on observable signals, including nutrition-related challenges, to indicate that residents in their care are experiencing end-of-life decline and to reorient goals of care to emphasize comfort. As such, we carried out this study to identify how common nutrition-related challenges observed in residents may be leveraged to introduce comfort conversations between LTC staff, residents, and their family caregivers. To accomplish this, we performed an in-depth review of resident charts of 76 deceased LTC residents. In our review, we identified the point at which the LTC staff first noted a decline in the residents' health that potentially indicated end-of-life decline. We took detailed notes of the circumstances surrounding the suspected decline and categorized the reasons given. Our study describes these documented reasons (ie, 'signals'), which included seven nutrition-related challenges, only one of which (ie, swallowing difficulty) was associated with shorter time to death. From these findings, we can conclude that goals of care should be reassessed when LTC residents experience swallowing difficulty, while the other identified signals (eg, food refusal) may prompt LTC staff to initiate comfort-focused conversations despite uncertain imminence of death.

**Keywords:** time to death, dysphagia, delirium, LTC

## Introduction

Residents of long-term care (LTC) in Canada represent some of the oldest and frailest members of society, with many complex health, cognitive, and functional impairments that increase the risk of mortality. The LTC population is characterized by a high level of cognitive impairment affecting at least 90% of residents,<sup>1</sup> and approximately 75% of residents have three or more comorbidities, including cardiovascular disorders and diabetes among other conditions.<sup>2</sup> Multimorbidity contributes to an increased risk of functional decline which, combined with age-associated sarcopenia and frailty, leads to increased vulnerability to complications such as pain, delirium, weakness, and dysphagia (ie, swallowing difficulty).<sup>3,4</sup> Given the high prevalence of frailty in the LTC population, with estimates ranging up to 75% of residents internationally,<sup>5,6</sup> these complications are also common among residents of LTC. Evidence suggests that LTC residents experience a high prevalence of dysphagia and swallowing difficulties, with estimates ranging from 7% to 40% according to one systematic review.<sup>7</sup> Further compounding these vulnerabilities, cognitive impairment, multimorbidity, frailty, and their associated challenges hinder adequate food intake and increase the risk of malnutrition,<sup>7-9</sup> which is estimated to affect over half of LTC residents<sup>10</sup> and contributes to an increased risk of mortality.<sup>11</sup>

As a result of such complex physical and functional challenges, many residents live their final months and days of life in the LTC home.<sup>12,13</sup> An estimated 25% of residents in Ontario LTC homes die within one year of admission<sup>14</sup> and approximately 20% of admitted residents are discharged due to death each year, according to publicly reported Canadian data.<sup>15</sup> Given the high rate of mortality, the demand for comfort-focused approaches to care in LTC is growing.<sup>16-18</sup> Such approaches become increasingly important as residents experience changes in health, function, and cognitive status that increase the complexity of care needs and severity of their conditions. Yet, very few decedents in Canadian LTC homes receive specialist palliative care.<sup>19,20</sup> Integrated palliative (ie, comfort-focused) approaches to care, though undergoing rapid development in the Canadian LTC landscape, are still limited by many challenges.<sup>18</sup>

Early initiation of comfort care practices has been demonstrated to decrease burdensome interventions and improve quality of life of persons living with dementia and other life-limiting conditions, and to decrease costs associated with accessing acute care services.<sup>17,19,21</sup> Imminent or obvious end-of-life decline should not be a prerequisite for initiating comfort approaches to care. However, commonly cited barriers to implementing early comfort-focused care such as complexity of symptoms, unclear prognosis, and variable condition-related trajectories towards end-of-life<sup>22-27</sup> indicate that care providers do look for signs or signals of decline before initiating goals of care conversations. While previous theory suggests that end-of-life trajectories differ by diagnostic category (ie, cancer, organ failure, and frailty/dementia),<sup>28,29</sup> these categories have not been useful in distinguishing end-of-life decline among older adults living in residential and LTC facilities,<sup>22,30</sup> likely due to the complexity of health conditions and functional changes found among residents in these settings. As such, alternative opportunities for introducing comfort-focused goals of care are needed.

Signals of end-of-life decline that are observable in daily habits and routines of LTC residents have been identified and regarded as fundamental to initiating high-quality palliative end-of-life care.<sup>25,31-33</sup> A Swedish study of multidisciplinary healthcare professionals working in LTC found that early signals were subtle, and although not immediately obvious, could be identified with some reflection from care staff.<sup>31</sup> Another study had Dutch nursing home physicians list signs and symptoms that would indicate a life expectancy of 6 weeks or less; little/no fluid and food intake, generalized weakness, and respiratory problems were most commonly reported as the top symptoms.<sup>25</sup> Categorization of observed and recorded signs and symptoms that lead to suspected end-of-life decline, from a care provider perspective, has not been done to confirm these findings. The timing of such signals in relation to death may also inform strategies for initiating earlier comfort-focused care conversations.

Eating challenges are common in LTC residents and become more prominent in many residents in the final months of life.<sup>34</sup> Most prognostic tools used to indicate the risk of 6-month mortality include at least one item such as malnutrition, weight loss, and/or reduced food intake,<sup>17,35</sup> highlighting the relevance of eating challenges as a signal of terminal decline. In addition to the use of prognostic tools, daily observations of residents' changing habits and behaviors

surrounding food and fluid may be useful to initiate conversations and to support the decision to transition towards end-of-life care goals. Decreased food/fluid intake, refusal to eat, and dysphagia have been cited by healthcare providers to signal terminal decline in practice,<sup>25,31,33</sup> yet it is not known if these challenges predict time to death. Studies of signals of end-of-life decline have been qualitative<sup>31–33</sup> or do not consider timing to death after the signs are recognized.<sup>25</sup> It is unclear how eating issues observed in real-time in LTC homes, from the viewpoint of the care provider, are used as indicators of suspected end of life.

This study is exploratory and aims to better understand the transition to end-of-life care goals for LTC residents from a multidisciplinary perspective with a particular focus on nutrition-related signals. To meet these objectives, a retrospective chart review was used to identify the point at which care providers first documented potential end-of-life decline and the factors surrounding this event.<sup>36,37</sup> A retrospective chart review was advantageous for this purpose because it allowed for efficient collection of data on deceased residents and an unbiased account of the care providers' perspective of the important signals that led them to consider that the resident was experiencing end-of-life decline. The study is led by two objectives: 1) to describe the nutrition-related and other (ie, non-nutrition) challenges that led care providers to suspect the end-of-life phase was starting for residents and 2) to investigate whether any of the identified challenges, when flagged to indicate potential end-of-life decline, consistently predicted a shorter or longer time to death.

## Materials and Methods

This investigation is one part of a larger study designed to explore the role of eating-related challenges and nutrition care practices within the context of the end-of-life experience of long-term care (LTC) residents.<sup>34</sup>

### Study Sample

The sample was comprised of deceased residents from LTC homes affiliated with a single for-profit chain in southern Ontario, Canada. Participants were selected after death so there was no researcher influence on the anticipation of end-of-life decline. Of 19 homes in the chain, 9 were randomly selected for participation. Residents were eligible for inclusion if they had been admitted to one of these 9 homes for at least 6 months to ensure adequate health record history and had a death date falling between December 1, 2018 and March 11, 2020. These dates ensured availability of electronic records, which were implemented chain-wide in the year prior, and excluded deaths that occurred after the World Health Organization (WHO) declaration of the COVID-19 pandemic on March 11, 2020, given the significant impact of the emergency order on the care provided in the LTC sector. Ten residents from each home were randomly selected using a random number generator. Residents under the age of 65 years ( $n = 5$ ) were removed retroactively, so the sample consisted of 85 residents who were over the age of 65 and had been admitted to their respective home for at least 6 months. Individuals who did not have a change of status indicative of end-of-life decline documented in their progress notes prior to death ( $n = 9$ ) were excluded from this study. Thus, the final sample consisted of 76 participants.

### Data Collection

Data for the study were collected by a single researcher (JMK) through a retrospective chart review using medical record review methodology ([Supplement 1](#)).<sup>36–39</sup> The resident charts were documented electronically in PointClickCare© (Mississauga, ON, 2020), a cloud-based health record software, and accessed remotely using a virtual private network (VPN). Data on nutrition-related eating challenges and care interventions, focusing on the last 6 months of life, were extracted from the participants' clinical progress notes, assessments, and care plans by a single researcher using a data extraction form with detailed definitions for each item. Extracted data were recorded electronically using REDCap Software, a secure web platform for building and managing online databases.<sup>40,41</sup> Only the first author (JMK) had access to the resident charts for data extraction, and all data were de-identified by name and LTC home upon extraction to protect resident privacy. Deidentified datasets and associated documents were stored on an encrypted, password-protected network drive hosted by the University of Waterloo in accordance with data protection regulations. Ethics approval for the study was obtained from the Office of Research Ethics at the University of Waterloo (ORE#40789) and subjected to an additional approval process according to institutional policies within the LTC home chain.

Resident characteristics for sample description were obtained from the resident admission forms, progress notes, and the Resident Assessment Instrument Minimum Data Set 2.0 (MDS 2.0), a standardized instrument that assesses the health and functional status of residents, reported quarterly by trained staff in LTC homes across Ontario.<sup>15,42</sup> To align with the goals of the larger study, the MDS 2.0 assessment recorded approximately 6 months prior to death was extracted. Extracted items included: diagnoses; leaving >25% of food uneaten at most meals (yes vs no); moderate/severe cognitive impairment (Cognitive Performance Scale [CPS]  $\geq 3$  vs  $< 3$ );<sup>43</sup> moderate/high health instability (CHESS  $\geq 3$  vs  $< 3$ );<sup>44</sup> extensive/total dependence on Activities of Daily Living Self Performance Hierarchy Scale (ADL  $\geq 3$  vs  $< 3$ );<sup>45</sup> probable depression (Depression Risk Scale [DRS]  $\geq 3$  vs  $< 3$ );<sup>46</sup> any responsive behaviors (Aggressive Behavior Scale [ABS]  $\geq 1$  vs 0);<sup>47</sup> and daily vs less than daily pain.<sup>48</sup> One item indicating existing swallowing problems was also extracted from the MDS 2.0 assessment recorded approximately six months prior to death. The residents' progress notes from the final month of life were scanned for swallowing difficulty reported by the LTC care team.

The event of interest was the point at which the care providers reported a "change in status" that signaled suspected end-of-life decline, including a guarded prognosis, transition to comfort-focused care, or direct anticipation of death. This event was identified primarily by a keyword search, described in further detail below. Since the aim of this study was to retrospectively understand the resident nutrition and non-nutrition challenges that led the care team to suspect end-of-life decline, the search was first limited to progress notes documented in the 6 months prior to death. If a change in status event was not obvious in the last 6 months of the resident's life, or if the care goals expressed in the progress notes within 6 months of death suggested that the change in status had already occurred (eg, comfort-focused care goals were already established), earlier progress notes (ie, prior to 6 months before death) were reviewed to identify the point at which a significant change in status was first noted, if at all. Change in status was identified by first reviewing the progress notes informally to get a sense of the resident's trajectory towards death. This initial chart scan was followed by a keyword search of the charted progress notes. Search terms included "end-of-life", "terminal", "deteriorate", "comfort", and derivatives and synonyms of these words (eg, "end of life" and EOL were also searched), to identify the earliest mention of the change in residents' status. A description of the steps used to identify the change of status, including the list of search terms, can be found in [Supplement 2 \(Table 2.1\)](#).

The date of the change in status reported by healthcare providers was noted. Contextual details around the documented change in status were extracted as a free-text description, preserving the original wording of the progress notes as much as possible. Details of interest included the role of the person who initiated the conversation (eg, nursing staff, physician, family member), the reasons for flagging the change in status, and the context around the conversation (eg, arising from a planned care conference, recent hospitalization). Progress notes in the days leading up to the change in status reporting were summarized where necessary to gain more context, for example, if the progress note referred to an event occurring on a previous day. The date of initiation of formalized palliative/end-of-life orders (ie, ordered by physician or entered into the care plan) was also noted for descriptive purposes.

Intra-rater reliability of the entire data extraction (293 items), including the date of first mention of a change in status, was done in duplicate for 15 randomly selected resident charts (~10% of the total sample for the larger study,  $n = 176$ ) ([Supplement 1](#)). Agreement on the change of status date was found for 13 (87%) of the 15 charts, indicating an acceptable level of reliability. One of the disagreements referred to the same event but was one day off. In the other case of disagreement, two different dates were recorded in the variable field, but both dates with similar text descriptions were noted both times the chart was reviewed.

## Dependent Variable

The outcome of interest was "time to death", measured as the number of days from the date on which the change in status was reported in the resident's progress notes to the date of death.

## Independent Variables

The independent variables of interest were the signs and symptoms ("signals") reported at the same time as the healthcare provider reported the change in the resident's status. A directed content analysis, consisting of three steps, was performed

to convert the free-text description of the change in status progress note into quantitative data points.<sup>49</sup> First, authors (JMK and HK) developed preliminary codes for these signals based on a priori knowledge, existing literature, and a brief scan of the contents of the documented texts. JMK and SL then independently coded the progress note extracts using the preliminary list of signals. Once all resident extracts had been independently coded, JMK and SL compared their codes for each resident, discussed discrepancies, and refined the definition of each code until a consensus was reached between JMK and SL for all resident files. HK reviewed the final list of codes and definitions for appropriateness. The final list of codes included 7 nutrition-related and 18 non-nutrition signals ([Supplementary Table 2.2](#)).

## Analysis

Chi-square, Fisher's exact, *t*-tests and Mann–Whitney *U*-tests were used to compare the demographic (ie, age, sex, length of admission, hospital death) and functional characteristics (ie, MDS 2.0 scores, diagnoses, history of swallowing problems, swallowing difficulty reported in the final month of life) between included and excluded individuals. Descriptive statistics of the change in status event, including the frequency of each signal and other contextual details, were provided. The distribution of the time to death following the change in status in this sample was highly right-skewed, so in addition to mean time to death, survival time was described using quartile estimates (ie, the number of days at which 25%, 50%, and 75% of residents were expected to have died) and 95% confidence intervals using a log–log transformation. Formalized end-of-life orders were also described, similarly including mean number of days to death and quartile estimates of survival time.

Bivariate tests of equality (ie, Log rank test) compared the homogeneity of survival curves (ie, Kaplan–Meier estimate) for each signal.<sup>50</sup> Cox proportional hazards regression analysis with backward elimination, removing all variables that had a *p*-value >0.05, identified which signals were associated with time to death. Model fit statistics (–2Log L, AIC, and SBC) were compared during model-building to identify the final model; lower values for each of these three scores indicated better model fit so were preferred.

## Results

The study sample consisted of LTC decedents who had a documented change in status that suggested end-of-life decline (*n* = 76) while those without a documented change in status were excluded (*n* = 9) ([Table 1](#)). Participants had a mean age of 88 ± 7 years at the time of death, were 60% female, had been admitted to the LTC home for a median of 37 [Q1, Q3: 24, 59] months. A high proportion of participants (83%) had moderate/severe cognitive impairment. Nearly half (49%) of participants had a history of leaving food uneaten and more than one-fifth (22%) had a history of swallowing problems according to the MDS 2.0 assessment completed approximately 6 months prior to death. The sample had a high level of complex comorbidities, including cancer (20%), diabetes (25%), hypothyroid disorder (22%), cardiovascular disorders (78%), arthritis (37%), and stroke (25%). Multimorbidity was highly prevalent in this sample, with 88.2% (*n* = 67) of participants having three or more diagnoses. In the final month of life, 59% of residents exhibited signs of swallowing difficulty as reported by LTC care providers in the progress notes. The sample demographics were not significantly different from the excluded group, except for the proportion who died in the hospital (9% of included vs 56% of excluded; *p* < 0.001), proportion who exhibited responsive behaviors (58% of included vs 22% of excluded; *p* = 0.04), and report of swallowing difficulty in the final month of life (59% included vs 11% excluded; *p* = 0.01).

The time to death following the documented change in status was highly variable, ranging from 0 days to over 2 years, and skewed with 53% of conversations documented within the last month of life ([Table 2](#)). The change in status was documented within the context of a planned care conference for nearly 20% (*n* = 15) of participants. These participants had a longer time to death (median = 84 days) as compared to individuals for whom the change in status was noted based on routine care (median = 21 days), though this difference was not statistically significant (*p* = 0.25). Nursing staff (41%) were the most common group to first document the change in status of residents. The dietitian first flagged a change in status in 7% of participants. In 15% of cases, family members initiated the end-of-life discussions by raising concerns about the resident's health or opting out of life-prolonging treatments such as dialysis. A formalized palliative/end-of-life order was initiated for 80% of participants, the majority of which occurred in the last 2 weeks of life.

**Table 1** Sample Descriptives with Comparison of Residents Who Had an End-of-Life Discussion Documented to Those Who Did Not

	Included (n=76) <sup>a</sup>	Excluded (n=9)	p-value <sup>b</sup>
	% (n) <sup>c</sup>	% (n) <sup>c</sup>	
<i>Resident Demographics</i>			
Age at death, years, Mean ± SD	87.9 ± 7.3	84.8 ± 8.2	0.23
Sex, female	60.5 (46)	44.4 (4)	0.35
Length of admission, months, Median [Q1, Q3]	37 [24, 59]	37 [23, 45]	0.40
Died in hospital	<b>9.2 (7)</b>	<b>55.6 (5)</b>	<b>0.0002</b>
<i>MDS 2.0 Scores</i>			
Leaves >25% of food uneaten at most meals	48.7 (37)	44.4 (4)	0.81
Cognitive impairment, moderate/severe (CPS≥3)	82.9 (63)	66.7 (6)	0.24
Health Instability, moderate/high (CHESS≥3)	6.6 (5)	0.0 (0)	0.43
Significant loss of independence (ADL≥3)	94.7 (72)	100.0 (9)	0.48
Depression risk (DRS≥3)	50.0 (38)	22.2 (2)	0.11
Exhibits responsive behaviors (ABS≥1)	<b>57.9 (44)</b>	<b>22.2 (2)</b>	<b>0.04</b>
Pain, yes (Pain Scale≥1)	21.0 (16)	33.3 (3)	0.40
History of swallowing problems, 6 months prior to death	22.4 (17)	11.1 (1)	0.68
Swallowing difficulty, final month of life <sup>d</sup>	<b>59.2 (21)</b>	<b>11.1 (1)</b>	<b>0.01</b>
<i>Diagnoses</i>			
Cancer	19.7 (15)	0 (0)	0.16
Diabetes	25.0 (19)	22.2 (2)	1.00
Hypothyroid	22.4 (17)	33.3 (3)	0.43
Cardiovascular disorders	77.6 (59)	77.8 (7)	1.00
Congestive heart failure	5.3 (4)	22.2 (2)	0.12
Arthritis	36.8 (28)	44.4 (4)	0.72
Stroke	25.0 (19)	11.1 (1)	0.68
Parkinson's	9.2 (7)	11.1 (1)	1.00
Chronic obstructive pulmonary disorder	15.8 (12)	22.2 (2)	0.64
Renal condition	5.3 (4)	11.1 (1)	0.44

**Notes:** <sup>a</sup>Individuals included in the final sample had a change in status that led to an end-of-life discussion documented in their progress notes; excluded individuals were those who did not have a significant change in status noted prior to death. <sup>b</sup>Bivariate comparison between included and excluded within each sample; chi-square test for categorical variables, t-test for normally distributed continuous variables (ie, age), and Mann-Whitney for non-parametric variables (ie, length of admission). <sup>c</sup>Descriptives are % (n) unless otherwise specified. <sup>d</sup>Report of swallowing difficulty was obtained from the residents' progress notes in the final month of life. Bolded values indicate statistically significant difference,  $p < 0.05$ . MDS 2.0 scores and diagnoses were collected from the assessment approximately six months prior to death.

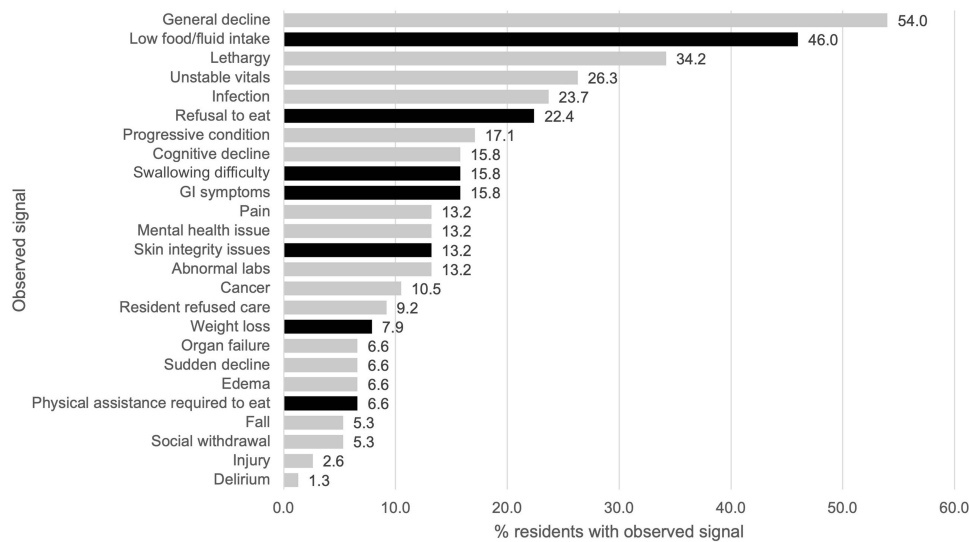
**Abbreviations:** ABS, Aggressive Behavior Scale; ADL, Activities of Daily Living Self Performance Hierarchy Scale; CHESS, Changes in Health, End Stage Disease and Signs and Symptoms Scale; CPS, Cognitive Performance Scale; DRS, Depression Risk Scale; MDS 2.0, Resident Assessment Instrument Minimum Data Set 2.0; Q1, 1st quartile; Q3, 3rd quartile; SD, standard deviation.

**Table 2** Description of the First Reporting of a Possible End-of-Life Decline, n=76

	% (n) <sup>a</sup>
Time to death after first reporting of decline	
Number of days <sup>b</sup>	
Mean ± SD	88.8 ± 143.3
Quartile estimates [95% CI] <sup>c</sup>	
25%	6 [2, 13]
50%	27.5 [14, 71]
75%	105.5 [83, 163]
Distribution:	
0–14 days	39.5 (30)
15–30 days	13.2 (10)
31–90 days	19.7 (15)
91–180 days	13.2 (10)
180–365 days	9.2 (7)
>365 days	5.3 (4)
Reported at planned care conference	19.7 (15)
Reported following recent hospitalization	13.2 (10)
Role that identified decline	
Physician/Nurse practitioner	29.0 (22)
Nursing team	40.8 (31)
Family member	14.5 (11)
Dietitian	6.6 (5)
Hospital team	6.6 (5)
Resident	1.3 (1)
Other	1.3 (1)
Formalized end-of-life order eventually initiated	80.3 (61)
Time to death after formal order, days, Mean ± SD	36.6 ± 97.2
Quartile estimates, days [95% CI] <sup>c</sup>	
25%	2 [1, 2]
50%	4 [2, 6]
75%	13 [7, 31]

**Notes:** <sup>a</sup>Descriptives are % (n) unless otherwise specified. <sup>b</sup>Range: 0–871 days. <sup>c</sup>Quartile estimates indicate number of days at which 25%, 50%, and 75% of residents are expected to have died. 95% confidence intervals were calculated by log-log transformation. The survival curves for the two samples were not significantly different, p<0.05.

**Abbreviations:** CI, confidence interval; SD, standard deviation.



**Figure 1** Frequency of signs and symptoms signalling change in status leading to end-of-life decline among deceased long-term care (LTC) residents, n=76. Black bars represent nutrition-related signals and grey bars represent non-nutrition related signals.

Twenty-five signals of end-of-life decline were coded from the contextual details of the documented change in status. The most common nutrition-related signal supporting the consideration of end-of-life decline was low food/fluid intake (46%) (Figure 1). Swallowing difficulty was cited as a reason for suspecting end-of-life decline for 12 individuals (13% of the sample). Of these 12 individuals, 50% had an indication of swallowing problems at least 6 months prior to death. Furthermore, these 12 individuals accounted for slightly more than one quarter (27%) of the 45 residents who had swallowing difficulty reported in their progress notes in the final month of life. The most common non-nutrition signal was general (ie, non-specific) decline in health (54%) (Figure 1). Three quarters of residents had at least one nutrition-related challenge cited, and multiple signs/symptoms were commonly cited to signal a change in status (Supplementary Table 2.3). Bivariate survival curves were significantly different for cognitive decline, cancer, and delirium, although it must be noted that only one individual was flagged with delirium as an indicator of end-of-life decline in this sample (Table 3).

The final Cox proportional hazards model with removal of nonsignificant signals is provided in Table 4. Only one nutrition-related signal was retained; swallowing difficulty documented as a signal of decline was a significant predictor of time to death. Individuals for whom swallowing difficulty was cited as a reason for considering end-of-

**Table 3** Bivariate Comparison of the Homogeneity of Survival Curves When Each Sign/Symptom Was Observed versus Not Observed<sup>a</sup>, n=76

Sign/symptom	Observed		Not Observed		Median Difference, Days	P-value
	n	Median Survival, Point Estimate (95% CI)	n	Median Survival, Point Estimate (95% CI)		
Nutrition-related signs						
Low food/fluid intake	35	21 [7, 71]	41	31 [14, 86]	-10	0.94
Swallowing difficulty	12	6.5 [1, 19]	64	37 [21, 84]	-30.5	0.15

(Continued)



Table 3 (Continued).

	Observed		Not Observed		Median Difference, Days	P-value
	n	Median Survival, Point Estimate (95% CI)	n	Median Survival, Point Estimate (95% CI)		
Resident refusal to eat	17	31 [1, 86]	59	25 [13, 83]	6	0.51
GI symptoms	12	5.5 [1, 296]	64	33.5 [19, 83]	-28	0.80
Poor wound healing	10	33.5 [5, 116]	66	24 [13, 71]	9.5	0.78
Physical assistance with eating required	5	65 [6, -]	71	25 [13, 72]	40	0.84
Weight loss	6	94 [6, -]	70	24 [13, 55]	70	0.28
Non-nutrition signs						
Abnormal labs	10	53.5 [1, 88]	66	27.5 [13, 65]	26	0.33
Edema	5	89 [-, -]	71	26 [14, 65]	63	0.92
Lethargy	26	25.5 [6, 83]	50	27.5 [13, 84]	-2	0.89
General decline	41	26 [9, 65]	35	31 [13, 88]	-5	0.51
Cognitive decline	<b>12</b>	<b>125 [14, 322]</b>	<b>64</b>	<b>21 [9, 36]</b>	<b>104</b>	<b>0.02</b>
Delirium	<b>1</b>	<b>1 [-, -]</b>	<b>75</b>	<b>29 [14, 71]</b>	<b>-28</b>	<b>0.002</b>
Mental health issue	10	23 [1, 88]	66	30.5 [13, 72]	-7.5	0.66
Pain	10	32.5 [1, 84]	66	25.5 [14, 72]	7	0.26
Social withdrawal	4	38 [6, -]	72	27.5 [14, 71]	10.5	0.92
Resident refusal of treatment	7	21 [1, 322]	69	29 [13, 71]	-8	0.26
Infection	18	22 [5, 65]	58	33 [14, 84]	-11	0.25
Fall	4	13 [3, -]	72	29.5 [14, 71]	-16.5	0.38
Injury	2	43.5 [3, -]	74	27.5 [14, 71]	16	0.51
Unstable vitals	20	10.5 [2, 89]	56	33 [19, 83]	-22.5	0.57
Cancer	<b>8</b>	<b>92 [8, 409]</b>	<b>68</b>	<b>24 [13, 65]</b>	<b>68</b>	<b>0.05</b>
Progressive condition	13	88 [8, 152]	63	21 [13, 36]	67	0.51
Organ failure	5	88 [5, -]	71	25 [13, 65]	63	0.54
Sudden decline	5	1 [0, -]	71	29 [14, 71]	-28	0.73

**Notes:** <sup>a</sup>Determined by Log rank test of equality of the Kaplan-Meier survival estimates using the chi-square statistic. Where confidence intervals are represented by a double dash (-), estimates were not available due to low frequency. Bolded values indicate statistically significant difference,  $p < 0.05$ .

**Abbreviation:** CI, confidence interval.

life decline were more likely to die sooner (hazard ratio [HR] = 2.99, 95% confidence interval [CI] = 1.41, 6.33) compared to those for whom it was not cited, when adjusting for other significant signals. Cognitive decline and new or existing cancer diagnosis, when cited as reasons for the change in status, were associated with a longer time to death (HR <1). Delirium was associated with shorter time to death; the model fit (-2LogL and AIC) was improved when delirium was included in the model despite only having one participant with this signal. The total number of nutrition-

**Table 4** Cox Proportional Hazards Regression Analysis to Test the Associations Between Observed Signs/Symptoms and Mortality, n=76

	Hazard Ratio (95% CI)	p-value
Observed sign:		
Nutrition-related signs		
Swallowing difficulty	2.99 (1.41, 6.33)	0.004
Non-nutrition signs		
Cognitive decline	0.40 (0.20, 0.77)	0.006
Delirium	13.23 (1.57, 111.69)	0.02
Cancer	0.18 (0.07, 0.48)	0.0006

**Notes:** Final model was identified using backwards elimination; all values in the final model are statistically significant,  $p < 0.05$ . Model fit statistics ( $-2 \text{ Log L}$ , AIC, and SBC) were also assessed such that lower values were preferred.

**Abbreviation:** CI, confidence interval.

related signals and total signals overall were tested in the model but neither was significantly associated with time to death.

## Discussion

This exploratory retrospective study of deceased LTC resident charts identified both nutrition- and non-nutrition-related signs and symptoms reported by care providers to indicate potential end-of-life decline. The identified signals are consistent with other qualitative studies<sup>31,32</sup> and make a novel contribution by quantifying the prevalence and time to death of these signals in a random sample of LTC participants from a home chain in Ontario, Canada. These findings contribute to a better understanding of the changes in residents' health and routines that indicate to care providers in current practice that a transition in goals of care may be necessary and stimulates discussion around how these signals could serve as targets for initiating comfort-focused end-of-life conversations.

The findings of this study suggest that prognostication of LTC residents is difficult and a change in approach for managing end-of-life decline that does not rely on anticipated timelines is needed. Typical of the broader LTC population, a high proportion of the participants in this sample had significant cognitive impairment, loss of independence, and multiple diagnoses representing a highly frail population with complex health and functional needs. Given the complexity of this population, it is not surprising that a variety of signals were noted by care providers to indicate that residents were nearing death, but that very few of these signals were associated with time to death. General decline was the most often cited reason for indicating end-of-life decline, consistent with a previous study that highlighted how care providers in LTC had difficulty specifying early signs of decline but described it as residents "going into a bubble", citing subtle changes in daily routines as non-specific indicators that residents were moving toward the end of life.<sup>31</sup> Low food/fluid intake was also noted for nearly 50% of residents as a signal of end-of-life decline indicating that care providers acknowledge decreased intake as a sign of decline, consistent with other studies.<sup>25,31</sup> Realistically, a number of factors including residents' signs and symptoms and care providers' knowledge and attitudes affect the decision to initiate end-of-life care conversations and are highly context dependent.<sup>31,51</sup> The signs and symptoms identified in this study may be used as markers to indicate the need for goals of care conversations within the specific context of individual residents.

Regarding timing to death, though some signals were identified to be associated with shorter or longer time to death, findings indicate that these factors are also context dependent. The longer time between the initial documentation of potential end-of-life decline and death when cancer and cognitive impairment were noted is potentially explained by the known association between these chronic and life-limiting conditions and mortality,<sup>52,53</sup> which may have increased the acceptability of earlier initiation of end-of-life care conversations. However, only 16% of the sample had accelerated cognitive decline documented as a signal of end-of-life decline, whereas 83% presented with moderate or severe

cognitive impairment at 6 months prior to death indicating that changes in cognition are not consistently used as an indicator of end-of-life decline for LTC residents. Likewise, cancer was identified as a signal of end-of-life decline in 10% of cases, but 20% had a diagnosis of cancer in the sample. It is more likely that complications, concerns, or provider-specific perspectives associated with these conditions prompted earlier end-of-life conversations. Alternatively, swallowing difficulty and delirium, when noted as a signal of end-of-life decline, were associated with a shorter time to death. As highlighted previously, adversities such as dysphagia and delirium are complications of multimorbidity and resulting frailty,<sup>3,4</sup> and are both independently associated with mortality.<sup>8,54</sup> As such, both of these conditions may be rightly viewed as late signals of end-of-life decline in LTC residents because they are indicators of severe cognitive and physical dysregulation or impairment in an already frail and vulnerable population. However, this interpretation is limited in the current study because of a lack of context. For example, whereas 16% of residents were noted to have swallowing difficulty as a signal of end-of-life decline, nearly 60% of the total sample displayed signs of impaired swallowing in the last month of life. It could be that some residents had sudden onset of swallowing difficulty or their symptoms were more severe when noted to indicate end-of-life decline. Further context is needed to determine how care providers attribute various signals as indicators of end-of-life decline among LTC residents.

The initial conversations prompted by change of status of LTC residents most often occurred within 3 months of death with timing skewed towards the date of death. Identification of the change of status relied on multiple signs and symptoms and was context dependent, reinforcing previously noted challenges with identifying the appropriate timing of comfort-focused care initiation in response to end-of-life decline among older adults with complex needs.<sup>23,29</sup> Regular assessments of residents' health and changing needs with the support of established prognostic tools are important for adjusting goals of care and anticipating end-of-life decline, as evidenced by the longer (albeit, statistically non-significant) time to death found when the change in status was noted in the context of a planned care conference. However, the majority (80%) of documented conversations arose from concerns based on daily observations (ie, between scheduled assessments), pointing to the importance of recognizing when resident changes may be indicative of end-of-life decline, rooted in continuity of care and a familiarity with their usual habits and routines.<sup>33</sup>

Mealtimes present multiple daily opportunities for observing residents' habits around eating and social participation and offer an accessible focal point for introducing comfort-focused care conversations when decline is observed. Indeed, eating challenges were a common consideration in identifying significant change in status of LTC residents, several of which (eg, low food/fluid intake, refusal to eat, swallowing difficulty, weight loss) are commonly associated with mortality.<sup>25,31,54–56</sup> However, with the exception of swallowing difficulty, none were associated with a specific point in the end-of-life trajectory from the perspective of care providers. Non-nutritional signs were also identified. Although some of these were medical considerations (eg, unstable vitals, abnormal labs, specific diagnoses), others such as general decline, lethargy, mental health issues (eg, anxiety, restlessness), and social withdrawal are readily observable through interactions in the dining room and other points of contact with residents over the course of the day. Observed changes to residents' eating patterns and other social routines may prompt the introduction of comfort-focused care conversations, regardless of timing to death, and are recommended as novel targets for future exploration.

Most of the identified signs and symptoms were not significantly associated with timing to death. Instead, they were identified by care providers across the trajectory of decline, leading to the interpretation of dying in LTC as a process of many, and sometimes fluctuating, signs and symptoms that can span several months preceding death.<sup>29,31</sup> This finding may allow some emphasis to shift away from “early” identification of end-of-life decline and alleviate concerns around “unclear prognoses” because variable trajectories allow for flexibility in initiating comfort-focused conversations geared towards maintaining quality of life over the course of complex and life-limiting conditions. Alternatively, the suggested goal may be to have “timely” conversations, where the timing is person-centered, opportune, context-dependent, and reflective of the holistic needs of the resident and their substitute decision makers.<sup>57</sup> The factors identified by care providers in this study may be considered as potential triggers for timely initiation of comfort-focused end-of-life conversations, regardless of the suspected prognosis. Pursuit of such opportunities for questioning possible end-of-life decline is worthwhile because, if not addressed, it can lead to missed opportunities for having end-of-life conversations<sup>58</sup> and for initiating comfort-focused care.<sup>33</sup> Indeed, conversations about the end of life in nursing homes have been shown to improve comfort-focused care.<sup>59</sup> Care providers in the LTC setting are encouraged to reflect on when and if certain

triggers (eg, low food intake, weight loss, general health instability or decline) might be opportune focal points for timely discussions on comfort-focused goals of care.

## Limitations

This study was strengthened by a rigorous and in-depth data collection on a randomly selected sample of deceased long-term care residents. However, accessing all the homes from one chain limited the generalizability of the findings since end-of-life care conversations are likely driven at least in part by home culture, educational resources, and specific initiatives that may have been common to all of the homes. The sample size was relatively small, so the statistical power for identifying true associations was limited. We decided not to test interacting effects between signals (eg, weight loss and cancer) given these sample size limitations and lack of clear hypotheses for specific interactions, but, by not doing so, may have missed significant and clinically meaningful findings.

The original data extraction from the resident charts was performed by a single rater. While there were intra-rater reliability checks to ensure consistency over time and detailed definitions of each item were recorded for transparency, the data collection may have been influenced by personal biases of the data extractor. Furthermore, we may have missed important contextual details that were not documented in the residents' progress notes, such as informal conversations and personal communications between family and team members. Since this study was not the main focus of the larger study that drove the data extraction, we did not collect data on formal measures of end-of-life decline, such as the palliative performance scale (PPS) or CHES in relation to the timing of these end-of-life conversations, so were not able to assess the role of these prognostic indicators.

Directed content analysis is useful in condensing qualitative data (eg, free-text from resident charts) into discrete units for quantitative analysis. However, coding based on existing literature introduces bias towards confirming previously identified signs and symptoms where a new contextual interpretation may be warranted.<sup>49</sup> Also, creating operational definitions for some of the codes was challenging because of the wide variety of documented information observed in the resident charts and loss of nuance with condensing the text into discrete units, which could potentially have led to misclassification.<sup>49</sup> In particular, our definition of swallowing difficulty broadly included any indication of impaired swallowing (eg, diagnosed dysphagia, coughing or choking at meals) and did not account for the severity of these difficulties, a formalized diagnosis, nor a change in this symptom from a previous state. Such broad definitions of our codes limit the possible interpretation.

## Conclusion

Given the high rate of morbidity and mortality among LTC residents, initiating end-of-life discussions and transitioning to comfort-focused care goals are important aspects of the care experience for residents and their family caregivers. However, the study findings reveal that the timing of these conversations is variable, often late in the end-of-life trajectory, and context dependent from the perspective of care providers. Our novel study findings identify the reasons given by care providers for suspecting end-of-life decline in current practice and draw attention to these signs and symptoms as focal points for revisiting goals of care. Awareness of such signs and symptoms, supported by educational initiatives to conduct more timely end-of-life conversations, has the potential to improve the quality of life and care outcomes for both residents and care providers.<sup>33,59</sup> The authors contend that recognition of changes in residents' eating habits and routines highlighted in this study in particular (eg, decreased food intake, refusal to eat) that are already observed and associated with end-of-life from the care provider perspective can be transformed into opportunities for introducing timely palliative-minded goals of care regardless of prognosis. Future work should explore ways to systematically incorporate comfort care conversations in response to these changes, facilitated by resources such as conversation guides, to support and maintain quality of life in the final months of life of LTC residents and the people who care for them.

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