


Prognostic Biomarkers and AKI: Potential to Enhance the Identification of Post-Operative Patients at Risk of Loss of Renal Function

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Abstract: Acute kidney injury (AKI) is a common complication after surgery and the more complex the surgery, the greater the risk. During surgery, patients are exposed to a combination of factors all of which are associated with the development of AKI. These include hypotension and hypovolaemia, sepsis, systemic inflammation, the use of nephrotoxic agents, tissue injury, the infusion of blood or blood products, ischaemia, oxidative stress and reperfusion injury. Given the risks of AKI, it would seem logical to conclude that early identification of patients at risk of AKI would translate into benefit. The conventional markers of AKI, namely serum creatinine and urine output are the mainstay of defining chronic kidney disease but are less suited to the acute phase. Such concerns are compounded in surgical patients given they often have significantly reduced mobility, suboptimal levels of nutrition and reduced muscle bulk. Many patients may also have misleadingly low serum creatinine and high urine output due to aggressive fluid resuscitation, particularly in intensive care units. Over the last two decades, considerable information has accrued with regard to the performance of what was termed “novel” biomarkers of AKI, and here, we discuss the most examined molecules and performance in surgical settings. We also discuss the application of biomarkers to guide patients’ postoperative care.

Plain Language Summary: Kidney damage is common after major surgery with a recent study showing almost 1 in 5 patients suffer kidney damage. The usual tests for measuring kidney function are excellent in the outpatient but not so good in acute scenarios. Therefore, there has been a lot of interest in new markers of kidney damage (so-called novel biomarkers) which perform well acutely and allow earlier detection of damage allowing treatment to be started earlier. This article summarises the currently available biomarkers for use post-operatively and points out the different information that can be achieved by using them routinely.

Keywords: acute kidney injury, biomarkers, DKK-3, dickkopf-3, suPAR, soluble urokinase plasminogen activator receptor, IGFBP-7, insulin growth factor binding protein-7 and TIMP-2, tissue inhibitor of metalloproteinases-2, PENK, proenkephalin A 119-159, NGAL, neutrophil gelatinase-associated lipocalin, KIM-1, kidney injury molecule-1, CCL14, chemokine 14

Introduction

Acute kidney injury (AKI) is an abrupt deterioration in renal function resulting in derangement of metabolic, electrolyte and fluid homeostasis which occurs within hours or days following insult.¹ Prior to the early 2000’s more than 35 different criteria existed for defining AKI, or acute renal failure as it was then labelled, but in 2004, the Acute Dialysis Quality Initiative (ADQI) published the first consensus definition of AKI, the RIFLE criteria, and these were refined further to form the current widely adopted Kidney Disease Improve Global Outcomes (KDIGO) classification (Table 1).^{2,3} Limitations on this classification remain, however, as the definitions rely on relative changes in creatinine from a baseline value which may be unknown, whilst serum creatinine is insensitive and urine output is non-specific for defining AKI.

Table 1 Adapted KDIGO Criteria for AKI Diagnosis

Functional Criteria	AKI Stage	Damage Criteria
No change or SCr level increase <0.3 mg/dL and no UO criteria	1S	Biomarker Positive
Increase of sCr level by ≥ 0.3 mg/dL for <48 h or $\geq 150\%$ for <7 days and/or UO <0.5 mL/kg/hr for >6 hr	1A	Biomarker Negative
	1B	Biomarker Positive
Increase of SCr level by >200% and/or UO <0.5 mL/kg/hr for > 12 hr	2A	Biomarker Negative
	2B	Biomarker Positive
Increase of SCr level by >300% (24.0 mg/dL with an acute increase of ≥ 0.5 mg/dL) and/or UO <0.3 mL/kg/h for >24 h or anuria for >12 hr and/or acute RRT	3A	Biomarker Negative
	3B	Biomarker Positive

Notes: Functional markers include serum creatinine (SCr) and urine output (UO), but new functional markers may also be included. To convert sCr to millimoles per liter, multiply by 88.4. RRT indicates renal replacement therapy.

The Significance of AKI in Surgical Patients

Each year, over 300 million patients undergo major surgery globally and although considered a significant risk factor for AKI, the exact incidence of post-operative AKI was unknown given the retrospective nature of most studies involving surgical patients.⁴ Indeed, this is reflected in the literature, with widely differing post-operative AKI incidence rates after major abdominal surgery of between 1.8% and 39.3% quoted.^{5–8} Similarly, in patients undergoing cardiac surgery, rates of between 3.1% and 39.9% have been reported.^{9,10} However, recently, the epidemiology of surgery associated acute kidney injury (EPIS-AKI) study has been published.⁴ This was an international, prospective, observational study performed in over 30 countries where the primary endpoint was the development of post-operative AKI (PO-AKI) within 72 hours of major surgery, defined as having a duration of over 2 hours and requiring intensive care unit (ICU) or high dependency unit (HDU) facilities post-operatively. The KDIGO classification of AKI was used and, importantly, both serum creatinine (SCr) and urine output (UO) criteria were collected. This is relevant in that most of the retrospective studies examining PO-AKI often lack accurate, or indeed any, urine output data. Urine output is essential for diagnosing AKI in that a lack of these data result in underestimating the incidence of PO-AKI. Also, the presence of both SCr and UO criteria for AKI definition predicts worse outcomes compared to AKI based on the creatinine criterion alone.¹¹ In the EPIS-AKI study, the final cohort consisted of 10,568 patients of which 18.4% developed AKI. Stage 1 AKI was the most common and accounted for 63.5% of all patients developing PO-AKI, 25.7% developed stage 2, and 10.07% stage 3. In 8.7% of patients with PO-AKI, renal replacement therapy was used. Unsurprisingly, the development of PO-AKI was associated with an increased length of stay in both hospital and ICU as well as increased mortality rates with higher mortality rates in the ICU (6.3% [95% CI 5.2–7.4%] vs 0.7% [95% CI 0.5–0.9%]) and in hospital (8.5% [95% CI 7.3–9.8%] vs 1.3% [95% CI 1.1–1.6%]) compared to non-PO-AKI patients ($p < 0.001$). Endpoint rates increased with increasing severity of PO-AKI and mortality rates were highest in KDIGO stage 3 patients meeting both SCr and UO criteria of the AKI definition (Figure 1). This study highlights the significance of PO-AKI given that almost 1 in 5 patients undergoing major surgery develop AKI and postoperative individuals who develop PO-AKI are more likely to develop fluid overload, surgical site infection, pulmonary and urinary infections, and cardiac events. Long term, post-operative AKI confers an increased risk of chronic kidney disease and overall mortality.¹² Furthermore, a diagnosis of AKI renders patients with an almost nine-fold increase in the risk of developing CKD.¹³

The Limitations of Traditional Diagnostic Methods for Detecting AKI

Under normal conditions, SCr is freely filtered by the glomeruli, secreted by renal tubules, and undergoes extrarenal secretion by the intestine but importantly is released at a constant rate, making it a suitable biomarker for chronic kidney disease.¹⁴ However, SCr levels are influenced by several factors including muscle mass, age, sex, diet, fluid status, concurrent medication use as well as the presence of sepsis. As a consequence, SCr is an imperfect marker of filtration during acute illness.¹⁵ As an indicator of tubular function, SCr lacks sensitivity, and tubular injury may occur without

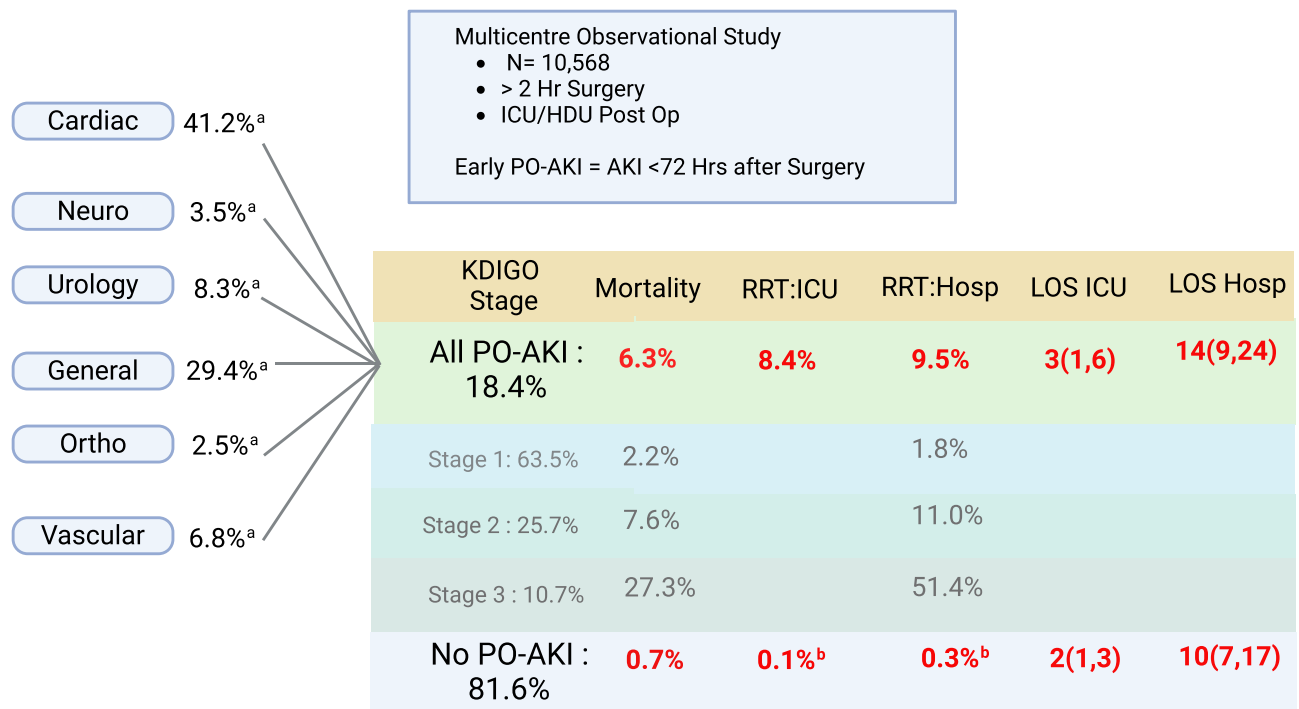


Figure 1 Outline of the results from the EPIS-AKI trial.⁴ ^aPercentage of patients undergoing that surgery type who developed PO-AKI. ^bPatients who developed PO-AKI after 72 h and were therefore classified in the “No PO-AKI” group and received RRT (Renal Replacement Therapy).

elevated SCr levels and indeed, drugs may block tubular secretion, increasing plasma concentration, but without renal injury.¹⁶ Although in the perioperative period such confounders are less of an issue, they still may play a role, as well as the influence of fluid administration throughout the perioperative period.¹⁷ Changes in UO occur more rapidly than that of SCr, and where the urinary bladder is catheterised, such as in the immediate post-operative period, easy to measure. UO changes occur before alterations in SCr and a sustained reduction for >6 hours in UO is associated with renal injury.¹⁸ However, it must be remembered that oliguria does not always equate to renal injury.

Physiological adaptation to hypovolaemia alters sodium and water handling under the influence of antidiuretic hormone and the renin-angiotensin-aldosterone pathways reflected in a fall in UO which may also be observed with sympathetic stimulation as well as painful stimuli, situations which are often encountered in the post-operative period. Consequently, a reduction in urine output is not specific for AKI, whilst a normal or increased urine output does not necessarily indicate normal function as reduced GFR in the presence of tubular injury may occur without oliguria.¹⁹ Thus, assessment of the postoperative patient should consider that multiple causes may reduce the predictive power of urine output for AKI, including volume status, intrinsic antidiuretic hormone levels, obstruction and diuretics.²⁰ Moreover, outside of the ICU or HDU measurements of urine output are often unreliable, especially where the urinary bladder is not catheterised.

Novel Biomarkers of AKI

Given the limitations of UO and SCr for the detection of AKI, new approaches have been considered, with the development of candidate molecules to enable:

1. The rapid identification and diagnosis of AKI more promptly than conventional techniques.
2. The identification of “sub-clinical” AKI: this describes a state where SCr increase or UO thresholds have not been met but renal damage has occurred.
3. Biomarker guided intervention: where rapid identification of potential renal injury leads to the use of various therapeutic options in a shorter timeframe.
4. Identification of individuals at highest risk of developing PO-AKI.

Several biomarkers correlating with renal injury have been identified and should accurately diagnose tubular injury, the most common form of AKI in the hospital setting and aid with risk stratification as well as the duration of injury.²¹ In general, biomarkers of AKI may be categorised as markers of glomerular filtration, markers associated with damage and stress.²² In the surgical setting, particularly in the non-emergent setting, the timing of potential renal insult is known which allows for further biomarkers to be studied and allows risk stratification. As well as biomarkers associated with acute injury, further candidate molecules have been examined with the aim of assessing the persistence of renal injury.

Types and Mechanisms of AKI Biomarkers

Biomarkers may be considered according to the mechanism behind their release including functional markers, those associated with injury, markers of renal stress and repair. Table 2 outlines some of the available biomarkers and, although not exhaustive, includes those that have been studied in surgical patients. Figure 2 outlines the markers discussed here in relation to their proposed sites of action/release. The mechanisms leading to the identification of such biomarkers accumulating in plasma and urine reflect different pathophysiological processes occurring during the development of AKI. This may occur through increased tubular epithelial synthesis in different parts of the nephron and/or as a consequence of impaired reabsorption in the proximal tubule. Moreover, secretion from activated immune cells migrating into the tubules may also contribute to the increase in biomarker concentrations. Some biomarkers may also be generated through increased synthesis in extra-renal tissue which not only increases circulating biomarker levels but will be further amplified through any reduction in GFR. Although these increases may reflect a physiological response to other insults such as sepsis even in the absence of AKI.

Prediction of Post-Operative AKI Using Biomarkers

Predicting AKI in vulnerable cohorts such as those undergoing surgery has considerable potential to improve outcomes. Much work has focussed on predictive modelling not only in surgical cases whereby routinely collected data is used to develop predictive algorithms.^{37,38} More recently, the application of machine learning techniques and advancements in artificial intelligence have resulted in an explosion of literature examining this approach. However, this approach is outside the scope of this review, and the reader is directed elsewhere.³⁹⁻⁴¹

Urinary Albumin Quantification

Albuminuria occurs due to a failure of the permselective function of the glomerular basement membrane (GBM) and acts as a marker of dysfunction of both the GBM and proximal tubule, unable to retain and reabsorb this 66kDa anion.⁴² Urinary albumin is easy to measure, cheap and is an independent indicator of RRT risk and death following ICU admission.^{43,44} Like soluble urokinase plasminogen activator receptor (suPAR) and Dickkopf-3 (DKK3) described below, its preoperative levels predict the probability of AKI, whilst de novo albuminuria post injury increases the likelihood of progression to CKD.⁴⁵ The TRIBE-AKI consortium demonstrated preoperative proteinuria provided a graded risk of death following cardiac surgery in a prospective study of 1199 patients (adjusted hazard ratio 2.85).⁴⁶ Another study demonstrated patients with detectable preoperative urinary albumin were more likely to suffer post-operative AKI and require RRT.⁴⁷ In combination with urinary NGAL, it had the greatest predictive power of all the markers assessed in the DAMAGE study, which followed 257 ICU admissions and predicted the risk of developing KDIGO stage 3 AKI (AUROC 0.87).⁴⁸

Soluble Urokinase Plasminogen Activator Receptor (suPAR)

The ability to predict the risk of AKI before a renal insult could potentially transform the management of PO-AKI. Most current therapies are purely supportive in nature; however, the translation of promising experimental therapies to the bedside where administration before the insult occurs is needed and would be attainable if accurate prediction was possible.⁴⁹

The ability of suPAR to predict AKI has been demonstrated both experimentally and in cardiac and coronary angiography cohorts.⁵⁰ Plasma levels of suPAR were measured prior to coronary angiography as well as patients

Table 2 Significant Papers Investigating Biomarkers of AKI in Surgical Patients

Biomarker	Reference	Population	AKI Criteria	n	nAKI	Biomarker time	AUC	Cut-off	Comments
uNGAL	Nisula, Finland 2014 ²³	Emergency ICU patients	KDIGO, UO and SCr	1042	379	ICU admission, 12/24 hours	0.733	157ng/mL	Sub analysis of septic patients, AUC 0.690
uNGAL, sNGAL	Mishra, USA 2005 ²⁴	Paediatric, cardiac surgery	KDIGO, SCr only	71	20	2 hourly for 14 hours, 12 hourly	uNGAL 1.00 (4 hours), sNGAL 0.906 (2 hours)	25mcg/l 50mcg/l	Cut-offs chosen for optimum sensitivity and specificity
uNGAL	Siew, USA 2009 ²⁵	Medical and surgical ICU	AKIN, SCr only	451	86	< 24 hours of ICU admission	0.71 (AKI at 12 hours)	N/A	AKI at 48 hours AUC 0.64
pNGAL, uNGAL	Bagshaw, Australia 2010 ²⁶	Medical and surgical ICU	RIFLE (not specified)	83	83	12/24/48 hours	pNGAL 0.71, uNGAL 0.70	150/280 ng/mL	Assessing AKI progression. pNGAL septic AKI 0.77, non-septic 0.70.
pNGAL, uNGAL	Koyner, USA 2012 ²⁷	Adults, cardiac surgery	AKIN, SCr only	1219	426	First day of AKI diagnosis	uNGAL 0.58, pNGAL 0.74 (unadjusted)	uNGAL - 141 ng/mL, pNGAL - 322 ng/mL	Assessing AKI progression, not AKI
uNGAL	Au, USA 2016 ²⁸	Adults, mixed surgical population	AKIN, SCr only	510	17	Within 2–3 hours of admission to recovery	0.738 (0.851 for sustained AKI)	12.52ng/mL	Assessing prediction of sustained vs transient AKI
IGFBP-7/ TIMP-2	Kashani, USA 2013 ²⁹	Adults, medical and surgical ICU, high risk for AKI	KDIGO, stage 2 or 3, SCr and UO	728	101 / 218	<18 hours from enrolment	0.8	0.3/2 (moderate / high risk)	AKI assessed 'within 12 hours of sample'. 101 AKI at 12 hours, 218 at 7 days
IGFBP-7/ TIMP-2	Gunnerson, USA 2016 ³⁰	Mixed surgical cohort	KDIGO, stage 2 or 3, SCr and UO	375	35	<18 hours from enrolment	0.84	0.3/2 (moderate / high risk)	AKI assessment as above
IGFBP-7/ TIMP-2	Gocze, Germany 2015 ³¹	Adults, non-cardiac surgery, high risk for AKI	KDIGO, SCr only, within 48 hours of admission	107	45	4 hours post ICU admission after surgery	0.853 (all AKI), 0.848 (stage 2 and 3)	0.315	Included transplant, vascular patients
IGFBP-7/ TIMP-2	Meersch, Germany 2014 ³²	Paediatric, cardiac surgery	pRIFLE (↓ creatinine clearance), within 72 hours	51	12	Before, 4- and 24-hours post op	0.85	0.7	Paper also compared NGAL (0.87) and KIM-1 (0.64).

(Continued)

Table 2 (Continued).

Biomarker	Reference	Population	AKI Criteria	n	nAKI	Biomarker time	AUC	Cut-off	Comments
KIM-1	Koyner, USA 2010 ³³	Adults, undergoing CPB	AKIN, unclear if using UO	123	46	Enrolment, induction, post op, ICU admission, 6 hours post admission	0.66 at 6 hours, 0.82 pre-operatively	Not provided	Also assessed Cys C - 0.64, uNGAL - 0.72
KIM-1	Nickolas, USA 2012 ³⁴	Unselected Emergency Department patients	RIFLE, SCr only	1635	96	On presentation to ED	0.71	Upper - 2.817, Lower - 1.665	AUC specifically for Intrinsic AKI 0.81
KIM-1	Han, USA 2008 ³⁵	Adults, cardiac surgery	AKIN, SCr only	90	36	Pre and postop, 3, 18, 24 hours postop	0.65 (at 3h)	1.2ng/mg, 1.8ng/mg	uNGAL - 0.65 at 3 hours
DKK-3	Schunk, Germany 2019 ³⁶	Adults, cardiac surgery	KDIGO (UO/ SCr)	733	193	preop	0.783	471 pg/mg creatinine	Assessing DKK: creatinine ratio

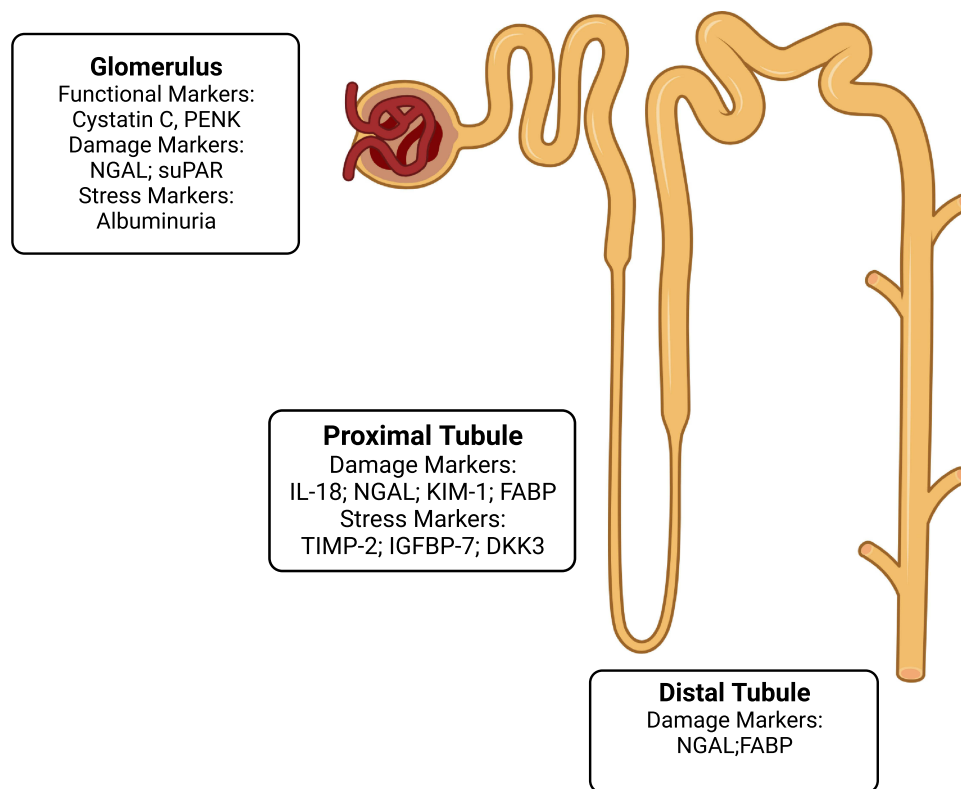


Figure 2 Biomarkers of AKI implicated in PO-AKI.

Abbreviations: DKK-3, dickkopf-3; suPAR, soluble urokinase plasminogen activator receptor; IGFBP-7, insulin growth factor binding protein-7; TIMP-2, tissue inhibitor of metalloproteinases -2; PENK, proenkephalin A 119–159; NGAL, neutrophil gelatinase-associated lipocalin; KIM-1, kidney injury molecule-1; FABP, fatty acid binding protein; IL-18, interleukin 18.

undergoing cardiac surgery and the risk of AKI at 7 days selected as the primary outcome. Among the 250 patients who underwent cardiac surgery, 27% developed postoperative AKI, 21% of which developed severe (stage 2 or 3) AKI with 12% of those requiring RRT. The risk of AKI increased steadily with increasing suPAR quartiles, the incidence of AKI being 40% in the highest suPAR quartile and 16% in the lowest quartile. A recent meta-analysis of 7 small trials examined the predictive role of suPAR demonstrating a combined sensitivity of suPAR in predicting AKI of 0.77 (95% CI 0.67–0.84); the specificity was 0.64 (95% CI 0.53–0.75); the odds ratio of diagnosis was 6 (95% CI 3–10); the pooled positive likelihood ratio was 2.2 (95% CI 1.6–2.9); the pooled negative likelihood ratio was 0.36 (95% CI 0.26–0.52); and the area under the summary receiver-operating characteristic (SROC) curve was 0.77 (95% CI 0.12–0.99).⁵¹ The authors concluded that suPAR is a valuable biomarker for the prediction of AKI and should be considered in the development of effective predictive tools for AKI.

Dickkopf-3 (DKK3)

DKK-3 is a stress induced, renal tubular epithelial-derived, secreted glycoprotein involved in the development of interstitial fibrosis and has primarily been assessed as a marker of fibrosis in chronic kidney disease (CKD).^{52,53} However, like suPAR, pre-insult levels may also be able to predict those who develop injury post-insult. The association between the ratio of preoperative urinary concentrations of DKK3 to creatinine (DKK3:creatinine) and postoperative AKI, defined according to the Kidney Disease Improving Global Outcomes criteria, and subsequent kidney function loss, as determined by estimated glomerular filtration rate, was assessed in patients undergoing cardiac surgery.³⁶ Compared with clinical and other laboratory measurements, DKK3 improved AKI prediction (net reclassification improvement 0.32, 95% CI 0.23–0.42, $p < 0.0001$) and high levels were independently associated with reduced kidney function at hospital discharge. Again, it was proposed that urinary DKK3 might aid in the identification of patients where preventative treatment strategies may be effective.

Functional Biomarkers

Cystatin C

Cystatin C is a proteinase inhibitor that is produced by all nucleated cells. It is freely filtered by the glomerulus and completely reabsorbed by tubules and is not present in healthy human urine. It is a marker of function similar to SCr but plasma concentrations are less affected by muscle mass, sex and race but are altered with thyroid disorders and corticosteroid administration.⁵⁴ Therefore, blood levels are an accurate marker of filtration, whereas when present in the urine it is a marker of tubular injury through a failure of proximal tubule reabsorption.⁵⁵ As such, it has unique features in that measurement may provide information regarding both filtration and tubular function. However, a wide range of performance in surgical patients has been documented with several meta-analyses quoting area under the receiver operator curve (AUC) of between 0.63 and 0.88 in predicting AKI.^{56,57}

Proenkephalin a 119-159 (PENK)

Endogenous opioids, enkephalins, act primarily on delta opioid receptors and outside of the central nervous system the greatest concentration of these receptors is within the kidney. PENK is stable with a long in vivo half-life and is not affected by age or gender and is entirely filtered by the glomerulus with plasma levels correlating closely with measured GFR by inulin or iohexol clearance at steady state⁵⁸ Given there is no renal tubular handling of PENK, levels do not reflect tubular function and are not associated with tubular biomarkers, adding to the specificity and highlights its potential role to provide assessment of filtration.⁵⁹ As a marker of AKI in ICU admissions, PENK performs similarly to other novel biomarkers with an AUROC of approximately 0.8 depending on the patient population.⁶⁰ Fewer studies have been performed in surgical cohorts although performance in patients undergoing cardiac and transplantation surgery has been reported.^{61,62}

Damage Biomarkers

Neutrophil Gelatinase-Associated Lipocalin (NGAL)

NGAL is a 25kDa protein that exists in monomeric, homodimeric and heterodimeric forms and is produced by epithelial cells and has been implicated in a variety of processes at a cellular level: the immune response, tumorigenesis and cell survival.^{63,64} NGAL is found in trace amounts of the epithelia of many organs, including the kidney with serum and urinary concentrations increasing significantly in response to renal tubular injury.⁶⁵ It functions as a siderophore, scavenging labile iron that is released by cell structures as a result of renal ischaemia. In doing so, it is thought to reduce apoptosis in and promote proliferation of renal tubular cells.⁶⁶ Given the wide range of functions associated with NGAL, fears have often been raised as to a lack of specificity to renal injury for it to be used diagnostically.⁶⁷ The TRIBE study assessed the predictive ability of urinary and plasma NGAL after cardiac surgery in approximately 1000 adults and 300 children. In children, NGAL levels peaked within 6 hours whereas serum creatinine continued to peak until 48 hours. Higher urinary NGAL concentrations were associated with a five-fold increase in the odds of developing severe AKI. Plasma NGAL was weakly associated with mild AKI and displayed no association with severe AKI with an AUC of 0.71 and 0.56, respectively.^{68,69} In adults, performance was similar with an AUC of 0.67 for urinary NGAL, and 0.7 for plasma NGAL. A 2015 meta-analysis calculated the AUC for urinary NGAL as 0.72 although the lack of specificity towards purely renal damage should be borne in mind.⁵⁶

Kidney Injury Molecule-1 (KIM-1)

KIM-1 is a type 1 transmembrane glycoprotein, also known as T-cell immunoglobulin and mucin domain 1 and Hepatitis A virus cellular receptor 1. It has intracellular and cytoplasmic components forming part of the regulation of innate and adaptive immune responses and has been implicated in atopy.⁷⁰ The expression of KIM-1 is upregulated on proximal renal tubular epithelial cells in response to ischaemic injury and in AKI urinary concentration of KIM-1 increases significantly. The extracellular domain is shed and is readily measurable in the urine. KIM-1 has a role in the remodelling of kidneys, signalling renal tubular cells that have undergone apoptosis, facilitating their subsequent phagocytosis.^{71,72} A meta-analysis of 11 studies (4 involving cardiac surgery) arrived at an AUC of 0.86 with sensitivity peaked at 2 to 6 hours and decreased by 12 hours, the biomarker performing better in paediatric patients. The AUC in a 2015 meta-

analysis focussing on adult surgery was 0.72.⁵⁶ Increased urinary KIM-1 has also been shown to be associated with a higher risk of mortality.^{73,74}

Interleukin-18 (IL-18)

IL-18 is a pro-inflammatory cytokine. Like NGAL, it is produced in the renal tubular cells in ischaemic injury, promoting tubular necrosis and becoming detectable in urine.⁷¹ Several studies have shown that IL-18 levels are raised 4–6 hours following cardiac surgery and that it may also predict the severity of AKI.^{68,69,75} A meta-analysis of 11 papers demonstrated an AUC of 0.77. Of those, 4 assessed cardiac surgery and 1 liver transplantation.⁷⁶ Another meta-analysis of 6 studies that focussed on cardiac surgery calculated the AUC at 0.66.⁵⁶ Raised levels are also known to correlate with cardiac and lung injury, and urinary tract infection,⁷⁷ probably reflecting systemic inflammation.

Fatty Acid Binding Protein (FABP)

L-FABP is a fatty acid binding protein highly expressed in the liver and present in other organs including the kidneys. It facilitates long chain fatty acid transport and reduces oxidative stress. L-FABP has a reno-protective role and is not detectable in healthy human urine. Reabsorption of L-FABP is reduced in the proximal tubule after ischaemia-reperfusion injury.^{69,71}

Urinary L-FABP is strongly correlated with renal ischaemic time in transplant procedures. A meta-analysis of 15 studies, 6 of which assessed cardiac surgery and 1 liver transplantation concluded that L-FABP is a useful tool for the diagnosis of AKI in the early postoperative period and that it may also predict mortality.⁵⁶ FABP1 has also shown promise in combination with urinary IL-18 in children undergoing cardiac surgery with an AUROC of 0.78 achieved.⁷⁸ In the largest study to-date, poor predictive ability was demonstrated with an AUROC of 0.61.⁷⁸ Moreover, FABP1 was not found to be predictive of AKI in general ICU admissions but has demonstrated excellent discriminatory power in predicting ICU RRT initiation in a German multicentre study of 120 patients.^{79,80}

Renal Stress Biomarkers

IGFBP-7 (Insulin Growth Factor Binding Protein-7) and TIMP-2 (Tissue Inhibitor of Metalloproteinases –2)

IGFBP-7 belongs to the insulin growth factor binding protein superfamily, peptides that have autocrine, endocrine and paracrine functions involved in cell proliferation, differentiation and growth. IGFBP-7 is expressed by a wide variety of tissues including the renal tubular epithelium. The exact function of IGFBP-7 is unclear, as when bound to IGF-1, it promotes growth, but in isolation, it can inhibit cell proliferation.^{81,82} Matrix metalloproteinases degrade the extracellular matrix and are involved in healing, angiogenesis and metastasis. These enzymes are regulated by tissue inhibitors of metalloproteinases including TIMP-2.⁸³ IGFBP-7 and TIMP-2 are implicated in G1 cell cycle arrest as a response to sepsis or ischaemia induced AKI.⁸⁴ Initial studies on the ability of TIMP-2/IGFBP-7 to predict AKI in a range of critically ill patients were published in 2013.²⁹ Subsequent analysis on high risk surgical patients including major trauma and cardiac surgery demonstrated an AUC of 0.84 for prediction of stage 2 and 3 AKI.³⁰ A further study assessed the test in 107 trauma, transplant, hepatobiliary and vascular patients, demonstrating an AUC of 0.85 and also found that higher levels of the biomarkers were correlated with the need for renal replacement therapy and mortality.³¹ A meta-analysis of 10 studies in 2016 gave an overall AUC at 0.88.⁸⁵ These encouraging data has led to the use of these biomarkers being advocated in enhanced recovery programmes for cardiac surgery.⁸⁶

Biomarkers of AKI Persistence

Chemokine CXCL14

More recently efforts have also focussed on biomarkers which may predict recovery from AKI. AKI recovery may occur as distinct phenotypes, early recovery, delayed recovery, relapsing and non-recovery; with the associated 1-year mortality ranging from <10% for early recovery but >60% for non-recovery.⁸⁷ The availability of biomarkers to predict either recovery or persistence would enable clinicians to time therapy decisions and provide greater input to higher risk phenotypes such as those with persistent AKI and acute kidney disease (AKD), who are at greatest risk of progression.⁸⁸ The RUBY study published in 2020 investigated multiple potential biomarkers for their performance at predicting persistent AKI. ICU patients with stage 2 or 3 AKI with the primary endpoint being AKI persisting beyond 72 hours

were studied with candidate molecules with known involvement in apoptosis, endothelial injury or other inflammatory pathways measured in plasma or urine. Chemokine CCL14 demonstrated the greatest prediction for persistent AKI with an AUROC of 0.83.⁸⁹ A further study demonstrated an AUROC of 0.81 for predicting persistent AKI in a secondary analysis of the SAPPHERE dataset, although severe AKI only occurred in 28 patients, duration of persistence was proportional to CCL14 levels.⁹⁰ A recently published prospective study measured CCL14 levels in 100 patients pre- and post-cardiac surgery demonstrating an AUROC of 0.92 for predicting persistence.⁹¹

Towards a New, More Precise Definition of AKI

Nowadays, the KDIGO definition is used to diagnose and stage AKI. This definition is based on changes of the serum creatinine and urine output. However, serum creatinine and urine output have a low sensitivity and specificity, respectively. Recent studies demonstrated that a kidney damage without a loss of kidney function is associated with a worse outcome. In a large study, the authors demonstrate that patients who have high NGAL levels (damage biomarker), but a normal serum creatinine, have an increased dialysis dependence as well as mortality rate. Based on this landmark study, the term “subclinical AKI” was introduced. However, not all AKI biomarkers can be used to diagnose “subclinical AKI”. The Acute Disease and Quality Initiative (ADQI) group recently suggested a new definition of AKI, combining functional markers with biomarkers to detect and stage AKI. Future trials have to evaluate the new definition of AKI.

Potential Role of Biomarkers in Guiding the Precision Medicine Approach

AKI following major surgery is a common complication and provides potentially large study cohorts when assessing the performance of new biomarkers. Furthermore, the kinetics of biomarkers can be accurately assessed, as particularly in elective surgery, the timing of the insult to the kidney is known. Patients often undergo detailed pre-operative assessment, enabling clinicians to not only determine baseline renal function and comorbidities but provide the opportunity to

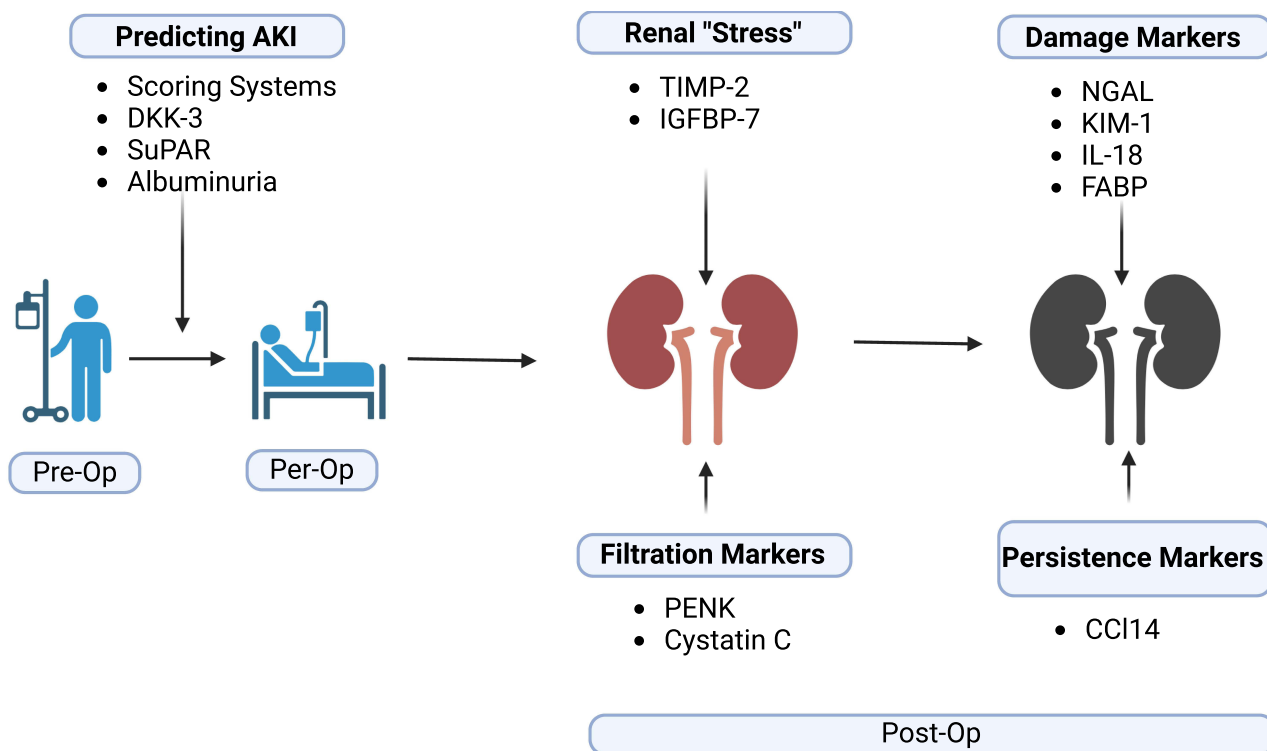


Figure 3 Outline of stages in the patient journey, preoperative, perioperative and postoperative where biomarkers could be employed.

Abbreviations: DKK-3, Dickkopf-3; suPAR, soluble urokinase plasminogen activator receptor; IGFBP-7, insulin growth factor binding protein-7; TIMP-2, tissue inhibitor of metalloproteinases -2; PENK, proenkephalin A 119–159; NGAL, neutrophil gelatinase-associated lipocalin; KIM-1, kidney injury molecule-1; FABP, fatty acid binding protein; IL-18, interleukin 18; CCL14, chemokine 14.

measure novel markers. Post-operatively, patients are monitored closely on HDU or ICU. Units, facilitating comparison of biomarkers with other clinical and biochemical parameters. To date, research has mainly focussed on patients undergoing cardiac surgery, and to a lesser extent, transplant and vascular surgery given the higher rates of AKI reported in these groups. The paediatric cardiac surgery population particularly lends itself to the discovery of new markers as patients are generally devoid of comorbidities. The ultimate goal underpinning the introduction of biomarkers is to facilitate early recognition of patients who have suffered a renal insult enabling early intervention. Or, as in the case of DKK-3 and suPAR, identify patients at risk prior to procedure and personalise treatment plans at that juncture.

One of the criticisms of biomarker use is that treatment of AKI is predominantly supportive and that little can be done to affect the outcome resulting in the “myth of inevitability” of AKI.⁹² However, this was shattered by the Prev-AKI study where biomarker positive patients were randomised to a kidney protective “bundle” of care in patients predominantly undergoing cardiac surgery where significantly lower rates of AKI were observed in the intervention group.⁹³ These results were also seen in a multicentre study where rates of moderate and severe AKI were reduced on implementation of a KDIGO-derived treatment bundle.⁹⁴ Similarly, in major abdominal, transplant and vascular surgery where patients were randomised to a biomarker guided intervention to prevent AKI, lower rates of AKI were observed in the intervention group.⁹⁵ Therefore, integration of biomarkers into care plans may allow for precision treatment based on the risk of AKI (Figure 3). Currently, intervention is mainly supportive although analysis of the Prev-AKI data suggested that avoidance of even short periods of hypotension coupled with improvement of the cardiac index remain key to prevention.⁹⁶ Indeed, the benefit of care bundles in the prevention of AKI has been further supported by meta-analysis.⁹⁷

Conclusions

The potential for biomarkers to predict AKI in patients undergoing surgery is well established. Over the last decade, a variety of candidates have been described which will allow the earlier identification of AKI and thereby allow timely intervention. These include biomarkers to enhance risk prediction including the presence of albuminuria, suPAR and DKK3 where preoperative measurements may aid in detecting patients at higher risk of PO-AKI, particularly in those undergoing cardiac surgery. Many studies have confirmed the role of biomarkers in identifying PO-AKI early and the use of biomarkers associated with renal stress (TIMP-2 and IGFBP-7) have been studied extensively in general surgical patients as well as those undergoing cardiac surgery. In turn, this has already been translated into improved outcomes in patients not only undergoing cardiac surgery but also major abdominal surgery. Although hurdles exist regarding widespread adoption, these are founded predominantly on cost issues and a lack of familiarity. The latter will be addressed by more data and acceptance by the wider community. The former will also, we are sure, become evident with time.

Disclosure

Dr Alexander Zarbock reports consulting and/or lecture fees from Baxter, BioMerieux, Guard Therapeutics, Bayer, Fresenius, Novartis, AM Pharma, Paion, during the conduct of the study. Professor Lui Forni reports grants not directly related to this work from Baxter, grants for biomarkers integrated as part of a multicentre research study from Biomerieux, personal fees for lectures on biomarkers from Ortho clinical diagnostics, during the conduct of the study. The authors report no other conflicts of interest in this work.

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